

Fact Book 2006

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The Year 2006 in Review

With strong economic growth in Hong Kong and Mainland China, the HKEx securities and derivatives markets performed strongly and set new records in 2006. The total market capitalisation of the securities market (including the Main Board and the Growth Enterprise Market (GEM)) reached a new high of HK\$13,339.9 billion on 28 December 2006. As at the end of 2006, Hong Kong ranked 3rd by total equity funds raised, 2nd by new listings' funds raised and 6th by market capitalisation among members of the World Federation of Exchanges¹. The annual market turnover reached a new high of HK\$8,376.3 billion in 2006, a growth of 85% over the previous year. A total of 62 companies newly listed on the Main Board and GEM in 2006, raising a total of HK\$333.9 billion.

The Hang Seng Index (HSI) reached a new historical high of 20,001 points on 28 December 2006. At the year-end, the HSI closed at 19,964 points, representing a year-on-year increase of 34%. The Hang Seng China Enterprises Index (H-shares Index) soared by 94% to end the year at 10,340 points, while the Hang Seng China-Affiliated Corporations Index (the Red-Chips Index) surged by 72% to 3,330 points. The average daily turnover of the Main Board in 2006 was HK\$33.7 billion, up 85% from that in 2005. The S&P/HKEx GEM Index closed at 1,224 points at the end of year, representing a year-on-year increase of 22%. GEM average daily turnover in 2006 was HK\$176.83 million, a 96% increase from the previous year.

Trading in the HKEx derivatives (futures and options) market soared in 2006. A total of 42.91 million futures and options contracts were traded in 2006, a 68% increase from 2005. The average daily derivatives turnover was 173,708 contracts (80,418 contracts in futures and 93,290 contracts in options), representing a year-on-year increase of 68%.

STOCK MARKET

Market development

Listing

The year 2006 saw developments in the listing and regulatory regimes and the structured products market and progress in the review of GEM.

Progress was made in the establishment of a new listing decision-making structure. Related amendments were made to the Main Board and GEM Listing Rules, effective 19 May 2006, leading to changes in the composition and term of the Listing Committees. The number of Listing Committee members was expanded to at least 28 members including the HKEx Chief Executive, at least 8 appointed investor representatives and 19 other appointed members.

In September, the Securities and Futures Commission (SFC) released its Guidelines for Sponsors and Compliance Advisers which contain new entry criteria and on-going obligations for sponsors, with an aim of raising sponsor standards. In line with the SFC's new guidelines, in October HKEx amended the related Listing Rules to reflect the sole responsibility of the SFC in the licensing, discipline and sanctioning of sponsors and compliance advisers. The Stock Exchange would accept a SFC-licensed or -registered firm to work as a sponsor or compliance adviser. The guidelines and amended rules took effect on 1 January 2007.

Another development in the listing regime was the SFC's decision on initiatives to conclude the reform of the prospectus regime. Major identified initiatives included consolidating laws in relation to public offerings of shares and debentures into the Securities and Futures Ordinance, changing the focus of the prospectus regime from a "document-based" to a "transaction-based" approach, and imposing greater liability on issuers, offerors and responsible persons for the prospectus.

¹ World Federation of Exchanges Monthly Statistics December 2006.

To enhance scrutiny over the financial reporting of listed companies, the Financial Reporting Council was formally set up with its chairman appointed by the Government in November. The Council is endowed with the authority to investigate audit irregularities and accounting non-compliance among listed companies in Hong Kong.

Following public consultation, HKEx decided to move ahead to abolish the requirement for Main Board issuers to publish paid announcements in newspapers and to adopt a purely web-based news dissemination regime. The aim was to enhance the timeliness and cost effectiveness of information disclosure and the accessibility of disclosed information. After a transition period, all Main Board and GEM issuers must have their own website on which they must publish announcements and other listing documents in addition to publication on the HKEX website or GEM website.

In considering the further development of GEM, HKEx released in January a discussion paper to provide possible structural options and to invite market views.

Efforts were made by the SFC and HKEx to enhance the regime for the trading of structured products. The SFC decided to proceed with its Six-Point Plan for the derivative warrants market it proposed in 2005. Accordingly, HKEx introduced a web-based Derivative Warrant Resource Centre in May to provide more investor education and better information dissemination. In September, HKEx amended the Listing Rules in respect of derivative warrants, banning commission rebate schemes and facilitating further issues. Another major development in the structured products market was the introduction of Callable Bull/Bear Contracts (CBBC) in June, with 7 CBBCs at launch.

Trading, clearing and settlement

Trading began in the newly renovated Trading Hall from 16 January 2006.

HKEx further enhanced its market systems. It completed the second phase of the network consolidation project by migrating all Participant network connections in the Third Generation Central Clearing and Settlement System (CCASS/3) to SDNet. As a result, the majority of Clearing Participants started saving about 20% of their previous monthly network charges. In May, the maximum number of outstanding orders per price queue was increased from 4,000 to 8,000 in the Third Generation Automated Order Matching and Execution System (AMS/3). In July, HKEx reduced the minimum trading spread from the previous range of HK\$0.025 to HK\$0.05 to a new spread of HK\$0.01 for securities trading between HK\$2 and HK\$10; and from HK\$0.05 to HK\$0.02 for those trading between HK\$10 and HK\$20.

Actions were also taken by HKEx to expand its clearing services to participants outside Hong Kong. In March, the PRC National Council for Social Security Fund was admitted to CCASS as a Corporate Investor Participant. In June, the Investor Participant (IP) account service was expanded to Macau individual investors and Macau-incorporated companies.

Investor protection was further enhanced with the introduction of new SFC measures in October to address securities margin financing risks. Transaction costs were reduced as a result of the Government's 20% reduction of the transaction levy payable to the SFC in respect of any trading in securities, futures and option contracts, effective 1 December 2006.

Main Board – Equities

The year saw the listing in Hong Kong of 3 more Mainland-incorporated banks – Bank of China (BOC), China Merchants Bank (CMB) and Industrial and Commercial Bank of China (ICBC). ICBC's Hong Kong and international offerings raised over HK\$124.9 billion and was the ever largest initial public offer (IPO) in Hong Kong. ICBC was also the first Mainland enterprise with simultaneous listing of H shares in Hong Kong and A shares in the Mainland Shanghai Stock Exchange. Together with its A share issue, it was the largest IPO by total funds raised (US\$19.1 billion) in world stock market history.

By year end, there were 975 listed companies on the Main Board versus 934 in 2005. Among them, 95 companies were H share companies and 86 were red chips, with year-end market capitalisation of HK\$3,363.8 billion and HK\$2,951.6 billion respectively. H shares and red chips together constituted 48% of the Main Board market capitalisation. Fifty-six companies newly listed on the Main Board in 2006 (17 were H share companies), raising a total of HK\$332.1 billion of new capital. As at the end of 2006, the total market capitalisation of the Main Board was HK\$13,248.8 billion against HK\$8,113.3 billion in 2005.

The HSI rose 34% from 2005 year-end level to close at 19,964 points in 2006. Mainland-related stocks had sharp year-on-year increases – 94% for the H-shares Index and 72% for the Red-Chips Index. In September the HSI included an H-share company for the first time. At the year end, 3 of the 36 constituent stocks in the HSI were H-shares. Along with the increasing market significance of H-shares, the Hang Seng China H-Financials Index was launched in November with initially eight constituents. It closed the year at 12,935 points. The S&P/HKEx LargeCap Index rose by 43% year-on-year to 24,378 points. The Hang Seng Composite Index closed at 2,802 points, 44% upon the previous year. In addition, all four sectors of the HSI – finance, utilities, properties and commerce & industry – rose, marking year-on-year increases of 22%, 12%, 29% and 49% respectively.

Main Board equities recorded a total turnover of HK\$6,423.3 billion, up 79% when compared with 2005.

Main Board – Warrants

The number of newly listed derivative warrants reached a record high of 2,823 with a total issued premium of HK\$247.8 billion in 2006, compared with 1,682 warrants and a premium of HK\$154.8 billion in 2005. In addition, 12 equity warrants were issued in the year without premium. The total turnover value of derivative and equity warrants was HK\$1,792.8 billion, up 109% from the previous year. As at the end of 2006, there were 27 listed equity warrants and 1,959 derivative warrants.

Main Board – Debt securities

There were 180 debt securities listed as at the end of 2006, with a turnover of HK\$6.25 million (a year-on-year decrease of 40%). Nevertheless, the primary market was more active – 46 debt securities were newly listed in the year compared to 32 in 2005, raising HK\$64.9 billion, a 7% increase over a year earlier.

Main Board – Unit trusts and mutual funds

At the end of 2006, a total of 15 unit trusts/mutual funds were listed, compared with 13 in 2005. Among them, 9 were Exchange-Traded Funds (ETFs) and 5 were Real Estate Investment Trusts (REITs). There were 3 new listings in the year (one was ETF and two were REITs), raising HK\$9.0 billion. The annual turnover value increased by 104% to HK\$105.3 billion, of which HK\$57.9 billion (55%) and HK\$47.4 billion (45%) were contributed by ETFs and REITs respectively.

Main Board – Equity Linked Instruments (ELI)

The ELI market was completely quiet in 2006 with no newly listed issues, no trading and no listed ELIs at the end of 2006.

Main Board – Callable Bull/Bear Contracts (CBBC)

CBBCs were introduced in June 2006. Up to the end of 2006, 83 CBBCs were newly issued, with a total premium of HK\$4.1 billion. The total turnover value in the year (for approximately 7 months) was HK\$11.3 billion, representing a daily average of HK\$80.39 million. At the end of 2006, there were a total of 24 listed CBBCs.

Growth Enterprise Market (GEM)

There were 198 listed companies on GEM as at the end of 2006 comparing to 201 in 2005. Among them, 46 were H share companies with a year-end market capitalisation of HK\$15.0 billion. The total market capitalisation of GEM was HK\$88.9 billion, up 33% from HK\$66.6 billion in 2005. The number of new listings on GEM was 6 (all were H share companies), raising a total of HK\$1.8 billion of new capital. The total turnover value on GEM in 2006 was HK\$43.7 billion, a 96% increase from the previous year.

Trading-only market

As at the end of 2006, two iShares and seven Nasdaq stocks were quoted on the trading-only market. Trading on the market further contracted – turnover was HK\$15.13 million in 2006 compared to HK\$17.09 million in 2005 and HK\$32.82 million in 2004.

DERIVATIVES MARKET

Market development

In 2006, enhancements were made in product provision and trading measures.

On 31 March, three long-dated contract months in H-shares Index options and three additional long-dated contract months in HSI options were introduced.

On 10 February, position limits and reporting levels for stock options were adjusted. A single reporting level per option class was adopted instead of separately for Tier 1 and Tier 2 classes. A single position limit per option class in one market direction for all expiry months was also adopted instead of net position limit separately for Tier 1 and Tier 2.

To increase price transparency and to facilitate trade execution, stock options market-maker obligations were revised on 3 July 2006. The response time to quote requests was reduced, and the holding time of quotes and the minimum number of option series per class covered were increased.

As a result of the 20% reduction in transaction levy, trading in futures and option contracts also enjoyed reduced transaction costs.

Trading activities

The HKEx derivatives market recorded its most active year in 2006. The total trading volume of futures and options was 42.91 million contracts, 68% more than the 2005 historical peak. The annual trading volumes in the HSI futures and options, Mini-HSI futures and options, H-shares Index futures and options, stock futures and stock options all reached new record highs.

Among all futures products, HSI futures were the largest volume contributor (contributing 64% of total futures volume) and recorded an annual turnover increase of 28% to 12.72 million contracts in 2006, with an open interest of 119,836 contracts at year end. The second largest volume contributor – H-shares Index futures (contributing 25% of total futures volume) – surged by 147% to 4.88 million contracts, with a year-end open interest of 59,345 contracts. The turnover of the Mini-HSI futures also grew by 43% to 2.14 million contracts in the year. The number of stock futures classes was 41, compared with 42 in 2005, and the annual trading volume jumped by 681% to 102,010 contracts.

Stock options continued to be the most active options product, contributing 79% of total options contract volume in 2006. At the end of 2006, the number of stock option classes expanded to 47, with an open interest of 2.53 million contracts, comparing to 43 classes and an open interest of 1.02 million contracts at the end of 2005. The annual trading volume surged to 18.13 million contracts in 2006, representing a year-on-year increase of 108%. The total premium for 2006 was HK\$19.2 billion, up 85% from HK\$10.4 billion in 2005.

The second biggest volume contributor in the options market – HSI options – registered a year-on-year volume increase of 33% to 4.10 million contracts in 2006. The turnover of H-shares Index options recorded an increase of 195% to 758,247 contracts. Mini-HSI options recorded a volume of 53,456 contracts for the year, up 75% from 2005.

Trading in the non-equity derivative products contracted further in 2006 – the 1-month HIBOR futures decreased by 37% to 155 contracts, the 3-month HIBOR futures decreased by 44% to 13,888 contracts, and 3-year Exchange Fund Note futures recorded zero trading in 2006.

Major Events of the Hong Kong Securities and Derivatives Markets 2006

<i>Month</i>	<i>Event</i>
January	On 6 January, amendments to the Securities and Futures Ordinance concerning the legal definitions of certain regulated activities became effective. The amendments include extending the definition of asset management to cover management of real estate investment trusts (REITs).
February	On 3 February, HKEx announced consultation conclusions to implement a new structure for listing decision-making in two phases. The first phase involved a number of rule amendments and addressed the size and composition of the Listing Committee and term for committee members.
March	<p>On 6 March, HSI Services Limited adopted a freefloat-adjusted market capitalisation weighting with a cap of 15% for the H-share portion of each constituent company for calculating the Hang Seng China Enterprises Index (H-shares Index).</p> <p>On 20 March, HKEx announced the admission of the PRC National Council for Social Security Fund to the Central Clearing and Settlement System (CCASS) as a Corporate Investor Participant.</p> <p>On 31 March, the Securities and Futures Commission (SFC) announced that it would proceed with its proposed Six-Point Plan for the derivative warrants market after consultation, with refinements. The plan included enhancing investor education and information dissemination, banning commission rebates, facilitating further and identical issues, issuing new marketing guidelines, appointing external liquidity providers, and promoting the use of plain language.</p>
April	<p>On 26 April, HKEx announced the Grand Opening of the Exchange Trading and Exhibition Hall Complex. The Exhibition Hall opened to the public starting from 15 May.</p> <p>On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEx to succeed Mr Charles Lee who retired after the Annual General Meeting of HKEx held on 26 April.</p>
May	<p>On 19 May, amendments to the Main Board and GEM Listing Rules regarding the term and composition of Listing Committees of the Main Board and GEM became effective. The number of Listing Committee members was expanded to at least 28 members including HKEx Chief Executive, at least 8 appointed investor representatives, and 19 appointed members.</p> <p>On 29 May, the Stock Exchange of Hong Kong (SEHK) increased the maximum number of outstanding orders per price queue from 4,000 to 8,000 in AMS/3.</p>
June	<p>On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.</p> <p>On 10 June, HKEx completed the second phase of its network consolidation project by migrating all CCASS/3 Participant network connections to SDNet, the next generation network of HKEx's securities and derivatives markets. As a result, the majority of Clearing Participants started enjoying cost savings.</p> <p>On 12 June, Callable Bull/Bear Contracts (CBBCs) began trading in HKEx securities market, with 7 CBBCs at launch. The aggregate turnover value on the first trading day was HK\$14.91 million.</p>

<i>Month</i>	<i>Event</i>
	<p>On 23 June, the Securities and Futures (Amendment) Ordinance 2006, which provides for a separation of the role of the SFC's Chairman from its executive arm and for the creation of a CEO post, was gazetted. Mr. Martin Wheatley was appointed CEO and Mr. Eddy Fong was subsequently appointed chairman later in October 2006.</p>
July	<p>On 7 July, HKEx published the Exposure Conclusion on the "Abolition of Requirement for Main Board Issuers to Publish Paid Announcements in Newspapers and Related Matters" and the abolition will proceed in phases.</p> <p>On 12 July, HKEx published on its website a summary of the submissions on its GEM Discussion Paper which was released on 20 January 2006.</p> <p>On 13 July, the Financial Reporting Council (FRC) Bill was passed in the Legislative Council. The FRC will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies. Chairman of the FRC was later appointed by the Government on 30 November.</p> <p>On 24 July, HKEx implemented the phase 2 reduction of minimum securities trading spreads. The minimum spread for securities trading between HK\$2 and HK\$10 was reduced to HK\$0.01 and for those between HK\$10 and HK\$20 to HK\$0.02.</p>
September	<p>On 5 September, the SFC released the Guidelines for Sponsors and Compliance Advisers, effective 1 January 2007. The guidelines cover the new eligibility criteria and on-going obligations for sponsors, among other things requiring sponsors to have sufficient expertise and resources, effective internal controls and management supervision, annual internal systems assessment and maintenance of proper and updated records.</p> <p>On 11 September, the Government held the Economic Summit on "China's 11th Five-Year Plan and the Development of Hong Kong". Regarding financial services, three working groups were set up to study: (1) the listing rules and regulations in order to facilitate further development of the local equity market; (2) further development of foreign exchange, futures and commodities trading; and (3) further development of the insurance and reinsurance industries in Hong Kong and the Mainland.</p> <p>On 11 September, the number of constituent stocks in the Hang Seng Index (HSI) increased from 33 to 34, after the inclusion of China Construction Bank as the first H-share constituent. In addition, HSI Services Limited started switching the compilation of the HSI from a full market capitalisation weighted formula to a freefloat-adjusted market capitalisation weighted formula with a 15% cap on individual stock weightings. The changes would be phased in over one year.</p> <p>On 22 September, the SFC released Consultation Conclusions on the reform initiatives to the prospectus regime governing the public offering of shares and debentures in the Companies Ordinance.</p> <p>On 30 September, amendments to the Main Board Listing Rules in respect of structured products became effective. The amendments were made to implement the recommendations of the SFC's Six-Point Plan. They include permitting issuers to launch further issues and substantially identical issues subject to certain conditions and limitations, and prohibiting issuers from offering commission rebates or other incentives.</p>

Month	Event
October	<p>On 1 October, the SFC's Measures to Address Risks Arising from Securities Margin Financing took effect. The measures include: (1) introducing limits on repledging; (2) making some moderate changes to selected Financial Resources Rules (FRR) haircut percentages; (3) improving transparency by better disclosure; and (4) providing relaxation on certain requirements.</p> <p>On 1 October, the SFC Guidelines on Marketing Materials for Listed Structured Products took effect. The guidelines adopt a principle that marketing materials should not be false, biased, misleading or deceptive and should include appropriate risk warnings.</p> <p>On 24 October, HKEx announced Listing Rules amendments regarding the regulation of sponsors and compliance advisers, effective 1 January 2007. Under the amended rules, the SEHK permits a SFC-licensed or -registered firm to work as a sponsor or compliance adviser and no longer imposes additional initial and continuing eligibility criteria or sanctions sponsors or compliance advisers.</p> <p>On 27 October, Industrial and Commercial Bank of China (ICBC) was listed simultaneously in Hong Kong and Shanghai. It was the largest IPO by total funds raised (US\$19.1 billion) in world stock market history and the funds raised by H shares was also an all-time high.</p>
November	<p>On 27 November, HSI Services Limited launched the Hang Seng China H-Financials Index (H-Fin Index), with initially eight constituents.</p>
December	<p>On 1 December, the transaction levy in respect of any trading in securities, futures or option contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%, payable to the SFC.</p>

Stock market highlights – Main Board

	Year		
	2004	2005	2006
Listed securities (as at year end)			
No. of listed companies	892	934	975
Domestic ⁽¹⁾	882	925	967
Foreign ⁽²⁾	10	9	8
No. of listed securities	1,971	2,448	3,184
No. of new listed companies	49	57	56
Total issued capital (HK\$mil)	431,926.99	704,903.12	892,349.40
Total market capitalisation (HK\$mil)	6,629,176.75	8,113,333.48	13,248,820.50
Equity funds raised (HK\$mil)	276,520.63	298,657.15	516,011.88
Trading			
No. of trading days	249	247	247
Total turnover value (HK\$mil) ⁽³⁾			
Annual	3,948,350.84	4,498,095.11	8,332,633.24
Average daily	15,856.83	18,210.91	33,735.36
Total turnover volume (mil shs)			
Annual	3,984,706.17	5,733,064.60	9,431,388.99
Average daily	16,002.84	23,210.79	38,183.76
Total number of trades (deals)			
Annual	36,631,418	36,404,138	63,132,306
Average daily	147,114	147,385	255,596
Stock price indices			
S&P/HKEx LargeCap Index (28 February 2003 = 10000)			
High	15796.84	17775.07	24446.59
Low	11911.16	14836.20	17098.58
Year-end	15740.43	17025.45	24378.76
Hang Seng Index (31 July 1964 = 100)			
High	14266.38	15466.06	20001.91
Low	10967.65	13355.23	14944.77
Year-end	14230.14	14876.43	19964.72
Market ratios ⁽⁴⁾			
Average dividend yield (%)	2.85	2.89	2.19
Average P/E ratio (times)	18.73	15.57	17.37
Average book value ratio (times)	1.89	1.93	2.52
Annual turnover velocity (%)	51.25	44.22	48.48
Number of Exchange participants	490	468	469

Notes:

- (1) All PRC incorporated enterprises with H shares listed on the Exchange are included.
- (2) A listed company would be counted as a foreign company if it is incorporated overseas and has a majority of its business outside Hong Kong and PRC, and as a domestic company if otherwise.
- (3) Turnover values have been adjusted for late reported and rejected sales.
- (4) Calculations are based on year-end figures of all listed stocks.

Stock market highlights – Growth Enterprise Market (GEM)

	Year		
	2004	2005	2006
Listed securities (as at year end)			
No. of listed companies	204	201	198
No. of listed securities	205	201	199
No. of new listed companies	21	10	6
Total issued capital (HK\$mil)	9,130.24	7,844.13	8,748.07
Total market capitalisation (HK\$mil)	66,716.07	66,603.74	88,885.43
Equity funds raised (HK\$mil)	5,279.69	3,045.76	8,512.84
Trading			
No. of trading days	249	247	247
Total turnover value (HK\$mil) ⁽¹⁾			
Annual	25,760.85	22,336.69	43,677.88
Average daily	103.46	90.43	176.83
Total turnover volume (mil shs)			
Annual	38,841.86	46,750.30	49,877.40
Average daily	155.99	189.27	201.93
Total number of trades (deals)			
Annual	612,417	551,943	944,735
Average daily	2,460	2,235	3,825
Stock price indice			
S&P/HKEx GEM Index (28 February 2003=1000)			
High	1370.25	1013.35	1342.55
Low	964.84	863.79	1005.47
Year-end	988.60	1007.28	1224.67
Market ratios ⁽²⁾			
Average dividend yield (%)	0.66	1.00	2.17
Average P/E ratio (times)	28.65	22.94	21.86
Average book value ratio (times)	2.29	2.14	2.35
Annual turnover velocity (%)	38.61	33.54	49.14

Notes:

- (1) Turnover values have been adjusted for late reported and rejected sales.
(2) Calculations are based on year-end figures of GEM stocks.

Stock market highlights – Main Board and GEM

	Year							
	1999	2000	2001	2002	2003	2004	2005	2006
Listed securities (as at year end)								
No. of listed companies	708	790	867	978	1,037	1,096	1,135	1,173
Domestic ⁽¹⁾	695	779	857	968	1,027	1,086	1,126	1,165
Foreign ⁽²⁾	13	11	10	10	10	10	9	8
No. of listed securities	1,212	1,349	1,189	1,586	1,785	2,176	2,649	3,383
No. of new listed companies	38	90	88	117	73	70	67	62
Total issued capital (HK\$bil)	225.87	288.92	328.63	387.34	409.08	441.06	712.75	901.10
Total market capitalisation (HK\$bil)	4,734.76	4,862.44	3,946.31	3,611.32	5,547.85	6,695.89	8,179.94	13,337.71
Equity funds raised (HK\$bil)	149.70	467.34	64.43	110.51	213.76	281.80	301.70	524.52
Initial public offers	17.14	132.12	25.71	51.98	59.14	97.16	165.65	333.85
Secondary market	132.56	335.22	38.71	58.53	154.62	184.64	136.05	190.67
Trading								
No. of trading days	247	247	243	247	248	249	247	247
Total turnover value (HK\$bil) ⁽³⁾								
Annual	1,919.55	3,131.85	1,989.50	1,643.05	2,583.83	3,974.11	4,520.43	8,376.31
Average daily	7.77	12.68	8.19	6.65	10.42	15.96	18.30	33.91
Total turnover volume (bil shs)								
Annual	1,393.78	2,354.69	1,532.37	1,611.93	2,410.38	4,023.55	5,779.81	9,481.27
Average daily	5.64	9.53	6.31	6.53	9.72	16.16	23.40	38.39
Total number of trades (deals)								
Annual	23,063,257	36,871,325	24,123,635	20,536,648	28,803,397	37,243,835	36,956,081	64,077,041
Average daily	93,374	149,277	99,274	83,144	116,143	149,574	149,620	259,421
Market ratios ⁽⁴⁾								
Average dividend yield (%)	2.20	1.99	2.89	3.36	2.92	2.83	2.87	2.19
Average P/E ratio (times)	26.77	12.95	12.30	14.98	19.08	18.80	15.61	17.39
Average book value ratio (times)	2.16	1.81	1.35	1.11	1.68	1.89	1.93	2.52
Annual turnover velocity (%)	37.53	60.55	47.14	41.96	41.54	51.12	44.13	48.49

Notes:

- (1) All PRC incorporated enterprises with H shares listed on the Exchange are included.
- (2) A listed company would be counted as a foreign company if it is incorporated overseas and has a majority of its business outside Hong Kong and PRC, and as a domestic company if otherwise.
- (3) Turnover values have been adjusted for late reported and rejected sales.
- (4) Calculations are based on year-end figures of all listed stocks on main board and GEM.

Derivatives market highlights

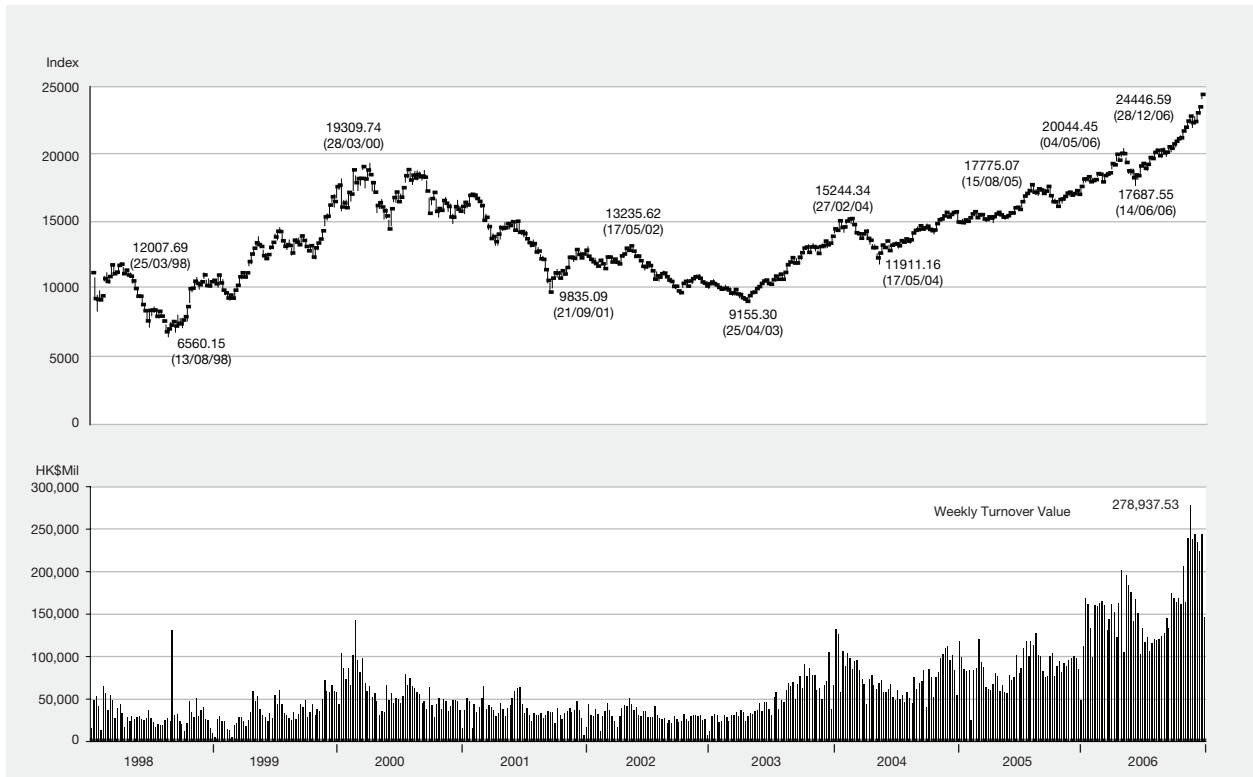
	Year		
	2004	2005	2006
All futures and options products (excluding stock options)			
No. of trading days	247.0	246.5	247.0
Contract volume	14,017,860	16,800,614	24,778,562
Equity index products	13,939,321	16,761,114	24,662,509
Equity products	17,274	13,069	102,010
Interest rates products	61,265	26,431	14,043
Average daily	56,752	68,157	100,318
Open interest at the year end	245,161	365,346	495,042
Equity index products	236,740	362,119	489,250
Equity products	1,821	1,750	4,260
Interest rates products	6,600	1,477	1,532
Stock options			
No. of stock options classes at the year end	37	43	42
No. of stock options series at the year end	2,560	3,146	3,756
No. of newly introduced stock options classes	4	6	3
No. of trading days	247.0	246.5	247.0
Contract volume			
Annual total	5,611,832	8,722,393	18,127,353
Average daily	22,720	35,385	73,390
Premium (HK\$ Mil.)			
Annual total	5,581	10,370	19,242
Average daily	23	42	78
Number of trades			
Annual total	150,776	241,785	399,129
Average daily	610	981	1,616
Open interest at the year end	684,052	1,021,913	2,533,807
Contracts exercised	898,498	1,351,208	2,605,289
Average premium per contract (HK\$)	995	1,189	1,061
Average contract per trade (contracts)	37	36	45
No. of market makers	14	17	21
Put volume/call volume	1.13	1.03	1.22
Average daily contract volume/open interest	3.3%	3.5%	2.9%
Options volume (shares)/underlying stock volume	2.3%	3.2%	3.6%
All futures and options products			
Contract volume	19,629,692	25,523,007	42,905,915
Open interest at the year end	929,213	1,387,259	3,028,849

Market Highlights – Clearing and Settlement

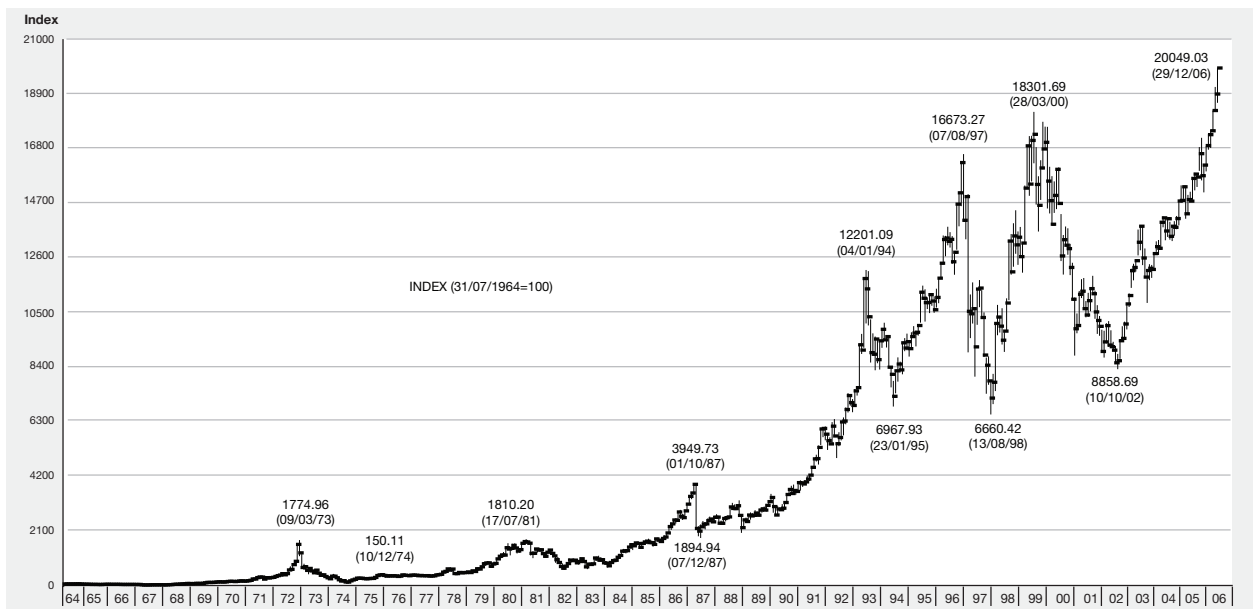
	Year		
	2004	2005	2006
CCASS Statistics			
Average Daily Exchange Trades Handled by CCASS			
– Number of trades	149,572	149,617	259,417
– Value of trades (HK\$bil.)	16.0	18.3	33.9
– Share quantity Involved (bil. shs)	16.2	23.4	38.4
Average Daily Settlement Instructions (“SIs”) Settled by CCASS			
– Number of SIs	23,778	25,353	36,259
– Value of SIs (HK\$bil.)	38.0	46.2	87.1
– Share quantity involved (bil. shs)	11.8	14.1	21.7
Average Daily Investor SIs (“ISIs”) Settled by CCASS			
– Number of ISIs	413	349	586
– Value of ISIs (HK\$mil.)	119.0	100.0	220.2
– Share quantity involved (mil. shs)	82.2	70.7	77.2
Average Daily Settlement Efficiency of CNS Stock Positions			
on Due Day (T+2) (%)	99.70	99.78	99.72
Average Daily Settlement Efficiency of CNS Stock Positions			
on the Day following the Due Day (T+3) (%)	99.98	99.98	99.97
Average Daily Buy-ins Executed on T+3			
– Number of brokers involved	9	8	12
– Number of buy-ins	10	8	13
– Value of buy-ins (HK\$mil)	3.7	2.7	6.6
Shares Deposited in the CCASS Depository			
– Number of shares (bil. shs)	1,217.7	1,309.9	1,657.6
– Percentage of total issued shares of the admitted securities	60.71	55.55	58.79
– Value of shares (HK\$bil)	2,465.0	3,026.9	5,754.9
– Percentage of the total market capitalisation of the admitted securities	34.46	34.60	40.23
DCASS Statistics			
	2004	2005	2006
Open Interest as at the year end (contracts)			
– Equity Index Futures	150,418	136,465	185,262
– Stock Futures	1,821	1,750	4,260
– Interest Rates Futures	6,600	1,477	1,532
– Equity Index Options	86,322	225,654	303,988
– Stock Options	684,052	1,021,913	2,533,807
Cumulative Stock Options Exercised			
– Share quantity involved (mil. shs)	696	1,213	2,303
– Value of shares (HK\$bil.)	19	40	75

Note: Stock transactions in foreign currencies are excluded from the CCASS Statistics.

S&P/HKEx LargeCap Index, weekly movement (1998 – 2006)



Hang Seng Index, monthly movement (1964 – 2006)

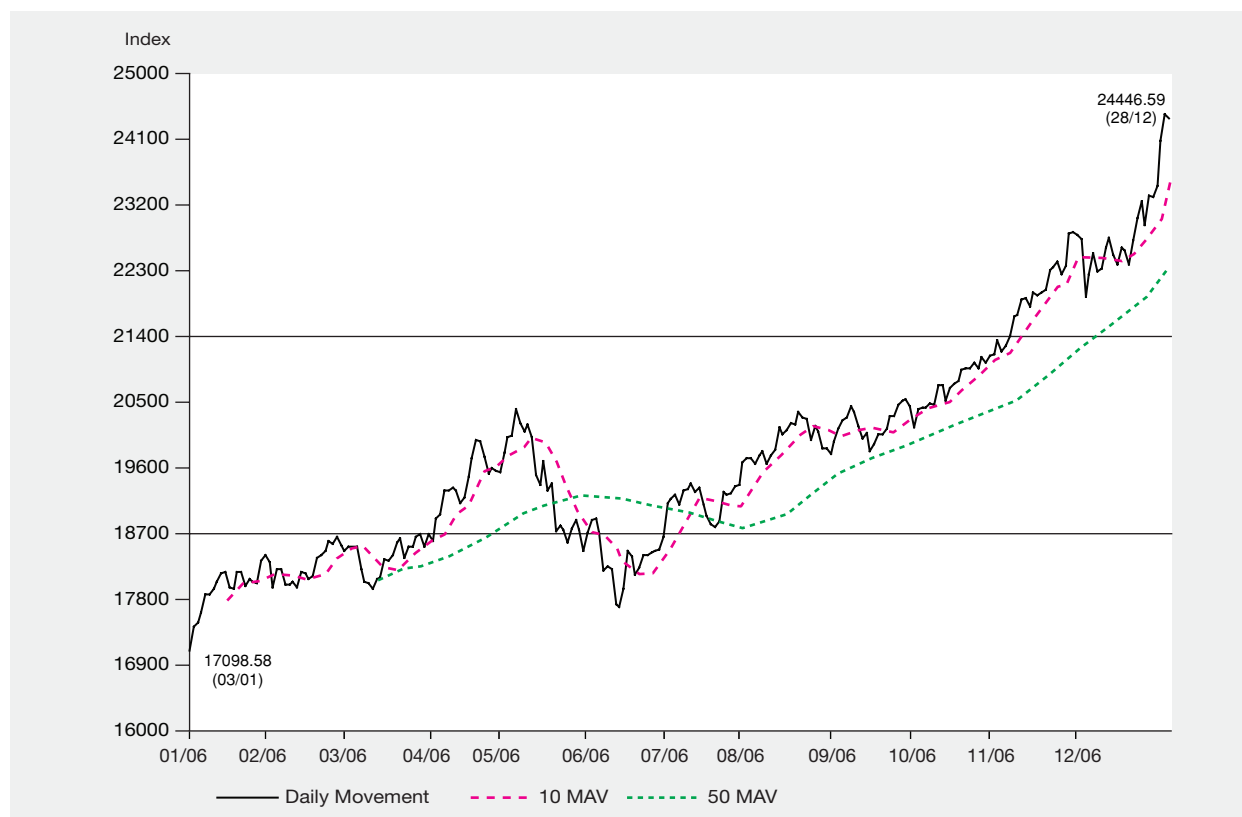


S&P/HKEx LargeCap Index, daily closing (2006)

28 February 2003 = 10000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	18399.16	18465.30	-	-	18465.36	-	19646.31	20290.18	-	21409.85	22292.21
2	-	18300.65	18509.93	-	19815.49	18710.87	-	19774.15	-	-	21664.50	-
3	17098.58	17952.31	18510.56	18900.90	20023.17	-	19179.20	19836.35	-	20485.27	21688.80	-
4	17431.69	-	-	18962.24	20044.45	-	-	19657.20	20445.10	20460.56	-	22326.32
5	17476.40	-	-	-	-	18891.53	19094.28	-	20360.19	20734.86	-	22612.81
6	17607.32	18207.76	18516.57	19284.57	-	18897.22	19287.46	-	20169.67	20740.33	21910.23	22750.26
7	-	18213.56	18204.55	19290.67	-	18708.38	19300.66	19766.36	20007.10	-	21926.03	22526.91
8	-	17996.90	18039.73	-	20396.54	18194.45	-	19851.33	20071.33	-	21816.25	22385.61
9	17858.46	18003.38	18024.30	-	20211.22	18251.14	-	20160.27	-	20526.16	22000.58	-
10	17859.08	18044.18	17947.59	19327.13	20104.60	-	19384.93	20065.72	-	20699.65	21962.77	-
11	17943.92	-	-	19281.37	20188.27	-	19273.73	20114.95	19819.75	20740.45	-	22620.60
12	18034.88	-	-	19107.93	20022.27	18208.83	19319.51	-	19926.78	20792.10	-	22580.77
13	18151.74	17969.89	18085.24	19196.12	-	17732.12	19097.68	-	20061.22	20932.83	21998.89	22377.89
14	-	18173.05	18102.79	-	-	17687.55	18939.98	20208.97	20061.90	-	22042.47	22708.38
15	-	18147.12	18338.67	-	19498.80	17949.73	-	20190.85	20127.82	-	22309.28	23026.07
16	18170.62	18069.27	18335.33	-	19363.58	18458.50	-	20374.04	-	20965.87	22354.42	-
17	17955.23	18110.39	18407.57	-	19700.24	-	18831.63	20295.99	-	20971.09	22421.91	-
18	17936.76	-	-	19481.79	19292.69	-	18791.00	20265.39	20304.05	21036.88	-	23242.67
19	18171.09	-	-	19738.85	19377.30	18378.94	18860.56	-	20311.33	20970.55	-	22926.20
20	18170.17	18358.81	18585.52	19977.19	-	18140.75	19260.85	-	20454.77	21121.92	22245.30	23327.22
21	-	18402.97	18632.03	19971.00	-	18225.06	19232.09	19978.02	20526.96	-	22356.13	23304.55
22	-	18467.93	18362.96	-	18735.32	18405.39	-	20168.49	20529.24	-	22801.08	23464.57
23	17984.39	18597.33	18526.71	-	18814.04	18398.74	-	20103.10	-	21044.31	22834.11	-
24	18077.62	18554.00	18515.20	19751.48	18758.55	-	19246.78	19857.05	-	21132.34	22778.87	-
25	18046.17	-	-	19524.47	18570.05	-	19347.00	19869.96	20446.81	21155.09	-	-
26	18017.48	-	-	19598.90	18760.96	18440.14	19374.48	-	20154.07	21344.62	-	-
27	18320.14	18645.58	18651.96	19564.14	-	18464.20	19680.20	-	20402.91	21184.87	22729.12	24082.65
28	-	18543.77	18688.69	19542.37	-	18484.40	19728.89	19790.19	20430.21	20430.21	21951.44	24446.59
29	-	-	18525.72	-	18884.12	18644.39	-	19961.85	20418.50	-	22248.32	24378.76
30	-	-	18695.00	-	18756.13	19114.74	-	20125.18	-	-	22542.50	-
31	-	-	18605.56	-	-	-	-	19728.79	20250.92	-	21263.49	-
High	18320.14	18645.58	18695.00	19977.19	20396.54	19114.74	19728.89	20374.04	20529.24	21344.62	22834.11	24446.59
Low	17098.58	17952.31	17947.59	18900.90	18570.05	17687.55	18791.00	19646.31	19819.75	20460.56	21409.85	22292.21
Avg	17911.14	18257.90	18403.37	19441.24	19465.89	18402.38	19247.08	20013.59	20253.33	20915.16	22181.49	23020.05

S&P/HKEx LargeCap Index, daily movement (2006)



Hang Seng Index, daily closing (2006)

31 July 1964 = 100

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	15742.30	15818.09	-	-	15645.27	-	16911.37	17423.72	-	18453.65	18690.82
2	-	15691.69	15882.45	-	16868.04	15912.71	-	17032.75	-	-	18714.78	-
3	14944.77	15429.73	15802.00	16063.75	17026.98	-	16326.66	17048.42	-	17606.53	18749.69	-
4	15200.06	-	-	16100.09	17013.93	-	16368.98	16887.80	17513.88	17629.21	-	18702.73
5	15271.13	-	-	-	-	16016.23	16267.18	-	17438.80	17907.67	-	18944.19
6	15344.44	15548.06	15811.83	16411.13	-	15973.11	16440.99	-	17258.51	17903.39	18936.55	19026.36
7	-	15517.01	15602.36	16471.78	-	15816.55	16459.78	16953.55	17096.44	-	18939.31	18842.99
8	-	15373.44	15493.09	-	17301.79	15450.11	-	17048.20	17145.76	-	18811.24	18739.99
9	15547.43	15413.43	15510.13	-	17133.99	15628.69	-	17346.58	-	17675.24	18952.86	-
10	15569.91	15425.95	15445.05	16521.59	17080.59	-	16603.81	17222.14	-	17823.70	18891.14	-
11	15650.88	-	-	16475.81	17140.78	-	16490.13	17249.95	16948.59	17862.79	-	18924.66
12	15719.37	-	-	16310.76	16901.85	15621.44	16522.21	-	17075.40	17873.09	-	18907.17
13	15787.97	15312.09	15542.07	16429.45	-	15234.42	16305.48	-	17210.04	17988.86	18868.54	18718.19
14	-	15420.32	15519.76	-	-	15247.92	16135.71	17290.01	17183.45	-	18878.42	18919.40
15	-	15423.26	15720.36	-	16494.84	15435.08	-	17274.07	17237.65	-	19093.00	19110.65
16	15777.72	15450.88	15729.04	-	16393.11	15842.65	-	17451.03	-	18010.20	19154.07	-
17	15576.20	15475.69	15801.66	-	16615.55	-	16064.82	17373.05	-	18014.84	19182.71	-
18	15481.21	-	-	16637.53	16266.52	-	16043.94	17330.70	17387.21	18048.09	-	19192.91
19	15670.42	-	-	16830.44	16313.36	15768.86	16097.54	-	17346.70	17986.97	-	18964.55
20	15662.08	15598.67	15929.95	16944.34	-	15608.97	16472.62	-	17512.96	18113.55	18954.63	19240.12
21	-	15627.24	15922.75	16912.15	-	15659.36	16464.18	17007.88	17619.97	-	19008.30	19222.84
22	-	15635.72	15642.81	-	15805.52	15826.70	-	17149.75	17600.65	-	19250.79	19320.52
23	15464.77	15812.53	15771.17	-	15864.56	15808.81	-	17088.39	-	18089.85	19265.32	-
24	15530.57	15856.05	15716.46	16705.67	15822.64	-	16480.59	16883.04	-	18153.41	19260.30	-
25	15520.39	-	-	16577.77	15696.89	-	16583.86	16955.45	17546.04	18157.94	-	-
26	15520.07	-	-	16672.66	15895.10	15804.81	16617.24	-	17308.08	18353.74	-	-
27	15753.14	15949.89	15815.87	16742.85	-	15774.70	16916.77	-	17521.51	18297.55	19204.01	19725.73
28	-	15918.48	15856.58	16661.30	-	15742.66	16955.04	16922.29	17530.57	-	18639.53	20001.91
29	-	-	15745.11	-	15963.77	15865.22	-	17083.28	17543.05	-	18780.93	19964.72
30	-	-	15880.69	-	15857.89	16267.62	-	17284.71	-	-	18960.48	-
31	-	-	15805.04	-	-	-	-	16971.34	17392.27	-	18324.35	-
High	15787.97	15949.89	15929.95	16944.34	17301.79	16267.62	16971.34	17451.03	17619.97	18353.74	19265.32	20001.91
Low	14944.77	15312.09	15445.05	16063.75	15696.89	15234.42	16043.94	16883.04	16948.59	17606.53	18453.65	18690.82
Avg	15525.92	15581.12	15728.88	16557.00	16472.89	15725.09	16456.61	17138.55	17354.71	17991.05	18952.28	19113.71

Note : HSI is compiled by HSI Services Ltd.

