

Amendments to GEM Listing Rules

Appendix 9

9. System Charges

- ~~(1) For the purpose of trading, one security enquiry page of the Automatic Order Matching and Execution System as installed in the Exchange shall be assigned for the use of the trading of listed securities of an issuer.~~
- ~~(2) The issuer by reason of paying the prescribed annual listing fee is entitled to the use of up to one security enquiry page in the case of equity securities. However, an issuer is only entitled to a maximum of one free security enquiry page in the case of equity securities no matter how many classes of listed equity securities the issuer may have.~~
- ~~(3) Where an issuer by reason of listing more than one class of equity securities occupies more than one security enquiry page, such issuer shall pay an additional charge at a rate of HK\$2,000 per month or part thereof per additional security enquiry page used.~~
- ~~(4) No charge is payable for the use of security enquiry pages for debt securities.~~
- ~~(5) Debit notes will be issued in relation to any additional system charges which become payable during the year. Such charges will be payable in advance and are payable within seven days from receipt of the debit note.~~

[Repealed 1 October 2009]

10. General

All fees or charges payable to the Exchange under this Appendix shall be net of all taxes, levies and duties. The Exchange reserves the right to revise any of the fees or charges prescribed above at any time, subject to the approval of the Commission pursuant to section 76 and section 24 of the Securities and Futures Ordinance. The Exchange may also at its sole discretion in any specific case reduce or waive the fees or charges prescribed above, except for the transaction levy on Qualifying Transactions in respect of which any reduction or waiver must be approved in writing by the Commission.