Hang Seng Composite Index, daily closing (2006)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	2104.37	2118.67	-	-	2116.18	-	2229.74	2299.90	-	2454.32	2563.88
2	-	2096.03	2131.80	-	2263.26	2149.73	-	2240.93	-	-	2485.63	-
3	1959.28	2061.93	2126.68	2186.63	2285.71	-	2191.01	2251.55	-	2347.50	2492.30	-
4	1995.38	-	-	2198.81	2288.19	-	2195.76	2233.52	2320.87	2348.69	-	2569.02
5	2007.24	-	-	-	-	2168.70	2175.25	-	2313.96	2377.57	-	2606.65
6	2019.20	2082.58	2124.29	2236.48	-	2160.85	2195.03	-	2298.06	2375.72	2511.51	2620.80
7	-	2085.00	2091.48	2232.52	-	2136.56	2198.65	2240.83	2283.27	-	2516.24	2594.97
8	-	2059.05	2067.35	-	2328.64	2077.42	-	2251.32	2289.36	-	2501.85	2580.08
9	2047.25	2061.86	2071.30	-	2315.28	2089.48	-	2288.15	-	2348.54	2530.24	-
10	2045.73	2065.85	2063.01	2231.19	2312.28	-	2210.28	2275.80	-	2368.13	2525.41	-
11	2052.23	-	-	2230.70	2318.34	-	2200.62	2281.76	2266.41	2374.33	-	2604.62
12	2062.78	-	-	2205.34	2293.65	2084.73	2206.79	-	2277.27	2381.77	-	2600.29
13	2073.65	2054.15	2080.37	2222.56	-	2023.86	2177.17	-	2291.76	2398.09	2535.43	2574.28
14	-	2070.14	2080.12	-	-	2015.29	2153.69	2285.72	2289.04	-	2540.20	2605.81
15	-	2071.61	2106.81	-	2237.70	2045.83	-	2283.81	2293.03	-	2576.63	2630.76
16	2080.21	2070.28	2110.82	-	2220.47	2108.53	-	2308.10	-	2399.85	2585.37	-
17	2054.34	2074.63	2119.67	-	2253.97	-	2137.97	2299.74	-	2400.43	2588.85	-
18	2046.16	-	-	2252.11	2204.70	-	2138.41	2294.02	2311.68	2405.83	-	2654.34
19	2074.65	-	-	2273.61	2207.53	2086.99	2143.59	-	2310.85	2405.51	-	2628.69
20	2073.82	2092.48	2132.82	2287.15	-	2061.22	2192.13	-	2324.60	2424.31	2565.33	2672.12
21	-	2096.46	2140.15	2277.28	-	2068.12	2186.95	2256.00	2343.70	-	2577.82	2676.67
22	-	2103.23	2110.65	-	2126.63	2092.55	-	2275.16	2341.43	-	2624.28	2693.22
23	2048.09	2122.88	2127.52	-	2131.39	2092.55	-	2266.10	-	2416.23	2621.57	-
24	2062.26	2124.12	2128.76	2243.67	2132.41	-	2185.27	2243.33	-	2422.38	2618.01	-
25	2064.53	-	-	2211.86	2113.25	-	2196.92	2248.21	2332.88	2424.24	-	-
26	2061.29	-	-	2227.77	2135.22	2098.71	2200.64	-	2302.04	2443.78	-	-
27	2094.13	2133.31	2149.15	2234.26	-	2101.75	2234.83	-	2327.73	2426.40	2602.77	2775.90
28	-	2127.64	2151.84	2229.39	-	2103.89	2238.51	2243.78	2332.47	-	2519.47	2803.92
29	-	-	2142.35	-	2147.61	2128.46	-	2265.30	2335.32	-	2556.48	2802.68
30	-	-	2160.93	-	2144.38	2182.73	-	2283.44	-	-	2586.74	-
31	-	-	2155.00	-	-	-	-	2240.50	2291.89	-	2433.22	-
High	2094.13	2133.31	2160.93	2287.15	2328.64	2182.73	2240.50	2308.10	2343.70	2443.78	2624.28	2803.92
Low	1959.28	2054.15	2063.01	2186.63	2113.25	2015.29	2137.97	2229.74	2266.41	2347.50	2454.32	2563.88
Avg	2048.54	2087.88	2117.02	2234.20	2223.03	2099.73	2190.47	2266.88	2308.84	2396.13	2550.75	2645.19

Note : HSCI is compiled by HSI Services Ltd.

Hang Seng Hong Kong Composite Index, daily closing (2006)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	2079.25	2106.54	-	-	2117.31	-	2187.10	2252.30	-	2324.24	2355.62
2	-	2076.48	2117.30	-	2238.31	2137.24	-	2196.97	-	-	2344.53	-
3	1982.77	2051.09	2111.40	2145.87	2257.57	-	2143.46	2199.89	-	2268.19	2350.49	-
4	2012.76	-	-	2154.18	2254.98	-	2146.64	2182.01	2267.00	2267.02	-	2363.33
5	2024.26	-	-	-	-	2142.28	2134.73	-	2258.63	2298.87	-	2384.78
6	2036.69	2059.03	2109.30	2188.38	-	2135.34	2147.87	-	2241.42	2303.92	2357.10	2384.21
7	-	2058.03	2090.51	2191.18	-	2112.87	2150.78	2184.35	2225.95	-	2373.48	2368.78
8	-	2044.60	2079.62	-	2285.66	2067.31	-	2191.91	2230.35	-	2367.91	2363.38
9	2064.04	2047.71	2084.35	-	2259.85	2089.22	-	2219.55	-	2277.59	2373.83	-
10	2069.59	2047.55	2084.52	2191.33	2262.27	-	2165.55	2204.59	-	2291.76	2370.73	-
11	2072.72	-	-	2186.23	2270.18	-	2154.61	2211.66	2212.47	2290.71	-	2373.59
12	2079.20	-	-	2169.93	2251.03	2085.90	2156.29	-	2222.03	2291.58	-	2368.37
13	2084.18	2037.88	2095.90	2186.38	-	2040.18	2134.91	-	2237.91	2300.49	2367.25	2354.06
14	-	2049.19	2091.03	-	-	2031.62	2115.09	2216.00	2236.92	-	2356.11	2377.74
15	-	2052.62	2111.24	-	2203.45	2053.83	-	2216.32	2245.97	-	2369.08	2404.22
16	2084.99	2056.85	2113.06	-	2192.55	2099.28	-	2236.85	-	2299.80	2369.12	-
17	2063.41	2060.97	2120.49	-	2220.34	-	2099.65	2236.17	-	2297.51	2381.98	-
18	2053.65	-	-	2198.74	2178.90	-	2101.33	2235.05	2262.06	2301.24	-	2408.26
19	2076.12	-	-	2213.93	2181.32	2085.86	2103.70	-	2261.97	2299.36	-	2388.42
20	2071.46	2070.95	2129.03	2225.14	-	2067.75	2143.76	-	2267.86	2316.14	2358.63	2411.29
21	-	2068.75	2127.75	2226.59	-	2065.92	2140.22	2204.95	2273.25	-	2371.31	2405.09
22	-	2067.49	2098.83	-	2120.92	2086.30	-	2217.39	2271.38	-	2391.57	2408.71
23	2045.64	2091.60	2111.32	-	2120.86	2086.49	-	2210.98	-	2313.45	2386.33	-
24	2058.65	2101.18	2107.50	2204.57	2123.87	-	2141.32	2191.95	-	2312.84	2390.49	-
25	2061.29	-	-	2186.08	2108.32	-	2154.48	2196.37	2263.31	2310.35	-	-
26	2059.39	-	-	2191.58	2133.02	2084.53	2162.64	-	2244.67	2314.72	-	-
27	2084.99	2112.98	2122.88	2202.59	-	2077.68	2185.50	-	2261.85	2308.41	2390.20	2420.60
28	-	2112.24	2121.09	2201.34	-	2070.14	2182.57	2190.09	2262.30	-	2337.21	2443.65
29	-	-	2111.15	-	2139.41	2091.05	-	2208.72	2265.74	-	2352.44	2454.01
30	-	-	2120.57	-	2140.54	2137.18	-	2227.62	-	-	2372.57	-
31	-	-	2118.41	-	-	-	-	2191.02	2242.38	-	2305.47	-
High	2084.99	2112.98	2129.03	2226.59	2285.66	2142.28	2191.02	2242.38	2273.25	2316.14	2391.57	2454.01
Low	1982.77	2037.88	2079.62	2145.87	2108.32	2031.62	2099.65	2182.01	2212.47	2267.02	2324.24	2354.06
Avg	2057.15	2067.32	2107.99	2192.00	2197.17	2089.33	2145.53	2209.08	2250.73	2298.47	2366.21	2391.48

Note : HSHKCI is compiled by HSI Services Ltd.

Hang Seng Mainland Composite Index, daily closing (2006)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	2165.95	2154.78	-	-	2134.97	-	2312.22	2390.16	-	2653.46	2864.13
2	-	2146.59	2172.64	-	2320.79	2188.36	-	2325.43	-	-	2699.22	-
3	1924.34	2095.18	2169.05	2266.06	2348.01	-	2280.14	2347.14	-	2480.57	2706.87	-
4	1972.72	-	-	2283.89	2357.78	-	2287.18	2328.73	2420.28	2484.78	-	2866.06
5	1985.34	-	-	-	-	2227.41	2254.18	-	2415.41	2510.28	-	2924.86
6	1996.47	2140.98	2166.22	2326.91	-	2218.18	2283.65	-	2401.22	2499.63	2742.41	2958.00
7	-	2150.15	2113.00	2313.21	-	2191.07	2288.31	2343.22	2387.27	-	2732.35	2918.55
8	-	2099.37	2069.66	-	2412.63	2111.92	-	2357.99	2395.85	-	2706.54	2891.35
9	2026.06	2101.63	2072.53	-	2417.01	2110.04	-	2408.31	-	2470.99	2763.95	-
10	2010.59	2113.80	2052.04	2309.77	2406.18	-	2295.57	2399.58	-	2497.75	2756.85	-
11	2023.78	-	-	2315.89	2409.66	-	2287.63	2404.00	2366.13	2513.27	-	2934.49
12	2042.39	-	-	2277.28	2376.80	2103.20	2300.31	-	2378.79	2529.16	-	2931.24
13	2064.91	2098.03	2078.14	2295.78	-	2020.09	2258.61	-	2391.72	2555.13	2784.21	2889.96
14	-	2123.33	2084.52	-	-	2011.40	2229.66	2407.48	2386.75	-	2809.33	2931.92
15	-	2120.97	2120.73	-	2308.32	2054.15	-	2402.35	2384.36	-	2876.17	2955.25
16	2082.83	2108.66	2127.92	-	2281.87	2142.12	-	2432.27	-	2560.04	2896.11	-
17	2048.37	2113.50	2138.89	-	2323.87	-	2213.39	2412.83	-	2564.28	2887.67	-
18	2043.25	-	-	2350.24	2262.90	-	2212.07	2400.48	2406.54	2571.87	-	3004.10
19	2083.74	-	-	2380.98	2266.35	2108.76	-	2221.32	-	2404.75	2573.54	2970.69
20	2090.45	2146.93	2158.77	2397.99	-	2071.81	2282.44	-	2428.68	2595.16	2863.61	3040.95
21	-	2163.10	2178.51	2371.79	-	2091.23	2274.87	2350.78	2465.46	-	2876.05	3059.31
22	-	2185.73	2147.90	-	2155.31	2121.70	-	2379.73	2462.64	-	2956.55	3092.59
23	2064.75	2196.69	2171.20	-	2167.03	2121.41	-	2366.77	-	2580.11	2957.01	-
24	2081.28	2181.56	2179.69	2321.27	2165.20	-	2269.19	2338.43	-	2594.96	2943.55	-
25	2082.85	-	-	2270.06	2140.69	-	2278.80	2344.05	2453.38	2602.38	-	-
26	2076.94	-	-	2301.03	2158.96	2139.26	2276.21	-	2406.60	2641.46	-	-
27	2124.20	2185.64	2207.46	2301.12	-	2156.46	2326.94	-	2443.46	2609.78	2909.06	3266.76
28	-	2170.23	2216.59	2291.02	-	2172.45	2340.11	2342.20	2453.71	-	2785.97	3301.50
29	-	-	2207.67	-	2180.07	2202.49	-	2368.06	2455.87	-	2851.25	3285.43
30	-	-	2239.56	-	2170.56	2268.92	-	2385.29	-	-	2894.84	-
31	-	-	2228.16	-	-	-	-	2332.86	2384.79	-	2629.11	-
High	2124.20	2196.69	2239.56	2397.99	2417.01	2268.92	2340.11	2432.27	2465.46	2641.46	2957.01	3301.50
Low	1924.34	2095.18	2052.04	2266.06	2140.69	2011.40	2212.07	2312.22	2366.13	2470.99	2653.46	2864.13
Avg	2043.43	2140.40	2150.24	2316.13	2281.50	2134.88	2275.88	2371.40	2414.24	2553.21	2820.59	3004.59

Note : HSMCLCI is compiled by HSI Services Ltd.

Hang Seng China Enterprises Index, daily closing (2006)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	6431.90	6486.20	-	-	6486.47	-	6755.38	6892.97	-	7568.19	8566.85
2	-	6363.86	6505.38	-	7008.16	6603.51	-	6767.77	-	-	7621.93	-
3	5412.99	6202.28	6545.59	6826.85	7100.88	-	6792.08	6857.92	-	7134.79	7620.47	-
4	5569.99	-	-	6854.80	7153.17	-	6814.56	6820.65	7040.39	7094.07	-	8576.04
5	5603.94	-	-	-	-	6702.50	6717.86	-	7029.92	7142.19	-	8758.17
6	5677.93	6403.51	6568.87	6964.44	-	6737.12	6797.07	-	6999.52	7129.15	7715.73	8799.49
7	-	6471.47	6400.05	6898.94	-	6641.41	6837.77	6860.79	6967.23	-	7753.67	8709.86
8	-	6285.01	6230.50	-	7371.62	6340.60	-	6882.36	6953.00	-	7747.26	8630.40
9	5804.81	6271.42	6206.90	-	7364.71	6255.81	-	6991.09	-	7072.44	7911.28	-
10	5761.62	6318.52	6174.40	6846.46	7361.68	-	6828.04	6980.91	-	7167.33	7914.50	-
11	5735.73	-	-	6852.71	7368.71	-	6800.72	7002.45	6871.62	7219.42	-	8737.97
12	5781.74	-	-	6743.82	7377.94	-	6218.34	6826.65	-	6865.07	7290.71	-
13	5884.21	6314.68	6274.83	6776.86	-	5953.94	6728.23	-	6908.95	7330.06	8064.12	8665.72
14	-	6451.85	6309.43	-	-	5901.33	6647.53	7029.54	6917.57	-	8093.90	8847.75
15	-	6454.59	6405.59	-	7133.28	6036.49	-	7014.60	6904.75	-	8240.23	8988.91
16	5961.48	6347.43	6395.45	-	7028.42	6340.96	-	7063.12	-	7366.67	8312.41	-
17	5872.06	6379.95	6409.29	-	7222.89	-	6571.20	7015.93	-	7402.15	8321.33	-
18	5942.21	-	-	7023.78	7032.42	-	6557.10	6989.99	6965.55	7441.04	-	9238.12
19	6103.43	-	-	7123.03	7042.31	6231.88	6609.17	-	7006.43	7440.32	-	9139.51
20	6097.34	6526.34	6460.97	7245.52	-	6080.84	6778.12	-	7044.52	7512.31	8286.51	9430.28
21	-	6568.46	6562.39	7194.76	-	6156.89	6736.12	6902.50	7107.91	-	8325.33	9500.23
22	-	6717.19	6479.15	-	6644.02	6247.01	-	7011.11	7106.13	-	8610.04	9593.07
23	6071.21	6691.28	6562.57	-	6691.35	6267.92	-	6960.10	-	7449.25	8622.88	-
24	6131.54	6599.40	6600.25	7051.94	6748.05	-	6718.55	6897.86	-	7496.38	8591.09	-
25	6128.15	-	-	6856.09	6680.99	-	6745.91	6868.53	7099.86	7518.77	-	-
26	6110.82	-	-	6900.88	6628.45	6351.04	6738.63	-	6966.74	7556.22	-	-
27	6277.05	6580.42	6701.64	6847.97	-	6429.26	6822.29	-	7070.40	7406.02	8514.00	10258.31
28	-	6506.18	6659.46	6835.15	-	6485.54	6859.24	6847.35	7099.15	-	8133.78	10363.28
29	-	-	6621.12	-	6715.52	6574.89	-	6936.37	7097.25	-	8402.26	10340.36
30	-	-	6703.48	-	6665.90	6784.60	-	6922.70	-	-	8546.60	-
31	-	-	6703.78	-	-	-	6829.49	6882.16	-	7484.35	-	-
High	6277.05	6717.19	6703.78	7245.52	7377.94	6784.60	6859.24	7063.12	7107.91	7556.22	8622.88	10363.28
Low	5412.99	6202.28	6174.40	6743.82	6580.99	5901.33	6557.10	6755.38	6865.07	7072.44	7568.19	8566.85
Avg	5890.96	6444.29	6476.84	6932.00	7012.02	6355.83	6750.30	6924.40	6995.95	7332.68	8132.61	9152.10

Note : HSEI is compiled by HSI Services Ltd.

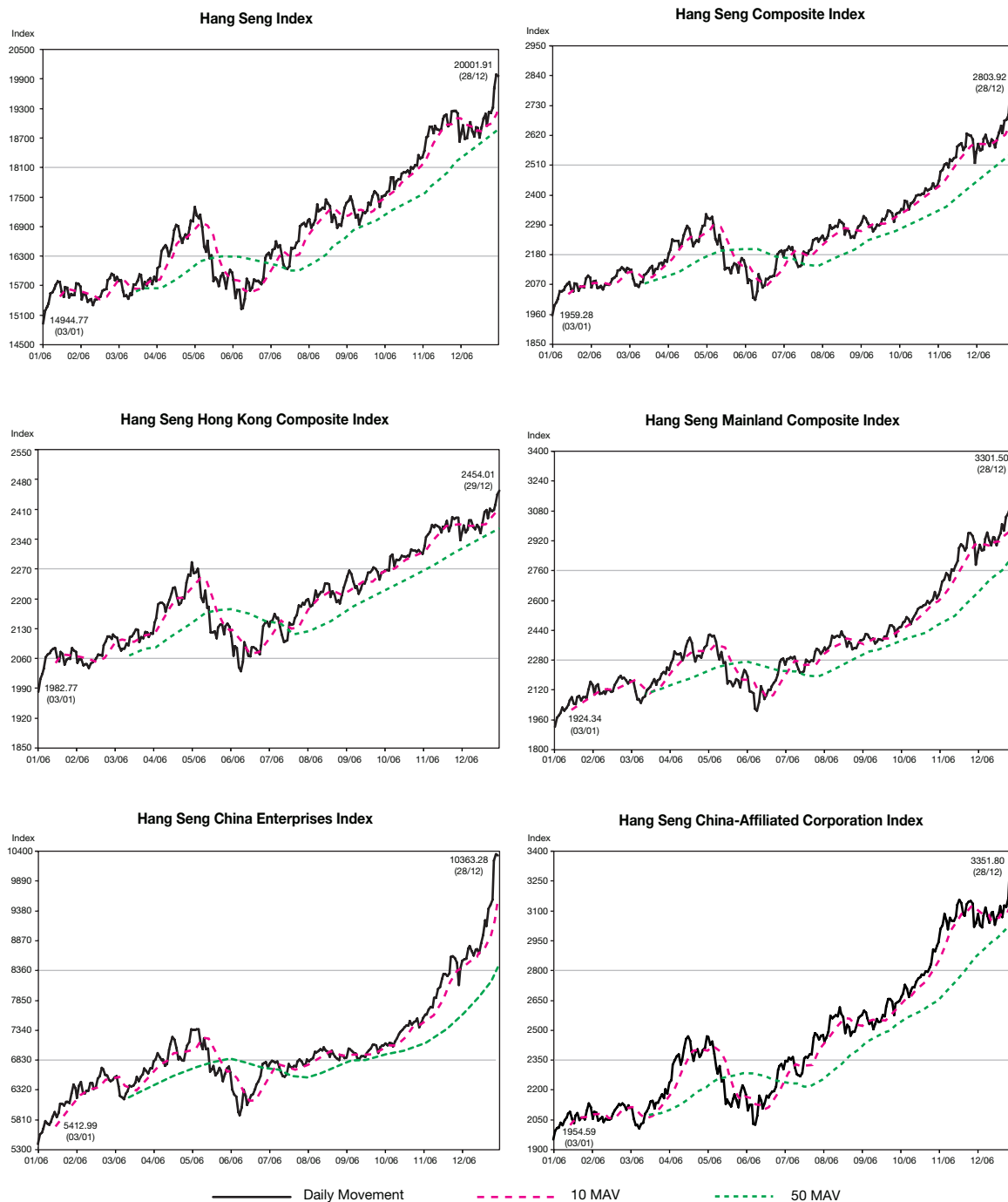
Hang Seng China-Affiliated Corporations Index, daily closing (2006)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	2134.55	2103.36	-	-	2116.53	-	2453.43	2578.55	-	2942.29	3024.45
2	-	2115.00	2125.26	-	2378.03	2189.57	-	2473.11	-	-	3009.98	-
3	1954.59	2058.47	2112.75	2240.25	2404.72	-	2321.43	2478.92	-	2672.19	3025.58	-
4	2001.53	-	-	2250.67	2413.28	-	2332.20	2446.32	2601.59	2683.28	-	3017.13
5	2012.93	-	-	-	-	2226.12	2306.68	-	2591.91	2727.98	-	3073.65
6	2014.03	2093.95	2115.69	2330.17	-	2211.51	2347.56	-	2559.81	2709.50	3083.22	3116.54
7	-	2091.18	2057.87	2351.36	-	2180.26	2345.62	2464.01	2530.80	-	3049.14	3074.27
8	-	2047.62	2026.13	-	2470.81	2100.12	-	2491.99	2549.91	-	3004.90	3037.26
9	2038.47	2056.59	2028.65	-	2467.67	2129.67	-	2572.70	-	2668.33	3065.71	-
10	2024.54	2066.61	2010.84	2370.93	2430.19	-	2364.52	2562.80	-	2699.10	3048.13	-
11	2050.82	-	-	2380.67	2445.89	-	2354.25	2568.43	2505.36	2717.57	-	3090.70
12	2065.53	-	-	2327.67	2385.19	2127.67	2367.59	-	2534.18	2717.32	-	3091.96
13	2083.51	2041.95	2033.62	2350.39	-	2031.90	2317.60	-	2556.86	2746.20	3046.94	3030.28
14	-	2059.00	2039.60	-	-	2029.85	2279.88	2577.10	2542.59	-	3072.48	3066.63
15	-	2055.99	2083.30	-	2311.82	2074.60	-	2570.70	2544.07	-	3127.75	3088.65
16	2091.97	2053.95	2092.23	-	2282.56	2173.12	-	2615.01	-	2762.56	3154.28	-
17	2056.85	2057.28	2113.10	-	2321.29	-	2278.51	2583.28	-	2767.93	3139.45	-
18	2035.99	-	-	2412.94	2245.02	-	2272.52	2567.79	2578.65	2780.20	-	3121.96
19	2072.92	-	-	2457.06	2253.13	2149.79	2288.58	-	2570.28	2776.86	-	3067.00
20	2085.73	2084.72	2145.54	2470.35	-	2110.75	2361.99	-	2620.48	2798.31	3081.92	3125.06
21	-	2103.64	2149.67	2448.14	-	2136.82	2367.99	2488.02	2658.23	-	3073.88	3118.18
22	-	2114.55	2108.52	-	2132.20	2173.46	-	2528.40	2658.72	-	3130.87	3160.52
23	2052.06	2132.94	2140.32	-	2156.44	2164.96	-	2516.72	-	2792.41	3146.13	-
24	2064.82	2126.44	2137.50	2395.88	2135.29	-	2363.23	2473.50	-	2816.21	3148.31	-
25	2064.54	-	-	2348.66	2117.80	-	2379.40	2491.97	2646.35	2832.53	-	-
26	2053.25	-	-	2394.77	2156.69	2180.36	2380.57	-	2577.55	2905.55	-	-
27	2099.25	2136.85	2159.83	2405.31	-	2193.09	2463.74	-	2633.98	2897.26	3135.12	3298.82
28	-	2126.38	2179.42	2369.08	-	2195.27	2487.17	2492.46	2642.37	-	3020.12	3351.80
29	-	-	2162.81	-	2179.28	2219.50	-	2527.19	2649.52	-	3040.09	3330.06
30	-	-	2207.98	-	2152.06	2303.55	-	2565.32	-	-	3083.05	-
31	-	-	2184.60	-	-	-	2478.30	2572.33	-	2925.18	-	-
High	2099.25	2136.85	2207.98	2470.35	2470.81	2303.55	2487.17	2615.01	2658.72	2925.18	3154.28	3351.80
Low	1954.59	2041.95	2010.84	2240.25	2117.80	2029.85	2272.52	2446.32	2505.36	2668.33	2942.29	3017.13
Avg	2048.60	2087.88	2109.50	2370.84	2291.97	2155.39	2355.21	2525.28	2587.23	2769.82	3074.06	3120.26

Note : HSCCI is compiled by HSI Services Ltd.

HSI, HSCI, HSHKCI, HSMLCI, HSCEI and HSCCI, daily movement (2006)



Number of securities by type, 2002 – 2006

Type	Year				
	2002	2003	2004	2005	2006
Ordinary shares	813	852	892	934	976
Preference shares	4	4	4	4	3
Warrants	414	575	895	1,331	1,986
– Equity warrants	67	45	32	27	27
– Derivative warrants	347	530	863	1,304	1,959
Equity linked instrument	11	7	9	0	0
Callable bull/bear contracts	0	0	0	0	24
Unit trusts/Mutual funds	9	8	10	13	15
Debt securities	165	152	161	166	180
Total	1,416	1,598	1,971	2,448	3,184

Number of newly listed securities, 2002 – 2006

Type	Year				
	2002	2003	2004	2005	2006
Ordinary shares	60	46	49	57	56
Preference shares	0	0	0	0	1
Warrants	671	688	1,273	1,694	2,835
– Equity warrants	27	10	14	12	12
– Derivative warrants	644	678	1,259	1,682	2,823
Equity link instrument	25	16	46	0	0
Callable bull/bear contracts*	0	0	0	0	83
Unit trusts/Mutual funds	1	1	2	6	3
Debt securities	18	20	49	32	46
Total	775	771	1,419	1,789	3,024

* Callable bull/bear contracts commenced trading on 12 June 2006.

Number of delisted securities, 2002 – 2006

Type	Year				
	2002	2003	2004	2005	2006
Ordinary shares	4	7	9	15	14
Preference shares	1	0	0	0	2
Warrants	353	527	953	1,258	2,180
– Equity warrants	34	32	27	17	12
– Derivative warrants	319	497	926	1,241	2,168
Equity linked instrument	14	20	44	9	0
Callable bull/bear contracts*	0	0	0	0	59
Unit trusts/mutual funds	16	2	0	3	1
Debt securities	48	33	40	26	30
Total	436	589	1,046	1,311	2,286

* Callable bull/bear contracts commenced trading on 12 June 2006.

Total market capitalisation by classification

HK\$mil

2006	Classification							Total
	Finance	Utilities	Properties	Consolidated enterprises	Industrials	Hotels	Miscellaneous	
Jan	3,222,187.15	462,129.15	981,778.74	2,452,983.54	1,587,086.64	68,385.13	26,463.96	8,801,014.31
Feb	3,446,859.40	474,727.06	1,003,710.56	2,462,918.36	1,627,860.16	69,477.83	27,306.77	9,112,860.14
Mar	3,428,743.61	478,528.06	1,073,598.51	2,548,093.56	1,704,534.42	69,319.54	28,967.19	9,331,784.89
Apr	3,439,384.86	498,883.67	1,110,094.97	2,732,462.96	1,780,556.84	73,979.45	34,648.75	9,670,011.50
May	3,462,725.02	469,937.68	1,035,175.00	2,526,986.34	1,723,825.94	76,639.36	33,206.59	9,328,495.93
Jun	3,799,702.83	475,886.09	1,036,493.82	2,638,850.63	1,699,367.91	75,260.27	32,810.72	9,758,372.27
Jul	3,840,868.17	487,557.69	1,090,816.61	2,777,787.78	1,726,128.53	81,372.16	33,973.95	10,038,504.89
Aug	3,868,340.74	502,375.23	1,145,710.10	2,857,042.37	1,770,156.35	80,101.99	32,415.07	10,256,141.85
Sep	3,968,251.84	503,611.22	1,159,331.44	2,952,296.42	1,822,250.80	86,130.68	34,032.03	10,525,904.43
Oct	4,382,969.70	514,806.18	1,221,612.43	3,183,883.18	1,886,514.89	87,730.65	37,551.55	11,315,068.58
Nov	4,712,968.42	528,472.37	1,328,144.23	3,380,911.70	2,021,093.62	97,658.50	43,466.56	12,112,715.40
Dec	5,229,461.02	564,675.99	1,427,798.17	3,617,230.33	2,253,700.05	103,944.87	52,010.07	13,248,820.50

Note: Month-end figures

Market capitalisation trends, 2002 – 2006

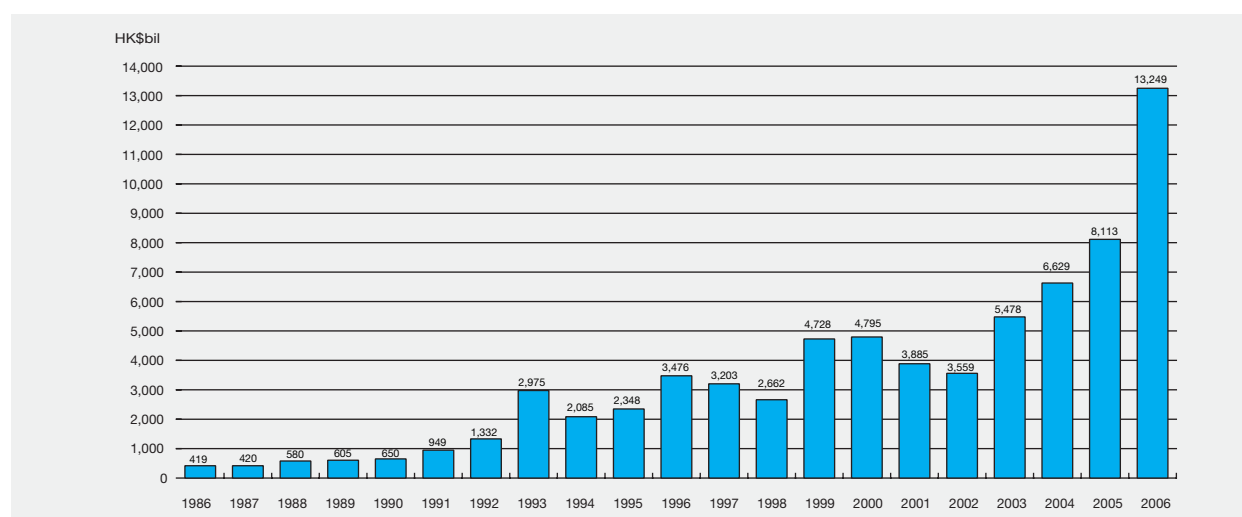
HK\$mil

Classification	2002		2003		2004		2005		2006	
Finance	1,264,096.39	(35.52%)	2,077,942.56	(37.93%)	2,314,451.25	(34.91%)	2,995,269.94	(36.92%)	5,229,461.02	(39.47%)
Utilities	272,136.27	(7.65%)	345,840.10	(6.31%)	420,179.21	(6.34%)	452,716.25	(5.58%)	564,675.99	(4.26%)
Properties	422,797.40	(11.88%)	591,908.02	(10.81%)	802,970.60	(12.11%)	905,719.58	(11.16%)	1,427,798.17	(10.78%)
“Consolidated enterprises”	1,087,240.56	(30.55%)	1,504,401.68	(27.46%)	1,987,126.75	(29.98%)	2,290,206.20	(28.23%)	3,617,230.33	(27.30%)
Industrials	483,068.23	(13.57%)	908,906.51	(16.59%)	1,032,371.63	(15.57%)	1,378,901.23	(17.00%)	2,253,700.05	(17.01%)
Hotels	26,862.65	(0.75%)	37,247.45	(0.68%)	58,409.76	(0.88%)	68,343.63	(0.84%)	103,944.87	(0.78%)
Miscellaneous	2,897.58	(0.08%)	11,424.00	(0.21%)	13,667.54	(0.21%)	22,176.64	(0.27%)	52,010.07	(0.39%)
Equity total	3,559,099.08	(100.00%)	5,477,670.33	(100.00%)	6,629,176.74	(100.00%)	8,113,333.47	(100.00%)	13,248,820.50	(100.00%)

() % of equity market total

Year-end figures

Market capitalisation, 1986 – 2006



50 leading companies in market capitalisation

End of 2006

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of equity total
1	00005	HSBC Holdings plc	11,571,409,522	142.600	1,650,083.00	12.45
2	00941	China Mobile Ltd.	19,941,157,440	67.200	1,340,045.78	10.11
3	00939	China Construction Bank Corporation – H Shares	224,689,084,000	4.950	1,112,210.97	8.39
4	01398	Industrial and Commercial Bank of China Ltd. – H Shares	83,056,501,962	4.830	401,162.90	3.03
5	00013	Hutchison Whampoa Ltd.	4,263,370,780	79.050	337,019.46	2.54
6	03988	Bank of China Ltd. – H Shares	76,020,251,269	4.270	324,606.47	2.45
7	00883	CNOOC Ltd.	43,328,552,648	7.390	320,198.00	2.42
8	02888	Standard Chartered PLC	1,383,700,098	229.800	317,974.28	2.40
9	00857	PetroChina Co. Ltd. – H Shares	21,098,900,000	11.020	232,509.88	1.75
10	02388	BOC Hong Kong (Holdings) Ltd.	10,572,780,266	21.100	223,085.66	1.68
11	00016	Sun Hung Kai Properties Ltd.	2,491,833,362	89.350	222,645.31	1.68
12	00001	Cheung Kong (Holdings) Ltd.	2,316,164,338	95.750	221,772.74	1.67
13	03328	Bank of Communications Co., Ltd. – H Shares	23,064,468,136	9.440	217,728.58	1.64
14	00011	Hang Seng Bank Ltd.	1,911,842,736	106.300	203,228.88	1.53
15	02628	China Life Insurance Co. Ltd. – H Shares	7,441,175,000	26.550	197,563.20	1.49
16	02038	Foxconn International Holdings Ltd.	6,983,529,545	25.450	177,730.83	1.34
17	00762	China Unicom Ltd.	12,650,607,270	11.400	144,216.92	1.09
18	00906	China Netcom Group Corporation (Hong Kong) Ltd.	6,645,793,700	20.850	138,564.80	1.05
19	00002	CLP Holdings Ltd.	2,408,245,900	57.500	138,474.14	1.05
20	00019	Swire Pacific Ltd.	930,375,385	"A" 83.550	125,428.23	0.95
	00087		3,003,486,271	"B" 15.880		
21	00386	China Petroleum & Chemical Corporation – H Shares	16,780,488,000	7.200	120,819.51	0.91
22	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	2,558,643,698	43.050	110,149.61	0.83
23	00066	MTR Corporation Ltd.	5,548,595,951	19.560	108,530.54	0.82
24	00330	Esprit Holdings Ltd.	1,229,250,434	86.850	106,760.40	0.81
25	00003	Hong Kong and China Gas Co. Ltd., The	5,508,759,988	17.500	96,403.30	0.73
26	02332	Hutchison Telecommunications International Ltd.	4,758,712,875	19.600	93,270.77	0.70
27	00388	Hong Kong Exchanges and Clearing Ltd.	1,065,349,346	85.500	91,087.37	0.69
28	00012	Henderson Land Development Co. Ltd.	1,942,580,000	43.500	84,502.23	0.64
29	00494	Li & Fung Ltd.	3,409,141,986	24.200	82,501.24	0.62
30	00083	Sino Land Co. Ltd.	4,491,857,824	18.160	81,572.14	0.62
31	00006	Hongkong Electric Holdings Ltd.	2,134,261,654	38.100	81,315.37	0.61
32	00101	Hang Lung Properties Ltd.	4,142,434,670	19.500	80,777.48	0.61
33	00293	Cathay Pacific Airways Ltd.	3,935,211,572	19.180	75,477.36	0.57
34	00144	China Merchants Holdings (International) Co. Ltd.	2,331,492,168	31.900	74,374.60	0.56
35	00688	China Overseas Land & Investment Ltd.	6,994,110,548	10.440	73,018.51	0.55
36	00004	Wharf (Holdings) Ltd., The	2,447,876,629	28.750	70,376.45	0.53
37	00023	Bank of East Asia, Ltd., The	1,549,087,050	44.050	68,237.28	0.52
38	01088	China Shenhua Energy Co. Ltd. – H Shares	3,398,582,500	18.720	63,621.46	0.48
39	00728	China Telecom Corporation Ltd. – H Shares	13,877,410,000	4.260	59,117.77	0.45
40	00267	CITIC Pacific Ltd.	2,195,044,160	26.850	58,936.94	0.44
41	00017	New World Development Co. Ltd.	3,663,884,647	15.660	57,376.43	0.43
42	02689	Nine Dragons Paper (Holdings) Ltd.	4,150,000,000	13.400	55,610.00	0.42
43	01038	Cheung Kong Infrastructure Holdings Ltd.	2,254,209,945	24.150	54,439.17	0.41
44	00291	China Resources Enterprise, Ltd.	2,351,147,120	22.350	52,548.14	0.40
45	00069	Shangri-La Asia Ltd.	2,543,589,197	20.050	50,998.96	0.38
46	00700	Tencent Holdings Ltd.	1,767,106,792	27.700	48,948.86	0.37
47	00683	Kerry Properties Ltd.	1,237,097,168	36.350	44,968.48	0.34
48	00836	China Resources Power Holdings Co. Ltd.	3,823,134,000	11.740	44,883.59	0.34
49	00813	Shimao Property Holdings Ltd.	3,064,887,164	14.580	44,686.05	0.34
50	03968	China Merchants Bank Co., Ltd. – H Shares	2,662,000,000	16.48	43,869.76	0.33
Total					10,225,429.81	77.18
Equity total					13,248,820.50	100.00

20 stocks with largest price changes (increase)

Rank	Stock	Closing price (HK\$)		
		2005	2006	% up
1	EASYKNIT ENT	0.041 A	0.990	2314.63
2	IMAGI INT'L	0.314 A	3.520	1021.02
3	GUANGZHOU SHIP	1.460	12.800	776.71
4	CHINA WATER	0.390	2.970	661.54
5	CHINA OIL & GAS	0.060	0.425	608.33
6	139 HOLDINGS	0.074	0.520	602.70
7	GOOD FELLOW GP	0.153	1.070	599.35
8	UNI-BIO GROUP	0.530 A	3.450	550.94
9	YUE DA HOLDINGS	0.550	3.550	545.45
10	PREMIUM LAND	0.140	0.890	535.71
11	HI SUN TECH	0.320 A	2.020	531.25
12	INNOMAXX BIOTEC	0.225	1.420	531.11
13	CIMH	1.520	9.200	505.26
14	CHINA MOTION	0.115	0.680	491.30
15	GOLDEN DRAGON	0.270	1.500	455.56
16	REX FINANCIAL H	0.088	0.485	451.14
17	QUALIPAK INT'L	0.092	0.500	443.48
18	KIU HUNG INT'L	0.128 A	0.660	415.63
19	KARL THOMSON	0.670	3.350	400.00
20	G-VISION INT'L	0.100	0.500	400.00

(A) Adjusted

20 stocks with largest price changes (decrease)

Rank	Stock	Closing price (HK\$)		
		2005	2006	% down
1	BESTWAY INT'L	4.340 A	0.245	94.35
2	THE SUN'S GROUP	10.000 A	1.300	87.00
3	HKPHARMA	1.940 A	0.300	84.54
4	CAPITAL ESTATE	0.740	0.122	83.51
5	VONGROUP	1.600	0.290	81.88
6	A-MAX	0.360	0.068	81.11
7	CHINA STRATEGIC	1.700 A	0.370	78.24
8	FORTUNA INT'L	0.800 A	0.210	73.75
9	ASIA RESOURCES	1.510	0.405	73.18
10	HK PARKVIEW	0.370	0.103	72.16
11	SKYWORTHDIGITAL	2.670	0.770	71.16
12	ARTEL GROUP	0.120	0.037	69.17
13	CENTURY LEGEND	1.520 A	0.480	68.42
14	SUNCORP TECH	1.153 A	0.370	67.91
15	WARDERLY	0.770	0.249	67.66
16	UNITY INV HOLD	0.140	0.049	65.00
17	SEE CORPORATION	2.000 A	0.730	63.50
18	SOLOMON SYSTECH	3.225	1.210	62.48
19	SIGNAL MEDIA	0.290	0.110	62.07
20	WANG SING INT'L	0.500	0.200	60.00

(A) Adjusted

Trading value and volume, 2002 – 2006

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2002	247	1,599,074.57	6,473.99	1,549,016.22	6,271.32	19,493,035	78,919	
2003	248	2,545,675.94	10,264.82	2,359,346.12	9,513.49	27,979,771	112,822	
2004	249	3,948,350.84	15,856.83	3,984,706.17	16,002.84	36,631,418	147,114	
2005	247	4,498,095.11	18,210.91	5,733,064.60	23,210.79	36,404,138	147,385	
2006	247	8,332,633.24	33,735.36	9,431,388.99	38,183.76	63,132,306	255,596	
2006	Jan	19	575,908.45	30,310.97	587,104.95	30,900.26	4,447,663	234,088
	Feb	20	652,329.30	32,616.46	731,589.68	36,579.48	4,989,207	249,460
	Mar	23	692,010.97	30,087.43	804,118.20	34,961.66	5,533,431	240,584
	Apr	17	640,818.73	37,695.22	566,231.94	33,307.76	4,854,466	285,557
	May	20	709,091.21	35,454.56	717,520.90	35,876.05	5,076,906	253,845
	Jun	22	649,760.46	29,534.57	773,740.65	35,170.03	4,575,370	207,971
	Jul	21	485,732.19	23,130.10	654,065.59	31,145.98	3,715,538	176,930
	Aug	23	562,600.93	24,460.91	821,041.02	35,697.44	4,281,984	186,173
	Sep	21	649,435.57	30,925.50	914,384.76	43,542.13	4,824,307	229,729
	Oct	20	743,566.76	37,178.34	917,266.67	45,863.33	5,574,323	278,716
	Nov	22	1,078,084.00	49,003.82	1,126,795.98	51,218.00	7,852,918	356,951
	Dec	19	893,294.69	47,015.51	817,528.64	43,027.82	7,406,193	389,800

Notes: Stock transactions in foreign currencies are excluded from the total turnover in value but included iShares turnover.

Trading value and volume of foreign stocks, 2002 – 2006

Year/Month	No. of trading days	Value (HK\$ thousand)		Volume (thousands of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2002	247	1,957,915.23	7,926.78	632,172.59	2,559.40	27,184	110	
2003	248	3,826,784.21	15,430.58	2,151,771.35	8,676.50	68,023	274	
2004	249	3,485,915.86	13,999.66	891,280.69	3,579.44	51,479	207	
2005	247	3,348,196.49	13,555.45	1,253,601.95	5,075.31	64,108	260	
2006	247	12,982,997.79	52,562.74	4,163,480.29	16,856.20	217,427	880	
2006	Jan	19	252,739.27	13,302.07	105,305.79	5,542.41	5,630	296
	Feb	20	306,555.09	15,327.75	52,736.70	2,636.83	4,562	228
	Mar	23	272,779.41	11,859.97	64,801.09	2,817.44	5,403	235
	Apr	17	325,840.65	19,167.10	208,065.64	12,239.16	6,041	355
	May	20	1,891,088.36	94,554.42	207,869.78	10,393.49	24,245	1,212
	Jun	22	981,167.70	44,598.53	90,870.32	4,130.47	16,668	758
	Jul	21	754,790.13	35,942.39	55,943.94	2,664.00	10,556	503
	Aug	23	891,533.04	38,762.31	61,955.47	2,693.72	12,437	541
	Sep	21	491,315.29	23,395.97	193,721.29	9,224.82	8,683	413
	Oct	20	3,783,346.88	189,167.34	1,729,086.55	86,454.33	57,553	2,878
	Nov	22	1,845,309.77	83,877.72	777,008.23	35,318.56	35,534	1,615
	Dec	19	1,186,532.21	62,449.06	616,115.50	32,427.13	30,115	1,585

Notes:

- Foreign stocks are stocks of listed companies incorporated overseas which have a majority of their business outside Hong Kong and Mainland China.
- The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Trading value by type of securities, 2006

Month	Equities		Warrants	ELI	CBBC	Unit trusts	Debt securities	iShare	Market total
	Mil of shares	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil
Jan	114,561.31	461,898.37	102,564.52	0.00	0.00	11,445.20	0.15	0.20	575,908.45
Feb	135,729.05	506,440.90	136,041.15	0.00	0.00	9,847.10	0.15	0.00	652,329.30
Mar	185,031.56	545,947.61	138,881.94	0.00	0.00	7,181.03	0.39	0.00	692,010.97
Apr	166,340.31	538,006.17	95,934.18	0.00	0.00	6,878.03	0.34	0.01	640,818.73
May	134,091.04	554,587.89	142,095.60	0.00	0.00	12,406.99	0.73	0.00	709,091.21
Jun	117,740.16	500,787.31	137,243.44	0.00	204.22	11,525.20	0.30	0.00	649,760.46
Jul	83,497.08	353,356.14	123,859.22	0.00	1,313.93	7,202.41	0.49	0.00	485,732.19
Aug	89,549.05	403,035.69	150,651.14	0.00	1,749.36	7,164.25	0.49	0.00	562,600.93
Sep	114,066.40	475,632.56	165,872.62	0.00	2,633.65	5,295.17	1.58	0.00	649,435.57
Oct	156,268.52	556,330.79	179,746.30	0.00	1,667.04	5,822.18	0.44	0.00	743,566.76
Nov	213,331.82	827,629.39	238,865.40	0.00	2,288.85	9,299.93	0.43	0.00	1,078,084.00
Dec	149,964.18	699,607.14	181,015.64	0.00	1,478.28	11,192.86	0.77	0.00	893,294.69
Total	1,660,170.49	6,423,259.95	1,792,771.15	0.00	11,335.32	105,260.35	6.25	0.22	8,332,633.24

Notes:

1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included the trading only of NASDAQ stocks transaction.
4. Trading of CBBC commenced on 12 June 2006.

Trading value by industrial classification, 2006

Classification	No. of issue *	Turnover		
		HK\$mil	% of total	Annual turnover velocity (%)
Finance	82	2,229,907.95	34.72	42.64
Utilities	18	210,508.25	3.28	37.28
Properties	105	740,326.48	11.53	51.85
Consolidated enterprises	311	1,434,842.31	22.34	39.67
Industrials	441	1,707,721.41	26.59	75.77
Hotels	15	26,276.93	0.41	25.28
Miscellaneous	7	73,661.70	1.15	141.63
Sub-total	979	6,423,245.04	100.00	48.48
NASDAQ stocks	7	14.91	0.00	n.a.
Total	986	6,423,259.95	100.00	n.a.

Notes:

1. Turnover in warrants, debt securities and unit trusts are not included.
2. Turnover values have been adjusted for late reported and rejected sales.
3. Figures may not add up to total due to rounding.

* Year-end figures

20 most active stocks (in dollars)

Rank	Stock	Turnover (HK\$mil)	% of equity total
1	HSBC HOLDINGS	581,408.32	9.04
2	CCB	337,102.21	5.24
3	CHINA MOBILE	292,031.58	4.54
4	CHINA LIFE	289,429.56	4.50
5	PETROCHINA	243,679.94	3.79
6	HUTCHISON	197,988.62	3.08
7	BANK OF CHINA	189,920.22	2.95
8	CNOOC	160,466.56	2.49
9	ICBC	153,446.47	2.38
10	SINOPEC CORP	146,595.60	2.28
11	SHK PPT	131,452.78	2.04
12	CHEUNG KONG	123,254.60	1.92
13	BANKCOMM	115,541.79	1.80
14	BOC HONG KONG	114,794.23	1.78
15	HKEX	102,374.37	1.59
16	CHINA TELECOM	89,516.43	1.39
17	ESPRIT HOLDINGS	62,757.58	0.98
18	CM BANK	62,622.99	0.97
19	CHINA SHENHUA	61,445.87	0.95
20	CHALCO	57,492.83	0.89
	Total	3,513,322.54	54.60
	Equity total	6,423,259.95	100.00

20 most active stocks (in shares)

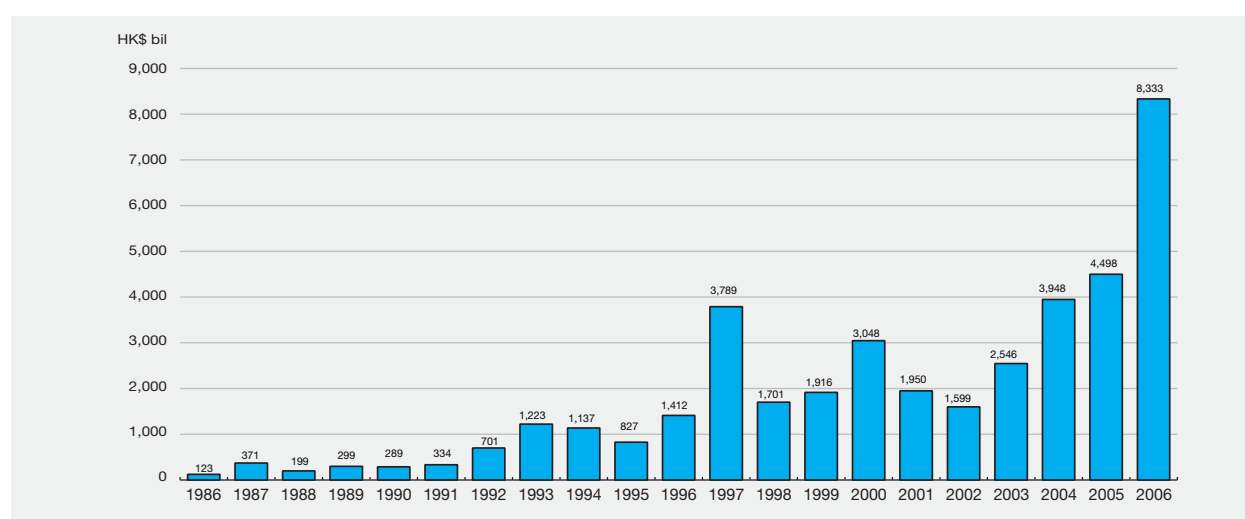
Rank	Stock	Turnover (mil of shares)	% of equity total
1	CCB	95,408.47	5.60
2	HERITAGE INT'L	67,750.64	3.98
3	BANK OF CHINA	53,734.12	3.15
4	ICBC	40,016.94	2.35
5	NAN HAI CORP	34,074.40	2.00
6	CHINA TELECOM	30,141.46	1.77
7	SINOPEC CORP	29,788.74	1.75
8	PETROCHINA	29,181.40	1.71
9	A-MAX	25,795.64	1.51
10	CNOOC	25,267.03	1.48
11	CHINA LIFE	22,886.95	1.34
12	BANKCOMM	21,975.99	1.29
13	SHENZHEN INT'L	21,399.15	1.26
14	FULBOND HOLDING	20,250.37	1.19
15	BESTWAY INT'L	18,418.14	1.08
16	INTERCHINA HOLD	18,232.73	1.07
17	ASIAN UNION	16,287.97	0.96
18	SOFTBANK INV	16,065.33	0.94
19	GUANGZHOU INV	15,449.41	0.91
20	SMIC	14,040.18	0.82
	Total	616,165.07	36.17
	Equity total	1,660,170.49	100.00

Trading value and volume for NASDAQ stocks, 2002 – 2006

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals		
			Total	Average daily	Total	Average daily	Total	Average daily	
2002	7	247	40,909,283	165,625	216,140	875	1,120	5	
2003	7	248	28,850,780	116,334	160,750	648	677	3	
2004	7	249	13,329,900	53,534	68,070	273	287	1	
2005	7	247	10,949,835	44,331	57,420	232	224	1	
2006	7	247	14,913,650	60,379	89,430	362	266	1	
2005	Jan	7	19	897,550	47,239	5,820	306	29	2
	Feb	7	20	960,910	48,046	6,110	306	16	1
	Mar	7	23	1,225,000	53,261	7,140	310	29	1
	Apr	7	17	144,660	8,509	720	42	6	0
	May	7	20	512,220	25,611	2,630	132	11	1
	Jun	7	22	826,010	37,546	5,660	257	18	1
	Jul	7	21	707,300	33,681	4,740	226	10	0
	Aug	7	23	1,289,970	56,086	8,400	365	28	1
	Sep	7	21	3,998,050	190,383	24,670	1,175	40	2
	Oct	7	20	2,354,200	117,710	12,780	639	31	2
	Nov	7	22	1,616,440	73,475	8,650	393	35	2
	Dec	7	19	381,340	20,071	2,110	111	13	1

Note : 7 NASDAQ stocks trading commenced by 31 May 2000 under Pilot programme.

Trading value, 1986 – 2006



Market capitalisation and trading value of listed companies by incorporation place

End of 2006

Country	No. of listed companies ⁽¹⁾	Market capitalisation (HK\$mil) ⁽²⁾	% of total	Turnover (HK\$mil)	% of equity total
Bermuda	428	1,282,020.61	9.68	641,208.72	9.98
Canada	1	–	–	7,023.85	0.11
Cayman Islands	260	1,131,254.71	8.54	477,562.66	7.43
England	2	1,968,057.28	14.85	585,146.62	9.11
Hong Kong	189	5,503,699.44	41.54	2,190,539.12	34.10
PRC	95	3,363,788.46	25.39	2,521,764.08	39.26
USA ⁽³⁾	7	–	–	14.91	0.00
Total	975	13,248,820.50	100.00	6,423,259.95	100.00

Notes:

- (1) Total no. of listed companies are excluded the NASDAQ Stocks.
- (2) Foreign companies and stock suspended over 1 year are excluded.
- (3) NASDAQ stocks are quoted on the Exchange for trading only.

Statistics on Hang Seng Index stocks, 2006

End of 2006

Sector	Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
Finance (7)									
	00005	HSBC HOLDINGS	581,408.32	9.05	1,650,083.00	12.45	142.600	14.54	13.48
	00939	CCB	337,102.21	5.25	1,112,210.97	8.39	4.950	83.33	21.63
	03988	BANK OF CHINA	189,920.22	2.96	324,606.47	2.45	4.270	n.a.	-
	02388	BOC HONG KONG	114,794.23	1.79	223,085.66	1.68	21.100	41.61	16.53
	00011	HANG SENG BANK	54,194.80	0.84	203,228.88	1.53	106.300	5.04	17.92
	00388	HKEX	102,374.37	1.59	91,087.37	0.69	85.500	165.94	67.68
	00023	BANK OF E ASIA	32,483.07	0.51	68,237.28	0.52	44.050	87.85	24.07
Utilities (3)									
	00002	CLP HOLDINGS	35,154.40	0.55	138,474.14	1.05	57.500	27.78	12.18
	00003	HK & CHINA GAS	37,507.21	0.58	96,403.30	0.73	17.500	5.74	18.44
	00006	HK ELECTRIC	20,812.89	0.32	81,315.37	0.61	38.100	-0.78	9.50
Properties (5)									
	00016	SHK PPT	131,452.78	2.05	222,645.31	1.68	89.350	18.34	10.86
	00001	CHEUNG KONG	123,254.60	1.92	221,772.74	1.67	95.750	20.36	15.85
	00012	HENDERSON LAND	45,537.44	0.71	84,502.23	0.64	43.500	19.18	5.83
	00083	SINO LAND	22,184.89	0.35	81,572.14	0.62	18.160	93.19	13.01
	00101	HANG LUNG PPT	33,021.28	0.51	80,777.48	0.61	19.500	61.16	16.36
Commerce & Industry (21)									
	00941	CHINA MOBILE	292,031.58	4.55	1,340,045.78	10.11	67.200	83.11	25.67
	00013	HUTCHISON	197,988.62	3.08	337,019.46	2.54	79.050	7.04	23.50
	00883	CNOOC	160,466.56	2.50	320,198.00	2.42	7.390	40.76	12.40
	02038	FIH	42,717.26	0.67	177,730.83	1.34	25.450	101.19	57.85
	00762	CHINA UNICOM	31,592.24	0.49	144,216.92	1.09	11.400	80.95	30.06
	00906	CHINA NETCOM	35,254.55	0.55	138,564.80	1.05	20.850	66.14	10.24
	00386	SINOPEC CORP	146,595.60	2.28	120,819.51	0.91	7.200	87.01	15.78
	00066	MTR CORPORATION	25,779.21	0.40	108,530.54	0.82	19.560	28.26	12.57
	00330	ESPRIT HOLDINGS	62,757.58	0.98	106,760.40	0.81	86.850	57.62	28.09
	00494	LI & FUNG	38,516.06	0.60	82,501.24	0.62	24.200	78.06	43.53
	00019	SWIRE PACIFIC A	48,639.38	0.76	77,732.86	0.59	83.550	20.04	6.82
	00293	CATHAY PAC AIR	23,401.61	0.36	75,477.36	0.57	19.180	41.55	19.64
	00144	CHINA MER HOLD	29,463.77	0.46	74,374.60	0.56	31.900	89.32	29.55
	00004	WHARF HOLDINGS	30,720.75	0.48	70,376.45	0.53	28.750	4.93	5.07
	00267	CITIC PACIFIC	24,974.69	0.39	58,936.94	0.44	26.850	25.17	14.76
	00017	NEW WORLD DEV	33,721.33	0.52	57,376.43	0.43	15.660	47.04	52.57
	01038	CKI HOLDINGS	7,592.19	0.12	54,439.17	0.41	24.150	-1.02	9.06
	00291	CHINA RESOURCES	19,050.92	0.30	52,548.14	0.40	22.350	61.37	21.98
	00551	YUE YUEN IND	10,741.75	0.17	41,091.64	0.31	24.700	14.09	16.54
	01199	COSCO PACIFIC	22,233.07	0.35	40,588.84	0.31	18.260	28.59	15.32
	00008	PCCW	24,878.08	0.39	31,928.07	0.24	4.730	-0.94	18.94
Total (36)			3,170,319.50	49.36	8,191,260.31	61.83			
Equity total			6,423,259.95	100.00	13,248,820.50	100.00			

Notes: * As compared with previous year.

() The number of constituent stocks.

Statistics on Hang Seng Composite Index stocks, 2006

End of 2006

Index name	Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
Hang Seng Hong Kong Composite Index (98)									
Hang Seng HK LargeCap Index (16)									
	00005	HSBC HOLDINGS	581,408.32	9.05	1,650,083.00	12.45	142.600	14.54	13.48
	00013	HUTCHISON	197,988.62	3.08	337,019.46	2.54	79.050	7.04	23.50
	02388	BOC HONG KONG	114,794.23	1.79	223,085.66	1.68	21.100	41.61	16.53
	00016	SHK PPT	131,452.78	2.05	222,645.31	1.68	89.350	18.34	10.86
	00001	CHEUNG KONG	123,254.60	1.92	221,772.74	1.67	95.750	20.36	15.85
	00011	HANG SENG BANK	54,194.80	0.84	203,228.88	1.53	106.300	5.04	17.92
	02038	FIH	42,717.26	0.67	177,730.83	1.34	25.450	101.19	57.85
	00002	CLP HOLDINGS	35,154.40	0.55	138,474.14	1.05	57.500	27.78	12.18
	00066	MTR CORPORATION	25,779.21	0.40	108,530.54	0.82	19.560	28.26	12.57
	00330	ESPRIT HOLDINGS	62,757.58	0.98	106,760.40	0.81	86.850	57.62	28.09
	00003	HK & CHINA GAS	37,507.21	0.58	96,403.30	0.73	17.500	5.74	18.44
	00012	HENDERSON LAND	45,537.44	0.71	84,502.23	0.64	43.500	19.18	5.83
	00006	HK ELECTRIC	20,812.89	0.32	81,315.37	0.61	38.100	-0.78	9.50
	00019	SWIRE PACIFIC A	48,639.38	0.76	77,732.86	0.59	83.550	20.04	6.82
	00004	WHARF HOLDINGS	30,720.75	0.48	70,376.45	0.53	28.750	4.93	5.07
	00087	SWIRE PACIFIC B	2,846.38	0.04	47,695.36	0.36	15.880	17.63	6.48
Hang Seng HK MidCap Index (35)									
	02332	HUTCH TELECOM	17,878.15	0.28	93,270.77	0.70	19.600	75.00	-
	00388	HKEX	102,374.37	1.59	91,087.37	0.69	85.500	165.94	67.68
	00494	LI & FUNG	38,516.06	0.60	82,501.24	0.62	24.200	78.06	43.53
	00083	SINO LAND	22,184.89	0.35	81,572.14	0.62	18.160	93.19	13.01
	00101	HANG LUNG PPT	33,021.28	0.51	80,777.48	0.61	19.500	61.16	16.36
	00293	CATHAY PAC AIR	23,401.61	0.36	75,477.36	0.57	19.180	41.55	19.64
	00023	BANK OF E ASIA	32,483.07	0.51	68,237.28	0.52	44.050	87.85	24.07
	00017	NEW WORLD DEV	33,721.33	0.52	57,376.43	0.43	15.660	47.04	52.57
	01038	CKI HOLDINGS	7,592.19	0.12	54,439.17	0.41	24.150	-1.02	9.06
	00069	SHANGRI-LA ASIA	7,669.68	0.12	50,998.96	0.38	20.050	54.83	41.89
	00683	KERRY PPT	13,286.18	0.21	44,968.48	0.34	36.350	76.89	14.38
	00097	HENDERSON INV	10,913.55	0.17	43,759.62	0.33	14.360	4.06	11.20
	00551	YUE YUEN IND	10,741.75	0.17	41,091.64	0.31	24.700	14.09	16.54
	00659	NWS HOLDINGS	4,096.73	0.06	35,103.48	0.26	17.800	57.52	20.09
	00008	PCCW	24,878.08	0.39	31,928.07	0.24	4.730	-0.94	18.94
	00053	GUOCO GROUP	2,551.07	0.04	31,588.93	0.24	96.000	11.89	5.57
	00010	HANG LUNG GROUP	6,963.69	0.11	31,516.11	0.24	23.650	43.77	11.12
	00316	OOIL	10,555.75	0.16	30,976.77	0.23	49.500	88.21	6.10
	00020	WHEELLOCK	5,696.65	0.09	30,030.73	0.23	14.780	16.84	2.91
	00302	WING HANG BANK	5,985.01	0.09	26,921.18	0.20	91.500	63.98	19.94
	00242	SHUN TAK HOLD	16,160.43	0.25	26,067.12	0.20	11.900	66.43	68.00
	00054	HOPEWELL HOLD	7,058.05	0.11	24,523.95	0.19	27.300	40.36	10.90
	00027	GALAXY ENT	13,238.70	0.21	23,995.02	0.18	7.280	66.40	6.58
	00183	CIFH	17,754.27	0.28	23,854.01	0.18	6.980	142.78	20.23
	00014	HYSAN DEV	10,464.99	0.16	21,471.07	0.16	20.350	5.99	5.19
	00127	CHINESE EST H	14,729.77	0.23	21,308.04	0.16	9.420	23.95	3.14
	00511	TVB	8,594.20	0.13	20,805.00	0.16	47.500	15.29	17.63
	00179	JOHNSON ELEC H	11,066.78	0.17	19,581.29	0.15	5.330	-27.48	26.69
	00096	WING LUNG BANK	3,571.19	0.06	18,923.49	0.14	81.500	35.83	17.07
	00045	HK&S HOTELS	2,492.43	0.04	18,770.73	0.14	13.140	54.59	6.96
	00440	DAH SING	4,950.05	0.08	17,618.72	0.13	70.450	30.71	17.21
	00062	TRANSPORT INT'L	1,392.04	0.02	17,114.31	0.13	42.400	-7.22	29.30
	00522	ASM PACIFIC	7,000.74	0.11	16,759.68	0.13	43.300	-1.03	19.62
	02356	DAH SING BANKING	3,939.08	0.06	16,355.67	0.12	17.560	23.66	16.55
	00669	TECHTRONIC IND	17,812.75	0.28	14,769.45	0.11	10.080	-45.37	13.71

Statistics on Hang Seng Composite Index stocks, 2006 *(continued)*

End of 2006

Index name	Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
Hang Seng HK SmallCap Index (47)									
	00200	MELCO INT'L DEV	24,219.04	0.38	22,641.57	0.17	18.440	99.35	35.33
	00044	HAECO	3,281.18	0.05	17,630.43	0.13	106.000	78.15	28.53
	01212	LIFESTYLE INT'L	3,892.81	0.06	16,954.16	0.13	20.000	85.19	28.81
	00349	ICBC (ASIA)	6,473.49	0.10	16,818.89	0.13	15.000	57.89	16.47
	00049	WHEELOK PPT	445.36	0.01	14,859.99	0.11	7.180	38.08	6.65
	00165	CHINA EB LTD	16,117.06	0.25	14,271.01	0.11	9.100	188.89	48.12
	00041	GREAT EAGLE H	10,037.38	0.16	13,459.15	0.10	22.400	3.23	1.32
	00142	FIRST PACIFIC	3,336.61	0.05	12,947.36	0.10	4.040	34.67	16.04
	00531	SAMSON HOLDING	2,821.03	0.04	11,868.00	0.09	4.300	21.13	14.59
	00086	SUN HUNG KAI CO	3,871.95	0.06	11,712.53	0.09	7.840	264.65	24.33
	00303	VTECH HOLDINGS	4,245.03	0.07	11,471.77	0.09	48.000	81.13	11.20
	00034	KOWLOON DEV	7,425.13	0.12	10,586.27	0.08	13.800	65.27	7.38
	00903	TPV TECHNOLOGY	10,983.59	0.17	9,544.34	0.07	4.920	-35.26	6.37
	01110	CNAC	3,361.82	0.05	9,209.25	0.07	2.780	82.89	40.94
	02343	PACIFIC BASIN	8,505.20	0.13	7,633.48	0.06	4.900	36.11	5.43
	01111	CHONG HING BANK	893.23	0.01	7,534.20	0.06	17.320	40.81	18.93
	00341	CAFE DE CORAL H	1,328.81	0.02	7,192.89	0.05	13.200	43.48	22.22
	00321	TEXWINCA HOLD	2,158.49	0.03	7,148.69	0.05	5.390	-3.75	14.89
	00282	NEXT MEDIA	1,567.45	0.02	6,994.30	0.05	2.900	-21.62	10.55
	00626	PUBLIC FIN HOLD	1,790.11	0.03	6,727.46	0.05	6.150	-24.54	9.87
	00709	GIORDANO INT'L	6,031.60	0.09	6,336.01	0.05	4.250	-2.30	15.47
	00078	REGAL INT'L	8,208.80	0.13	5,882.19	0.04	0.690	25.45	10.95
	00173	K. WAH INT'L	4,238.33	0.07	5,878.19	0.04	2.420	46.67	1.53
	00035	FE CONSORT INTL	7,141.59	0.11	5,525.81	0.04	3.800	34.51	16.09
	00432	PCPD	2,783.13	0.04	5,309.44	0.04	2.210	2.79	8.58
	00373	ALLIED GROUP	850.34	0.01	5,187.72	0.04	21.000	89.19	6.03
	00480	HKR INT'L	3,324.21	0.05	5,104.04	0.04	3.780	0.80	5.29
	00315	SMARTONE TELE	1,318.23	0.02	4,697.30	0.04	8.060	-10.94	67.11
	00983	SHUI ON CONS	1,496.91	0.02	4,648.33	0.04	16.600	16.08	14.31
	00583	SCMP GROUP	1,039.59	0.02	4,604.79	0.03	2.950	2.61	18.69
	01221	SINO HOTELS	58.60	0.00	4,209.26	0.03	5.000	3.63	66.58
	00636	FUBON BK (HK)	792.84	0.01	4,172.89	0.03	3.560	31.85	17.28
	00393	GLORIOUS SUN	542.16	0.01	3,839.70	0.03	3.640	7.85	15.29
	00715	HUTCH HARB RING	755.27	0.01	3,754.80	0.03	0.560	-21.13	20.22
	01097	I-CABLE COMM	453.29	0.01	3,675.01	0.03	1.820	-4.21	6.31
	00178	SA SA INT'L	1,188.99	0.02	3,525.62	0.03	2.600	-3.70	18.72
	00194	LIU CHONG HING	273.02	0.00	3,464.04	0.03	9.150	18.83	24.15
	00018	ORIENTAL PRESS	1,569.50	0.02	3,381.06	0.03	1.410	-0.70	26.70
	02878	SOLOMON SYSTECH	11,819.16	0.18	3,033.05	0.02	1.210	-62.48	4.97
	00345	VITASOY INT'L	444.32	0.01	3,031.18	0.02	3.010	5.61	17.52
	00057	CHEN HSONG HOLD	718.66	0.01	2,993.70	0.02	4.800	3.23	10.90
	00641	FONG'S IND	326.86	0.01	2,931.69	0.02	5.250	-13.93	13.45
	01192	TITAN PETROCHEM	1,752.28	0.03	2,481.10	0.02	0.510	-10.53	8.16
	00113	DICKSON CONCEPT	1,315.44	0.02	2,454.56	0.02	7.910	-25.38	11.78
	00716	SINGAMAS CONT	3,502.46	0.05	2,169.86	0.02	3.550	-9.55	6.20
	00318	VONGROUP	590.50	0.01	1,620.34	0.01	0.290	-81.88	-
	00678	STAR CRUISES	605.97	0.01	n.a.	-	2.260	21.70	90.76

Statistics on Hang Seng Composite Index stocks, 2006 *(continued)*

End of 2006

Index name	Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
Hang Seng Mainland Composite Index (103)									
Hang Seng China-Affiliated Corp. Index (31)									
	00941	CHINA MOBILE	292,031.58	4.55	1,340,045.78	10.11	67.200	83.11	25.67
	00883	CNOOC	160,466.56	2.50	320,198.00	2.42	7.390	40.76	12.40
	00762	CHINA UNICOM	31,592.24	0.49	144,216.92	1.09	11.400	80.95	30.06
	00906	CHINA NETCOM	35,254.55	0.55	138,564.80	1.05	20.850	66.14	10.24
	00144	CHINA MER HOLD	29,463.77	0.46	74,374.60	0.56	31.900	89.32	29.55
	00688	CHINA OVERSEAS	36,302.32	0.57	73,018.51	0.55	10.440	213.98	43.57
	00291	CHINA RESOURCES	19,050.92	0.30	52,548.14	0.40	22.350	61.37	21.98
	00836	CHINA RES POWER	9,859.72	0.15	44,883.59	0.34	11.740	168.34	15.64
	01199	COSCO PACIFIC	22,233.07	0.35	40,588.84	0.31	18.260	28.59	15.32
	01109	CHINA RES LAND	16,211.03	0.25	29,202.36	0.22	9.310	188.68	37.57
	00992	LENOVO GROUP	19,262.65	0.30	26,931.57	0.20	3.160	-11.61	160.41
	00203	DENWAY MOTORS	23,416.86	0.36	23,679.68	0.18	3.150	22.33	12.40
	00270	GUANGDONG INV	8,368.36	0.13	21,161.61	0.16	3.510	21.03	15.47
	00135	CNPC(HONG KONG)	26,872.86	0.42	20,809.05	0.16	4.300	151.46	5.65
	00297	SINOFERT	13,713.64	0.21	18,759.68	0.14	3.230	124.31	22.29
	00363	SHANGHAI IND H	4,686.75	0.07	16,038.43	0.12	16.560	2.54	15.53
	02380	CHINA POWER	8,173.99	0.13	15,393.79	0.12	4.270	67.45	20.96
	00123	GUANGZHOU INV	23,126.51	0.36	14,845.18	0.11	2.190	173.75	5.54
	00308	CHINA TRAVEL HK	9,879.88	0.15	14,466.20	0.11	2.540	36.56	20.17
	00506	COFCO INTL	7,735.92	0.12	14,044.04	0.11	7.860	127.83	32.20
	00966	CHINA INSURANCE	5,893.89	0.09	13,777.50	0.10	9.800	196.97	-
	00392	BEIJING ENT	7,641.99	0.12	10,345.95	0.08	16.620	26.87	18.14
	01205	CITIC RESOURCES	4,669.99	0.07	9,802.28	0.07	2.270	116.19	44.16
	00604	SHENZHEN INVEST	12,459.22	0.19	9,141.50	0.07	3.240	200.00	15.06
	00882	TIANJIN DEV	5,634.26	0.09	5,423.06	0.04	5.520	50.20	8.77
	00152	SHENZHEN INT'L	8,343.12	0.13	5,401.78	0.04	0.460	89.30	14.56
	01114	BRILLIANCE CHI	4,583.66	0.07	4,842.28	0.04	1.320	14.78	-
	01052	GZI TRANSPORT	681.53	0.01	4,350.22	0.03	3.900	44.44	14.21
	00124	KINGWAY BREW	1,163.69	0.02	4,328.74	0.03	3.100	6.90	21.82
	00828	DYNASTY WINES	1,548.55	0.02	3,510.90	0.03	2.820	-1.91	19.24
	01070	TCL MULTIMEDIA	2,676.61	0.04	2,146.62	0.02	0.550	-50.89	-
Hang Seng China Enterprises Index (37)									
	00939	CCB	337,102.21	5.25	1,112,210.97	8.39	4.950	83.33	21.63
	03988	BANK OF CHINA	189,920.22	2.96	324,606.47	2.45	4.270	n.a.	-
	00857	PETROCHINA	243,679.94	3.79	232,509.88	1.75	11.020	73.54	15.11
	03328	BANKCOMM	115,541.79	1.80	217,728.58	1.64	9.440	167.80	44.93
	02628	CHINA LIFE	289,429.56	4.51	197,563.20	1.49	26.550	287.59	78.99
	00386	SINOPEC CORP	146,595.60	2.28	120,819.51	0.91	7.200	87.01	15.78
	02318	PING AN	56,162.30	0.87	110,149.61	0.83	43.050	201.05	65.84
	01088	CHINA SHENHUA	61,445.87	0.96	63,621.46	0.48	18.720	118.95	20.71
	00728	CHINA TELECOM	89,516.43	1.39	59,117.77	0.45	4.260	49.47	12.78
	02600	CHALCO	57,492.83	0.90	28,396.55	0.21	7.200	22.03	11.75
	00902	HUANENG POWER	33,839.16	0.53	21,234.63	0.16	6.950	36.27	17.77
	00753	AIR CHINA	15,478.92	0.24	18,547.93	0.14	4.210	70.10	17.02
	02899	ZIJIN MINING	28,892.53	0.45	17,399.63	0.13	5.430	216.99	87.16
	02777	R&F PROPERTIES	19,850.79	0.31	17,056.34	0.13	16.800	148.89	35.73
	01138	CHINA SHIP DEV	17,105.66	0.27	15,422.40	0.12	11.900	108.77	15.20
	02328	PICC P&C	28,804.35	0.45	13,789.36	0.10	3.990	79.33	41.26
	01171	YANZHOU COAL	35,304.43	0.55	12,298.75	0.09	6.280	26.23	11.00
	00991	DATANG POWER	18,474.21	0.29	11,588.42	0.09	8.100	42.11	18.38
	01919	CHINA COSCO	11,569.18	0.18	11,377.08	0.09	5.070	48.03	4.93
	00914	ANHUI CONCH	7,976.39	0.12	11,219.88	0.08	25.900	171.20	87.06
	00358	JIANGXI COPPER	53,776.19	0.84	10,974.98	0.08	7.910	115.24	12.07
	00489	DONGFENG GROUP	17,776.69	0.28	10,766.11	0.08	3.770	93.33	15.07
	00347	ANGANG STEEL	18,685.81	0.29	10,146.00	0.08	11.400	173.05	16.46
	02727	SH ELECTRIC	15,650.17	0.24	9,721.42	0.07	3.270	23.40	22.28
	00694	BEIJING AIRPORT	9,149.50	0.14	9,537.85	0.07	6.090	71.55	26.63
	00338	SHANGHAI PECEM	33,375.18	0.52	8,947.20	0.07	3.840	29.08	15.46
	00168	TSINGTAO BREW	2,966.45	0.05	8,594.51	0.06	13.120	60.00	55.15
	00576	ZHEJIANGEXPRESS	8,816.03	0.14	8,545.77	0.06	5.960	24.17	18.69
	02883	CHINA OILFIELD	7,025.43	0.11	8,288.20	0.06	5.400	72.80	27.19
	00525	GUANGSHEN RAIL	7,510.44	0.12	7,585.89	0.06	5.300	127.96	38.74
	00323	MAANSHAN IRON	22,661.90	0.35	7,399.61	0.06	4.270	77.92	9.77

Statistics on Hang Seng Composite Index stocks, 2006 (continued)

End of 2006

Index name	Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
	00177	JIANGSU EXPRESS	9,203.03	0.14	5,975.58	0.05	4.890	11.77	38.05
	00763	ZTE	11,887.99	0.19	5,733.41	0.04	35.800	37.16	27.53
	02866	CSCL	8,765.48	0.14	5,082.00	0.04	2.100	-22.22	3.66
	00598	SINOTRANS	5,292.86	0.08	5,022.61	0.04	2.810	-10.79	14.40
	01071	HUADIAN POWER	4,903.93	0.08	4,378.95	0.03	3.060	54.55	17.69
	02698	WEIQIAO TEXTILE	5,594.39	0.09	4,334.73	0.03	10.480	-1.13	8.02
Others (35)									
	00267	CITIC PACIFIC	24,974.69	0.39	58,936.94	0.44	26.850	25.17	14.76
	02689	ND PAPER	25,105.46	0.39	55,610.00	0.42	13.400	n.a.	33.50
	00700	TENCENT	14,160.68	0.22	48,948.86	0.37	27.700	233.73	104.65
	00322	TINGYI	3,920.89	0.06	42,474.16	0.32	7.600	98.69	44.08
	00754	HOPSON DEV HOLD	11,925.03	0.19	28,272.20	0.21	22.000	131.58	19.44
	02319	MENGNU DAIRY	13,018.26	0.20	27,984.12	0.21	20.450	209.85	57.82
	03383	AGILE PROPERTY	26,866.91	0.42	27,343.32	0.21	7.300	94.67	19.58
	00148	KINGBOARD CHEM	10,799.82	0.17	25,244.10	0.19	30.550	45.48	15.95
	03368	PARKSON GROUP	8,198.19	0.13	21,252.00	0.16	38.500	175.00	72.66
	01044	HENGAN INT'L	5,978.97	0.09	20,837.18	0.16	19.280	119.09	46.28
	00737	HOPEWELL INFRA	4,160.50	0.06	19,796.74	0.15	6.670	29.51	17.17
	02314	LEE & MAN PAPER	5,119.40	0.08	19,149.82	0.14	19.100	122.09	30.69
	00981	SMIC	15,178.29	0.24	18,604.69	0.14	1.010	-3.81	-
	00493	GOME	9,563.66	0.15	18,571.54	0.14	6.100	16.19	20.78
	00917	NEW WORLD CHINA	6,222.81	0.10	18,069.35	0.14	4.720	44.12	24.21
	02331	LI NING	5,202.39	0.08	12,849.23	0.10	12.460	126.55	70.63
	03308	GOLDEN EAGLE	5,690.22	0.09	12,554.61	0.09	6.910	n.a.	53.36
	00682	CHAODA MODERN	8,051.45	0.13	11,873.92	0.09	5.010	55.35	8.86
	00210	PRIME SUCCESS	4,028.68	0.06	11,841.96	0.09	7.230	85.38	45.99
	01068	YURUN FOOD	8,002.25	0.12	10,410.50	0.08	7.170	65.78	21.55
	01175	FU JI CATERING	5,655.94	0.09	10,156.80	0.08	20.100	58.27	36.65
	00589	PORTS	3,172.76	0.05	9,235.14	0.07	17.000	88.89	57.84
	02018	AAC ACOUSTIC	8,563.97	0.13	9,210.24	0.07	7.380	55.37	24.53
	02688	XINAO GAS	3,333.09	0.05	8,564.36	0.06	8.800	43.09	26.65
	01098	ROAD KING INFRA	2,288.32	0.04	7,678.34	0.06	11.500	105.36	15.81
	00809	GLOBAL BIO-CHEM	5,347.34	0.08	6,075.38	0.05	2.620	-22.94	12.82
	01168	SINOLINK HOLD	9,330.04	0.15	5,428.53	0.04	1.900	-14.61	6.69
	00581	CHINA ORIENTAL	376.32	0.01	5,229.00	0.04	1.800	-4.26	6.39
	01169	HAIER ELEC	3,652.90	0.06	4,515.09	0.03	0.260	16.59	-
	03398	CHINA TING	2,946.18	0.05	4,049.85	0.03	1.960	-3.21	7.79
	02383	TOM GROUP	3,096.05	0.05	3,932.20	0.03	1.010	-31.29	15.14
	00171	SILVER GRANT	8,269.45	0.13	3,732.45	0.03	2.050	-10.87	4.94
	02877	SHINWAY PHARM	765.50	0.01	3,721.50	0.03	4.500	15.38	11.64
	00732	TRULY INT'L	683.47	0.01	3,230.69	0.02	6.900	-31.34	4.48
	00241	CITIC 21CN	1,131.46	0.02	2,980.33	0.02	0.900	-28.57	321.43
Total (201)			5,465,204.08	85.08	11,436,914.72	86.32			
Equity total			6,423,259.95	100.00	13,248,820.50	100.00			

Notes: * As compared with previous year.

() The number of constituent stocks.

Statistics on Mainland enterprises H shares, 2002 – 2006

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2002	54	85,944.80	8.05	139,711.41	9.50	129,248.37	3.63
2003	64	217,082.27	19.99	501,496.87	22.12	403,116.50	7.36
2004	72	250,887.71	16.58	933,860.83	27.49	455,151.75	6.87
2005	80	247,604.08	18.24	949,155.23	26.46	1,280,495.01	15.78
2006	95	523,738.79	31.55	2,521,764.08	39.26	3,363,788.46	25.39
2006 Jan	80	38,776.47	33.85	157,188.36	34.03	1,479,506.47	16.81
Feb	80	41,653.07	30.69	185,013.81	36.53	1,638,743.54	17.98
Mar	81	36,723.06	19.85	170,485.57	31.23	1,676,849.06	17.97
Apr	84	41,695.01	25.07	193,030.60	35.88	1,652,388.40	17.09
May	84	36,439.30	27.18	183,864.06	33.15	1,638,672.17	17.57
Jun	86	50,053.19	42.51	218,964.18	43.72	1,958,150.62	20.07
Jul	86	27,849.06	33.35	133,812.48	37.87	1,931,053.96	19.24
Aug	86	27,255.54	30.44	135,036.32	33.50	1,925,502.99	18.77
Sep	88	34,768.13	30.48	183,752.27	38.63	1,997,238.43	18.97
Oct	89	54,066.18	34.60	248,104.74	44.60	2,359,308.10	20.85
Nov	89	75,938.92	35.60	374,988.77	45.31	2,725,021.49	22.50
Dec	95	58,520.87	39.02	337,522.92	48.24	3,363,788.46	25.39

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on red chip stocks, 2002 – 2006

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2002	71	82,707.38	7.75	309,534.25	21.04	806,407.41	22.66
2003	72	157,021.94	14.46	493,945.47	21.79	1,197,770.75	21.87
2004	81	171,765.61	11.35	614,727.35	18.10	1,409,357.12	21.26
2005	86	131,956.45	9.72	603,820.77	16.83	1,709,960.75	21.08
2006	86	233,720.06	14.08	1,100,508.90	17.13	2,951,581.05	22.28
2006 Jan	87	18,810.80	16.42	80,681.84	17.47	1,873,639.64	21.29
Feb	87	19,613.08	14.45	86,366.33	17.05	1,907,707.91	20.93
Mar	86	26,988.14	14.59	98,985.06	18.13	1,953,435.32	20.93
Apr	86	29,615.10	17.80	114,107.81	21.21	2,111,606.75	21.84
May	87	20,797.25	15.51	95,665.62	17.25	1,939,927.95	20.80
Jun	87	14,266.98	12.12	77,490.68	15.47	2,059,474.31	21.10
Jul	85	10,760.86	12.89	60,389.73	17.09	2,201,260.84	21.93
Aug	85	13,727.81	15.33	74,547.65	18.50	2,295,032.10	22.38
Sep	85	14,464.94	12.68	82,002.13	17.24	2,358,279.09	22.40
Oct	85	15,145.28	9.69	89,833.07	16.15	2,572,363.54	22.73
Nov	85	29,402.91	13.78	133,357.26	16.11	2,720,167.22	22.46
Dec	86	20,126.91	13.42	107,081.73	15.31	2,951,581.05	22.28

Average dividend yields of all listed stocks*, 2002 – 2006

(%)

Year/Month	All AOI		Consolidated						
	stocks	Finance	Utilities	Properties	enterprises	Industrials	Hotels	Miscellaneous	
2002	3.40	3.95	5.39	3.92	1.92	3.78	3.09	3.14	
2003	2.94	3.36	4.13	2.41	2.46	2.76	1.58	1.40	
2004	2.85	3.74	3.59	2.13	1.98	2.85	1.63	2.03	
2005	2.89	3.14	3.62	2.53	2.62	2.84	1.64	2.01	
2006	2.19	2.16	3.26	2.12	2.12	2.24	1.31	1.62	
2006	Jan	2.67	2.92	3.54	2.33	2.45	2.51	1.64	1.69
	Feb	2.59	2.75	3.48	2.28	2.45	2.46	1.61	1.63
	Mar	2.72	2.97	3.83	2.21	2.56	2.51	1.64	2.21
	Apr	2.71	3.07	3.65	2.18	2.44	2.52	1.54	2.73
	May	2.82	3.08	3.89	2.35	2.64	2.63	1.49	2.85
	Jun	2.71	2.82	3.84	2.37	2.54	2.69	1.53	2.88
	Jul	2.64	2.78	3.75	2.26	2.41	2.67	1.38	2.78
	Aug	2.71	2.83	3.66	2.20	2.56	2.77	1.47	2.91
	Sep	2.72	2.81	3.63	2.54	2.55	2.71	1.51	2.48
	Oct	2.57	2.55	3.56	2.42	2.48	2.62	1.48	2.25
	Nov	2.41	2.40	3.47	2.25	2.33	2.48	1.33	1.94
	Dec	2.19	2.16	3.26	2.12	2.12	2.24	1.31	1.62

* Month-end or year-end figures

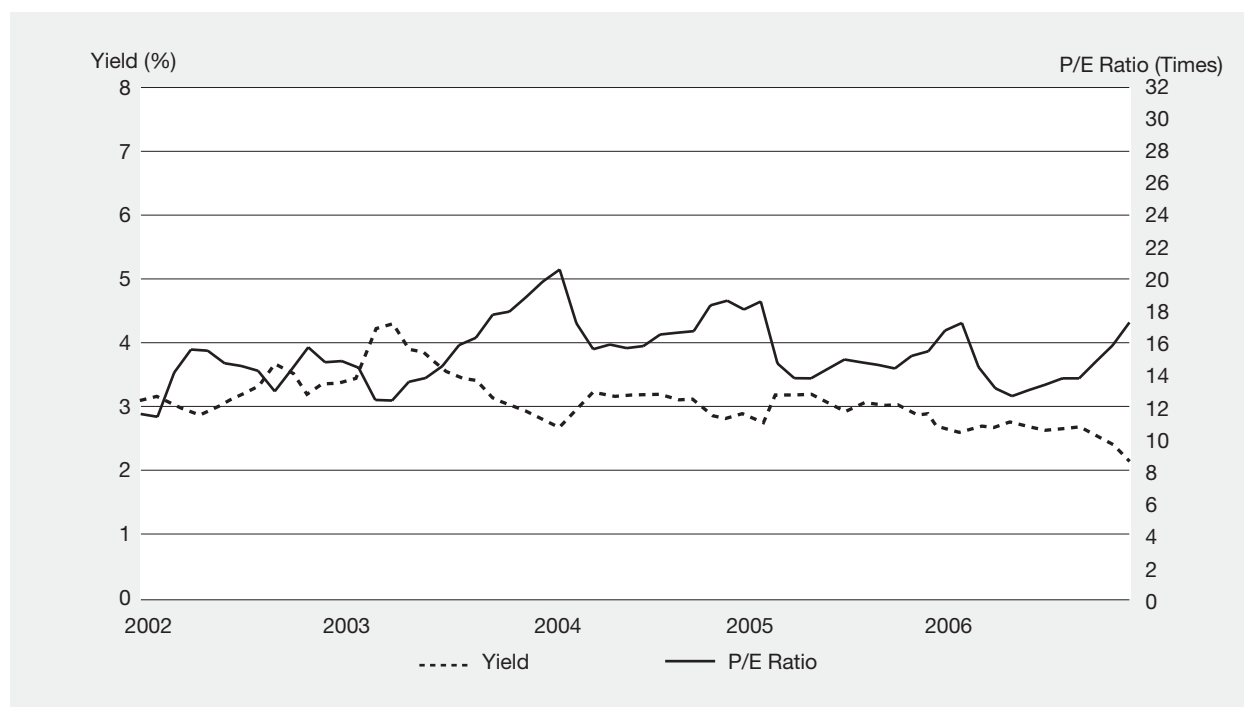
Average price earnings ratios of all listed stocks*, 2002 – 2006

(Times)

Year/Month	All AOI		Consolidated						
	stocks	Finance	Utilities	Properties	enterprises	Industrials	Hotels	Miscellaneous	
2002	14.89	19.82	11.11	14.59	14.70	10.32	26.50	15.36	
2003	18.96	23.75	14.24	20.80	16.28	16.70	23.37	39.44	
2004	18.73	20.86	16.66	18.40	19.29	15.07	29.60	22.62	
2005	15.57	19.66	16.04	10.88	14.94	13.86	19.81	19.77	
2006	17.37	21.38	13.78	11.53	17.81	16.16	15.48	12.31	
2006	Jan	16.87	21.13	16.38	11.93	15.93	15.87	19.82	23.58
	Feb	17.34	22.53	15.33	12.17	15.92	16.27	20.13	24.26
	Mar	14.58	18.42	11.79	11.60	13.38	13.92	11.92	15.68
	Apr	13.26	14.39	12.22	10.09	14.15	13.04	12.81	8.19
	May	12.77	14.49	11.51	9.36	13.06	12.58	13.27	7.85
	Jun	13.15	15.82	11.66	9.29	13.16	12.31	11.35	7.75
	Jul	13.49	15.88	11.94	9.69	13.80	12.62	12.10	8.03
	Aug	13.88	16.07	12.30	10.56	14.19	12.96	11.87	7.68
	Sep	13.88	16.30	12.33	9.50	14.56	13.19	12.86	8.06
	Oct	14.93	17.96	12.60	10.10	15.75	13.60	13.09	8.89
	Nov	15.94	19.28	12.93	10.87	16.70	14.56	14.57	10.29
	Dec	17.37	21.38	13.78	11.53	17.81	16.16	15.48	12.31

* Month-end or year-end figures

Average dividend yields and average price earnings ratios movements 2002 – 2006



Average dividend yields of Hang Seng Index series stocks*, 2002 – 2006

Year/Month	HSI	HSCI	HSHKCI	HSMLCI	HSCEI	HSCCI
2002	3.38	3.63	4.33	1.55	5.13	0.82
2003	3.02	2.90	3.33	1.73	1.83	1.68
2004	2.91	2.82	3.11	1.99	2.46	1.82
2005	3.30	3.07	3.49	2.15	2.75	2.00
2006	2.69	2.19	3.03	1.34	1.34	1.73
2006 Jan	3.12	2.85	3.29	1.93	2.37	1.84
2006 Feb	3.09	2.81	3.25	1.90	2.30	1.82
2006 Mar	3.48	3.05	3.46	2.31	2.25	2.55
2006 Apr	3.31	2.73	3.34	1.89	2.03	2.42
2006 May	3.48	2.84	3.44	2.00	2.07	2.66
2006 Jun	3.39	2.80	3.46	1.92	2.05	2.50
2006 Jul	3.25	2.73	3.38	1.87	2.03	2.32
2006 Aug	3.17	2.67	3.30	1.83	2.02	2.23
2006 Sep	3.04	2.62	3.29	1.80	1.98	2.17
2006 Oct	2.92	2.51	3.22	1.68	1.86	1.96
2006 Nov	3.04	2.62	3.29	1.80	1.98	2.17
2006 Dec	2.69	2.19	3.03	1.34	1.34	1.73

* Month-end or year-end figures

Average price earnings ratios of Hang Seng Index series stocks*, 2002 – 2006

(Times)

Year/Month	HSI	HSCI	HSKCI	HSMLCI	HSCEI	HSCCI
2002	15.45	15.99	17.88	12.16	9.22	18.57
2003	19.82	21.49	22.94	18.30	20.75	12.85
2004	19.96	19.35	20.91	15.99	15.59	16.78
2005	15.24	14.43	14.48	14.35	11.88	16.01
2006	15.83	16.46	13.38	21.43	21.35	20.25
2006 Jan	16.14	15.48	15.27	15.94	13.80	16.89
Feb	16.29	15.71	15.46	16.24	14.18	17.12
Mar	12.72	13.23	12.61	14.53	14.23	13.54
Apr	13.38	13.37	12.46	14.88	14.09	14.28
May	12.77	12.88	12.11	14.17	13.80	13.09
Jun	13.10	12.96	11.88	14.77	14.02	13.97
Jul	13.67	13.31	12.20	15.19	14.11	15.03
Aug	14.11	13.71	12.61	15.51	14.22	15.61
Sep	13.91	13.68	12.25	16.01	14.48	16.11
Oct	14.62	14.34	12.58	17.18	15.35	17.79
Nov	13.91	13.68	12.25	16.01	14.48	16.11
Dec	15.83	16.46	13.38	21.43	21.35	20.25

* Month-end or year-end figures

Cash dividend payout, 2002 – 2006

Year/ Month	No. of companies declared	No. of companies paid	Consolidated							Total dividend payout (HK\$mil)
			Finance (HK\$mil)	Utilities (HK\$mil)	Properties (HK\$mil)	enterprises (HK\$mil)	Industrials (HK\$mil)	Hotels (HK\$mil)	Miscellaneous (HK\$mil)	
2002	783	366	49,630.27	14,756.41	16,545.23	20,302.48	18,033.53	829.22	91.04	120,188.18
2003	823	401	71,159.05	14,251.18	14,478.88	37,333.83	24,632.45	684.80	159.58	162,699.78
2004	860	468	82,510.21	15,760.94	16,849.88	38,036.93	40,067.29	933.01	277.22	194,435.48
2005	909	540	97,284.64	16,289.06	22,198.15	58,181.71	38,046.97	1,150.06	521.24	233,671.83
2006	936	558	110,498.48	18,318.88	29,649.34	81,989.44	48,192.05	1,516.58	967.61	291,132.37
2006 Jan	21	90	14,391.53	–	827.38	3,010.03	891.81	96.08	–	19,216.83
Feb	32	30	291.71	–	146.23	86.32	1,024.38	–	–	1,548.63
Mar	219	18	8,611.22	–	2,080.40	525.28	1,167.94	–	–	12,384.84
Apr	389	24	2,116.08	2,263.75	1,777.30	1,202.45	944.45	–	–	8,304.03
May	26	92	34,973.67	5,857.27	4,669.79	22,538.63	5,159.97	56.24	–	73,255.57
Jun	59	186	2,960.52	4,708.35	2,808.34	14,809.38	13,810.78	441.13	937.05	40,475.55
Jul	211	64	16,997.11	44.47	689.72	1,361.50	3,731.50	–	23.06	22,847.36
Aug	233	54	3,955.60	29.58	156.79	4,653.17	2,374.07	–	–	11,169.21
Sep	388	128	7,221.36	2,441.99	711.27	18,611.70	13,275.85	168.81	–	42,430.99
Oct	47	166	18,848.14	1,769.35	5,567.09	7,695.76	4,221.68	396.28	7.50	38,505.81
Nov	65	49	131.54	–	2,784.09	2,171.47	656.62	336.13	–	6,079.86
Dec	224	40	–	1,204.12	7,430.92	5,323.75	933.01	21.89	–	14,913.69

Note: Foreign companies excluded

Short selling transactions by designated stock, 2006

Name	Number of shares*	Value of transactions* (HK\$)
A50CHINATRACKER	53,737,300	3,454,177,795
AAC ACOUSTIC	20,414,000	164,189,500
AA-HSI @EC0603	1,182,610,000	122,997,840
AGILE PROPERTY	181,258,000	1,030,312,400
AIR CHINA	239,696,000	811,358,550
ALLIED GROUP	34,000	746,400
ANGANG STEEL	173,084,000	1,186,414,230
ANHUI CONCH	40,084,000	592,674,880
ANHUIEXPRESSWAY	13,912,000	78,831,040
ASIA SATELLITE	53,000	716,320
ASIA STANDARD	112,000	20,692
ASM PACIFIC	24,573,500	1,009,345,275
ASMC	862,000	699,460
AVICHINA	22,248,000	16,499,560
BANK OF CHINA	3,109,992,000	10,908,153,870
BANK OF E ASIA	54,074,400	1,754,664,690
BANKCOMM	1,408,269,000	7,500,219,825
BAOYE GROUP	920,000	10,637,200
BEIJING AIRPORT	111,986,000	558,867,890
BEIJING ENT	33,152,000	506,304,420
BEIJING N STAR	4,514,000	13,351,360
BJ CAPITAL LAND	24,092,000	75,237,660
BOC HONG KONG	362,003,500	6,088,002,085
BOSSINI INT'L	2,612,000	1,954,680
BRILLIANCE CHI	210,286,000	274,626,680
BYD COMPANY	6,319,000	103,613,290
CAFE DE CORAL H	1,038,000	13,905,440
CATHAY PAC AIR	175,430,000	2,617,446,820
CCB	5,737,746,000	20,714,808,370
CG-ICBC@EC0704B	1,000	365
CH GRAND FOREST	86,000	95,060
CHALCO	983,020,000	6,434,447,360
CHAMPION TECH	7,256,000	10,032,520
CHAODA MODERN	123,900,000	580,046,410
CHEUNG KONG	131,335,000	11,257,407,800
CHINA COSCO	89,559,000	344,189,202
CHINA EAST AIR	70,306,000	90,013,840
CHINA EB INT'L	752,000	914,960
CHINA EB LTD	19,380,000	98,465,840
CHINA GAS HOLD	6,136,000	9,601,260
CHINA INSURANCE	42,308,000	217,979,980
CHINA LIFE	1,397,830,000	19,339,542,490
CHINA MER HOLD	123,240,000	2,887,087,300
CHINA METAL	760,000	2,239,950
CHINA MOBILE	642,392,500	31,443,079,175
CHINA NETCOM	207,371,000	3,067,359,605
CHINA OILFIELD	50,058,000	199,479,060
CHINA OVERSEAS	604,136,000	3,312,257,590
CHINA PARADISE	15,180,000	30,233,450
CHINA PHARMA	9,912,000	10,454,200
CHINA POWER	20,435,000	73,636,635
CHINA RES LAND	114,116,000	568,413,180
CHINA RES POWER	24,092,000	170,021,750
CHINA RESOURCES	67,690,000	1,161,332,920
CHINA SHENHUA	265,591,500	3,571,780,070
CHINA SHIP DEV	116,120,000	795,112,920
CHINA SOUTH AIR	44,792,000	101,091,560
CHINA TELECOM	1,340,258,000	4,149,122,900
CHINA TING	274,000	533,320
CHINA TRACKER	50,000	2,392,900
CHINA TRAVEL HK	175,050,000	352,197,900
CHINA UNICOM	325,164,000	2,555,821,840
CHINA WATER	5,340,000	15,787,700
CHINALOTSNERGY	654,000	930,840
CHONG HING BANK	2,091,000	32,889,860
CHONGQING IRON	5,398,000	12,573,050
CHOW SANG SANG	600,000	1,990,380
CIFH	221,202,000	1,120,548,935
CIMH	142,000	1,101,560
CITIC PACIFIC	32,289,000	801,749,300
CITIC RESOURCES	5,940,000	11,290,840
CKI HOLDINGS	11,460,000	273,011,800
CKLIFE SCIENCES	1,500,000	1,134,000
CLEAR MEDIA	4,987,000	47,120,930
CLP HOLDINGS	56,895,808	2,712,958,013
CM BANK	118,448,000	1,730,146,580
CNAC	9,364,000	17,157,020
CNBM	7,318,000	34,468,200
CNOOC	1,379,143,000	8,877,051,320
CNPC(HONG KONG)	99,750,000	403,573,200
COASTAL GL	248,000	318,540
COFCO INTL	48,540,000	219,793,570
COMBA	1,236,000	4,065,640
COSCO INTL HOLD	7,686,000	18,098,940
COSCO PACIFIC	133,262,000	2,141,611,720
CROSS-HAR(HOLD)	16,000	105,700
CSSL	288,651,000	674,279,065
DAH SING	4,676,400	298,413,240

Short selling transactions by designated stock, 2006 (continued)

Name	Number of shares*	Value of transactions* (HK\$)
DAHSHING BANKING	3,496,000	53,897,328
DALIAN PORT	1,108,000	4,023,920
DATANG POWER	307,876,000	1,874,293,450
DBA TELECOM	200,000	129,200
DENWAY MOTORS	425,874,000	1,260,303,860
DICKSON CONCEPT	1,823,000	15,813,240
DIGITAL CHINA	2,638,000	6,788,820
DONGFANG ELEC	2,468,000	30,611,440
DONGFENG GROUP	199,146,000	675,603,450
DYNASTY WINES	15,752,000	46,747,680
EASYKNIT ENT	100,000	99,000
EGANAGOLDPFEIL	34,316,000	116,021,240
EMPEROR E HOTEL	2,830,000	7,951,725
ESPRIT HOLDINGS	55,081,000	3,574,495,050
ESUN HOLDINGS	138,000	1,092,480
FE CONSORT INTL	103,976,000	351,580,740
FIH	218,574,000	4,192,695,130
FIRST PACIFIC	44,192,000	142,757,100
FITTEC	114,000	182,180
FONG'S IND	1,012,000	5,814,870
FORTE	114,372,000	362,674,920
FOUNTAIN SET	18,610,000	47,035,350
FU JI CATERING	32,382,000	519,524,500
GEELY AUTO	59,440,000	41,393,200
GIORDANO INT'L	96,590,000	401,539,530
GLOBAL BIO-CHEM	121,092,000	428,765,440
GLORIOUS SUN	138,000	479,360
GOLDEN EAGLE	25,376,000	144,597,810
GOLDEN MEDITECH	28,096,000	68,780,880
GOME	248,745,000	1,627,300,640
GRANDE HOLDINGS	1,356,000	7,941,800
GREAT EAGLE H	12,611,000	326,650,400
GS-C MOB@EP0608	14,930,000	2,590,475
GST HOLDINGS	6,526,000	19,499,710
GUANGDONG INV	15,326,000	47,588,800
GUANGSHEN RAIL	63,354,000	216,017,500
GUANGZHOU INV	94,986,000	145,094,260
GUOCO GROUP	184,000	17,776,300
HAECO	478,000	44,495,580
HANG LUNG GROUP	12,092,000	233,278,850
HANG LUNG PPT	139,871,000	2,192,844,260
HANG SENG BANK	39,955,112	4,011,396,063
HARBIN POWER	74,506,000	544,738,720
HENDERSON INV	4,762,000	64,414,040
HENDERSON LAND	77,661,000	3,187,467,250
HENG TAI	14,985,000	12,526,350
HENGAN INT'L	24,926,000	397,696,900
HK & CHINA GAS	212,239,000	3,805,846,010
HK ELECTRIC	41,408,500	1,504,061,950
HK FERRY (HOLD)	45,000	392,900
HK&S HOTELS	1,979,500	19,845,635
HKEX	159,080,000	8,240,449,700
HKR INT'L	12,824,000	51,085,628
HNC	23,054,000	92,745,920
HOPEWELL HOLD	8,241,000	187,814,900
HOPEWELL INFRA	34,731,000	206,328,295
HOPSON DEV HOLD	28,336,000	482,972,520
HS H-SHARE ETF	75,000	4,824,100
HSBC HOLDINGS	347,144,435	48,120,737,488
HUADIAN POWER	81,298,000	199,080,600
HUANENG POWER	445,480,000	2,469,952,950
HUNG HING PRINT	1,854,000	8,765,350
HUTCH HARB RING	2,348,000	1,661,120
HUTCH TELECOM	77,555,000	1,097,921,940
HUTCHISON	196,482,884	14,610,899,292
HYSAN DEV	22,034,000	448,549,690
I-CABLE COMM	1,795,000	3,252,970
ICBC	923,770,000	3,959,121,030
ICBC (ASIA)	3,207,000	42,136,590
IPE GROUP	135,000	203,450
JIANGSU EXPRESS	80,870,000	364,519,870
JIANGXI COPPER	517,643,000	3,827,954,645
JOHNSON ELEC H	262,679,000	1,619,646,600
JU TENG INTL	838,000	1,119,840
K. WAH INT'L	27,116,000	61,834,210
KERRY PPT	80,879,500	2,252,786,825
KINGBOARD CHEM	24,813,000	638,667,775
KINGDEE INT'L	632,000	2,175,640
KINGWAY BREW	10,644,000	33,491,840
KOWLOON DEV	5,164,000	71,662,240
LAI FUNG HOLD	17,269,000	6,643,585
LAI SUN DEV	21,100,000	7,064,280
LEE & MAN PAPER	30,018,000	436,303,060
LENOVO GROUP	714,635,454	2,159,443,403
LI & FUNG	160,967,000	2,857,448,860
LI NING	33,614,000	288,112,480
LIANHUA	16,168,000	145,444,810
LIFESTYLE INT'L	5,260,500	79,701,155

Short selling transactions by designated stock, 2006 (continued)

Name	Number of shares*	Value of transactions* (HK\$)
LINGBAO GOLD	22,384,000	158,073,260
LUEN THAI	7,348,000	18,699,825
LUNG KEE	290,000	1,405,410
MAANSHAN IRON	185,026,000	529,499,960
MELCO INT'L DEV	13,807,000	297,113,830
MENGNUI DAIRY	82,884,000	884,657,100
MIDLAND HOLDING	99,110,000	386,942,800
MIN RESOURCES	7,416,000	16,670,480
MINTH GROUP	10,000	60,660
MTR CORPORATION	78,653,000	1,489,052,125
MY MEDICARE	3,460,000	2,650,000
ND PAPER	27,329,000	344,274,830
NEO-CHINA GROUP	800,000	914,200
NEW WORLD CHINA	39,168,400	146,721,688
NEW WORLD DEV	86,064,000	1,113,101,950
NEXT MEDIA	1,468,000	4,652,330
NORSTAR	18,560,000	56,021,540
NWS HOLDINGS	42,928,000	624,156,380
OOIL	34,229,000	994,563,950
ORIENTAL PRESS	29,322,000	43,029,640
PAC CENTURY INS	2,330,000	8,877,880
PACIFIC ANDES	17,370,000	28,113,540
PACIFIC BASIN	62,377,000	269,903,870
PANVA GAS HOLD	8,648,000	37,064,720
PARKSON GROUP	18,077,500	472,930,050
PCCW	156,484,000	785,677,520
PCPD	5,920,000	12,684,520
PEACE MARK	14,490,000	62,232,700
PETROCHINA	2,707,052,000	23,289,664,860
PHOENIX TV	3,658,000	4,102,640
PICC P&C	602,632,000	1,683,652,350
PICO FAR EAST	1,690,000	2,930,060
PING AN	148,827,000	3,876,675,200
PLAYMATES	15,804,000	13,762,940
PORTS	5,032,500	58,753,650
PRIME SUCCESS	11,000,000	61,156,900
PUBLIC FIN HOLD	3,450,000	21,552,280
PYI CORP	3,950,000	6,904,730
QINGLING MOTORS	4,370,000	5,413,720
R&F PROPERTIES	65,100,800	1,563,638,844
RB-HKEX @EC0611	1,400,000	400,300
REGAL INT'L	108,876,000	81,907,540
ROAD KING INFRA	510,000	5,757,860
SA SA INT'L	6,810,000	19,384,360
SAMSON HOLDING	5,157,000	18,134,835
SCMP GROUP	14,694,000	40,801,640
SH ELECTRIC	198,656,000	549,806,670
SHANGHAI ESTATE	112,692,000	197,901,660
SHANGHAI IND H	6,471,000	108,687,820
SHANGHAI PECEM	173,732,000	663,325,040
SHANGHAI PRIME	5,460,000	14,549,140
SHANGRI-LA ASIA	29,842,000	459,915,420
SHENZHEN INT'L	275,757,500	119,030,264
SHENZHEN INVEST	46,092,000	117,108,460
SHENZHENEXPRESS	17,546,000	67,611,810
SHENZHOU INTL	17,000	55,360
SHIMAO PROPERTY	13,267,500	177,132,930
SHK PPT	151,709,325	12,640,547,320
SHOUGANG INT'L	6,498,000	4,080,200
SHUI ON CONS	2,416,000	35,396,280
SHUN TAK HOLD	79,350,000	820,081,840
SILVER GRANT	28,506,000	61,675,850
SIM TECH	12,486,000	42,004,570
SINGAMAS CONT	18,728,000	84,829,450
SINO LAND	396,308,000	4,882,220,660
SINOFERT	18,708,000	60,858,160
SINOLINK HOLD	6,582,000	11,410,020
SINOPEC CORP	1,160,404,000	5,689,024,700
SINOTRANS	42,906,000	110,836,055
SKYWORTHDIGITAL	274,000	222,940
SMARTONE TELE	14,558,000	119,642,240
SMIC	606,020,000	642,200,930
SOLOMON SYSTECH	225,978,000	542,019,740
STANCHART	2,072,600	411,679,855
SUNSHINE	150,000	970,700
SWIRE PACIFIC A	38,822,500	3,114,326,475
SYMPHONY HOLD	494,000	474,380
TACK FAT GROUP	3,568,000	3,985,440
TAI CHEUNG HOLD	93,000	403,420
TCL MULTIMEDIA	86,038,000	78,804,640
TECHTRONIC IND	97,604,500	1,244,692,285
TENCENT	32,372,000	521,598,850
TEXWINCA HOLD	22,670,000	123,580,600
TIAN AN	1,654,000	8,817,080
TIANJIN DEV	302,000	1,714,420
TIANJINPORT DEV	216,000	529,200
TINGYI	23,290,000	122,266,450
TOM GROUP	33,654,000	35,160,060

Short selling transactions by designated stock, 2006 (continued)

Name	Number of shares*	Value of transactions* (HK\$)
TOM ONLINE	11,178,000	20,078,700
TOMSON GROUP	890,000	1,861,880
TONG REN TANG	158,000	2,000,580
TOP FORM INT'L	7,040,000	13,283,840
TPV TECHNOLOGY	80,178,000	558,385,880
TRACKER FUND	4,308,500	78,991,450
TRANSPORT INT'L	569,200	24,171,080
TRAVELSKY TECH	3,064,000	24,131,990
TRULY INT'L	32,000	250,140
TSINGTAO BREW	15,598,000	156,787,660
TVB	3,392,000	151,825,950
VARITRONIX INTL	113,000	590,930
VICTORY CITY	4,358,000	11,441,670
VTECH HOLDINGS	3,415,000	127,390,150
WEICHAI POWER	11,878,000	179,374,080
WEIGAO GROUP	156,000	1,287,040
WEIQIAO TEXTILE	28,748,500	300,373,460
WHARF HOLDINGS	55,195,203	1,567,037,104
WHEELOCK	13,735,000	186,789,070
WHEELOCK PPT	1,525,000	9,306,350
WING HANG BANK	1,259,000	91,096,850
WING LUNG BANK	681,300	49,056,020
WUMART	12,138,000	241,993,970
XIAMEN PORT	1,862,000	3,487,920
XINAO GAS	63,118,000	458,347,140
XINYI GLASS	17,084,000	47,783,350
XINYU HENGDELI	5,496,000	25,527,160
XIWANG SUGAR	21,790,000	98,501,630
YANZHOU COAL	455,715,200	2,628,411,288
YIZHENG CHEM	58,376,000	100,486,490
YUE YUEN IND	72,815,000	1,701,391,425
YURUN FOOD	32,426,000	201,585,740
ZHEJIANGEXPRESS	73,606,000	338,268,540
ZHENHAI REFIN	100,000	1,025,000
ZIJIN MINING	211,658,000	885,514,270
ZTE	18,482,200	496,281,910
Total	40,122,060,021	384,006,653,973

* Figures are adjusted for late report.

Monthly short selling transactions, 2006

Month	No. of designated securities (month-end figure)	Number of shares*	Value of transactions* (HK\$)	No. of designated securities recording short selling
Jan	277	2,178,022,150	22,440,890,458	187
Feb	279	3,352,423,500	21,201,050,836	198
Mar	280	2,687,234,193	26,754,431,998	210
Apr	280	2,952,063,892	27,666,268,824	195
May	286	3,831,778,600	42,027,083,367	215
Jun	288	5,065,449,450	40,073,050,022	215
Jul	288	3,029,453,950	28,537,018,297	205
Aug	315	2,794,610,700	27,719,865,440	202
Sep	316	2,988,744,450	30,475,192,322	222
Oct	318	3,099,500,304	31,637,797,047	228
Nov	319	4,634,013,362	46,074,830,894	251
Dec	365	3,508,765,470	39,399,174,467	260
Total		40,122,060,021	384,006,653,973	

* Figures are adjusted for late report.

Newly listed companies

Ordinary companies

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date / subscription rate (times)	Sponsor
02398	Good Friend International Holdings Inc.	Offer for subscription Offer for placing Industrials	21,000,000 49,000,000	1.130 1.130	79.10	2006/01/11 16.03	Polaris Securities (Hong Kong) Ltd.
03330	Lingbao Gold Co. Ltd.-H Shares	Offer for subscription Offer for sale Offer for placing Miscellaneous	129,252,000 27,024,909 140,997,091	3.330 3.330 3.330	989.92	2006/01/12 731.00	BOCI Asia Ltd. Celestial Capital Ltd.
00919	Modern Beauty Salon Holdings Ltd.	Offer for subscription Offer for placing Consolidated enterprises	18,000,000 162,000,000	1.000 1.000	180.00	2006/02/09 9.10	Sun Hung Kai International Ltd.
02788	Yorkey Optical International (Cayman) Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	100,000,000 40,000,000 90,000,000	2.200 2.200 2.200	506.00	2006/02/10 217.00	SinoPac Securities (Asia) Ltd.
00502 #	Pan Sino International Holdings Ltd.	Introduction Consolidated enterprises	-	-	-	2006/03/01 -	First Asia Finance Group Ltd.
00457	O2Micro International Ltd.	Introduction Industrials	-	-	-	2006/03/02 -	Morgan Stanley Dean Witter Asia Ltd.
02689	Nine Dragons Paper (Holdings) Ltd.	Offer for subscription Offer for placing Industrials	500,000,000 650,000,000	3.400 3.400	3,910.00	2006/03/03 520.00	BNP Paribas Peregrine Capital Ltd. Merrill Lynch Far East Ltd.
00402	Ming Hing Holdings Ltd.	Offer for subscription Offer for placing Industrials	40,000,000 40,000,000	0.720 0.720	57.60	2006/03/14 122.00	UOB Asia (Hong Kong) Ltd.
03308	Golden Eagle Retail Group Ltd.	Offer for subscription Offer for sale by way of subscription Offer for sale by way of placing Offer for placing Consolidated enterprises	112,500,000 112,500,000 275,625,000 16,875,000	3.150 3.150 3.150 3.150	1,630.13	2006/03/21 307.00	ABN AMRO Rothschild Goldbond Capital (Asia) Ltd.
03323	China National Building Material Co. Ltd. – H Shares	Offer for subscription Offer for sale Offer for placing Industrials	327,108,000 68,394,000 356,832,000	2.750 2.750 2.750	2,068.92	2006/03/23 536.60	Morgan Stanley Dean Witter Asia Ltd.
00707	Co-Prosperity Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	100,000,000 60,000,000 40,000,000	1.160 1.160 1.160	232.00	2006/03/30 115.50	TIS Securities (HK) Ltd.
02626	Hunan Nonferrous Metals Corporation Ltd. – H Shares	Offer for subscription Offer for placing Industrials	537,792,000 699,126,000	1.650 1.650	2,040.91	2006/03/31 701.00	BOCI Asia Ltd. Morgan Stanley Dean Witter Asia Ltd.
03355	Advanced Semiconductor Manufacturing Corporation Ltd. – H Shares	Offer for subscription Offer for sale Offer for placing Industrials	40,668,000 42,513,000 384,479,000	1.600 1.600 1.600	748.26	2006/04/07 12.93	BOCI Asia Ltd. Goldman Sachs (Asia) L.L.C.
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	Offer for subscription Offer for sale Offer for placing Industrials	300,282,000 60,056,000 330,308,000	2.100 2.100 2.100	1,450.36	2006/04/27 513.00	Credit Suisse (Hong Kong) Ltd.
02880	Dalian Port (PDA) Co. Ltd. – H Shares	Offer for subscription Offer for placing Consolidated enterprises	420,000,000 546,000,000	2.575 2.575	2,487.45	2006/04/28 851.00	BNP Paribas Peregrine Capital Ltd.
03335	DBA Telecommunication (Asia) Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	125,000,000 30,000,000 132,500,000	1.260 1.260 1.260	362.25	2006/05/11 293.60	Sun Hung Kai International Ltd.
03382	Tianjin Port Development Holdings Ltd.	Offer for subscription Offer for placing Consolidated enterprises	289,000,000 375,700,000	1.880 1.880	1,249.64	2006/05/24 1,703.00	CLSA Equity Capital Markets Ltd.
03988	Bank of China Ltd. – H Shares	Offer for subscription Offer for placing Finance	2,556,859,000 26,847,019,000	2.950 2.950	86,741.44	2006/06/01 70.00	BOCI Asia Ltd. Goldman Sachs (Asia) L.L.C. UBS Investment Bank

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date / subscription rate (times)	Sponsor
00474	Winbox International (Holdings) Ltd.	Offer for sale by way of subscription Industrials	20,000,000	0.550	11.00	2006/06/06 4.10	Goldbond Capital (Asia) Ltd.
00527	Galaxy Semi-Conductor Holdings Ltd.	Offer for subscription Offer for placing Industrials	30,000,000 70,000,000	0.860 0.860	86.00	2006/06/09 23.93	South China Capital Ltd.
00549	Jilin Qifeng Chemical Fiber Co., Ltd. – H Shares	Offer for subscription Offer for placing Industrials	23,628,000 212,622,000	1.690 1.690	399.26	2006/06/21 1.40	BOCI Asia Ltd. SBI E2-Capital (HK) Ltd.
00515	TC Interconnect Holdings Ltd.	Offer for subscription Offer for placing Industrials	6,000,000 54,000,000	1.000 1.000	60.00	2006/06/23 3.67	CAF Securities Co. Ltd.
00352	Fortune Sun (China) Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Properties	7,028,000 20,280,000 42,972,000	1.060 1.060 1.060	74.50	2006/07/05 1.80	REXCAPITAL (Hong Kong) Ltd. Taifook Capital Ltd.
00813	Shimao Property Holdings Ltd.	Offer for subscription Offer for placing Properties	31,973,500 652,419,000	6.250 6	4,277.45	2006/07/05 0.54	Goldman Sachs (Asia) L.L.C. Morgan Stanley Dean Witter Asia Ltd.
03900	Greentown China Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Properties	32,468,000 25,974,000 314,934,500	8.220 8.220 8.220	3,069.15	2006/07/13 11.05	J.P. Morgan Securities (Asia Pacific) Ltd.
03989	Hembly International Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Consolidated enterprises	6,720,000 7,200,000 63,360,000	1.700 1.700 1.700	131.38	2006/07/13 1.24	Deloitte & Touche Corporate Finance Ltd. SBI Crosby Ltd.
03899 #	Enric Energy Equipment Holdings Ltd.	Introduction Industrials	–	–	–	2006/07/20 –	China Everbright Capital Ltd.
03322	Win Hanverky Holdings Ltd.	Offer for subscription Offer for placing Industrials	150,000,000 195,000,000	2.280 2.280	786.60	2006/09/06 365.70	DBS Asia Capital Ltd.
03303	Jutal Offshore Oil Services Ltd.	Offer for subscription Offer for placing Industrials	50,000,000 65,000,000	1.380 1.380	158.70	2006/09/21 246.00	Sun Hung Kai International Ltd.
03968	China Merchants Bank Co., Ltd. – H Shares	Offer for subscription Offer for placing Finance	440,000,000 1,980,000,000	8.550 8.550	20,691.00	2006/09/22 266.05	China International Capital Corporation (Hong Kong) Ltd. UBS Investment Bank
03983	China BlueChemical Ltd. – H Shares	Offer for subscription Offer for placing Industrials	700,000,000 910,000,000	1.900 1.900	3,059.00	2006/09/29 478.00	J.P. Morgan Securities (Asia Pacific) Ltd. UBS AG
02700	Smart Union Group (Holdings) Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	21,600,000 12,000,000 38,400,000	1.100 1.100 1.100	79.20	2006/09/29 43.30	Sun Hung Kai International Ltd.
00637	Lee Kee Holdings Ltd.	Offer for subscription Offer for placing Consolidated enterprises	100,000,000 130,000,000	2.670 2.670	614.10	2006/10/04 266.00	Cazenove Asia Ltd.
00272	Shui On Land Ltd.	Offer for subscription Offer for placing Properties	115,875,000 1,158,745,600	5.350 5.350	6,819.22	2006/10/04 11.91	Deutsche Bank AG, Hong Kong Branch J.P. Morgan Securities (Asia Pacific) Ltd. The Hongkong and Shanghai Banking Corporation Ltd.
00667	HannStar Board International Holdings Ltd.	Offer for subscription Offer for placing Industrials	162,500,000 178,750,000	1.770 1.770	604.01	2006/10/06 312.00	Polaris Securities (Hong Kong) Ltd. TSC Capital Ltd.
00320	Computime Group Ltd.	Offer for subscription Offer for placing Industrials	100,000,000 130,000,000	2.280 2.280	524.40	2006/10/09 682.00	J.P. Morgan Securities (Asia Pacific) Ltd.
00337	SPG Land (Holdings) Ltd.	Offer for subscription Offer for placing Properties	100,000,000 187,500,000	4.780 4.780	1,374.25	2006/10/10 89.00	DBS Asia Capital Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date / subscription rate (times)	Sponsor
00558	L.K. Technology Holdings Ltd.	Offer for subscription Offer for placing Industrials	75,000,000 175,000,000	1.110 1.110	277.50	2006/10/16 15.10	Taifook Capital Ltd.
03918	NagaCorp Ltd.	Offer for subscription Offer for placing Consolidated enterprises	250,000,000 325,000,000	1.430 1.430	822.25	2006/10/19 118.00	Anglo Chinese Corporate Finance, Ltd.
01398	Industrial and Commercial Bank of China Ltd.-H Shares	Offer for subscription Offer for sale Offer for placing Finance	3,539,100,000 8,139,930,000 29,020,620,000	3.070 3.070 3.070	124,947.93	2006/10/27 75.74	China International Capital Corporation (Hong Kong) Ltd. ICEA Capital Ltd. Merrill Lynch Far East Ltd.
00609	Tiande Chemical Holdings Ltd.	Offer for subscription Offer for placing Industrials	30,000,000 70,000,000	1.020 1.020	102.00	2006/10/27 41.90	First Shanghai Capital Ltd.
01888	Kingboard Laminates Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	75,000,000 675,000,000 75,000,000	7.730 7.730 7.730	6,377.25	2006/12/07 142.70	Goldman Sachs (Asia) L.L.C.
00477	AUPU Group Holding Co. Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	81,600,000 34,000,000 119,000,000	1.230 1.230 1.230	288.56	2006/12/08 90.00	BCOM Securities Co. Ltd. ICEA Capital Ltd.
00552	China Communications Services Corporation Ltd. – H Shares	Offer for subscription Offer for placing Consolidated enterprises	645,648,000 839,338,000	2.200 2.200	3,266.97	2006/12/08 329.00	China International Capital Corporation (Hong Kong) Ltd. Goldman Sachs (Asia) L.L.C.
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	Offer for subscription Offer for placing Miscellaneous	86,400,000 112,315,000	12.680 12.680	2,519.71	2006/12/08 535.00	Cazenove Asia Ltd. UBS AG
00528	Kingdom Holdings Ltd.	Offer for subscription Offer for placing Industrials	45,000,000 127,500,000	1.750 1.750	301.88	2006/12/12 28.00	BCOM Securities Co. Ltd. Guotai Junan Capital Ltd.
01800	China Communications Construction Co. Ltd. – H Shares	Offer for subscription Offer for placing Industrials	700,000,000 3,325,000,000	4.600 4.600	18,515.00	2006/12/15 219.97	BOCI Asia Ltd. Merrill Lynch Far East Ltd. UBS AG
01868	Neo-Neon Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	60,000,000 70,000,000 100,000,000	6.900 6.900 6.900	1,587.00	2006/12/15 48.00	Deutsche Bank AG, Hong Kong Branch ICEA Capital Ltd.
02006	Shanghai Jin Jiang Int'l Hotels (Group) Co. Ltd. – H Shares	Offer for subscription Offer for placing Hotels	550,000,000 715,000,000	2.200 2.200	2,783.00	2006/12/15 382.00	BNP Paribas Peregrine Capital Ltd.
01388	Embry Holdings Ltd.	Offer for subscription Offer for placing Industrials	40,000,000 60,000,000	3.620 3.620	362.00	2006/12/18 83.60	Taifook Capital Ltd.
01898	China Coal Energy Co. Ltd. – H Shares	Offer for subscription Offer for placing Industrials	649,276,000 3,084,054,000	4.050 4.050	15,119.99	2006/12/19 182.00	China International Capital Corporation (Hong Kong) Ltd. Citigroup Global Markets Asia Ltd. Morgan Stanley Dean Witter Asia Ltd.
03898	Zhuzhou CSR Times Electric Co., Ltd. – H Shares	Offer for subscription Offer for placing Industrials	180,280,000 234,364,000	5.300 5.300	2,197.61	2006/12/20 205.30	CAF Securities Co. Ltd. Macquarie Securities Ltd.
01399	Scud Group Ltd.	Offer for subscription Offer for placing Industrials	130,000,000 169,000,000	2.020 2.020	603.98	2006/12/21 385.00	Cazenove Asia Ltd.
01899	Xingda International Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Industrials	154,400,000 579,000,000 231,600,000	3.080 3.080 3.080	1,367.21	2006/12/21 99.50	Goldman Sachs (Asia) L.L.C.
01882	Haitian International Holdings Ltd.	Offer for subscription Offer for placing Industrials	199,500,000 199,500,000	3.950 3.950	1,576.05	2006/12/22 226.73	BNP Paribas Capital (Asia Pacific) Ltd.
01389	Ming An (Holdings) Co. Ltd., The	Offer for subscription Offer for sale Offer for placing Finance	350,170,000 99,000,000 356,214,000	1.880 1.880 1.880	1,514.12	2006/12/22 539.68	Credit Suisse (Hong Kong) Ltd.
				Total 332,083.19			

Switch from Growth Enterprise Market as new listing

Withdrawal of listed companies

Code	Company	Last trading date	Delisted date
00368	Jilin Chemical Industrial Co. Ltd. – H Shares	2006/01/17	2006/01/23
00543	Thai Asset Fund Ltd., The	2006/01/24	2006/02/01
00301	New World TMT Ltd	2006/02/14	2006/02/21
00540	Thai-Asia Fund Ltd., The	2006/01/27	2006/03/14
01128	Sinopec Zhenhai Refining & Chemical Co. Ltd. – H Shares	2006/03/17	2006/03/24
00331	China Resources Peoples Telephone Co. Ltd.	2006/01/12	2006/03/29
00930	Asia Aluminum Holdings Ltd.	2006/05/11	2006/05/24
00916	Fu Cheong International Holdings Ltd.	2002/12/13	2006/06/28
00712	China Resources Cement Holdings Ltd.	2006/07/13	2006/07/26
00708	People's Food Holdings Ltd.	2006/08/03	2006/08/09
00396	Gold-Face Holdings Ltd.	2003/05/16	2006/09/20
00623	SNP Leefung Holdings Ltd.	2006/09/18	2006/09/27
00926	Egana Jewellery & Pearls Ltd.	2006/10/11	2006/10/24
00866	SUNDAY Communications Ltd.	2006/12/12	2006/12/20
00098	Winsor Industrial Corporation Ltd.	2006/12/14	2006/12/21

Company name changes

Code	Former name	New name	Effective date	Adoption date
02324	China Northern Enterprises Investment Fund Ltd.	Sino Katalytics Investment Corporation	2005/11/17	2006/01/12
00062	Kowloon Motor Bus Holdings Ltd., The	Transport International Holdings Ltd.	2005/11/28	2006/01/23
00702	GeoMaxima Energy Holdings Ltd.	Genesis Energy Holdings Ltd.	2005/12/28	2006/01/24
00690	New Spring Holdings Ltd.	Uni-Bio Science Group Ltd.	2005/12/15	2006/02/07
00621	CIG – WH International (Holdings) Ltd.	Wing Hing International (Holdings) Ltd.	2005/12/20	2006/02/10
00059	renren Holdings Ltd.	Skyfame Realty (Holdings) Ltd.	2006/02/17	2006/03/14
00397	Starbow Holdings Ltd.	Town Health Medical Technology Holdings Co. Ltd.	2006/03/06	2006/04/10
00626	JCG Holdings Ltd.	Public Financial Holdings Ltd.	2006/03/20	2006/04/21
00353	Orient Industries Holdings Ltd.	Aurora Global Investment Holdings Ltd.	2006/03/10	2006/04/27
00155	REXCAPITAL International Holdings Ltd.	China Solar Energy Holdings Ltd.	2006/03/30	2006/05/03
00342	NewOcean Green Energy Holdings Ltd.	NewOcean Energy Holdings Ltd.	2006/05/05	2006/05/12
00941	China Mobile (Hong Kong) Ltd.	China Mobile Ltd.	2006/05/29	2006/05/29
00835	Wanji Pharmaceutical Holdings Ltd.	Nubrand Group Holdings Ltd.	2006/04/19	2006/06/08
00859	Zida Computer Technologies Ltd.	Henry Group Holdings Ltd.	2006/05/03	2006/06/08
01051	Credit Card DNA Security System (Holdings) Ltd.	Smart Rich Energy Finance (Holdings) Ltd.	2006/05/29	2006/06/22
00875	First Dragoncom Agro-Strategy Holdings Ltd.	Ever Fortune International Holdings Ltd.	2006/06/13	2006/06/29
00199	Cheung Tai Hong Holdings Ltd.	Macau Prime Properties Holdings Ltd.	2006/05/23	2006/07/05
00279	Inner Mongolia Development (Holdings) Ltd.	Freeman Corporation Ltd.	2006/06/14	2006/07/07
00665	Tai Fook Securities Group Ltd. *	Taifook Securities Group Ltd.	2006/06/06	2006/07/07
02371	ZZNode Holdings Co. Ltd.	ZZNode Technologies Co. Ltd.	2006/05/17	2006/07/14
00979	China Nan Feng Group Ltd.	Green Energy Group Ltd.	2006/06/07	2006/07/19
00061	Technology Venture Holdings Ltd.	Venture International Investment Holdings Ltd.	2006/05/31	2006/07/21
00419	Universal Holdings Ltd.	Asian Union New Media (Group) Ltd.	2006/06/29	2006/08/15
00616	Asia Alliance Holdings Ltd.	Easyknit Enterprises Holdings Ltd.	2006/08/10	2006/08/25
00905	Haywood Investments Ltd.	Apex Capital Ltd.	2006/06/23	2006/08/25
00632	China Merchants DiChain (Asia) Ltd.	Pearl Oriental Innovation Ltd.	2006/08/02	2006/09/07
00979	Green Energy Group Ltd. #	Green Energy Group Ltd.	2006/08/22	2006/09/07
00091	New Smart Holdings Ltd.	New Smart Energy Group Ltd.	2006/09/22	2006/09/26
02330	Techwayson Holdings Ltd.	Quaypoint Corporation Ltd., The	2006/08/18	2006/10/03
00603	Nippon Asia Investments Holdings Ltd.	China Oil And Gas Group Ltd.	2006/09/26	2006/10/26
00519	Applied International Holdings Ltd.	Applied Development Holdings Ltd.	2006/10/04	2006/10/31
00689	Great Wall Cybertech Ltd.	EPI (Holdings) Ltd.	2006/09/22	2006/10/31
02300	Vision Grande Group Holdings Ltd.	AMVIG Holdings Ltd.	2006/09/22	2006/11/01
00347	Angang New Steel Co. Ltd. – H Shares	Angang Steel Co. Ltd. – H Shares	2006/09/25	2006/11/07
00724	Semtech International Holdings Ltd.	Sino-Tech International Holdings Ltd.	2006/10/31	2006/11/23
00397	Town Health Medical Technology Holdings Co. Ltd.	Hong Kong Health Check and Laboratory Holdings Co. Ltd.	2006/10/27	2006/11/24
00297	Sinochem Hong Kong Holdings Ltd.	Sinofert Holdings Ltd.	2006/11/16	2006/12/14
00245	Landune International Ltd.	China Seven Star Shopping Ltd.	2006/12/22	2006/12/29
01111	Liu Chong Hing Bank Ltd.	Chong Hing Bank Ltd.	2006/12/23	2006/12/29

* Change of English name only

Change of Chinese name only

Foreign companies as at the end of 2006

Code	Name	Classification	Incorporation	Listing date
00557	City e-Solutions Ltd	Hotels	Cayman Islands	1989/12/29
00945	Manulife Financial Corporation	Finance	Canada	1999/09/27
00575	Regent Pacific Group Ltd	Finance	Cayman Islands	1997/05/19
00770	Shanghai International Shanghai Growth Investment Ltd	Finance	Cayman Islands	1993/11/30
00678	Star Cruises Ltd	Consolidated enterprises	Bermuda	2000/11/30
00693	Tan Chong International Ltd	Consolidated enterprises	Bermuda	1998/07/07
02317	Vedan International (Holdings) Ltd	Industrials	Cayman Islands	2003/06/27
03918	NagaCorp Ltd.	Consolidated enterprises	Cayman Islands	2006/10/19

Note: A listed company would be counted as a foreign company if it is incorporated overseas AND has a majority of its business outside Hong Kong and Mainland China, and as a domestic company if otherwise.

Investment companies as at the end of 2006

Code	Name	Classification	Incorporation	Listing date
00170	China Assets (Holdings) Ltd	Finance	Hong Kong	1992/04/15
01227	China Financial Industry Investment Fund Ltd	Finance	Cayman Islands	2002/09/27
00612	China Investments Fund Co Ltd	Finance	Cayman Islands	2002/01/02
00133	China Merchants China Direct Investments Ltd	Finance	Hong Kong	1993/07/22
02324	Sino Katalytics Investment Corporation	Finance	Cayman Islands	2003/10/27
00810	China Treasure (Greater China) Investments Ltd	Finance	Cayman Islands	2002/02/28
01140	Concepta Investments Ltd	Finance	Cayman Islands	2003/03/20
00339	Earnest Investments Holdings Ltd	Finance	Bermuda	2000/07/26
00204	Everest International Investments Ltd	Finance	Cayman Islands	2000/12/12
01226	Garron International Ltd	Finance	Cayman Islands	2002/09/19
02312	Golden 21 Investment Holdings Ltd	Finance	Cayman Islands	2002/10/28
01160	Grand Investment International Ltd	Finance	Bermuda	2004/04/02
00428	Harmony Assets Ltd	Finance	Cayman Islands	1993/12/14
00905	Apex Capital Ltd	Finance	Cayman Islands	1998/07/17
00356	Incutech Investments Ltd	Finance	Cayman Islands	2002/06/07
01062	New Capital International Investment Ltd	Finance	Cayman Islands	1994/05/10
00721	Prime Investments Holdings Ltd	Finance	Cayman Islands	2001/06/08
00310	Prosperity Investment Holdings Ltd	Finance	Bermuda	1992/12/21
00901	Radford Capital Investment Ltd	Finance	Cayman Islands	2002/02/28
00770	Shanghai International Shanghai Growth Investment Ltd	Finance	Cayman Islands	1993/11/30
01217	Sino Technology Investments Co Ltd	Finance	Cayman Islands	2002/08/28
00768	UBA Investments Ltd	Finance	Cayman Islands	2000/01/18
00913	Unity Investments Holdings Ltd	Finance	Cayman Islands	1999/10/27
01186	Value Partners China Greenchip Fund Ltd	Finance	Cayman Islands	2002/04/08
00666	Yu Ming Investment Ltd	Finance	Hong Kong	1990/12/21

Secondary listing stocks as at the end of 2006

Code	Name	Classification	Incorporation	Listing date	Primary list
00945	Manulife Financial Corporation	Finance	Canada	1999/09/27	Toronto

Reorganisations/demergers

Code	Company	Particulars	Proposed date Completed / EGM date
00013	Hutchison Whampoa Ltd. (HWL)	HWL proposed a separate listing of Hutchison China Meditech Ltd. (HCML) by way of a new issue and placing. Shareholders of HWL entitled to participate in the preferential offer of 1 reserved HCML share for every 19,482 HWL shares held at a price of \$39.62 per share. The shares of HCML would be listed on the Alternative Investment Market of the London Stock Exchange Plc.	2006/04/07 2007/05/19
00041	Great Eagle Holdings Ltd. (GEHL)	GEHL proposed a separate listing of Champion Real Estate Investment Trust (CREIT) by way of a new issue and placing. Shareholders of GEHL entitled to participate in the preferential offer of 1 reserved CREIT unit for every 4 GEHL shares held at a price of \$5.10 per unit. The units of CREIT would be listed on the Stock Exchange.	2006/04/07 2006/05/24
00882	Tianjin Development Holdings Ltd. (TDHL)	TDHL proposed a separate listing of Tianjin Port Development Holdings Ltd. (TPDHL) by way of a new issue and placing. Shareholders of TDHL entitled to participate in the preferential offer of 1 reserved TPDHL share for every 15.6258 TDHL shares held at a price of \$1.88 per share. The shares of TPDHL would be listed on the Stock Exchange.	2006/04/20 2006/05/24
00983	Shui On Construction and Materials Ltd. (SOCML)	SOCML proposed a separate listing of Shui On Land Ltd. (SOL) by way of a new issue and placing. The shares of SOL would be listed on the Stock Exchange.	2006/07/31 2006/10/04
00190	Hong Kong Construction (Holdings) Ltd. (HK Construction)	By a scheme of arrangement, Hong Kong Construction became a wholly-owned subsidiary of HKC (Holdings) Ltd (HKC). Shareholders received 1 HKC share in exchange for every HK Construction share. The listing of the shares of HK Construction will be withdrawn and the shares of HKC would be listed on the Stock Exchange by way of introduction.	2006/08/11 2006/11/17
00148	Kingboard Chemical Holdings Ltd. (KCHL)	KCHL proposed a separate listing of Kingboard Laminates Holdings Ltd. (KLHL) by way of a new issue and placing. Shareholders of KCHL entitled to participate in the preferential offer of 22 reserved KLHL shares for every 500 KCHL shares held at a price of \$7.73 per share. The shares of KLHL would be listed on the Stock Exchange.	2006/11/02 2006/12/07

Privatisations by major shareholder

Code	Company	Particulars	Last trading date	Delisted date
00301	New World TMT Ltd.	Cash offer HK\$ 0.75 for each share	2006/02/14	2006/02/21
01128	Sinopec Zhenhai Refining & Chemical Co. Ltd. – H Shares	Cash offer HK\$10.60 for each share	2006/03/17	2006/03/24
00930	Asia Aluminum Holdings Ltd.	Cash offer HK\$1.45 for each share, HK\$0.64 for each option	2006/05/11	2006/05/24
00712	China Resources Cement Holdings Ltd.	Cash offer HK\$2.45 for each shares or 1 Smooth Concept share for each share; HK\$4,900 for any HK\$4,000 principal amount of the convertible bonds	2006/07/13	2006/07/26
00623	SNP Leefung Holdings Ltd.	Cash offer HK\$1.68 for each share, HK\$0.651 for each option with exercise price of HK\$1.029, HK\$0.540 for each option with exercise price of HK\$1.140, HK\$0.259 for each option with exercise price of HK\$1.421, HK\$0.083 for each option with exercise price of HK\$1.597	2006/09/18	2006/09/27
00926	Egana Jewellery & Pearls Ltd.	1 EganaGoldpfeil share for every 1.5 shares or cash offer HK\$1.80 for each share	2006/10/11	2006/10/24
00098	Winsor Industrial Corporation Ltd.	Cash offer HK\$5.50 for each share	2006/12/14	2006/12/21

Change of domicile

Code	Former company	New company	Place of incorporation	Effective date
00339	Earnest Investments Holdings Ltd	Earnest Investments Holdings Ltd	Bermuda	2006/01/06
00721	Prime Investments Holdings Ltd.	Prime Investments Holdings Ltd.	Bermuda	2006/05/09
00190	Hong Kong Construction (Holdings) Ltd.	HKC (Holdings) Ltd.	Bermuda	2006/11/17

Companies under suspension as at the end of 2006

Code	Stock	Last closing price		Date of suspension
		(HK\$)	(Date)	
00931	Artel Solutions Group Holdings Ltd.	0.037	(2006/09/07)	2006/09/07
00021	Beauforte Investors Corporation Ltd.	0.235	(2006/07/03)	2006/07/04
00290	China Conservational Power Holdings Ltd.	0.305	(2005/09/28)	2005/09/29
00503	China Paradise Electronics Retail Ltd.	2.190	(2006/11/15)	2006/11/16
00351	China Sciences Conservational Power Ltd.	0.720	(2005/09/28)	2005/09/29
00235	China Strategic Holdings Ltd.	0.370	(2006/06/16)	2006/06/19
00479	CIL Holdings Ltd.	0.025	(2004/03/31)	2004/04/01
00313	Dickson Group Holdings Ltd.	0.105	(2005/12/29)	2005/12/30
01072	Dongfang Electrical Machinery Co. Ltd. – H Shares	20.800	(2006/12/19)	2006/12/20
00231	Dynamic Global Holdings Ltd.	0.042	(2004/08/18)	2004/08/19
00254	eCyberChina Holdings Ltd.	0.014	(2003/06/27)	2003/06/30
00875	Ever Fortune International Holdings Ltd.	0.043	(2005/04/27)	2005/04/28
00312	Ezcom Holdings Ltd.	0.048	(2005/05/13)	2005/05/17
00399	Far East Pharmaceutical Technology Co. Ltd.	0.068	(2004/06/17)	2004/06/17
00885	Forefront International Holdings Ltd.	0.570	(2004/05/13)	2004/05/14
00143	Global Tech (Holdings) Ltd.	0.050	(2004/07/16)	2004/07/19
00921	Guangdong Kelon Electrical Holdings Co. Ltd. – H Shares	0.890	(2005/06/16)	2005/06/16
00703	Innovo Leisure Recreation Holdings Ltd.	0.101	(2003/12/12)	2003/12/15
00295	Kong Sun Holdings Ltd.	0.025	(2004/06/16)	2004/06/17
01108	Luoyang Glass Co. Ltd. – H Shares	0.870	(2006/10/27)	2006/10/31
00389	Moulin Global Eyecare Holdings Ltd.	5.050	(2005/04/15)	2005/04/18
01176	Nam Fong International Holdings Ltd.	0.075	(2005/02/07)	2005/02/07
00456	New City (Beijing) Development Ltd.	0.265	(2003/12/29)	2003/12/30
00660	Nority International Group Ltd.	0.470	(2006/05/16)	2006/05/17
02882	Ocean Grand Chemicals Holdings Ltd.	0.620	(2006/07/14)	2006/07/17
01220	Ocean Grand Holdings Ltd.	1.010	(2006/07/14)	2006/07/17
00615	Orient Power Holdings Ltd.	0.188	(2006/04/27)	2006/04/27
00735	Oriental Investment Corporation Ltd.	0.395	(2006/12/21)	2006/12/22
01013	Plus Holdings Ltd.	0.060	(2004/12/16)	2004/12/17
00721	Prime Investments Holdings Ltd.	0.325	(2005/02/08)	2005/02/14
02330	Quaypoint Corporation Ltd., The	0.330	(2006/03/15)	2006/03/16
00140	Sanyuan Group Ltd.	0.150	(2004/05/12)	2004/05/13
03333	Senyuan International Holdings Ltd.	1.600	(2006/10/06)	2006/10/09
00747	Shenyang Public Utility Holdings Co. Ltd. – H Shares	0.600	(2004/12/14)	2004/12/15
00766	Sino Prosper Holdings Ltd.	0.640	(2006/12/28)	2006/12/28
00198	SMI Corporation Ltd.	0.355	(2005/04/28)	2005/04/28
00211	Styland Holdings Ltd.	0.025	(2004/04/20)	2004/04/21
00433	Sun Man Tai Holdings Co. Ltd.	0.118	(2003/06/05)	2003/06/06
00663	Swank International Manufacturing Co. Ltd.	0.135	(2006/12/11)	2006/12/11
00417	Tse Sui Luen Jewellery (International) Ltd.	0.840	(2006/01/18)	2006/01/19
01139	Victory Group Ltd.	0.149	(2006/09/26)	2006/09/27
00922	Vision Tech International Holdings Ltd.	0.049	(2003/02/05)	2003/02/06
00713	World Houseware (Holdings) Ltd.	0.195	(2006/09/05)	2006/09/06

Takeovers and mergers

Code	Company	Proposed date Offer closing date
00331	China Resources Peoples Telephone Co. Ltd. Voluntary conditional cash offer was made by Fit Best Ltd. at a price of HK\$4.55 for each share and HK\$1.00 for each option	2005/11/10 2006/01/12
00368	Jilin Chemical Industrial Co. Ltd. – H Shares Voluntary conditional cash offer was made by PetroChina Co. Ltd. at a price of HK\$2.80 for each H share, HK\$280 for each ADS and RMB5.25 for each A share	2005/11/16 2006/02/03
00512	MAXX Bioscience Holdings Ltd. Mandatory unconditional cash offer was made by Outwit Investments Ltd. at a price of HK\$0.075 for each share	2006/02/17 2006/03/10
00989	China Motion Telecom International Ltd. Mandatory conditional cash offer was made by Oriental Base Ltd. at a price of HK\$0.0694 for each share and HK\$0.0001 for each option	2006/02/09 2006/03/02
00979	China Nan Feng Group Ltd. (Name changed to Green Energy Group Ltd.) Mandatory conditional cash offer was made by Always Adept Ltd. at a price of HK\$0.093 for each share	2006/03/02 2006/03/24
00711	Chun Wo Holdings Ltd. Voluntary conditional cash offer was made by GT Winners Ltd. at a price of HK\$0.84 for each share and HK\$0.001 for each option	2006/03/29 2006/06/12
00305	Magnum International Holdings Ltd. Mandatory cash offer was made by Dragon Hill Development Ltd. at a price of HK\$0.03155 for each share and HK\$0.001 for each option	2006/06/22 2006/07/13
00660	Nority International Group Ltd. Mandatory unconditional cash offer was made by Micon Ltd. at a price of HK\$0.47 for each share	2006/04/10 2006/05/16
00142	First Pacific Co. Ltd. Mandatory conditional cash offer was made by Salerni International Ltd. at a price of HK\$2.20 for each share and HK\$0.44 for each option	2006/05/12 2006/06/02
00032	Cross-Harbour (Holdings) Ltd., The Mandatory conditional cash offer was made by Honway Holdings Ltd at a price of HK\$4.083 for each share and HK\$1.591 for each option	2006/05/25 2006/06/15
00235	China Strategic Holdings Ltd. Mandatory unconditional cash offer was made by Nation Field Ltd. at a price of HK\$0.386 for each share	2006/05/26 2006/06/16
00597	CSMC Technologies Corporation Voluntary conditional offer was made by China Resources Logic Ltd. at a price of HK\$0.42 or 0.43 China Resources Logic share for each share	2006/06/15 2006/07/12
00650	Shun Cheong Holdings Ltd. Voluntary conditional offer was made by Upsky Enterprises Ltd. at a price of HK\$0.30 for each share	2006/06/12 2006/07/17
01031	Golden Resorts Group Ltd. Mandatory conditional cash offer was made by Sure Expert Ltd. at a price of HK\$1.94 for each shares, HK\$0.43 for each option I and HK\$0.001 for each option II	2006/08/22 2006/09/22
00662	Asia Financial Holdings Ltd. Mandatory conditional cash offer was made by Givemore Investments Ltd. at a price of HK\$3.50 for each share	2006/08/21 2006/09/25
03333	Senyuan International Holdings Ltd. Voluntary conditional cash offer was made by Eaton Holdings II S.A.R.L. at a price of HK\$1.49 for each share, HK\$1.62 for share if 90% threshold condition is satisfied	2006/09/01 2006/10/06
00989	China Motion Telecom International Ltd. Mandatory unconditional cash offer was made by Marvel Bonus Holdings Ltd. at a price of HK\$0.055 for each share and HK\$0.0001 for each option	2006/10/23 2006/11/13
00503	China Paradise Electronics Retail Ltd. Voluntary conditional offer was made by GOME Electrical Appliances Holding Ltd. at a price of 0.3247 GOME share and HK\$0.1736 in cash for each share	2006/08/29 2006/11/15
00595	AV Concept Holdings Ltd. Mandatory conditional cash offer was made by Jade Concept Ltd. at a price of HK\$0.53 for each share	2006/10/31 2006/11/21
00593	Quality HealthCare Asia Ltd. Mandatory unconditional cash offer was made by Wah Cheong Development (B.V.I.) Ltd. at a price of HK\$3.25 for each share, HK\$0.79 for each warrant and HK\$1.78 for each share option	2006/10/26 2006/11/23
00996	CASH Retail Management Group Ltd. Mandatory conditional cash offer was made by Fit Top Investments Ltd. at a price of HK\$0.36 for each share and HK\$0.0001 for each CRMG convertible note	2006/10/26 2006/11/30
00905	Apex Capital Ltd. Mandatory unconditional cash offer was made by China TianDiXing Logistics Holdings Ltd. at a price of HK\$0.0695 for each share	2006/11/21 2006/12/12
00275	Hanny Holdings Ltd. Mandatory conditional offer was made by Famex Investment Ltd. at a price of HK\$3.80 for each share and HK\$6.3334 for each convertible bond	2006/11/13 2006/12/13
00104	Asia Commercial Holdings Ltd. Mandatory conditional cash offer was made by Century Hero International Ltd. at a price of HK\$0.68 for each share and HK\$0.01 for each option	2006/11/22 2006/12/13
00120	Cosmopolitan International Holdings Ltd. Mandatory unconditional cash offer was made by Giant Sino Group Ltd. at a price of HK\$0.05 for each share	2006/12/06 2006/12/27

Share subdivisions and share consolidations

Code	Stock	Particulars	Old par value (HK\$)	New par value (HK\$)	Effective date
00901	Radford Capital Investment Ltd.	Consolidation 2 into 1	0.0200	0.0400	2006/01/03
00339	Earnest Investments Holdings Ltd.	Consolidation 40 into 1	0.0200	0.0200 (1)	2006/02/02
00851	MAE Holdings Ltd.	Consolidation 10 into 1	0.0100	0.1000	2006/02/14
00752	Pico Far East Holdings Ltd.	Split 1 into 2	0.1000	0.0500	2006/02/21
00651	Wonson International Holdings Ltd.	Consolidation 5 into 1	0.0100	0.0100 (2)	2006/03/06
00530	Fortuna International Holdings Ltd.	Consolidation 10 into 1	0.0100 (3)	0.0010	2006/03/21
01051	Smart Rich Energy Finance (Holdings) Ltd. (Formerly: Credit Card DNA Security System (Holdings) Ltd.)	Consolidation 6 into 1	0.0100	0.0100 (4)	2006/03/30
00439	Climax International Co. Ltd.	Consolidation 20 into 1	0.0100	0.0100 (5)	2006/04/11
01182	Foundation Group Ltd.	Consolidation 20 into 1	0.0010 (6)	0.0010	2006/04/27
01064	Zhong Hua International Holdings Ltd.	Consolidation 10 into 1	0.0200	0.2000	2006/04/28
00299	SinoCom Software Group Ltd.	Split 1 into 4	0.1000	0.0250	2006/05/11
01105	Sing Tao News Corporation Ltd.	Consolidation 2 into 1	0.1000	0.2000	2006/05/18
00235	China Strategic Holdings Ltd.	Consolidation 2 into 1	0.1000 (7)	0.1000	2006/05/19
00621	Wing Hing International (Holdings) Ltd.	Consolidation 10 into 1	0.1000	1.0000	2006/05/23
00632	Pearl Oriental Innovation Ltd. (Formerly: China Merchants DiChain (Asia) Ltd.)	Consolidation 50 into 1	0.0100	0.5000	2006/05/23
00979	Green Energy Group Ltd. (Formerly: China Nan Feng Group Ltd.)	Consolidation 10 into 1	0.0100	0.1000	2006/06/06
00720	Wo Kee Hong (Holdings) Ltd.	Consolidation 10 into 1	0.1000	1.0000	2006/06/16
00760	Tomorrow International Holdings Ltd	Consolidation 4 into 1	0.0100	0.0400	2006/06/21
00818	Hi Sun Technology (China) Ltd.	Split 1 into 4	0.0100	0.0025	2006/06/29
00767	Pacific Plywood Holdings Ltd.	Consolidation 10 into 1	0.0250	0.0250 (8)	2006/07/20
00689	EPI (Holdings) Ltd. (Formerly: Great Wall Cybertech Ltd.)	Consolidation 100 into 1	0.0100	0.0100 (9)	2006/08/01
00585	Imagi International Holdings Ltd.	Split 1 into 5	0.5000	0.1000	2006/09/22
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	Split 1 into 4	RMB 1.0000	RMB 0.2500	2006/09/28
00952	Quam Ltd.	Split 1 into 2	0.0100	0.0050	2006/10/03
01218	Easyknit International Holdings Ltd.	Consolidation 10 into 1	0.1000	0.0100 (10)	2006/10/17
00988	Sun's Group Ltd., The	Consolidation 1,000 into 1	0.0010	0.0100 (11)	2006/10/20
00491	See Corporation Ltd.	Consolidation 100 into 1	0.0100 (12)	0.0100	2006/11/01
00079	Century Legend (Holdings) Ltd.	Consolidation 20 into 1	0.0100	0.2000	2006/11/09
00530	Fortuna International Holdings Ltd.	Consolidation 20 into 1	0.0010	0.0200	2006/11/15
00305	Magnum International Holdings Ltd.	Consolidation 4 into 1	0.0010	0.0040	2006/11/28
00182	Hong Kong Pharmaceutical Holdings Ltd.	Consolidation 10 into 1	0.1000 (13)	0.0100	2006/12/07
00428	Harmony Asset Ltd.	Consolidation 5 into 1	0.2000	1.0000	2006/12/14
00718	Bestway International Holdings Ltd.	Consolidation 20 into 1	0.0100 (14)	0.1000	2006/12/15

Notes:

- (1) Capital reduced by HK\$0.78 of par from HK\$0.80 to HK\$0.02
- (2) Capital reduced by HK\$0.04 of par from HK\$0.05 to HK\$0.01
- (3) Capital reduced by HK\$0.0099 of par from HK\$0.01 to HK\$0.0001
- (4) Capital reduced by HK\$0.05 of par from HK\$0.06 to HK\$0.01
- (5) Capital reduced by HK\$0.19 of par from HK\$0.20 to HK\$0.01
- (6) Capital reduced by HK\$0.00095 of par from HK\$0.001 to HK\$0.00005
- (7) Capital reduced by HK\$0.05 of par from HK\$0.10 to HK\$0.05
- (8) Capital reduced by HK\$0.225 of par from HK\$0.25 to HK\$0.025
- (9) Capital reduced by HK\$0.99 of par from HK\$1.00 to HK\$0.01
- (10) Capital reduced by HK\$0.99 of par from HK\$1.00 to HK\$0.01
- (11) Capital reduced by HK\$0.99 of par from HK\$1.00 to HK\$0.01
- (12) Capital reduced by HK\$0.0099 of par from HK\$0.01 to HK\$0.0001
- (13) Capital reduced by HK\$0.099 of par from HK\$0.10 to HK\$0.001
- (14) Capital reduced by HK\$0.005 of par from HK\$0.01 to HK\$0.005

Bonus issues/bonus warrants

Code	Stock	Particulars	Ex-date
00876	Wing Lee Holdings Ltd.	1 for 1	2006/01/17
00364	Huafeng Textile International Group Ltd.	1 for 20	2006/02/16
02899	Zijin Mining Group Co., Ltd. – H Shares	10 for 10	2006/04/12
00635	Playmates Holdings Ltd.	1 2007 wt for 5	2006/04/26
01053	Chongqing Iron & Steel Co. Ltd. – H Shares	3 for 10	2006/05/08
00494	Li & Fung Ltd.	1 for 10	2006/05/09
00086	Sun Hung Kai & Co. Ltd.	1 2009 wt for 5	2006/05/12
00036	Far East Technology International Ltd.	1 for 10	2006/05/17
00056	Allied Properties (HK) Ltd.	1 2009 wt for 5	2006/05/18
00600	Honesty Treasure International Holdings Ltd.	1 2009 wt for 10	2006/05/18
00760	Tomorrow International Holdings Ltd.	5 for 7 offer shs	2006/05/19
00945	Manulife Financial Corporation	1 for 1	2006/05/24
02323	Topsearch International (Holdings) Ltd.	1 2008 wt for 10	2006/05/26
00723	Anex International Holdings Ltd.	2 2008 wts for 5 rts shs	2006/06/06
00208	Polytec Asset Holdings Ltd.	1 2007 wt for 10	2006/06/06
00616	Easyknit Enterprises Holdings Ltd. (Formerly: Asia Alliance Holdings Ltd.)	9 for 1	2006/06/08
01160	Grand Investment International Ltd.	1 for 5	2006/06/27
00688	China Overseas Land & Investment Ltd.	1 2007 wt for 8	2006/06/29
00059	Skyfame Realty (Holdings) Ltd. (Formerly: renren Holdings Ltd.)	10 2008 wts for 13 offer shs	2006/07/06
02324	Sino Katalytics Investment Corporation (Formerly: China Northern Enterprises Investment Fund Ltd.)	1 for 1	2006/07/17
01222	Wang On Group Ltd.	1 for 10	2006/08/10
00952	Quam Ltd.	1 for 4	2006/09/07
00690	Uni-Bio Science Group Ltd. (Formerly: New Spring Holdings Ltd.)	2 2008 wts for 10	2006/09/18
00092	Champion Technology Holdings Ltd.	1 2008 wt for 5	2006/11/13
01160	Grand Investment International Ltd.	1 for 5 (for scrip Div)	2006/12/07
01104	Shanghai Merchants Holdings Ltd.	1 2009 wt for 5 rts shs	2006/12/20

Rights issues/open offers

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
00480	HKR International Ltd.	Rts 1 for 6 @\$3.80	733.01	2005/12/21 2006/01/19
00276	New World CyberBase Ltd.	Rts 2 for 1 @\$0.15	148.46	2006/01/09 2006/02/06
02618	TCL Communication Technology Holdings Ltd.	Open offer 1 for 1 @\$0.20	593.78	2006/02/01 2006/02/21
00851	MAE Holdings Ltd	Open offer 1 convertible bond for 1 consolidated sh @\$1.00 (after consolidation 10 into 1)	n.a.	2006/02/07 2006/02/28
00620	UDL Holdings Ltd.	Rts 12 for 5 @\$0.03	71.22	2006/02/09 2006/03/01
00076	South Sea Petroleum Holdings Ltd.	Open offer 1 for 2 @\$0.20	65.05	2006/02/13 2006/03/07
00339	Earnest Investments Holdings Ltd.	Open offer 8 for 1 @\$0.10	7.20	2006/02/17 2006/03/09
00439	Climax International Co. Ltd.	Rts 1 for 2 @\$0.01	19.77	2006/02/17 2006/03/20
00706	FinTronics Holdings Co. Ltd.	Rts 1 for 1 @\$0.10	52.86	2006/02/28 2006/03/21
00626	Public Financial Holdings Ltd.	Rts 1 for 2 @\$7.30	2,661.82	2006/03/10 2006/04/03
00690	Uni-Bio Science Group Ltd.	Open offer 2 for 1 @\$0.50	180.00	2006/03/13 2006/04/03
00214	Asia Orient Holdings Ltd.	Rts 1 for 2 @\$1.30	165.46	2006/03/13 2006/04/06
00197	Heng Tai Consumables Group Ltd.	Open offer 2 for 5 @\$0.75	305.39	2006/03/15 2006/04/12
00298	Chuang's China Investments Ltd.	Rts 1 for 4 @\$0.40	102.44	2006/03/21 2006/04/13
00530	Fortuna International Holdings Ltd.	Open offer 2 for 1 @\$0.01	27.20	2006/03/23 2006/04/18
01218	Easyknit International Holdings Ltd.	Rts 1 for 2 @\$0.12	79.42	2006/03/24 2006/04/20
00292	Asia Standard Hotel Group Ltd.	Rts 1 for 2 @\$0.09	283.86	2006/04/10 2006/05/08
00760	Tomorrow International Holdings Ltd.	Open offer 5 for 4 @\$0.485 with bonus 5 for 7 offer shs	173.43	2006/05/19 2006/06/15
00661	China National Resources Development Holdings Ltd.	Open offer 1 for 2 @\$0.06	62.41	2006/05/11 2006/06/16
00661	China National Resources Development Holdings Ltd.	Open offer 1 pref sh for 2 pref shs @\$7.50	0.04	2006/05/11 2006/06/16
00621	Wing Hing International (Holdings) Ltd.	Rts 1 for 2 @\$1.00 (after consolidation 10 into 1)	18.10	2006/05/24 2006/06/19
00979	Green Energy Group Ltd.	Open offer 3 for 1 @\$0.60 (after consolidation 10 into 1)	153.86	2006/05/29 2006/06/21
00723	Anex International Holdings Ltd.	Rts 1 for 1 @\$0.10 with bonus 2 2008 wts for 5 rts shs	76.86	2006/06/06 2006/06/27
00491	See Corporation Ltd.	Rts 5 for 1 @\$0.014	150.80	2006/06/06 2006/06/27
02349	Wah Yuen Holdings Ltd.	Rts 3 for 2 @\$0.10	32.10	2006/06/08 2006/07/03
01104	Shanghai Merchants Holdings Ltd.	Rts 2 for 1 @\$0.10	82.60	2006/06/14 2006/07/05
00905	Apex Capital Ltd.	Open offer 1 for 2 @\$0.07	5.60	2006/06/19 2006/07/14
00167	IDT International Ltd.	Rts 1 for 5 @\$0.3	125.11	2006/06/30 2006/07/24
00059	Skyfame Realty (Holdings) Ltd.	Open offer 13 for 40 @\$0.90 with Bonus 10 2008 wts for 13 offer shs	240.59	2006/07/06 2006/07/28
00381	Kiu Hung International Holdings Ltd.	Open offer 7 for 20 @\$0.05	38.74	2006/07/25 2006/08/14
00767	Pacific Plywood Holdings Ltd.	Open offer 1 for 1 @\$0.025	13.95	2006/07/21 2006/08/15
00119	Poly (Hong Kong) Investments Ltd.	Rts 1 for 2 @\$1.35	605.43	2006/07/24 2006/08/15
00305	Magnum International Holdings Ltd.	Rts 1 for 2 @\$0.03155	9.70	2006/08/10 2006/08/31
00495	Paladin Ltd.	Open offer 1 convertible redeemable pref sh for 2 @\$0.25	66.03	2006/08/14 2006/09/05
00729	Carico Holdings Ltd.	Open offer 1 for 1 @\$0.12	99.55	2006/08/16 2006/09/07
00535	Frasers Property (China) Ltd.	Rts 1 for 2 @\$0.12	273.70	2006/08/14 2006/09/07
00689	EPI (Holdings) Ltd. (Formerly: Great Wall Cybertech Ltd.)	Open offer 9 for 5 @\$0.06	8.72	2006/08/24 2006/09/15
01217	Sino Technology Investments Co. Ltd.	Rts 1 for 2 @\$0.04	4.00	2006/09/06 2006/09/27
01141	Xin Corporation Ltd.	Open offer 3 for 1 @\$0.12	29.77	2006/09/20 2006/10/11
01228	Tak Shun Technology Group Ltd.	Open offer 2 for 5 @\$0.05	28.80	2006/09/22 2006/10/13
00385	Chinney Alliance Group Ltd.	Open offer 3 for 2 @\$0.25	59.49	2006/09/25 2006/10/20
01218	Easyknit International Holdings Ltd.	Rts 3 for 1 @\$0.35 (after consolidation 10 into 1)	208.48	2006/10/06 2006/11/01

Rights issues/open offers (continued)

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
00129	Asia Standard International Group Ltd.	Rts 1 for 3 @\$0.175	299.34	2006/10/12 2006/11/03
01049	Celestial Asia Securities Holdings Ltd.	Rts 1 for 2 @\$0.28	61.25	2006/10/24 2006/11/14
00079	Century Legend (Holdings) Ltd.	Rts 1 for 2 @\$0.04 (after consolidation 20 into 1)	26.46	2006/11/02 2006/11/23
00120	Cosmopolitan International Holdings Ltd.	Open offer 2 for 1 @\$0.05	53.21	2006/11/01 2006/11/24
00131	Cheuk Nang (Holdings) Ltd.	Rts 1 for 1 @\$4.0	350.95	2006/11/06 2006/11/29
00678	Star Cruises Ltd.	Rts 7 for 25 @\$1.08	1,602.81	2006/11/21 2006/12/20
00365	Sun East Technology (Holdings) Ltd.	Rts 2 for 5 @\$0.12	18.00	2006/11/30 2006/12/27
Total			10,476.84	

Placings

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
02038	Foxconn International Holdings Ltd.	4,640,000	12.5000	58.00	2006/01/04
00214	Asia Orient Holdings Ltd.	16,000,000	1.8500	29.60	2006/01/11
02324	Sino Katalytics Investment Corporation	10,500,000	0.7000	7.35	2006/01/12
00017	New World Development Co. Ltd.	107,000,000	11.5000	1,230.50	2006/01/16
00901	Radford Capital Investment Ltd.	150,000,000	0.1500	22.50	2006/01/16
02369	China Wireless Technologies Ltd.	40,000,000	1.2200	48.80	2006/01/17
00384	China Gas Holdings Ltd.	210,000,000	1.1850	248.85	2006/01/19
00397	Starbow Holdings Ltd. (Name changed to Hong Kong Health Check and Laboratory Holdings Co. Ltd.)	176,580,000	0.0380	6.71	2006/01/26
01109	China Resources Land Ltd.	300,000,000	3.7250	1,117.50	2006/02/02
00855	China Water Affairs Group Ltd.	107,700,000	0.4200	45.23	2006/02/02
01129	Sky Hawk Computer Group Holdings Ltd.	250,000,000	0.1100	27.50	2006/02/02
00304	Peace Mark (Holdings) Ltd.	90,000,000	3.2500	292.50	2006/02/03
01168	Sinolink Worldwide Holdings Ltd.	189,456,448	2.3400	443.33	2006/02/03
00082	Yanion International Holdings Ltd.	124,330,000	0.2350	29.22	2006/02/08
00850	Wing Shing International Holdings Ltd.	60,000,000	0.1650	9.90	2006/02/16
00384	China Gas Holdings Ltd.	2,000,000	1.1280	2.26	2006/02/23
00397	Starbow Holdings Ltd. (Name changed to Hong Kong Health Check and Laboratory Holdings Co. Ltd.)	100,000,000	0.0380	3.80	2006/02/23
00372	ITC Corporation Ltd.	214,400,000	0.7200	154.37	2006/02/24
00563	Neo-China Group (Holdings) Ltd.	496,720,000	0.8900	442.08	2006/02/27
00913	Unity Investments Holdings Ltd.	250,000,000	0.1400	35.00	2006/02/27
02300	Vision Grande Group Holdings Ltd. (Name changed to AMVIG Holdings Ltd.)	96,000,000	2.5000	240.00	2006/02/27
02300	Vision Grande Group Holdings Ltd. (Name changed to AMVIG Holdings Ltd.)	16,670,000	4.2000	70.01	2006/02/27
00539	Victory City International Holdings Ltd.	60,000,000	2.9300	175.80	2006/02/28
00697	Shougang Concord International Enterprises Co. Ltd.	929,000,000	0.5300	492.37	2006/03/01
00497	Capital Strategic Investment Ltd.	50,000,000	1.1000	55.00	2006/03/03
00901	Radford Capital Investment Ltd.	79,000,000	0.1200	9.48	2006/03/08
00903	TPV Technology Ltd.	106,500,000	8.7900	936.14	2006/03/09
00838	EVA Precision Industrial Holdings Ltd.	80,000,000	1.3800	110.40	2006/03/14
00318	Vongroup Ltd.	79,880,000	1.4000	111.83	2006/03/17
00279	Inner Mongolia Development (Holdings) Ltd. (Name changed to Freeman Corporation Ltd.)	250,000,000	0.2000	50.00	2006/03/20
00918	Takson Holdings Ltd.	50,000,000	0.1000	5.00	2006/03/20
00269	Seapower Resources International Ltd.	195,312,500	0.1280	25.00	2006/03/24
00260	Sino Gas Group Ltd.	100,000,000	0.5200	52.00	2006/03/24
02324	Sino Katalytics Investment Corporation	17,300,000	0.7500	12.98	2006/03/27
00571	eSun Holdings Ltd.	74,518,000	5.8000	432.20	2006/03/29
00155	REXCAPITAL International Holdings Ltd. (Name changed to China Solar Energy Holdings Ltd.)	256,750,000	0.5900	151.48	2006/03/29
00497	Capital Strategic Investment Ltd.	45,000,000	1.3100	58.95	2006/03/30
00855	China Water Affairs Group Ltd.	137,600,000	0.9600	132.10	2006/03/30
00412	Heritage International Holdings Ltd.	375,000,000	0.0250	9.38	2006/03/30
00370	China Best Group Holding Ltd.	483,000,000	0.1090	52.65	2006/03/31
00818	Hi Sun Technology (China) Ltd.	66,000,000	1.4600	96.36	2006/03/31
00600	Honesty Treasure International Holdings Ltd.	400,000,000	0.1480	59.20	2006/03/31
00279	Inner Mongolia Development (Holdings) Ltd. (Name changed to Freeman Corporation Ltd.)	150,000,000	0.2000	30.00	2006/03/31
00232	CATIC International Holdings Ltd.	268,500,000	0.1150	30.88	2006/04/04
00555	REXCAPITAL Financial Holdings Ltd.	600,000,000	0.2000	120.00	2006/04/04
00769	China Rare Earth Holdings Ltd.	197,390,000	1.3800	272.40	2006/04/11
00362	Daqing Petroleum and Chemical Group Ltd.	249,260,000	0.4800	119.64	2006/04/12
00391	Mei Ah Entertainment Group Ltd.	52,000,000	0.3150	16.38	2006/04/12
02337	Shanghai Forte Land Co. Ltd. - H Shares	175,922,000	3.9500	694.89	2006/04/12
00262	Deson Development International Holdings Ltd.	15,000,000	0.3400	5.10	2006/04/18
00835	Nubrand Group Holdings Ltd.	43,530,000	0.1950	8.49	2006/04/19
00163	Emperor International Holdings Ltd.	224,500,000	1.8300	410.84	2006/04/20
00028	Tian An China Investments Co. Ltd.	175,000,000	5.1000	892.50	2006/04/20
00279	Inner Mongolia Development (Holdings) Ltd. (Name changed to Freeman Corporation Ltd.)	300,000,000	0.2000	60.00	2006/04/24
00928	Tack Fat Group International Ltd.	227,500,000	1.0300	234.33	2006/04/24
00039	Wealthmark International (Holdings) Ltd.	20,000,000	0.5400	10.80	2006/04/24
01189	Wing On Travel (Holdings) Ltd.	175,000,000	0.6900	120.75	2006/04/24
00487	Macau Success Ltd.	235,000,000	1.0900	256.15	2006/04/25
00097	Henderson Investment Ltd.	230,000,000	13.4920	3,103.16	2006/04/26
00929	IPE Group Ltd.	120,000,000	1.8700	224.40	2006/04/26
00245	Landune International Ltd. (Name changed to China Seven Star Shopping Ltd.)	438,250,000	0.1180	51.71	2006/04/26
00766	Sino Prosper Holdings Ltd.	49,763,158	0.8000	39.81	2006/04/27
00162	China Golden Development Holdings Ltd.	73,660,000	0.4600	33.88	2006/05/03
02889	China Special Steel Holdings Co. Ltd.	78,000,000	2.1750	169.65	2006/05/03
00257	China Everbright International Ltd.	510,000,000	0.6600	336.60	2006/05/04
02339	Norstar Founders Group Ltd.	170,000,000	3.6250	616.25	2006/05/04
02366	Qin Jia Yuan Media Services Co. Ltd.	37,600,000	2.2800	85.73	2006/05/04
00883	CNOOC Ltd.	2,272,727,273	6.1500	13,977.27	2006/05/11
00106	Shenzhen High-Tech Holdings Ltd.	2,870,000,000	0.0300	86.10	2006/05/11
00913	Unity Investments Holdings Ltd.	145,000,000	0.2000	29.00	2006/05/15
00362	Daqing Petroleum and Chemical Group Ltd.	83,540,000	0.5200	43.44	2006/05/16
00034	Kowloon Development Co. Ltd.	113,353,000	12.4000	1,405.58	2006/05/16
02323	Topsearch International (Holdings) Ltd.	142,000,000	0.8000	113.60	2006/05/16
02600	Aluminum Corporation of China Ltd. - H Shares	600,000,000	7.2500	4,350.00	2006/05/19
00091	New Smart Holdings Ltd. (Name changed to New Smart Energy Group Ltd.)	120,000,000	0.2500	30.00	2006/05/19
00648	Softbank Investment International (Strategic) Ltd.	500,000,000	0.1000	50.00	2006/05/19

Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
00016	Sun Hung Kai Properties Ltd.	89,000,000	89.0000	7,921.00	2006/05/19
00279	Inner Mongolia Development (Holdings) Ltd. (Name changed to Freeman Corporation Ltd.)	300,000,000	0.2000	60.00	2006/05/22
00970	Jade Dynasty Group Ltd.	40,000,000	0.7300	29.20	2006/05/23
00007	Karl Thomson Holdings Ltd.	17,000,000	1.7300	29.41	2006/05/23
00724	Semtech International Holdings Ltd. (Name changed to Sino-Tech International Holdings Ltd.)	58,000,000	0.9000	52.20	2006/05/23
00632	China Merchants DiChain (Asia) Ltd. (Name changed to Pearl Oriental Innovation Ltd.)	80,000,000	0.5000	40.00	2006/05/24
00752	Pico Far East Holdings Ltd.	42,000,000	2.0250	85.05	2006/05/24
02362	Signal Media and Communications Holdings Ltd.	959,000,000	0.2000	191.80	2006/05/25
00326	China Star Entertainment Ltd.	104,105,000	0.3300	34.35	2006/05/30
00204	Everest International Investments Ltd.	65,790,000	0.0380	2.50	2006/05/30
00544	Daido Group Ltd.	480,000,000	0.1160	55.68	2006/06/05
00467	Orient Resources Group Co. Ltd.	1,699,998,000	0.1000	170.00	2006/06/06
00868	Xinyi Glass Holdings Ltd.	61,718,000	3.1000	191.33	2006/06/06
00256	China Haidian Holdings Ltd.	247,200,000	0.2000	49.44	2006/06/08
00039	Wealthmark International (Holdings) Ltd.	12,000,000	0.5400	6.48	2006/06/12
00497	Capital Strategic Investment Ltd.	313,500,000	1.5900	498.47	2006/06/13
00200	Melco International Development Ltd.	63,600,000	19.1000	1,214.76	2006/06/13
02028	Jolimark Holdings Ltd.	60,000,000	1.4700	88.20	2006/06/14
00199	Macau Prime Properties Holdings Ltd.	833,332,000	0.6000	500.00	2006/06/14
01125	Lai Fung Holdings Ltd.	1,610,000,000	0.4000	644.00	2006/06/16
00208	Polytec Asset Holdings Ltd.	2,811,411,970	1.9800	5,566.60	2006/06/19
00190	HKC (Holdings) Ltd.	465,681,854	1.0440	486.17	2006/06/20
00736	Northern International Holdings Ltd.	58,000,000	0.2300	13.34	2006/06/20
01166	Solartech International Holdings Ltd.	93,000,000	1.0000	93.00	2006/06/21
00850	Wing Shing International Holdings Ltd.	86,000,000	0.2850	24.51	2006/06/27
01139	Victory Group Ltd.	25,800,000	0.1400	3.61	2006/07/03
00326	China Star Entertainment Ltd.	80,000,000	0.3650	29.20	2006/07/07
00193	Capital Estate Ltd.	264,000,000	0.2010	53.06	2006/07/11
00738	Le Saunda Holdings Ltd.	100,000,000	1.1000	110.00	2006/07/11
00555	REXCAPITAL Financial Holdings Ltd.	600,000,000	0.2000	120.00	2006/07/12
00500	DVN (Holdings) Ltd.	58,500,000	1.2000	70.20	2006/07/14
00091	New Smart Holdings Ltd. (Name changed to New Smart Energy Group Ltd.)	146,000,000	0.4500	65.70	2006/07/14
03318	China Flavors and Fragrances Co. Ltd.	36,563,000	3.1500	115.17	2006/07/17
00500	DVN (Holdings) Ltd.	58,500,000	1.2000	70.20	2006/07/18
00943	eForce Holdings Ltd.	360,000,000	0.0500	18.00	2006/07/25
00476	China Elegance (Holdings) Ltd.	150,000,000	0.0550	8.25	2006/07/26
00439	Climax International Co. Ltd.	39,000,000	0.2100	8.19	2006/07/26
02698	Weiqiao Textile Co. Ltd. - H Shares	68,936,500	12.0500	830.68	2006/07/31
00989	China Motion Telecom International Ltd.	25,000,000	0.1890	4.73	2006/08/10
00086	Sun Hung Kai & Co. Ltd.	248,000,000	7.0000	1,736.00	2006/08/10
00131	Cheuk Nang (Holdings) Ltd.	11,000,000	4.0000	44.00	2006/08/11
00555	REXCAPITAL Financial Holdings Ltd.	730,000,000	0.3500	255.50	2006/08/11
02028	Jolimark Holdings Ltd.	23,000,000	1.3400	30.82	2006/08/12
00690	Uni-Bio Science Group Ltd.	108,000,000	2.5000	270.00	2006/08/14
02324	Sino Katalytics Investment Corporation	22,900,000	0.4000	9.16	2006/08/17
00217	China Chengtong Development Group Ltd.	332,000,000	0.3000	99.60	2006/08/18
01226	Garron International Ltd.	4,000,000	0.3500	1.40	2006/08/18
01063	SunCorp Technologies Ltd.	82,000,000	1.7500	143.50	2006/08/18
00901	Radford Capital Investment Ltd.	125,000,000	0.0930	11.63	2006/08/23
03389	Xinyu Hengdeili Holdings Ltd.	148,500,000	3.1000	460.35	2006/08/24
00260	Sino Gas Group Ltd.	125,000,000	0.5300	66.25	2006/08/28
00382	Hualing Holdings Ltd.	640,000,000	0.1300	83.20	2006/08/29
00739	Zhejiang Glass Co. Ltd. - H Shares	142,120,000	1.8000	255.82	2006/08/29
00260	Sino Gas Group Ltd.	40,000,000	0.5300	21.20	2006/08/31
00702	Genesis Energy Holdings Ltd.	100,000,000	0.0400	4.00	2006/09/07
00164	Premium Land Ltd.	70,000,000	0.1980	13.86	2006/09/15
00346	Sino Union Petroleum & Chemical International Ltd.	119,700,000	0.2300	27.53	2006/09/18
00508	Chevalier iTech Holdings Ltd.	18,800,000	2.3800	44.74	2006/09/19
00689	Great Wall Cybertech Ltd. (Name changed to EPI (Holdings) Ltd.)	2,449,627,374	0.0400	97.99	2006/09/20
00095	New Heritage Holdings Ltd.	65,000,000	0.6000	39.00	2006/09/20
00494	Li & Fung Ltd.	160,000,000	17.3000	2,768.00	2006/09/25
00329	Golden Dragon Group (Holdings) Ltd.	116,800,000	0.5500	64.24	2006/09/26
00753	Air China Ltd. - H Shares	1,179,151,364	3.4500	4,068.07	2006/09/28
00034	Kowloon Development Co. Ltd.	87,000,000	13.2500	1,152.75	2006/09/28
02777	Guangzhou R&F Properties Co., Ltd. - H Shares	169,200,000	9.5500	1,615.86	2006/09/29
02888	Standard Chartered PLC	48,500,000	202.0563	9,799.73	2006/09/29
00694	Beijing Capital International Airport Co. Ltd. - H Shares	200,000,000	5.1000	1,020.00	2006/10/04
00818	Hi Sun Technology (China) Ltd.	100,000,000	1.5000	150.00	2006/10/11
00245	Landune International Ltd. (Name changed to China Seven Star Shopping Ltd.)	832,200,000	0.1880	156.45	2006/10/12
00835	Nubrand Group Holdings Ltd.	87,000,000	0.2300	20.01	2006/10/13
00850	Wing Shing International Holdings Ltd.	67,000,000	0.5000	33.50	2006/10/13
00572	Spread Prospects Holdings Ltd.	21,000,000	0.8000	16.80	2006/10/17
00989	China Motion Telecom International Ltd.	1,800,000,000	0.0200	36.00	2006/10/18
00384	China Gas Holdings Ltd.	150,000,000	1.2500	187.50	2006/10/20
00988	Sun's Group Ltd., The	201,669,224	1.0000	201.67	2006/10/20
00163	Emperor International Holdings Ltd.	66,000,000	1.5200	100.32	2006/10/23
00603	China Oil And Gas Group Ltd.	540,000,000	0.1200	64.80	2006/10/24
01003	GFT Holdings Ltd.	300,000,000	0.0400	12.00	2006/10/25
00629	Yue Da Holdings Ltd.	40,000,000	3.8000	152.00	2006/10/26
00959	A-Max Holdings Ltd.	586,412,155	0.0856	50.20	2006/10/31
00340	INNOMAXX Biotechnology Group Ltd.	1,150,000,000	0.4000	460.00	2006/10/31
00139	139 Holdings Ltd.	226,640,000	0.3250	73.66	2006/11/01

Placings (continued)

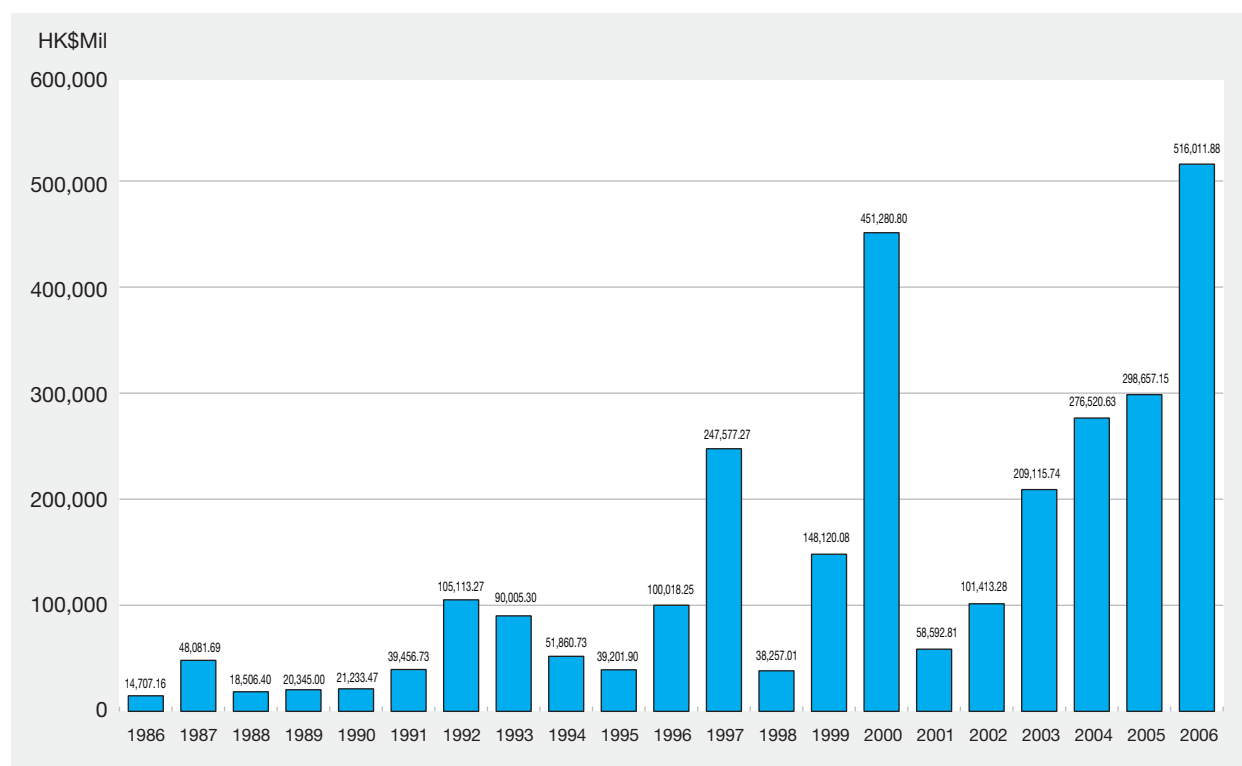
Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
00551	Yue Yuen Industrial (Holdings) Ltd.	43,880,000	23.0500	1,011.43	2006/11/01
00959	A-Max Holdings Ltd.	586,412,155	0.0856	50.20	2006/11/08
00733	Hopefluent Group Holdings Ltd.	27,500,000	2.8500	78.38	2006/11/08
01228	Tak Shun Technology Group Ltd.	288,000,000	0.0890	25.63	2006/11/08
00101	Hang Lung Properties Ltd.	410,000,000	16.3000	6,683.00	2006/11/09
00305	Magnum International Holdings Ltd.	123,000,000	0.0508	6.25	2006/11/09
00604	Shenzhen Investment Ltd.	300,000,000	2.9100	873.00	2006/11/09
03383	Agile Property Holdings Ltd.	280,400,000	6.8000	1,906.72	2006/11/10
00769	China Rare Earth Holdings Ltd.	236,800,000	1.4600	345.73	2006/11/14
02343	Pacific Basin Shipping Ltd.	257,000,000	4.7500	1,220.75	2006/11/15
01224	Qualipak International Holdings Ltd.	3,400,000,000	0.2800	952.00	2006/11/16
00754	Hopson Development Holdings Ltd.	60,000,000	16.6000	996.00	2006/11/17
01098	Road King Infrastructure Ltd.	60,000,000	10.9600	657.60	2006/11/17
00309	Lo's Enviro-Pro Holdings Ltd.	60,000,000	0.6100	36.60	2006/11/20
02320	Hop Fung Group Holdings Ltd.	40,000,000	2.0000	80.00	2006/11/21
00012	Henderson Land Development Co. Ltd.	128,000,000	43.0500	5,510.40	2006/11/22
00727	VXL Capital Ltd.	4,400,000	8.0000	35.20	2006/11/24
02380	China Power International Development Ltd.	470,000,000	3.7000	1,739.00	2006/11/27
00269	Seapower Resources International Ltd.	34,000,000	0.0882	3.00	2006/11/28
00190	HKC (Holdings) Ltd.	607,664,546	1.1000	668.43	2006/11/29
02868	Beijing Capital Land Ltd. - H Shares	343,200,000	2.8000	960.96	2006/11/30
00702	Genesis Energy Holdings Ltd.	304,250,000	0.1880	57.20	2006/11/30
00160	Hon Kwok Land Investment Co., Ltd.	80,047,700	4.0500	324.19	2006/11/30
00488	Lai Sun Development Co. Ltd.	1,416,000,000	0.3600	509.76	2006/11/30
00578	Everbest Century Holdings Ltd.	75,000,000	0.2200	16.50	2006/12/01
00506	COFCO International Ltd.	100,000,000	6.9400	694.00	2006/12/07
00182	Hong Kong Pharmaceutical Holdings Ltd.	810,000,000	0.0270	21.87	2006/12/07
00735	Oriental Investment Corporation Ltd.	400,000,000	0.2750	110.00	2006/12/08
00755	Shanghai Zendai Property Ltd.	800,000,000	0.3150	252.00	2006/12/08
00279	Freeman Corporation Ltd.	66,000,000	0.2500	16.50	2006/12/09
00154	Beijing Development (Hong Kong) Ltd.	98,000,000	1.1000	107.80	2006/12/12
00563	Neo-China Group (Holdings) Ltd.	688,000,000	1.1320	778.82	2006/12/12
00131	Cheuk Nang (Holdings) Ltd.	10,000,000	4.0000	40.00	2006/12/15
00966	China Insurance International Holdings Co. Ltd.	63,750,000	8.2500	525.94	2006/12/15
00103	Shougang Concord Century Holdings Ltd.	250,000,000	0.6500	162.50	2006/12/15
00689	EPI (Holdings) Ltd.	605,000,000	0.2950	178.48	2006/12/18
00706	FinTronics Holdings Co. Ltd.	210,000,000	0.2610	54.81	2006/12/18
01207	Shanghai Real Estate Ltd.	212,000,000	2.4200	513.04	2006/12/18
00745	Wing Hong (Holdings) Ltd.	146,832,000	0.0410	6.02	2006/12/20
01098	Road King Infrastructure Ltd.	20,000,000	10.9600	219.20	2006/12/22
00500	DVN (Holdings) Ltd.	118,000,000	2.8500	336.30	2006/12/27
00262	Deson Development International Holdings Ltd.	50,000,000	0.5000	25.00	2006/12/28
00855	China Water Affairs Group Ltd.	167,212,400	2.7300	456.49	2006/12/29
00166	New Times Group Holdings Ltd.	92,700,000	0.3500	32.45	2006/12/29
00736	Northern International Holdings Ltd.	69,895,000	0.3800	26.56	2006/12/29
00082	Yanion International Holdings Ltd.	342,856,000	0.7000	240.00	2006/12/29
01116	Mayer Holdings Ltd.	80,000,000	0.5000	40.00	2006/12/30
Total				110,681.92	

Funds raised by classification, 1993 – 2006

HK\$ mil

Type	Listing method	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006
Equities	Offer for subscription	15,151.66	8,795.47	2,561.99	7,247.68	18,509.38	3,681.51	2,669.52	11,770.81	2,228.18	2,755.51	14,527.95	21,546.18	18,599.44	48,033.16
	Offer for sale	2,969.44	250.32	289.41	2,469.97	123.67	135.13	71.88	227.60	2,483.15	22,404.74	5,167.64	21,708.00	6,818.14	33,258.26
	Offer for placing	11,059.95	8,314.55	5,259.07	21,498.13	63,020.57	2,137.21	12,815.79	105,304.83	16,887.22	19,813.36	37,370.56	51,210.88	139,567.76	250,791.78
	Placing	30,599.27	11,886.55	11,510.13	46,111.93	78,173.82	16,399.51	69,318.13	106,247.34	11,610.64	15,555.39	16,301.29	45,962.42	62,257.81	110,681.92
	Rights issue	9,266.07	5,643.12	1,289.73	4,653.02	16,297.97	5,301.51	7,357.99	11,712.86	2,986.83	5,236.93	2,265.29	7,093.41	9,539.42	8,264.02
	Open offer	-	-	-	-	213.54	83.62	709.00	937.27	949.85	507.30	512.00	1,000.34	892.60	2,212.82
	Consideration issue	4,683.88	5,026.71	9,225.17	10,151.03	58,859.90	9,695.36	50,106.22	205,020.66	14,263.82	27,534.66	125,724.84	114,461.03	44,250.62	36,332.87
	Warrants exercised	14,246.49	10,834.68	8,192.61	5,568.57	8,322.09	310.57	2,506.84	2,687.35	273.39	692.47	1,127.42	1,210.53	1,445.21	5,351.49
	Share option scheme	2,028.53	1,309.34	873.79	2,317.94	4,056.33	512.59	2,564.71	7,372.10	6,909.72	6,912.93	6,118.74	12,327.84	15,286.14	21,085.57
	Equities funds raised		90,005.30	51,860.73	39,201.90	100,018.25	247,577.27	38,257.01	148,120.08	451,280.80	58,592.81	101,413.28	209,115.74	276,520.63	298,657.15
Debt securities		171,264.37	283,593.46	108,829.38	127,977.22	178,209.17	87,984.78	52,954.02	23,835.24	28,595.27	19,347.50	43,468.87	105,402.10	60,863.37	64,883.88
Unit trusts/Mutual funds		390.00	-	-	-	-	-	33,333.34	-	257.64	351.58	291.43	6,803.12	35,182.07	9,012.48
Derivative warrants – premium		5,858.49	11,196.65	4,645.48	18,387.37	33,289.19	15,631.57	17,679.80	29,791.41	15,838.81	62,164.00	63,875.07	139,431.03	154,800.78	247,781.62
Equity warrants – premium		220.79	-	147.68	1,154.47	1,440.99	-	241.15	343.56	234.28	103.93	76.73	51.64	130.67	-
Equity linked instruments – premium		-	-	-	-	-	-	-	-	-	2,100.58	697.97	1,522.46	-	-
Callable bull/bear contracts – premium		-	-	-	-	-	-	-	-	-	-	-	-	-	4,078.37

Equity funds raised, 1986 – 2006



Funds raised by Mainland enterprises H shares, 1993 – 2006

HK\$ mil

Type	Listing method	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	
Equities	Offer for subscription	5,050.24	2,838.15	186.53	1,158.27	6,115.71	2,072.36	703.70	2,500.03	654.99	1,432.67	12,086.01	9,566.49	11,301.33	38,065.21	
	Offer for sale	-	-	-	-	-	-	-	-	342.49	1,322.23	4,550.06	3,191.45	3,359.11	25,461.80	
	Offer for placing	3,091.28	7,041.66	1,824.82	5,675.89	25,921.81	-	3,559.99	49,250.65	4,573.36	14,118.71	29,616.52	27,258.84	122,524.34	226,499.72	
	Placing	-	-	980.00	1,037.50	1,046.70	1,480.16	-	-	497.25	-	592.04	19,229.95	21,493.17	13,796.28	
	Rights issue	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Open offer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Consideration issue	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Warrants exercised	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Share option scheme	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Equities funds raised		8,141.52	9,879.81	2,991.35	7,871.66	33,084.23	3,552.52	4,263.69	51,750.69	6,068.09	16,873.60	46,844.63	59,246.73	158,677.95	303,823.01

Funds raised by red chip stocks, 1993 – 2006

HK\$ mil

Type	Listing method	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	
Equities	Offer for subscription	783.60	1,039.01	300.90	726.18	5,660.65	47.46	194.73	1,969.13	639.90	37.75	772.80	3,984.50	382.43	1,201.64	
	Offer for sale	-	-	202.20	-	52.74	-	-	48.77	1,776.45	20,555.88	-	1,722.20	-	186.12	
	Offer for placing	166.92	502.35	1,066.65	2,701.11	33,681.43	94.92	1,790.80	42,078.56	9,643.72	356.93	2,189.60	8,841.89	655.02	1,376.00	
	Placing	9,506.73	6,165.48	313.10	10,842.19	27,966.00	10,710.11	18,614.64	61,795.28	2,541.03	6,887.68	693.86	6,111.97	298.34	22,608.50	
	Rights issue	4,485.41	1,316.64	202.74	287.25	2,175.91	381.77	1,086.06	183.68	-	960.82	-	-	238.21	605.43	
	Open offer	-	-	-	-	-	-	-	-	773.08	-	66.97	-	-	593.78	
	Consideration issue	-	3,027.48	3,702.01	3,841.39	10,426.81	5,931.42	33,042.93	186,109.25	2,937.10	22,944.29	150.14	2,964.69	15,683.11	12,901.33	
	Warrants exercised	84.79	1,134.89	870.14	437.17	559.90	109.15	41.56	672.01	98.34	3.05	11.55	70.79	212.96	3,179.10	
	Share option scheme	51.78	40.69	15.87	173.81	461.37	100.02	406.63	801.99	671.64	975.84	1,007.63	2,669.23	4,920.23	8,116.03	
	Equities funds raised		15,079.23	13,226.54	6,673.61	19,009.11	80,984.81	17,374.85	55,177.35	293,658.67	19,081.27	52,722.23	4,892.55	26,365.28	22,390.30	50,767.91

Newly listed equity warrants

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$ mil)	Listing date
00441	Century City International Holdings Ltd. Warrants 2011	0.100	2006/01/18 – 2011/01/11	328.34	2006/01/20
00564	Champion Technology Holdings Ltd. Warrants 2007	1.150	2006/02/27 – 2007/02/26	298.43	2006/03/01
00574	Playmates Holdings Ltd. Warrants 2007	1.030	2006/05/26 – 2007/05/25	385.17	2006/05/26
00466	Sun Hung Kai & Co. Ltd. Warrants 2009	6.000	2006/06/01 – 2009/05/31	1,494.84	2006/06/01
00446	Honesty Treasure International Holdings Ltd. Warrants 2009	0.260	2006/06/07 – 2009/06/06	73.60	2006/06/06
00514	Allied Properties (H.K.) Ltd. Warrants 2009	10.000	2006/06/07 – 2009/06/06	1,074.30	2006/06/06
00473	Anex International Holdings Ltd. Warrants 2008	0.100	2006/07/03 – 2008/07/04	30.75	2006/07/05
00580	China Overseas Land & Investment Ltd. Warrants 2007	4.500	2006/07/18 – 2007/07/17	3,650.39	2006/07/18
00492	Polytec Asset Holdings Ltd. Warrants 2007	1.980	2006/06/19 – 2007/06/18	243.50	2006/06/21
00470	Topsearch International (Holdings) Ltd. Warrants 2008	1.200	2006/06/26 – 2008/10/31	102.55	2006/06/26
00584	Skyfame Realty (Holdings) Ltd. Warrants 2008	1.100	2006/08/03 – 2008/08/02	226.20	2006/08/07
00545	Uni-Bio Science Group Ltd. Warrants 2008	5.000	2006/10/04 – 2008/10/03	868.00	2006/10/04

Newly listed derivative warrants

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09677 #	Credit Suisse	SMIC European Warrants Nov 2006	0.1170	1.18 per unit	2006/11/08	200,000,000	2006/01/03
02231	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jul 06 A	0.2550	3.78 per unit	2006/07/03	392,000,000	2006/01/03
02232	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2006 A	0.2500	2.81 per unit	2006/08/21	400,000,000	2006/01/03
02233	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Jul 2006 A	0.8900	76.8000	2006/07/10	56,200,000	2006/01/04
02234	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Jul 2006 B	0.5200	84.8000	2006/07/10	96,200,000	2006/01/04
02235	Goldman Sachs SP (Asia)	Cheung Kong European Wts Oct 2006	0.8500	80.8000	2006/10/09	59,000,000	2006/01/04
02237	Goldman Sachs SP (Asia)	Cheung Kong Euro Put Wts Jul 2006	0.6200	80.8000	2006/07/10	81,000,000	2006/01/04
02238	ABN AMRO Bank N.V.	BankComm European Warrants Jul 2006 A	0.2600	3.688 per unit	2006/07/10	180,000,000	2006/01/05
02239	ABN AMRO Bank N.V.	CNOOC European Warrants Jul 2006	0.4200	5.518 per unit	2006/07/06	120,000,000	2006/01/05
02240	ABN AMRO Bank N.V.	HSBC European Warrants Aug 2006 A	0.5350	123.8800	2006/08/29	60,000,000	2006/01/05
02241	ABN AMRO Bank N.V.	HSBC European Warrants Aug 2006 B	0.4000	126.8800	2006/08/28	60,000,000	2006/01/05
02245	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jul 2006	0.4600	7.20 per unit	2006/07/05	180,000,000	2006/01/05
09978 #	ABN AMRO Bank N.V.	CC Bank European Warrants Jun 2006	0.2220	2.608 per unit	2006/06/05	150,000,000	2006/01/05
02236	Macquarie Bank Ltd.	NWD European Warrants Feb 2007	0.2510	12.0000	2007/02/28	288,000,000	2006/01/05
02242	Macquarie Bank Ltd.	Sinopec European Put Warrants Sep 2006	0.2530	3.60 per unit	2006/09/29	100,000,000	2006/01/05
02243	Macquarie Bank Ltd.	CNOOC European Put Warrants Sep 2006	0.3450	4.80 per unit	2006/09/29	100,000,000	2006/01/05
02244	Macquarie Bank Ltd.	PetroChina European Put Wts Sep 2006	0.3620	5.75 per unit	2006/09/29	100,000,000	2006/01/05
02252	ABN AMRO Bank N.V.	CC Bank European Warrants Sep 2006 C	0.2500	2.888 per unit	2006/09/29	250,000,000	2006/01/06
02246	Deutsche Bank AG	China Life European Warrants Jul 2006 B	0.4800	7.28 per unit	2006/07/17	200,000,000	2006/01/06
02247	Deutsche Bank AG	China Life European Warrants Oct 2006 A	0.5200	7.80 per unit	2006/10/13	200,000,000	2006/01/06
02248	SGA Societe Generale Acceptance N.V.	C Life Eu Wt Aug 06 B	0.4100	7.48 per unit	2006/08/07	244,000,000	2006/01/06
02249	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Jul 06B	0.2850	4.18 per unit	2006/07/10	350,000,000	2006/01/06
02250	SGA Societe Generale Acceptance N.V.	CNOOC Eur Wt Jul 06 A	0.3500	5.72 per unit	2006/07/10	286,000,000	2006/01/06
02251	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Oct 06 A	0.4300	5.88 per unit	2006/10/03	233,000,000	2006/01/06
02259	BNP Paribas Arbit Issu B.V.	BOC HK European Wts Aug 2006	0.3910	16.00 per unit	2006/08/30	200,000,000	2006/01/09
02253	Deutsche Bank AG	Nikkei 225 Index European Wts Sep 2006 B	0.3350	JPY 16,800 per 250 units	2006/09/07	100,000,000	2006/01/09
02254	HK Bank	China Life European Warrants Jul 2006	0.3800	7.35 per unit	2006/07/10	150,000,000	2006/01/09
02255	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jul 06 B	0.2500	3.73 per unit	2006/07/11	400,000,000	2006/01/09
02256	SGA Societe Generale Acceptance N.V.	C Mob Eur Wt Jul 2006	0.3650	38.0800	2006/07/11	274,000,000	2006/01/09
02257	SGA Societe Generale Acceptance N.V.	Hua P Eur Wt Jul 2006	0.3050	5.28 per unit	2006/07/31	328,000,000	2006/01/09
02258	SGA Societe Generale Acceptance N.V.	Hua P Eur Wt Sep 2006	0.2750	5.58 per unit	2006/09/25	364,000,000	2006/01/09
09983 #	Credit Suisse	China Construct Bank European Wts Jun 2006 A	0.5800	2.38 per unit	2006/06/28	250,000,000	2006/01/10
02260	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2006 A	0.2550	14,800 per 4,000 units	2006/07/28	393,000,000	2006/01/10
02264	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 06D	0.2700	15,200 per 4,000 units	2006/07/28	370,000,000	2006/01/10
02261	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2006 A	0.3900	7.78 per unit	2006/09/05	188,000,000	2006/01/10
02262	KBC Fin Products Int'l Ltd.	CNOOC European Wts Jul 2006 B	0.2600	5.80 per unit	2006/07/10	268,000,000	2006/01/10
02263	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jul 2006 B	0.5850	6.09 per unit	2006/07/10	128,000,000	2006/01/10
02271	ABN AMRO Bank N.V.	Gold European Warrants Sep 2006	0.2600	USD 580 per 1,000 units	2006/09/29	160,000,000	2006/01/11
02265	Deutsche Bank AG	CC Bank European Warrants Sep 2006	0.2500	3.00 per unit	2006/09/12	100,000,000	2006/01/11
02268	Fortis Bank S.A./N.V.	HKEx European Warrants Aug 2006	0.2600	35.3800	2006/08/10	60,000,000	2006/01/11
02269	Fortis Bank S.A./N.V.	PetroChina European Wts Aug 2006	0.2780	6.98 per unit	2006/08/10	36,000,000	2006/01/11
02270	Fortis Bank S.A./N.V.	Swire Pac A European Wts Jul 2006	0.3580	71.0000	2006/07/14	30,000,000	2006/01/11
02274	KBC Fin Products Int'l Ltd.	CCB European Wts Jul 2006 A	0.3420	2.78 per unit	2006/07/11	288,000,000	2006/01/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
02275	KBC Fin Products Int'l Ltd.	CCB European Wts Oct 2006 A	0.2500	3.18 per unit	2006/10/03	400,000,000	2006/01/11
02276	KBC Fin Products Int'l Ltd.	Ping An European Wts Dec 2006	0.2500	15.3800	2006/12/01	288,000,000	2006/01/11
02273	KBC Fin Products Int'l Ltd.	BankComm European Wts Jul 2006	0.2500	4.18 per unit	2006/07/14	400,000,000	2006/01/11
02272	KBC Fin Products Int'l Ltd.	HSCEI European Wts Jul 2006	0.2500	5,600 per 2,200 units	2006/07/28	400,000,000	2006/01/11
02277	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jul 2006 A	0.2550	5,400 per 1,600 units	2006/07/28	388,000,000	2006/01/11
02266	Macquarie Bank Ltd.	CCB European Warrants Sep 2006	0.2510	3.00 per unit	2006/09/11	200,000,000	2006/01/11
02267	Macquarie Bank Ltd.	China Life European Wts Jul 2006 A	0.4810	7.50 per unit	2006/07/31	200,000,000	2006/01/11
02282	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Jul 2006	0.3930	79.3950	2006/07/28	200,000,000	2006/01/12
02279	Goldman Sachs SP (Asia)	Hutchison European Wts Jul 2006	0.6400	75.6000	2006/07/17	78,000,000	2006/01/12
02280	Goldman Sachs SP (Asia)	Hutchison European Wts Aug 2006	0.4700	80.9000	2006/08/07	106,000,000	2006/01/12
02281	Goldman Sachs SP (Asia)	Hutchison European Wts Oct 2006	0.9600	71.8000	2006/10/09	52,000,000	2006/01/12
02283	Goldman Sachs SP (Asia)	Hutchison Euro Put Wts Jul 2006	0.4000	71.8000	2006/07/17	125,000,000	2006/01/12
02278	HK Bank	HKEEx European Warrants Aug 2006	0.4400	34.8800	2006/08/04	88,000,000	2006/01/12
09289 #	ABN AMRO Bank N.V.	BankComm European Warrants Apr 2006	0.4150	3.688 per unit	2006/04/18	200,000,000	2006/01/12
02284	Fortis Bank S.A./N.V.	Sinopec Corp European Wts Jul 2006	0.2670	4.380 per unit	2006/07/14	46,000,000	2006/01/13
02285	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Aug 06 B	0.3050	4.28 per unit	2006/08/28	328,000,000	2006/01/13
02286	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Nov 2006 A	0.2500	3.11 per unit	2006/11/27	400,000,000	2006/01/13
02287	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2006 B	0.2500	15,400 per 3,800 units	2006/07/28	400,000,000	2006/01/13
02288	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 07A	0.2500	15.9600	2007/04/23	400,000,000	2006/01/13
09633 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 06D	0.1010	15,400 per 4,000 units	2006/03/30	400,000,000	2006/01/13
02292	ABN AMRO Bank N.V.	BankComm European Warrants Jul 2006 B	0.2700	4.388 per unit	2006/07/17	180,000,000	2006/01/16
02293	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2006 A	0.2550	3.108 per unit	2006/08/02	250,000,000	2006/01/16
02294	ABN AMRO Bank N.V.	CC Bank European Warrants Oct 2006 A	0.2800	3.188 per unit	2006/10/10	250,000,000	2006/01/16
02295	ABN AMRO Bank N.V.	Hutchison European Warrants Jul 2006	0.4000	83.8880	2006/07/27	95,000,000	2006/01/16
04446	BNP Paribas Arbit Issu B.V.	HSI European Warrants Jul 2006	0.2520	15,600 per 3,900 units	2006/07/28	400,000,000	2006/01/16
04450	BNP Paribas Arbit Issu B.V.	HSI European Put Wts Jul 2006	0.2520	15,900 per 4,600 units	2006/07/28	400,000,000	2006/01/16
04447	BNP Paribas Arbit Issu B.V.	CC Bank European Wts Sep 2006A	0.2520	3.20 per unit	2006/09/29	350,000,000	2006/01/16
02289	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2006 A	0.3600	133.8800	2006/12/28	400,000,000	2006/01/16
02291	BOCI Asia Ltd.	HK Gas European Warrants Sep 2006	1.1000	17.50 per unit	2006/09/29	30,000,000	2006/01/16
02290	BOCI Asia Ltd.	Foxconn European Warrants Aug 2006	0.3000	14.3000	2006/08/25	700,000,000	2006/01/16
04443	Deutsche Bank AG	BankComm European Warrants Jul 2006 B	0.2600	4.48 per unit	2006/07/19	100,000,000	2006/01/16
04445	Deutsche Bank AG	HSI European Warrants Aug 2006 A	0.2500	15,600 per 3,900 units	2006/08/30	200,000,000	2006/01/16
04456	KBC Fin Products Int'l Ltd.	CCB Euro Put Wts Aug 2006 A	0.2500	2.80 per unit	2006/08/08	388,000,000	2006/01/16
04457	KBC Fin Products Int'l Ltd.	Ch Mob Eur Put Wt Jul 2006 B	0.3960	40.0000	2006/07/27	248,000,000	2006/01/16
04455	KBC Fin Products Int'l Ltd.	HWL European Warrants Jul 2006	0.3840	83.8800	2006/07/17	208,000,000	2006/01/16
04452	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jul 2006 B	0.4320	8.18 per unit	2006/07/24	188,000,000	2006/01/16
04454	KBC Fin Products Int'l Ltd.	Ch Telecom Eur Wts Jul 2006	0.2700	3.00 per unit	2006/07/17	288,000,000	2006/01/16
04451	KBC Fin Products Int'l Ltd.	China Mobile Euro Wts Aug 2006	0.2610	40.8800	2006/08/01	368,000,000	2006/01/16
04453	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Jul 2006 C	0.2600	4.48 per unit	2006/07/24	368,000,000	2006/01/16
04441	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2006 B	0.2550	16,000 per 5,500 units	2006/07/28	388,000,000	2006/01/16
04442	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2006 C	0.2550	16,400 per 6,500 units	2006/07/28	388,000,000	2006/01/16
02299	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2006 A	0.2500	15,600 per 4,800 units	2006/07/28	400,000,000	2006/01/16
02297	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jul 2006 C	0.2540	5,800 per 2,100 units	2006/07/28	388,000,000	2006/01/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
02298	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jul 2006 D	0.2500	6,000 per 2,600 units	2006/07/28	400,000,000	2006/01/16
02296	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jul 2006 B	0.2500	5,600 per 1,750 units	2006/07/28	400,000,000	2006/01/16
04448	Macquarie Bank Ltd.	BOCom European Warrants Jul 2006	0.3310	4.38 per unit	2006/07/31	100,000,000	2006/01/16
04449	Macquarie Bank Ltd.	China Life European Wts Jul 2006 B	0.5650	8.00 per unit	2006/07/31	250,000,000	2006/01/16
09721 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 06B	0.0830	15,000 per 3,650 units	2006/04/27	400,000,000	2006/01/16
04479	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Aug 2006	0.2500	5,800 per 2,510 units	2006/08/30	300,000,000	2006/01/17
04484	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Aug 2006	0.2500	6,150 per 2,920 units	2006/08/30	300,000,000	2006/01/17
04477	BOCI Asia Ltd.	Cheung Kong European Warrants Jan 2007	0.6300	92.0000	2007/01/12	300,000,000	2006/01/17
04478	BOCI Asia Ltd.	Shanghai Ind European Warrants Oct 2006	0.2500	16.0000	2006/10/27	100,000,000	2006/01/17
09708 #	Deutsche Bank AG	HSI European Put Warrants Apr 2006 B	0.0750	15,000 per 4,000 units	2006/04/27	200,000,000	2006/01/17
09702 #	ABN AMRO Bank N.V.	Gold European Put Warrants Jun 2006 A	0.0570	USD 450 per 600 units	2006/06/30	180,000,000	2006/01/17
04459	Macquarie Bank Ltd.	Cheung Kong European Warrants Jul 2006	0.4610	90.0000	2006/07/31	200,000,000	2006/01/17
04460	Macquarie Bank Ltd.	China Mobile European Wts Jul 2006	0.2760	42.0000	2006/07/31	300,000,000	2006/01/17
04462	Macquarie Bank Ltd.	China Unicom European Wts Jul 2006	0.4450	7.50 per unit	2006/07/31	50,000,000	2006/01/17
04463	Macquarie Bank Ltd.	HKEEx European Warrants Jul 2006	0.2930	38.0000	2006/07/31	100,000,000	2006/01/17
04458	Macquarie Bank Ltd.	CHALCO European Warrants Jul 2006	0.3760	7.00 per unit	2006/07/31	100,000,000	2006/01/17
04461	Macquarie Bank Ltd.	China Telecom European Wts Dec 2006 A	0.2560	3.40 per unit	2006/12/29	150,000,000	2006/01/17
04465	Macquarie Bank Ltd.	PetroChina European Warrants Jul 2006	0.3910	7.25 per unit	2006/07/31	200,000,000	2006/01/17
04466	Macquarie Bank Ltd.	Ping An European Warrants Sep 2007	0.2590	18.0000	2007/09/28	100,000,000	2006/01/17
04468	Macquarie Bank Ltd.	SH Petrochemical European Wts Oct 2006	0.2680	3.80 per unit	2006/10/31	100,000,000	2006/01/17
04464	Macquarie Bank Ltd.	PCCW European Warrants Sep 2006	0.3140	5.50 per unit	2006/09/29	100,000,000	2006/01/17
04467	Macquarie Bank Ltd.	Wharf European Warrants Nov 2006	0.2580	32.0000	2006/11/30	100,000,000	2006/01/17
04469	Macquarie Bank Ltd.	SHK Ppt European Warrants Jul 2006	0.3860	85.0000	2006/07/31	150,000,000	2006/01/17
04471	Macquarie Bank Ltd.	HSI European Warrants Jul 2006	0.2540	16,000 per 3,400 units	2006/07/28	388,000,000	2006/01/17
04470	Macquarie Bank Ltd.	HSCEI European Warrants Sep 2006 C	0.2550	6,000 per 2,150 units	2006/09/28	188,000,000	2006/01/17
04474	Macquarie Bank Ltd.	HSCEI European Put Warrants Sep 2006 D	0.2540	6,000 per 2,800 units	2006/09/28	188,000,000	2006/01/17
04473	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt Aug 2006	0.6600	16.38 per unit	2006/08/07	152,000,000	2006/01/17
04472	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2006 C	0.2500	15,800 per 3,800 units	2006/07/28	400,000,000	2006/01/17
04476	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 06E	0.2850	15,800 per 4,000 units	2006/07/28	351,000,000	2006/01/17
04475	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Jul 2006	0.4000	83.8800	2006/07/24	250,000,000	2006/01/17
04481	ABN AMRO Bank N.V.	HSI European Warrants Jul 2006	0.2500	16,000 per 3,800 units	2006/07/28	160,000,000	2006/01/18
04486	ABN AMRO Bank N.V.	HSI European Put Warrants Jul 2006	0.2500	15,800 per 4,300 units	2006/07/28	200,000,000	2006/01/18
04483	ABN AMRO Bank N.V.	China Life European Warrants Jul 2006	0.5700	7.988 per unit	2006/07/27	100,000,000	2006/01/18
04485	ABN AMRO Bank N.V.	HSBC European Warrants Sep 2006 A	0.3650	133.8800	2006/09/11	58,000,000	2006/01/18
04487	ABN AMRO Bank N.V.	SHK Ppt European Warrants Jul 2006	0.4700	85.8880	2006/07/27	95,000,000	2006/01/18
04480	Macquarie Bank Ltd.	HSBC European Put Warrants Jul 2006	0.2680	125.0000	2006/07/31	100,000,000	2006/01/18
04488	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Aug 2006 A	0.2500	4.48 per unit	2006/08/01	388,000,000	2006/01/18
04491	KBC Fin Products Int'l Ltd.	HSBC European Put Wts Jul 2006	0.3000	126.0000	2006/07/19	288,000,000	2006/01/19
04492	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Put Wts Jul 2006	0.3600	78.0000	2006/07/19	208,000,000	2006/01/19
04490	KBC Fin Products Int'l Ltd.	BOCHK European Wts Jul 2006	0.2800	17.68 per unit	2006/07/19	288,000,000	2006/01/19
09379 #	Macquarie Bank Ltd.	HSI European Put Warrants Mar 2006 E	0.0800	15,600 per 5,000 units	2006/03/30	600,000,000	2006/01/19
04501	ABN AMRO Bank N.V.	BOC HK European Warrants Nov 2007	0.2500	15.0000	2007/11/23	450,000,000	2006/01/20
04493	Deutsche Bank AG	HSI European Put Warrants Jul 2006	0.2500	15,800 per 4,100 units	2006/07/28	200,000,000	2006/01/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04494	Deutsche Bank AG	HSI European Warrants Aug 2006 B	0.2500	16,000 per 3,800 units	2006/08/30	200,000,000	2006/01/20
04498	Deutsche Bank AG	HSI European Put Warrants Aug 2006	0.2500	15,400 per 3,950 units	2006/08/30	200,000,000	2006/01/20
09570 #	ABN AMRO Bank N.V.	Hutchison European Warrants Apr 2006	0.2550	82.8880	2006/04/06	100,000,000	2006/01/20
04502	Macquarie Bank Ltd.	Sinopec European Warrants Jul 2006 B	0.3060	4.60 per unit	2006/07/31	150,000,000	2006/01/20
04500	SGA Societe Generale Acceptance N.V.	N 225 Eur Wt Sep 2006	0.2600	17,000 per 320 units	2006/09/08	385,000,000	2006/01/20
04504	SGA Societe Generale Acceptance N.V.	N 225 Eu Put Wt Sep06	0.2700	16,000 per 320 units	2006/09/08	370,000,000	2006/01/20
04495	SGA Societe Generale Acceptance N.V.	C Life Eu Wt Aug 06 C	0.6000	8.08 per unit	2006/08/28	167,000,000	2006/01/20
04497	SGA Societe Generale Acceptance N.V.	HSBC Euro Wt Aug 2006	0.3900	133.8800	2006/08/28	256,000,000	2006/01/20
04499	SGA Societe Generale Acceptance N.V.	SHK P Eur Wt Jul 2006	0.4300	84.8800	2006/07/24	233,000,000	2006/01/20
04496	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 06F	0.3200	16,200 per 4,000 units	2006/07/28	313,000,000	2006/01/20
04506	KBC Fin Products Int'l Ltd.	HSI European Wts Jul 2006 D	0.2500	16,200 per 4,000 units	2006/07/28	400,000,000	2006/01/20
04507	KBC Fin Products Int'l Ltd.	HSI European Wts Jul 2006 E	0.2500	15,800 per 4,700 units	2006/07/28	400,000,000	2006/01/20
04508	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jul 2006 C	0.3700	7.58 per unit	2006/07/20	208,000,000	2006/01/20
04505	KBC Fin Products Int'l Ltd.	CCB European Wts Oct 2006 B	0.2500	3.288 per unit	2006/10/16	388,000,000	2006/01/20
04503	KBC Fin Products Int'l Ltd.	CCB European Wts Jul 2006 B	0.2500	3.118 per unit	2006/07/20	400,000,000	2006/01/20
04521	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Jul 2006	0.3100	4.528 per unit	2006/07/27	100,000,000	2006/01/23
04522	ABN AMRO Bank N.V.	PetroChina European Warrants Jul 2006	0.4550	7.488 per unit	2006/07/28	100,000,000	2006/01/23
04523	ABN AMRO Bank N.V.	Link REIT European Warrants Aug 2006	0.2500	14.0000	2006/08/25	300,000,000	2006/01/23
04509	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Jul 2006	0.2500	15.0000	2006/07/24	350,000,000	2006/01/23
04533	Calyon Fin P (G) Ltd.	Link REIT European Warrants Jul 2006	0.2530	14.3000	2006/07/24	300,000,000	2006/01/23
04528	Calyon Fin P (G) Ltd.	HSI European Put Warrants Jul 2006 E	0.2500	15,700 per 3,600 units	2006/07/28	200,000,000	2006/01/23
04529	Calyon Fin P (G) Ltd.	HSI European Put Warrants Jul 2006 F	0.2500	15,800 per 3,700 units	2006/07/28	200,000,000	2006/01/23
04524	Calyon Fin P (G) Ltd.	HSI European Warrants Jul 2006 A	0.2500	15,700 per 3,600 units	2006/07/28	200,000,000	2006/01/23
04525	Calyon Fin P (G) Ltd.	HSI European Warrants Jul 2006 B	0.2500	15,800 per 3,600 units	2006/07/28	200,000,000	2006/01/23
04526	Calyon Fin P (G) Ltd.	HSI European Warrants Jul 2006 C	0.2500	15,900 per 3,700 units	2006/07/28	200,000,000	2006/01/23
04527	Calyon Fin P (G) Ltd.	HSI European Warrants Jul 2006 D	0.2500	16,000 per 3,700 units	2006/07/28	200,000,000	2006/01/23
04537	CC Rabobank B.A.	Link REIT European Warrants Jan 2007	0.2500	14.8800	2007/01/18	80,000,000	2006/01/23
04534	CC Rabobank B.A.	HSBC European Warrants Dec 2006	0.4000	137.8800	2006/12/18	80,000,000	2006/01/23
04536	CC Rabobank B.A.	Hutchison European Warrants Oct 2006	0.6400	81.6800	2006/10/18	80,000,000	2006/01/23
04538	CC Rabobank B.A.	MTRC European Warrants Apr 2007	0.2500	16.8800	2007/04/18	80,000,000	2006/01/23
04532	Goldman Sachs SP (Asia)	Link REIT European Wts Aug 2006	0.2500	14.3000	2006/08/28	200,000,000	2006/01/23
04531	Goldman Sachs SP (Asia)	HSI European Warrants Aug 2006	0.2500	16,300 per 3,200 units	2006/08/30	200,000,000	2006/01/23
04535	Goldman Sachs SP (Asia)	HSI European Put Warrants Aug 2006	0.2500	15,600 per 3,800 units	2006/08/30	200,000,000	2006/01/23
04515	J P Morgan Int'l Der. Ltd.	Link REIT European Wts Jul 2007	0.2500	15.8800	2007/07/12	400,000,000	2006/01/23
04510	J P Morgan Int'l Der. Ltd.	CSCL European Warrants Nov 2006	0.2800	2.80 per unit	2006/11/13	360,000,000	2006/01/23
04511	J P Morgan Int'l Der. Ltd.	Ch Ship Dev Euro Wts Aug 2006	0.3800	6.68 per unit	2006/08/14	265,000,000	2006/01/23
04513	J P Morgan Int'l Der. Ltd.	JX Copper Euro Wts Aug 2006 B	0.3800	4.28 per unit	2006/08/14	265,000,000	2006/01/23
04512	J P Morgan Int'l Der. Ltd.	HKEx European Warrants Sep 2006	0.2500	36.8800	2006/09/28	400,000,000	2006/01/23
04514	J P Morgan Int'l Der. Ltd.	Lenovo European Wts Aug 2006	0.3200	3.88 per unit	2006/08/28	350,000,000	2006/01/23
02109 #	CC Rabobank B.A.	CC Bank European Warrants Dec 2006	0.2650	3.08 per unit	2006/12/08	100,000,000	2006/01/23
04519	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Nov06	0.2500	14.0000	2006/11/13	400,000,000	2006/01/23
04517	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2006 G	0.2500	16,200 per 3,650 units	2006/07/28	400,000,000	2006/01/23
04516	SGA Societe Generale Acceptance N.V.	KOSPI 200 Eu Wt Sep06	0.2600	190.00 per 75 units	2006/09/15	385,000,000	2006/01/23

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04520	SGA Societe Generale Acceptance N.V.	KS200 Eu Put Wt Sep06	0.2700	184.50 per 75 units	2006/09/15	370,000,000	2006/01/23
04530	UBS AG	Link REIT European Warrants Jan 2007	0.2600	13.8800	2007/01/16	100,000,000	2006/01/23
04539	SGA Societe Generale Acceptance N.V.	HSCEI Eur Wt Jul 2006	0.2500	6,100 per 1,850 units	2006/07/28	400,000,000	2006/01/24
04543	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Jul06	0.2550	5,900 per 1,850 units	2006/07/28	392,000,000	2006/01/24
04545	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Jul 2006	0.2700	41.0000	2006/07/25	200,000,000	2006/01/25
04546	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Sep 2006	0.4100	4.20 per unit	2006/09/29	300,000,000	2006/01/25
04547	BNP Paribas Arbit Issu B.V.	PetroChina Euro Wts Jul 2006	0.4000	7.8 per unit	2006/07/25	300,000,000	2006/01/25
04540	Deutsche Bank AG	Cheung Kong European Put Wts Jul 2006	0.4500	80.0000	2006/07/28	100,000,000	2006/01/25
04541	Deutsche Bank AG	Hutchison European Put Warrants Jul 2006	0.4120	75.0000	2006/07/28	100,000,000	2006/01/25
04542	Deutsche Bank AG	PetroChina European Warrants Jul 2006 B	0.3500	8.00 per unit	2006/07/25	200,000,000	2006/01/25
09169 #	ABN AMRO Bank N.V.	BOC HK European Warrants Mar 2006	0.0960	16.88 per unit	2006/03/10	105,000,000	2006/01/25
04548	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2006 B	0.2500	3.09 per unit	2006/07/25	400,000,000	2006/01/25
04549	SGA Societe Generale Acceptance N.V.	Ch Tel Eu Wt Jul 2006	0.2500	3.09 per unit	2006/07/25	400,000,000	2006/01/25
04550	SGA Societe Generale Acceptance N.V.	CNOOC Eur Wt Jul 06 B	0.5000	6.48 per unit	2006/07/25	200,000,000	2006/01/25
04552	MSDW Asia Sec Products LLC	HSI European Put Wts Sep 2006	0.2500	15,800 per 5,000 units	2006/09/28	400,000,000	2006/01/25
04553	SGA Societe Generale Acceptance N.V.	PetCh Eur Wt Aug 2006	0.4250	7.88 per unit	2006/08/08	235,000,000	2006/01/26
04555	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jul 2006 D	0.3300	8.58 per unit	2006/07/27	288,000,000	2006/01/26
04554	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Jul 2006 D	0.2730	4.88 per unit	2006/07/27	308,000,000	2006/01/26
02293 #	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2006 A	0.1990	3.108 per unit	2006/08/02	250,000,000	2006/01/26
04575	ABN AMRO Bank N.V.	CC Bank European Warrants Nov 2006	0.2500	3.328 per unit	2006/11/17	300,000,000	2006/01/27
04556	Deutsche Bank AG	CC Bank European Warrants Oct 2006 A	0.2500	3.28 per unit	2006/10/03	100,000,000	2006/01/27
04572	J P Morgan Int'l Der. Ltd.	Link REIT Euro Wts Nov 2006 A	0.2500	14.8000	2006/11/13	400,000,000	2006/01/27
04560	J P Morgan Int'l Der. Ltd.	CHALCO European Wts Aug 2006	0.3800	7.68 per unit	2006/08/25	300,000,000	2006/01/27
04561	J P Morgan Int'l Der. Ltd.	Bank Comm Euro Wts Oct 2006 A	0.2800	4.88 per unit	2006/10/31	400,000,000	2006/01/27
04571	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Oct 2006 A	0.2500	3.18 per unit	2006/10/03	400,000,000	2006/01/27
04573	J P Morgan Int'l Der. Ltd.	Maanshan Iron Euro Wts Dec 2006	0.2500	2.80 per unit	2006/12/28	400,000,000	2006/01/27
04574	J P Morgan Int'l Der. Ltd.	PICC European Warrants Dec 2006	0.2500	3.00 per unit	2006/12/29	400,000,000	2006/01/27
04558	Macquarie Bank Ltd.	BOCHK European Warrants Jul 2006 B	0.2730	18.00 per unit	2006/07/31	200,000,000	2006/01/27
04559	Macquarie Bank Ltd.	Link REIT European Warrants Aug 2006 A	0.2560	15.5000	2006/08/30	200,000,000	2006/01/27
04578	KBC Fin Products Int'l Ltd.	CCB European Wts Nov 2006 A	0.2510	3.388 per unit	2006/11/01	388,000,000	2006/02/01
04577	Deutsche Bank AG	KOSPI 200 European Warrants Dec 2006	0.2730	KRW 182.50 per 0.5 unit	2006/12/14	50,000,000	2006/02/01
04581	Deutsche Bank AG	KOSPI 200 European Put Warrants Dec 2006	0.2570	KRW 162.50 per 0.5 unit	2006/12/14	50,000,000	2006/02/01
04576	Deutsche Bank AG	China Life European Warrants Aug 2006 A	0.4300	8.38 per unit	2006/08/01	100,000,000	2006/02/01
04582	ABN AMRO Bank N.V.	Hutchison European Warrants Aug 2006	0.6600	77.0800	2006/08/02	98,000,000	2006/02/02
04583	J P Morgan Int'l Der. Ltd.	BOC HK European Wts Oct 2006	0.3800	16.18 per unit	2006/10/10	263,000,000	2006/02/02
04584	J P Morgan Int'l Der. Ltd.	Sinopec Corp Euro Wts Oct 2006	0.3000	5.00 per unit	2006/10/17	333,000,000	2006/02/02
04585	J P Morgan Int'l Der. Ltd.	CITIC Pacific Euro Wts Sep 2006	0.6800	23.50 per unit	2006/09/29	147,000,000	2006/02/02
04586	J P Morgan Int'l Der. Ltd.	CNOOC European Wts Oct 2006	0.4800	7.18 per unit	2006/10/24	208,000,000	2006/02/02
04579	Macquarie Bank Ltd.	CNOOC European Warrants Aug 2006	0.3830	7.00 per unit	2006/08/07	100,000,000	2006/02/02
04580	Macquarie Bank Ltd.	Jiangxi Copper European Wts Aug 2006	0.3520	5.00 per unit	2006/08/14	100,000,000	2006/02/02
04587	ABN AMRO Bank N.V.	Oil Futures European Warrants Aug 2006	0.2700	USD 77.00 per 200 units	2006/08/23	60,000,000	2006/02/03
04591	ABN AMRO Bank N.V.	Oil Futures European Put Wts Aug 2006	0.2700	USD 63.00 per 160 units	2006/08/23	60,000,000	2006/02/03
09710 #	Deutsche Bank AG	Hutchison European Warrants Jun 2006 A	0.2190	84.0000	2006/06/05	200,000,000	2006/02/03

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
04589	ABN AMRO Bank N.V.	Cheung Kong European Put Wts Aug 2006	0.3900	77.8800	2006/08/08	85,000,000	2006/02/06
04592	ABN AMRO Bank N.V.	CC Bank European Put Warrants Sep 2006	0.2500	2.888 per unit	2006/09/29	250,000,000	2006/02/06
04588	ABN AMRO Bank N.V.	CNOOC European Warrants Aug 2006	0.5900	6.388 per unit	2006/08/10	100,000,000	2006/02/06
04590	ABN AMRO Bank N.V.	Hutchison European Put Wts Aug 2006	0.4300	74.8800	2006/08/08	95,000,000	2006/02/06
02265 #	Deutsche Bank AG	CC Bank European Warrants Sep 2006	0.2900	3.00 per unit	2006/09/12	100,000,000	2006/02/06
04597	Macquarie Bank Ltd.	CCB European Warrants Nov 2006 A	0.2590	3.50 per unit	2006/11/30	400,000,000	2006/02/07
04599	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Aug 2006	0.3000	5.528 per unit	2006/08/08	150,000,000	2006/02/08
04598	ABN AMRO Bank N.V.	HSI European Warrants Aug 2006	0.2550	15,800 per 4,000 units	2006/08/30	160,000,000	2006/02/08
04602	ABN AMRO Bank N.V.	HSI European Put Warrants Aug 2006	0.2550	15,600 per 4,100 units	2006/08/30	200,000,000	2006/02/08
09639 #	ABN AMRO Bank N.V.	HSI European Warrants Mar 2006	0.1520	15,500 per 4,000 units	2006/03/30	340,000,000	2006/02/08
09570 #	ABN AMRO Bank N.V.	Hutchison European Warrants Apr 2006	0.1470	82.8880	2006/04/06	100,000,000	2006/02/08
04610	UBS AG	Sinopec European Warrants Aug 2006 B	0.4300	5.08 per unit	2006/08/14	100,000,000	2006/02/08
04611	UBS AG	CNOOC European Warrants Aug 2006 B	0.6800	6.88 per unit	2006/08/14	100,000,000	2006/02/08
04612	UBS AG	PetroChina European Warrants Aug 2006	0.4500	8.38 per unit	2006/08/14	100,000,000	2006/02/08
04607	UBS AG	Bank of Communications European Warrants Aug 2006	0.2500	4.88 per unit	2006/08/14	100,000,000	2006/02/08
04608	UBS AG	CC Bank European Warrants Oct 2006	0.2500	3.38 per unit	2006/10/16	100,000,000	2006/02/08
04609	UBS AG	China Life European Warrants Aug 2006 B	0.5300	8.38 per unit	2006/08/14	100,000,000	2006/02/08
04606	Macquarie Bank Ltd.	CNOOC European Put Warrants Aug 2006	0.3180	6.00 per unit	2006/08/14	100,000,000	2006/02/08
04601	Macquarie Bank Ltd.	PetroChina European Warrants Aug 2006	0.3010	8.88 per unit	2006/08/08	200,000,000	2006/02/08
04605	Macquarie Bank Ltd.	PetroChina European Put Wts Aug 2006	0.3840	7.00 per unit	2006/08/08	100,000,000	2006/02/08
04600	Macquarie Bank Ltd.	Sinopec European Warrants Aug 2006	0.3190	5.50 per unit	2006/08/28	100,000,000	2006/02/08
04604	Macquarie Bank Ltd.	Sinopec European Put Warrants Aug 2006	0.2910	4.50 per unit	2006/08/21	100,000,000	2006/02/08
04603	Macquarie Bank Ltd.	BankComm European Warrants Aug 2006	0.2610	5.00 per unit	2006/08/31	200,000,000	2006/02/08
04620	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Aug 2006	0.5200	7.30 per unit	2006/08/14	188,000,000	2006/02/08
04614	KBC Fin Products Int'l Ltd.	HWL European Put Wts Aug 2006	0.3700	74.0000	2006/08/08	188,000,000	2006/02/08
04616	KBC Fin Products Int'l Ltd.	CK(H) Euro Put Wts Aug 2006	0.3350	77.0000	2006/08/08	188,000,000	2006/02/08
04618	KBC Fin Products Int'l Ltd.	HSCEI European Wts Sep 2006 A	0.2510	6,200 per 3,300 units	2006/09/28	388,000,000	2006/02/08
04613	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Sep 2006 C	0.2500	6,400 per 2,400 units	2006/09/28	400,000,000	2006/02/08
04619	KBC Fin Products Int'l Ltd.	HWL European Warrants Aug 2006	0.6270	77.0000	2006/08/09	158,000,000	2006/02/08
04615	KBC Fin Products Int'l Ltd.	BEA European Warrants Feb 2007	0.2620	23.0000	2007/02/08	288,000,000	2006/02/08
04617	KBC Fin Products Int'l Ltd.	CNOOC European Wts Aug 2006 B	0.6500	6.80 per unit	2006/08/08	108,000,000	2006/02/08
04627	DBS Bank Ltd.	Lenovo European Warrants Sep 2006	0.2720	4.16 per unit	2006/09/29	150,000,000	2006/02/09
04622	Macquarie Bank Ltd.	BankComm European Put Wts Oct 2006	0.2500	4.00 per unit	2006/10/31	100,000,000	2006/02/09
04623	Macquarie Bank Ltd.	CCB European Put Warrants Aug 2006	0.2540	2.90 per unit	2006/08/31	200,000,000	2006/02/09
04621	Macquarie Bank Ltd.	China Life European Wts Aug 2006 A	0.5750	8.50 per unit	2006/08/31	200,000,000	2006/02/09
04625	Macquarie Bank Ltd.	China Life European Put Wts Aug 2006	0.4070	7.50 per unit	2006/08/18	100,000,000	2006/02/09
04624	Macquarie Bank Ltd.	HSBC European Warrants Aug 2006	0.3030	132.5000	2006/08/31	300,000,000	2006/02/09
04626	Macquarie Bank Ltd.	HSCEI European Warrants Sep 2006 E	0.2520	6,600 per 2,500 units	2006/09/28	200,000,000	2006/02/09
09695 #	Deutsche Bank AG	China Mobile European Warrants Apr 2006	0.0890	40.0000	2006/04/18	120,000,000	2006/02/09
09679 #	Deutsche Bank AG	HSI European Warrants Apr 2006 C	0.0790	15,800 per 3,900 units	2006/04/27	200,000,000	2006/02/09
09376 #	Macquarie Bank Ltd.	HSI European Warrants Mar 2006 D	0.0470	16,000 per 3,800 units	2006/03/30	600,000,000	2006/02/09
09790 #	SGA Societe Generale Acceptance N.V.	HWL Eur Wt May 2006 B	0.4250	78.0800	2006/05/29	100,000,000	2006/02/09
04637	ABN AMRO Bank N.V.	Gold European Put Warrants Sep 2006	0.2750	USD 550 per 800 units	2006/09/29	120,000,000	2006/02/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04634	ABN AMRO Bank N.V.	China Mobile European Put Wts Sep 2006	0.2750	34.8800	2006/09/21	180,000,000	2006/02/10
04635	ABN AMRO Bank N.V.	China Telecom European Wts Sep 2006	0.2600	3.218 per unit	2006/09/18	200,000,000	2006/02/10
04636	ABN AMRO Bank N.V.	PetroChina European Warrants Aug 2006	0.3500	8.388 per unit	2006/08/11	200,000,000	2006/02/10
04628	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Aug 2006 B	0.2950	4.80 per unit	2006/08/30	330,000,000	2006/02/10
04629	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Aug 2006 B	0.6500	8.28 per unit	2006/08/30	180,000,000	2006/02/10
04630	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Aug 2006	0.4000	5.00 per unit	2006/08/30	300,000,000	2006/02/10
04631	J P Morgan Int'l Der. Ltd.	BankComm Euro Wts Oct 2006 B	0.2800	5.00 per unit	2006/10/03	357,000,000	2006/02/10
04632	J P Morgan Int'l Der. Ltd.	CNOOC European Wts Feb 2007	0.6000	7.88 per unit	2007/02/28	166,000,000	2006/02/10
04633	J P Morgan Int'l Der. Ltd.	Yanzhou Coal Euro Wts Feb 2007	0.5000	7.00 per unit	2007/02/28	199,000,000	2006/02/10
04638	Macquarie Bank Ltd.	CHALCO European Warrants Aug 2006	0.5600	8.00 per unit	2006/08/30	100,000,000	2006/02/10
09677 #	Credit Suisse	SMIC European Warrants Nov 2006	0.2480	1.18 per unit	2006/11/08	500,000,000	2006/02/10
04640	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2006 A	0.5000	8.888 per unit	2006/08/14	188,000,000	2006/02/13
04639	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Aug 2006 B	0.2800	4.888 per unit	2006/08/16	288,000,000	2006/02/13
04642	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Wts Aug 2006 B	0.5860	77.0000	2006/08/14	168,000,000	2006/02/13
04641	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Put Wt Sep 2006	0.2530	34.0000	2006/09/15	288,000,000	2006/02/13
04645	BOCI Asia Ltd.	China Life European Warrants Nov 2006	1.0000	8.20 per unit	2006/11/10	90,000,000	2006/02/14
04646	BOCI Asia Ltd.	Foxconn European Warrants Sep 2006	0.2700	12.9000	2006/09/08	700,000,000	2006/02/14
04643	Deutsche Bank AG	Hutchison European Warrants Aug 2006 B	0.5600	77.5000	2006/08/18	100,000,000	2006/02/14
04647	Macquarie Bank Ltd.	China Life European Wts Aug 2006 B	0.5660	9.00 per unit	2006/08/31	200,000,000	2006/02/14
09896 #	ABN AMRO Bank N.V.	Hutchison European Warrants May 2006	0.3250	78.8800	2006/05/25	200,000,000	2006/02/14
04576 #	Deutsche Bank AG	China Life European Warrants Aug 2006 A	0.6600	8.38 per unit	2006/08/01	100,000,000	2006/02/14
04651	Deutsche Bank AG	DJIA European Warrants Dec 2006	0.2500	USD 11,000 per 23,000 units	2006/12/15	50,000,000	2006/02/15
04655	Deutsche Bank AG	DJIA European Put Warrants Dec 2006	0.2550	USD 10,600 per 18,000 units	2006/12/15	50,000,000	2006/02/15
04648	Deutsche Bank AG	HSCEI European Warrants Aug 2006	0.2500	6,500 per 2,000 units	2006/08/30	200,000,000	2006/02/15
04649	Deutsche Bank AG	CC Bank European Warrants Nov 2006 A	0.2500	3.48 per unit	2006/11/21	100,000,000	2006/02/15
04650	Deutsche Bank AG	China Life European Warrants Aug 2006 B	0.6800	8.80 per unit	2006/08/28	100,000,000	2006/02/15
04653	Deutsche Bank AG	HSCEI European Put Warrants Aug 2006	0.2500	6,400 per 1,950 units	2006/08/30	200,000,000	2006/02/15
02293 #	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2006 A	0.3000	3.108 per unit	2006/08/02	300,000,000	2006/02/15
09279 #	Credit Suisse	PetroChina European Put Warrants Jul 2006	0.2700	6.88 per unit	2006/07/13	200,000,000	2006/02/15
04556 #	Deutsche Bank AG	CC Bank European Warrants Oct 2006 A	0.2900	3.28 per unit	2006/10/03	100,000,000	2006/02/15
02184 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 06D	0.1770	15,400 per 4,000 units	2006/06/29	350,000,000	2006/02/15
04658	Credit Suisse	Cheung Kong European Warrants Dec 2006	0.6140	82.8800	2006/12/08	250,000,000	2006/02/16
04659	Credit Suisse	China Mobile European Warrants Sep 2006	0.3350	36.3800	2006/09/18	250,000,000	2006/02/16
04660	Credit Suisse	Hutchison European Warrants Oct 2006	0.6700	76.0800	2006/10/18	250,000,000	2006/02/16
04661	Credit Suisse	PetroChina European Warrants Oct 2006 C	0.6270	7.88 per unit	2006/10/18	250,000,000	2006/02/16
04657	Deutsche Bank AG	BankComm European Warrants Oct 2006	0.3600	4.80 per unit	2006/10/10	100,000,000	2006/02/16
04654	KBC Fin Products Int'l Ltd.	CCB Euro Put Wts Aug 2006 B	0.2500	3.10 per unit	2006/08/16	400,000,000	2006/02/16
04662	KBC Fin Products Int'l Ltd.	Huaneng European Wts Sep 2006	0.2630	5.50 per unit	2006/09/14	288,000,000	2006/02/16
04664	UBS AG	CC Bank European Warrants Sep 2006	0.2500	3.58 per unit	2006/09/25	100,000,000	2006/02/16
04665	UBS AG	China Mobile European Warrants Aug 2006	0.2600	38.8800	2006/08/28	100,000,000	2006/02/16
04669	UBS AG	China Mobile European Put Warrants Aug 2006	0.2500	33.8800	2006/08/28	100,000,000	2006/02/16
04667	UBS AG	China Telecom European Warrants Oct 2006	0.2600	3.08 per unit	2006/10/23	100,000,000	2006/02/16
04668	UBS AG	Foxconn European Warrants Aug 2006	0.2500	12.8800	2006/08/28	100,000,000	2006/02/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04670	UBS AG	HSCEI European Warrants Aug 2006 B	0.3500	6,388 per 1,600 units	2006/08/30	100,000,000	2006/02/16
04671	UBS AG	HSCEI European Warrants Aug 2006 C	0.2500	6,888 per 1,600 units	2006/08/30	100,000,000	2006/02/16
04672	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Aug 2006	0.2550	17.2800	2006/08/30	350,000,000	2006/02/17
04677	Deutsche Bank AG	CC Bank European Put Warrants Aug 2006	0.2500	3.08 per unit	2006/08/18	100,000,000	2006/02/17
04678	Deutsche Bank AG	China Life European Put Warrants Aug 2006	0.4800	7.68 per unit	2006/08/28	100,000,000	2006/02/17
04679	KBC Fin Products Int'l Ltd.	CCB European Wts Sep 2006 A	0.2500	3.588 per unit	2006/09/05	400,000,000	2006/02/17
04680	KBC Fin Products Int'l Ltd.	HSBC European Wts Sep 2006 D	0.4200	127.8800	2006/09/01	238,000,000	2006/02/17
04689	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2006 B	0.2600	3.688 per unit	2006/08/22	450,000,000	2006/02/20
04688	ABN AMRO Bank N.V.	CC Bank European Warrants Oct 2006 B	0.2500	3.888 per unit	2006/10/20	450,000,000	2006/02/20
04692	ABN AMRO Bank N.V.	CC Bank European Put Warrants Oct 2006	0.2500	3.288 per unit	2006/10/13	100,000,000	2006/02/20
04681	Fortis Bank S.A./N.V.	China Netcom European Wts Sep 2006	0.7870	14.88 per unit	2006/09/01	16,000,000	2006/02/20
04682	Fortis Bank S.A./N.V.	China Resources Euro Wts Dec 2006	0.2560	18.0000	2006/12/27	120,000,000	2006/02/20
04683	Fortis Bank S.A./N.V.	Denway Motors European Wts Oct 2006	0.3250	4.08 per unit	2006/10/16	60,000,000	2006/02/20
04684	Fortis Bank S.A./N.V.	HKEx European Warrants Nov 2006	0.2500	41.3800	2006/11/16	58,000,000	2006/02/20
04686	Fortis Bank S.A./N.V.	Hutchison European Warrants Nov 2006	0.4940	81.0000	2006/11/13	28,000,000	2006/02/20
04687	Fortis Bank S.A./N.V.	Ping An European Warrants Aug 2006	0.9980	18.58 per unit	2006/08/21	12,000,000	2006/02/20
04695	KBC Fin Products Int'l Ltd.	CCB European Wts Sep 2006 B	0.2700	3.908 per unit	2006/09/01	368,000,000	2006/02/20
04696	KBC Fin Products Int'l Ltd.	CCB European Wts Nov 2006 B	0.2500	4.208 per unit	2006/11/01	400,000,000	2006/02/20
04694	Macquarie Bank Ltd.	CCB European Warrants Aug 2006	0.2550	3.80 per unit	2006/08/31	200,000,000	2006/02/20
04690	Macquarie Bank Ltd.	China Mobile European Put Wts Sep 2006	0.2870	33.8800	2006/09/11	150,000,000	2006/02/20
04691	Macquarie Bank Ltd.	HS Bank European Warrants Aug 2006	0.2690	105.0000	2006/08/31	150,000,000	2006/02/20
04693	Macquarie Bank Ltd.	Link REIT European Warrants Aug 2006 B	0.2510	17.5000	2006/08/21	200,000,000	2006/02/20
04697	Deutsche Bank AG	HSI European Put Warrants Sep 2006 A	0.2500	15,000 per 3,800 units	2006/09/28	200,000,000	2006/02/21
04706	Deutsche Bank AG	CC Bank European Warrants Oct 2006 B	0.3600	3.80 per unit	2006/10/20	100,000,000	2006/02/21
04707	Deutsche Bank AG	CC Bank European Warrants Nov 2006 B	0.2900	4.08 per unit	2006/11/20	100,000,000	2006/02/21
04698	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2006 B	0.2550	3.78 per unit	2006/08/21	392,000,000	2006/02/21
04699	SGA Societe Generale Acceptance N.V.	Ch Life Eu Wt Sep 06	0.7600	9.38 per unit	2006/09/04	132,000,000	2006/02/21
04700	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Aug 06	0.4100	5.18 per unit	2006/08/21	244,000,000	2006/02/21
04704	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Oct 06 B	0.6700	7.28 per unit	2006/10/23	149,000,000	2006/02/21
04705	Macquarie Bank Ltd.	HK Gas European Warrants Feb 2008	0.2550	20.0000	2008/02/29	200,000,000	2006/02/21
04708	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Oct 2006 C	0.6100	8.00 per unit	2006/10/03	148,000,000	2006/02/21
04521 #	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Jul 2006	0.5500	4.528 per unit	2006/07/27	100,000,000	2006/02/21
04723	ABN AMRO Bank N.V.	Bank of Comm European Put Wts Sep 2006	0.2500	4.228 per unit	2006/09/12	160,000,000	2006/02/22
04724	ABN AMRO Bank N.V.	Sinopec Corp European Put Wts Sep 2006	0.3000	4.028 per unit	2006/09/22	100,000,000	2006/02/22
04719	ABN AMRO Bank N.V.	Bank of Comm European Warrants Sep 2006	0.3900	5.028 per unit	2006/09/08	160,000,000	2006/02/22
04717	ABN AMRO Bank N.V.	BOC HK European Warrants Sep 2006	0.5800	16.38 per unit	2006/09/12	50,000,000	2006/02/22
04721	ABN AMRO Bank N.V.	CC Bank European Warrants Sep 2006 D	0.2700	4.128 per unit	2006/09/18	400,000,000	2006/02/22
04720	ABN AMRO Bank N.V.	Sinopec Corp European Wts Sep 2006 C	0.3700	5.028 per unit	2006/09/04	160,000,000	2006/02/22
04722	ABN AMRO Bank N.V.	Hutchison European Warrants Oct 2006 A	0.6800	71.8800	2006/10/04	200,000,000	2006/02/22
04710	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Sep 2006 B	0.2800	4.10 per unit	2006/09/28	330,000,000	2006/02/22
04716	BOCI Asia Ltd.	HK Gas European Warrants Nov 2006	1.2500	18.50 per unit	2006/11/17	40,000,000	2006/02/22
04715	BOCI Asia Ltd.	ASM Pac European Warrants Sep 2006	0.4000	43.5000	2006/09/15	100,000,000	2006/02/22
04709	Macquarie Bank Ltd.	Swire Pac A European Warrants Sep 2006	0.2870	75.0000	2006/09/28	100,000,000	2006/02/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04725	Macquarie Bank Ltd.	BankComm European Warrants Sep 2006	0.3320	5.50 per unit	2006/09/29	200,000,000	2006/02/22
04726	Macquarie Bank Ltd.	CCB European Warrants Oct 2006 A	0.2800	4.40 per unit	2006/10/31	400,000,000	2006/02/22
04711	SGA Societe Generale Acceptance N.V.	BOCom Eu Wt Aug 06 C	0.3600	5.23 per unit	2006/08/22	278,000,000	2006/02/22
04712	SGA Societe Generale Acceptance N.V.	CK(H) Eur Wt Aug 2006	0.4600	81.8800	2006/08/22	217,000,000	2006/02/22
04713	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Aug 2006	0.4250	77.1800	2006/08/22	235,000,000	2006/02/22
04714	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Aug 2006	0.3900	81.0800	2006/08/28	256,000,000	2006/02/22
04729	KBC Fin Products Int'l Ltd.	HSCEI European Wts Sep 2006 B	0.2500	6,800 per 2,400 units	2006/09/28	400,000,000	2006/02/22
04728	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Aug 2006 B	0.2500	5.488 per unit	2006/08/22	308,000,000	2006/02/22
04727	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2006 B	0.5300	9.488 per unit	2006/08/22	168,000,000	2006/02/22
09562 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 06B	0.1640	16,000 per 4,500 units	2006/03/30	400,000,000	2006/02/22
04745	Credit Suisse	Bank of Comm European Warrants Feb 2007	0.7750	4.4800	2007/02/08	200,000,000	2006/02/23
04746	Credit Suisse	China Life European Warrants Feb 2007 A	0.9090	8.88 per unit	2007/02/08	200,000,000	2006/02/23
04747	Credit Suisse	Sinopec Corp European Warrants Mar 2007	0.8640	4.38 per unit	2007/03/08	200,000,000	2006/02/23
04748	Credit Suisse	China Tel European Warrants Mar 2007	0.5350	2.68 per unit	2007/03/28	200,000,000	2006/02/23
04730	HK Bank	China Life European Warrants Sep 2006	0.5800	9.20 per unit	2006/09/29	80,000,000	2006/02/23
04744	HK Bank	PetroChina European Warrants Aug 2006	0.4000	8.20 per unit	2006/08/25	90,000,000	2006/02/23
04749	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Sep 2006 B	0.2800	5.388 per unit	2006/09/12	288,000,000	2006/02/23
04751	KBC Fin Products Int'l Ltd.	HWL European Warrants Oct 2006	0.7700	70.6800	2006/10/04	108,000,000	2006/02/23
04753	KBC Fin Products Int'l Ltd.	BankComm Euro Put Wts Aug 2006	0.2560	4.208 per unit	2006/08/23	288,000,000	2006/02/23
04750	KBC Fin Products Int'l Ltd.	Sinopec Euro Put Wts Sep 2006	0.2540	4.018 per unit	2006/09/06	288,000,000	2006/02/23
04761	CC Rabobank B.A.	HSBC European Warrants Jan 2007	0.6600	128.6800	2007/01/18	80,000,000	2006/02/24
04762	CC Rabobank B.A.	Hutchison European Warrants Nov 2006	0.7000	73.6800	2006/11/28	100,000,000	2006/02/24
04759	CC Rabobank B.A.	BOC HK European Warrants Dec 2006	0.8900	15.88 per unit	2006/12/18	80,000,000	2006/02/24
04760	CC Rabobank B.A.	CC Bank European Warrants Nov 2006	0.4350	3.68 per unit	2006/11/08	80,000,000	2006/02/24
04758	J P Morgan Int'l Der. Ltd.	Huaneng Power Euro Wts Feb 2007	0.3300	5.68 per unit	2007/02/02	300,000,000	2006/02/24
04752	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Nov 2006	0.3000	3.88 per unit	2006/11/30	350,000,000	2006/02/24
04757	J P Morgan Int'l Der. Ltd.	China Netcom Euro Wts Feb 2007	0.2500	13.6800	2007/02/21	400,000,000	2006/02/24
02298 #	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jul 2006 D	0.1010	6,000 per 2,600 units	2006/07/28	600,000,000	2006/02/24
04765	Goldman Sachs SP (Asia)	Bank of Comm European Wts Aug 2006	0.3800	5.46 per unit	2006/08/28	140,000,000	2006/02/27
04769	Goldman Sachs SP (Asia)	Bank of Comm Euro Put Wts Aug 2006	0.4000	4.60 per unit	2006/08/28	130,000,000	2006/02/27
04770	Goldman Sachs SP (Asia)	China Life European Wts Aug 2006	0.6200	9.83 per unit	2006/08/28	100,000,000	2006/02/27
04767	Goldman Sachs SP (Asia)	CC Bank European Warrants Aug 2006	0.2600	4.28 per unit	2006/08/28	200,000,000	2006/02/27
04764	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Aug 2006 A	0.2500	15,600 per 3,700 units	2006/08/30	400,000,000	2006/02/27
04768	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Aug 06B	0.2500	15,400 per 3,900 units	2006/08/30	400,000,000	2006/02/27
04774	KBC Fin Products Int'l Ltd.	HS Bank European Wts Feb 2007	0.5600	106.0000	2007/02/22	108,000,000	2006/02/27
04772	KBC Fin Products Int'l Ltd.	CCB European Wts Aug 2006 D	0.4500	3.738 per unit	2006/08/28	208,000,000	2006/02/27
04773	KBC Fin Products Int'l Ltd.	CCB European Wts Oct 2006 C	0.2600	4.418 per unit	2006/10/03	368,000,000	2006/02/27
02268 #	Fortis Bank S.A./N.V.	HKEx European Warrants Aug 2006	0.5300	35.3800	2006/08/10	30,000,000	2006/02/27
04454 #	KBC Fin Products Int'l Ltd.	Ch Telecom Eur Wts Jul 2006	0.1640	3.00 per unit	2006/07/17	400,000,000	2006/02/27
04792	ABN AMRO Bank N.V.	China Life European Warrants Aug 2007	0.2500	7.9500	2007/08/23	600,000,000	2006/02/28
04771	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wts Aug 2006	0.4300	86.0000	2006/08/30	180,000,000	2006/02/28
04781	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Aug 2006 C	0.3600	3.98 per unit	2006/08/30	330,000,000	2006/02/28
04785	BOCI Asia Ltd.	Denway Motors European Warrants Nov 2006	0.4000	3.70 per unit	2006/11/24	200,000,000	2006/02/28

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04788	BOCI Asia Ltd.	MTR European Warrants Dec 2006	1.0500	17.80 per unit	2006/12/15	30,000,000	2006/02/28
04790	BOCI Asia Ltd.	SHK Ppt European Warrants Jan 2007	0.4800	81.0000	2007/01/26	300,000,000	2006/02/28
04793	Goldman Sachs SP (Asia)	China Life European Wts Jun 2007 A	0.2500	8.2000	2007/06/25	200,000,000	2006/02/28
04782	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep 2006	0.3100	37.9800	2006/09/18	323,000,000	2006/02/28
04784	SGA Societe Generale Acceptance N.V.	MTRC Euro Wt Feb 2007	0.2500	16.0000	2007/02/26	400,000,000	2006/02/28
04786	SGA Societe Generale Acceptance N.V.	C Mob Eu Put Wt Sep06	0.3450	36.1800	2006/09/25	290,000,000	2006/02/28
04783	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Aug 2006 C	0.2950	15,200 per 4,000 units	2006/08/30	340,000,000	2006/02/28
04787	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Aug 06D	0.3100	16,000 per 4,000 units	2006/08/30	323,000,000	2006/02/28
04796	KBC Fin Products Int'l Ltd.	HSI European Warrants Aug 2006	0.2500	15,600 per 4,200 units	2006/08/30	400,000,000	2006/02/28
04800	KBC Fin Products Int'l Ltd.	HSI European Put Wts Aug 2006	0.2500	15,400 per 3,600 units	2006/08/30	400,000,000	2006/02/28
04794	KBC Fin Products Int'l Ltd.	CCB European Wts Sep 2006 C	0.3400	3.888 per unit	2006/09/12	288,000,000	2006/02/28
04795	KBC Fin Products Int'l Ltd.	MTRC European Wts Apr 2007	0.2500	16.0000	2007/04/16	288,000,000	2006/02/28
04791	Macquarie Bank Ltd.	Ch Life European Warrants Aug 2006 C	0.3880	10.00 per unit	2006/08/31	200,000,000	2006/02/28
04484 #	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Aug 2006	0.1040	6,150 per 2,920 units	2006/08/30	800,000,000	2006/02/28
04821	ABN AMRO Bank N.V.	BOC HK European Warrants Jun 2007	0.2500	13.6800	2007/06/20	500,000,000	2006/03/01
04822	ABN AMRO Bank N.V.	China Life European Warrants Jun 2007	0.2500	8.7180	2007/06/25	600,000,000	2006/03/01
04823	ABN AMRO Bank N.V.	China Mobile European Wts Oct 2006 A	0.3100	39.8800	2006/10/27	180,000,000	2006/03/01
04797	Deutsche Bank AG	China Life European Warrants Sep 2006 B	0.6700	9.68 per unit	2006/09/12	100,000,000	2006/03/01
04798	Deutsche Bank AG	China Mobile European Warrants Sep 2006 A	0.2500	40.0000	2006/09/25	100,000,000	2006/03/01
04799	Deutsche Bank AG	HSBC European Warrants Sep 2006 A	0.3900	131.0000	2006/09/18	100,000,000	2006/03/01
04810	Deutsche Bank AG	China Mobile European Put Wts Sep 2006	0.2600	35.4000	2006/09/11	100,000,000	2006/03/01
04811	Deutsche Bank AG	HSBC European Put Warrants Sep 2006	0.4650	127.0000	2006/09/01	100,000,000	2006/03/01
04817	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2006 B	0.3750	4.13 per unit	2006/10/09	267,000,000	2006/03/01
04818	SGA Societe Generale Acceptance N.V.	HSBC Euro Wt Oct 2006	0.4000	131.7800	2006/10/16	250,000,000	2006/03/01
04819	SGA Societe Generale Acceptance N.V.	PetCh Eur Wt Sep 2006	0.5900	8.33 per unit	2006/09/25	170,000,000	2006/03/01
04820	Macquarie Bank Ltd.	HSCEI European Warrants Sep 2006 F	0.2510	7,000 per 2,400 units	2006/09/28	200,000,000	2006/03/01
04827	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2006 C	0.4280	11.188 per unit	2006/09/01	168,000,000	2006/03/01
04825	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2006 B	0.6800	10.288 per unit	2006/09/01	118,000,000	2006/03/01
04824	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Sep 2006 C	0.2800	6.00 per unit	2006/09/07	268,000,000	2006/03/01
04828	KBC Fin Products Int'l Ltd.	Ch Telecom Euro Wts Sep 2006 C	0.2500	3.088 per unit	2006/09/06	400,000,000	2006/03/01
04829	KBC Fin Products Int'l Ltd.	China Unicom Euro Wts Oct 2006	0.3600	7.80 per unit	2006/10/16	188,000,000	2006/03/01
04842	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Sep 2006 D	0.2510	6,800 per 3,000 units	2006/09/28	388,000,000	2006/03/01
04843	Macquarie Bank Ltd.	HSCEI European Put Warrants Sep 2006 G	0.2540	6,500 per 2,400 units	2006/09/28	200,000,000	2006/03/02
04856	KBC Fin Products Int'l Ltd.	HSBC European Wts Sep 2006 E	0.3500	132.0000	2006/09/12	288,000,000	2006/03/02
04857	KBC Fin Products Int'l Ltd.	HSBC European Wts Sep 2006 F	0.2500	135.0000	2006/09/12	400,000,000	2006/03/02
04848	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Sep 2006	0.2500	6,500 per 3,430 units	2006/09/28	400,000,000	2006/03/02
04852	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Sep 2006	0.2500	7,300 per 4,020 units	2006/09/28	400,000,000	2006/03/02
04847	BOCI Asia Ltd.	PCCW European Warrants Dec 2006	0.4600	5.80 per unit	2006/12/15	200,000,000	2006/03/02
04844	Deutsche Bank AG	BankComm European Warrants Sep 2006 A	0.5300	5.30 per unit	2006/09/11	100,000,000	2006/03/02
04845	Deutsche Bank AG	BankComm European Warrants Sep 2006 B	0.4500	5.60 per unit	2006/09/25	100,000,000	2006/03/02
04846	Deutsche Bank AG	China Life European Warrants Oct 2006 B	0.9300	10.08 per unit	2006/10/03	100,000,000	2006/03/02
04850	Deutsche Bank AG	China Life European Put Warrants Oct 2006	0.5700	8.68 per unit	2006/10/03	100,000,000	2006/03/02
04855	J P Morgan Int'l Der. Ltd.	Huaneng Power Euro Wts Dec 2006	0.2500	5.88 per unit	2006/12/29	400,000,000	2006/03/02

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04851	J P Morgan Int'l Der. Ltd.	BankComm European Wts Sep 2006	0.3800	5.68 per unit	2006/09/15	263,000,000	2006/03/02
04853	J P Morgan Int'l Der. Ltd.	Ch Ship Dev Euro Wts Nov 2006	0.5000	7.38 per unit	2006/11/22	200,000,000	2006/03/02
04854	J P Morgan Int'l Der. Ltd.	Datang European Wts Jan 2007	0.2500	6.50 per unit	2007/01/22	400,000,000	2006/03/02
04849	J P Morgan Int'l Der. Ltd.	BOC HK European Wts Sep 2006	0.2500	16.88 per unit	2006/09/29	400,000,000	2006/03/02
09886 #	ABN AMRO Bank N.V.	China Telecom European Wts Jul 2006	0.3300	2.628 per unit	2006/07/17	200,000,000	2006/03/02
09036 #	Deutsche Bank AG	HSBC European Warrants Jun 2006 A	0.3150	131.0000	2006/06/26	200,000,000	2006/03/02
09683 #	Deutsche Bank AG	HSI European Put Warrants Apr 2006 A	0.0840	15,600 per 4,000 units	2006/04/27	200,000,000	2006/03/02
04876	BOCI Asia Ltd.	HK & China Gas European Warrants Jan 2007	1.3500	19.50 per unit	2007/01/12	40,000,000	2006/03/03
04858	Deutsche Bank AG	HSBC European Warrants Sep 2006 B	0.3150	135.0000	2006/09/29	100,000,000	2006/03/03
04860	J P Morgan Int'l Der. Ltd.	Hitachi European Wts Sep 2006	0.3680	JPY 858	2006/09/22	300,000,000	2006/03/03
04859	J P Morgan Int'l Der. Ltd.	Canon European Wts Sep 2006	0.2800	JPY 7,800 per 100 units	2006/09/22	400,000,000	2006/03/03
04861	J P Morgan Int'l Der. Ltd.	Nippon Steel Euro Wts Sep 2006	0.2530	JPY 450	2006/09/22	400,000,000	2006/03/03
04877	Macquarie Bank Ltd.	HSBC European Warrants Sep 2006 B	0.2730	137.5000	2006/09/29	388,000,000	2006/03/03
04878	Deutsche Bank AG	Cheung Kong European Warrants Sep 2006	0.4300	88.0000	2006/09/28	100,000,000	2006/03/06
04884	Goldman Sachs SP (Asia)	KOSPI 200 European Wts Sep 2006	0.2500	KRW 188 per 0.5 unit	2006/09/14	200,000,000	2006/03/06
04898	Goldman Sachs SP (Asia)	Nikkei 225 European Wts Sep 2006 B	0.3000	JPY 16,500 per 250 units	2006/09/08	200,000,000	2006/03/06
04897	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2006 E	0.2600	16,200 per 4,000 units	2006/09/28	200,000,000	2006/03/06
04896	Goldman Sachs SP (Asia)	HSCEI European Put Wts Sep 2006	0.2700	6,800 per 3,000 units	2006/09/28	200,000,000	2006/03/06
02130 #	Deutsche Bank AG	Cheung Kong European Warrants Jun 2006 B	0.2550	85.0000	2006/06/19	200,000,000	2006/03/06
04882	KBC Fin Products Int'l Ltd.	HSBC European Wts Sep 2006 G	0.3100	138.0000	2006/09/06	318,000,000	2006/03/06
04883	KBC Fin Products Int'l Ltd.	HSBC European Wts Oct 2006	0.2500	142.0000	2006/10/03	400,000,000	2006/03/06
04946	ABN AMRO Bank N.V.	HSBC European Warrants Sep 2006 B	0.2800	142.8800	2006/09/07	500,000,000	2006/03/07
04900	BNP Paribas Arbit Issu B.V.	HSI European Warrants Sep 2006	0.2500	16,000 per 4,170 units	2006/09/28	400,000,000	2006/03/07
04934	BNP Paribas Arbit Issu B.V.	HSI European Put Wts Sep 2006	0.2500	16,500 per 5,680 units	2006/09/28	400,000,000	2006/03/07
04956	Calyon Fin P (G) Ltd.	HSBC European Warrants Sep 2006 C	0.4900	134.8800	2006/09/29	300,000,000	2006/03/07
04955	Calyon Fin P (G) Ltd.	HSBC European Warrants Sep 2006 B	0.5100	133.2800	2006/09/11	300,000,000	2006/03/07
04947	Calyon Fin P (G) Ltd.	HSBC European Warrants Oct 2006	0.7400	130.8800	2006/10/31	300,000,000	2006/03/07
04960	Calyon Fin P (G) Ltd.	Hutchison European Warrants Oct 2006	0.6100	76.0000	2006/10/18	300,000,000	2006/03/07
04959	Calyon Fin P (G) Ltd.	China Mobile European Wts Sep 2006	0.4100	37.8800	2006/09/18	300,000,000	2006/03/07
04958	Calyon Fin P (G) Ltd.	CC Bank European Warrants Sep 2006	0.3800	3.88 per unit	2006/09/11	300,000,000	2006/03/07
04974	Calyon Fin P (G) Ltd.	PetroChina European Wts Oct 2006 A	0.9600	7.38 per unit	2006/10/31	300,000,000	2006/03/07
04901	Credit Suisse	BankComm European Warrants Nov 2006 B	0.7300	4.38 per unit	2006/11/08	200,000,000	2006/03/07
04935	Credit Suisse	CC Bank European Warrants Dec 2006	0.4080	3.68 per unit	2006/12/08	200,000,000	2006/03/07
04937	Credit Suisse	CC Bank European Warrants Mar 2007	0.3860	3.88 per unit	2007/03/28	200,000,000	2006/03/07
04938	Credit Suisse	Sinopec European Warrants Dec 2006	0.4860	4.98 per unit	2006/12/18	200,000,000	2006/03/07
04979	ABN AMRO Bank N.V.	CC Bank European Warrants Sep 2006 E	0.5200	3.428 per unit	2006/09/26	500,000,000	2006/03/08
03501	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2007 A	0.7600	3.528 per unit	2007/08/15	500,000,000	2006/03/08
04975	Macquarie Bank Ltd.	BankComm European Put Wts Sep 2006	0.4060	4.40 per unit	2006/09/29	200,000,000	2006/03/08
04978	Macquarie Bank Ltd.	CCB European Put Warrants Sep 2006	0.3250	3.40 per unit	2006/09/29	200,000,000	2006/03/08
02211 #	ABN AMRO Bank N.V.	Hutchison European Warrants Jun 2006	0.3600	73.9800	2006/06/28	150,000,000	2006/03/08
03503	Macquarie Bank Ltd.	Ch Life European Warrants Dec 2007 A	0.2530	9.0000	2007/12/28	400,000,000	2006/03/09
03504	Macquarie Bank Ltd.	Esprit European Warrants Oct 2006	0.5270	65.0000	2006/10/31	100,000,000	2006/03/09
02207 #	ABN AMRO Bank N.V.	China Telecom European Wts Aug 2006	0.1400	3.028 per unit	2006/08/18	150,000,000	2006/03/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03505	Goldman Sachs SP (Asia)	PetroChina European Wts Sep 2006	0.5400	8.415 per unit	2006/09/18	100,000,000	2006/03/13
03515	Citigroup Global Mkt H Inc.	CC Bank European Wts Sep 2006	0.3450	3.828 per unit	2006/09/29	100,000,000	2006/03/13
03516	Citigroup Global Mkt H Inc.	HS Bank European Wts Sep 2006	0.2600	104.5000	2006/09/29	100,000,000	2006/03/13
03517	Citigroup Global Mkt H Inc.	Swire Pac A Euro Wts Sep 2006	0.4100	77.5000	2006/09/29	100,000,000	2006/03/13
03509	J P Morgan Int'l Der. Ltd.	China Tel European Wts May 2007	0.2500	3.10 per unit	2007/05/31	399,000,000	2006/03/13
03510	J P Morgan Int'l Der. Ltd.	CNOOC European Wts May 2007	0.8000	7.68 per unit	2007/05/31	125,000,000	2006/03/13
03506	J P Morgan Int'l Der. Ltd.	Sinopec Corp Euro Wts May 2007	0.4900	5.50 per unit	2007/05/31	203,000,000	2006/03/13
03507	J P Morgan Int'l Der. Ltd.	China Shenhua Euro Wts May 2007	2.2200	13.50 per unit	2007/05/31	45,000,000	2006/03/13
03512	J P Morgan Int'l Der. Ltd.	Huaneng Power Euro Wts May 2007	0.4200	5.55 per unit	2007/05/31	250,000,000	2006/03/13
03513	J P Morgan Int'l Der. Ltd.	JX Copper European Wts May 2007	0.7700	5.30 per unit	2007/05/31	200,000,000	2006/03/13
03508	J P Morgan Int'l Der. Ltd.	Ch Ship Dev Euro Wts May 2007	0.7300	7.38 per unit	2007/05/31	200,000,000	2006/03/13
03511	J P Morgan Int'l Der. Ltd.	Datang Power Euro Wts May 2007	0.5000	5.88 per unit	2007/05/31	200,000,000	2006/03/13
03514	J P Morgan Int'l Der. Ltd.	PetroChina Euro Wts May 2007	0.5700	8.88 per unit	2007/05/31	200,000,000	2006/03/13
03959 #	Credit Suisse	China Telecom Euro Wts Aug 2006	0.0510	3.28 per unit	2006/08/28	300,000,000	2006/03/13
03524	Credit Suisse	China Life European Warrants Dec 2006 B	0.6890	9.68 per unit	2006/12/18	200,000,000	2006/03/14
03527	Credit Suisse	China Life European Warrants Feb 2007 B	0.7600	9.88 per unit	2007/02/08	200,000,000	2006/03/14
03528	Credit Suisse	China Mobile European Warrants Jun 2007	0.4620	36.8800	2007/06/18	200,000,000	2006/03/14
03529	Credit Suisse	Sinopec Corp European Warrants May 2007	0.4430	4.98 per unit	2007/05/18	200,000,000	2006/03/14
03518	SGA Societe Generale Acceptance N.V.	HSI Euro Wts Sep 2006	0.2500	16,000 per 3,800 units	2006/09/28	400,000,000	2006/03/14
03519	SGA Societe Generale Acceptance N.V.	CK(H) Eu Put Wt Sep06	0.5200	78.4800	2006/09/18	192,000,000	2006/03/14
03520	SGA Societe Generale Acceptance N.V.	CCB Eu Wt Oct 2006 C	0.3750	3.96 per unit	2006/10/23	267,000,000	2006/03/14
03522	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep 06A	0.2500	15,600 per 3,850 units	2006/09/28	400,000,000	2006/03/14
03521	MSDW Asia Sec Products LLC	China Life Euro Wts Dec 2006	0.4800	11.00 per unit	2006/12/04	207,000,000	2006/03/14
03523	MSDW Asia Sec Products LLC	China Life Euro Wts Mar 2007	0.4500	12.00 per unit	2007/03/02	219,000,000	2006/03/14
02209 #	ABN AMRO Bank N.V.	HSI European Warrants Jun 2006	0.1670	15,200 per 4,000 units	2006/06/29	340,000,000	2006/03/14
03531	ABN AMRO Bank N.V.	HSI European Warrants Sep 2006	0.2600	15,400 per 3,800 units	2006/09/28	388,000,000	2006/03/15
03535	ABN AMRO Bank N.V.	HSI European Put Warrants Sep 2006	0.2650	15,400 per 3,800 units	2006/09/28	388,000,000	2006/03/15
03532	ABN AMRO Bank N.V.	Hutchison European Warrants Oct 2006 B	0.6100	70.2800	2006/10/03	200,000,000	2006/03/15
03530	Deutsche Bank AG	HSCEI European Put Warrants Sep 2006	0.2500	6,000 per 2,200 units	2006/09/28	200,000,000	2006/03/15
03534	Macquarie Bank Ltd.	CHALCO European Warrants Sep 2006	0.4190	9.00 per unit	2006/09/28	88,000,000	2006/03/15
03533	Macquarie Bank Ltd.	Cathay Pacific European Wts Jun 2008 A	0.2510	13.8800	2008/06/30	288,000,000	2006/03/15
03536	Macquarie Bank Ltd.	JX Copper European Warrants Oct 2006	0.3900	6.00 per unit	2006/10/03	88,000,000	2006/03/15
03537	Macquarie Bank Ltd.	SH Petrochemical European Wts Sep 2006	0.4280	5.00 per unit	2006/09/25	88,000,000	2006/03/15
03542	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Sep 2006 F	0.2500	6,000 per 2,200 units	2006/09/28	400,000,000	2006/03/15
03541	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Sep 2006 E	0.2500	5,600 per 1,500 units	2006/09/28	400,000,000	2006/03/15
03543	KBC Fin Products Int'l Ltd.	HWL European Put Wts Sep 2006	0.3300	68.0000	2006/09/15	268,000,000	2006/03/15
03540	KBC Fin Products Int'l Ltd.	CNOOC Euro Put Wts Sep 2006	0.4190	5.60 per unit	2006/09/15	400,000,000	2006/03/15
03539	KBC Fin Products Int'l Ltd.	Sinopec Euro Put Wts Oct 2006	0.2500	3.60 per unit	2006/10/10	400,000,000	2006/03/15
03538	KBC Fin Products Int'l Ltd.	Ch Life Euro Put Wts Sep 2006	0.2800	7.28 per unit	2006/09/15	318,000,000	2006/03/15
09009 #	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jun 2006 A	0.2020	74.0000	2006/06/01	250,000,000	2006/03/15
03544	Macquarie Bank Ltd.	HSI European Warrants Sep 2006 A	0.3080	15,000 per 4,000 units	2006/09/28	388,000,000	2006/03/16
03545	Macquarie Bank Ltd.	HSI European Warrants Sep 2006 B	0.2600	15,400 per 4,000 units	2006/09/28	388,000,000	2006/03/16
03546	Macquarie Bank Ltd.	HSI European Warrants Sep 2006 C	0.2560	15,800 per 3,400 units	2006/09/28	388,000,000	2006/03/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
03547	Macquarie Bank Ltd.	HSI European Put Warrants Sep 2006 D	0.2550	15,000 per 3,300 units	2006/09/28	388,000,000	2006/03/16
03548	Macquarie Bank Ltd.	HSI European Put Warrants Sep 2006 E	0.2600	15,400 per 4,000 units	2006/09/28	388,000,000	2006/03/16
03549	Macquarie Bank Ltd.	HSI European Put Warrants Sep 2006 F	0.3150	15,800 per 4,000 units	2006/09/28	388,000,000	2006/03/16
03551	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2006 B	0.2500	14,800 per 5,800 units	2006/09/28	400,000,000	2006/03/16
03550	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2006 A	0.2500	14,400 per 6,800 units	2006/09/28	400,000,000	2006/03/16
03554	KBC Fin Products Int'l Ltd.	HSI European Put Wts Sep 2006	0.2500	15,000 per 3,800 units	2006/09/28	400,000,000	2006/03/16
03552	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Sep 2006	0.3300	6.28 per unit	2006/09/18	268,000,000	2006/03/16
02206 #	ABN AMRO Bank N.V.	Sinopec European Warrants Jun 2006	0.3800	4.128 per unit	2006/06/28	100,000,000	2006/03/16
02256 #	SGA Societe Generale Acceptance N.V.	C Mob Eur Wt Jul 2006	0.1350	38.0800	2006/07/11	326,000,000	2006/03/16
04472 #	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2006 C	0.1240	15,800 per 3,800 units	2006/07/28	400,000,000	2006/03/16
03553	Deutsche Bank AG	China Mobile European Warrants Sep 2006 B	0.2600	37.0000	2006/09/18	100,000,000	2006/03/17
03556	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Oct 2006 B	0.2500	3.88 per unit	2006/10/20	400,000,000	2006/03/17
03555	J P Morgan Int'l Der. Ltd.	Cathay Pac Euro Wts Sep 2006	0.5800	14.38 per unit	2006/09/28	200,000,000	2006/03/17
03557	J P Morgan Int'l Der. Ltd.	PetroChina Euro Wts Sep 2006	0.3800	8.00 per unit	2006/09/28	260,000,000	2006/03/17
03558	Macquarie Bank Ltd.	China Mobile European Wts Sep 2006	0.2630	38.0000	2006/09/29	200,000,000	2006/03/17
09677 #	Credit Suisse	SMIC European Warrants Nov 2006	0.1110	1.18 per unit	2006/11/08	900,000,000	2006/03/17
03564	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Sep 2006	0.2700	5.00 per unit	2006/09/25	200,000,000	2006/03/20
03565	HK Bank	China Life European Warrants Oct 2006	0.9100	8.48 per unit	2006/10/27	88,000,000	2006/03/20
03559	SGA Societe Generale Acceptance N.V.	HSBC Eur Wt Sep 06 C	0.5700	129.8800	2006/09/20	175,000,000	2006/03/20
03561	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Sep 2006	0.4350	74.7800	2006/09/20	230,000,000	2006/03/20
03560	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Oct 06A	0.3850	4.68 per unit	2006/10/03	260,000,000	2006/03/20
03563	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Sep 06	0.4000	128.1800	2006/09/26	250,000,000	2006/03/20
03562	SGA Societe Generale Acceptance N.V.	SHK P Eu Put Wt Sep06	0.4850	77.8800	2006/09/25	206,000,000	2006/03/20
03566	HK Bank	CC Bank European Warrants Oct 2006	0.2500	3.80 per unit	2006/10/16	150,000,000	2006/03/21
03567	HK Bank	CC Bank European Warrants Nov 2006	0.3850	3.50 per unit	2006/11/10	150,000,000	2006/03/21
03568	KBC Fin Products Int'l Ltd.	HWL European Warrants Sep 2006	0.4700	74.0000	2006/09/21	208,000,000	2006/03/21
09683 #	Deutsche Bank AG	HSI European Put Warrants Apr 2006 A	0.0610	15,600 per 4,000 units	2006/04/27	400,000,000	2006/03/21
03569	SGA Societe Generale Acceptance N.V.	HSCEI Eur Wt Sep 2006	0.2550	6,500 per 2,300 units	2006/09/28	392,000,000	2006/03/22
03570	SGA Societe Generale Acceptance N.V.	CCB Euro Wts Sep 2006	0.2750	3.78 per unit	2006/09/28	364,000,000	2006/03/22
03573	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Sep06	0.2500	6,300 per 2,500 units	2006/09/28	400,000,000	2006/03/22
09872 #	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2006 A	0.1810	76.4800	2006/06/12	200,000,000	2006/03/23
02172 #	KBC Fin Products Int'l Ltd.	BOC HK European Wts Sep 2006 A	0.4500	16.40 per unit	2006/09/18	150,000,000	2006/03/23
02224 #	Macquarie Bank Ltd.	HSI European Put Warrants Jun 2006 F	0.1310	15,800 per 4,500 units	2006/06/29	600,000,000	2006/03/23
03578	Credit Suisse	BOC HK European Warrants Mar 2007	1.0390	15.28 per unit	2007/03/08	200,000,000	2006/03/24
03579	Credit Suisse	China Mobile European Warrants May 2007 A	0.3740	39.8800	2007/05/18	200,000,000	2006/03/24
03580	Credit Suisse	Henderson Land Dev European Wts Dec 2006	0.3850	42.8800	2006/12/18	200,000,000	2006/03/24
03581	Credit Suisse	MTRC European Warrants Jan 2007	1.1970	17.28 per unit	2007/01/18	200,000,000	2006/03/24
03571	Deutsche Bank AG	HSI European Warrants Sep 2006	0.2600	15,400 per 4,200 units	2006/09/28	200,000,000	2006/03/24
03572	Deutsche Bank AG	Cheung Kong European Warrants Oct 2006	0.4400	84.8800	2006/10/31	100,000,000	2006/03/24
03574	Deutsche Bank AG	Hutchison European Warrants Oct 2006 A	0.4500	75.0000	2006/10/06	100,000,000	2006/03/24
03575	Deutsche Bank AG	HSI European Put Warrants Sep 2006 B	0.2500	15,800 per 3,950 units	2006/09/28	200,000,000	2006/03/24
03576	Macquarie Bank Ltd.	Bank of EA European Wts Apr 2007 A	0.2600	28.0000	2007/04/30	150,000,000	2006/03/24
03577	Macquarie Bank Ltd.	SMIC European Warrants Jun 2007	0.2590	1.25 per unit	2007/06/29	200,000,000	2006/03/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03582	Macquarie Bank Ltd.	Shun Tak European Warrants Jul 2007	0.2500	10.8800	2007/07/30	100,000,000	2006/03/24
03585	ABN AMRO Bank N.V.	BankComm European Warrants Nov 2006	0.5000	4.828 per unit	2006/11/02	160,000,000	2006/03/27
03586	ABN AMRO Bank N.V.	China Mobile European Warrants Nov 2006	0.2550	41.8880	2006/11/02	300,000,000	2006/03/27
03588	ABN AMRO Bank N.V.	Sinopec Corp European Wts Nov 2006 A	0.5500	4.880 per unit	2006/11/02	160,000,000	2006/03/27
03584	Goldman Sachs SP (Asia)	CC Bank European Warrants Oct 2006	0.3500	3.8 per unit	2006/10/03	150,000,000	2006/03/27
03583	Goldman Sachs SP (Asia)	HSBC European Warrants Oct 2006	0.3300	139.0000	2006/10/03	150,000,000	2006/03/27
03587	Goldman Sachs SP (Asia)	HSBC European Put Wts Oct 2006	0.4700	129.0000	2006/10/03	100,000,000	2006/03/27
03592	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2006 C	0.2500	15,400 per 5,200 units	2006/09/28	400,000,000	2006/03/27
03590	KBC Fin Products Int'l Ltd.	BOC HK European Wts Oct 2006 B	0.5900	15.88 per unit	2006/10/13	148,000,000	2006/03/27
03589	KBC Fin Products Int'l Ltd.	BOC HK European Wts Sep 2006 B	0.4000	16.48 per unit	2006/09/27	180,000,000	2006/03/27
03591	KBC Fin Products Int'l Ltd.	Ch Tel Euro Put Wts Sep 2006	0.2500	2.68 per unit	2006/09/27	400,000,000	2006/03/27
03595	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Nov 2006 A	0.2500	42.8800	2006/11/01	400,000,000	2006/03/28
03596	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Nov 2006 A	0.5100	4.88 per unit	2006/11/01	188,000,000	2006/03/28
03593	Macquarie Bank Ltd.	HWL European Warrants Oct 2006	0.4390	75.3800	2006/10/16	200,000,000	2006/03/28
03594	Macquarie Bank Ltd.	SHK Ppt European Put Warrants Sep 2006	0.3440	77.5000	2006/09/29	88,000,000	2006/03/28
03605	Credit Suisse	BankComm European Warrants Jun 2007	0.6840	4.98 per unit	2007/06/18	200,000,000	2006/03/29
03606	Credit Suisse	CC Bank European Warrants May 2007	0.5390	3.58 per unit	2007/05/18	200,000,000	2006/03/29
03607	Credit Suisse	CNOOC European Warrants Mar 2007	0.8700	6.38 per unit	2007/03/08	200,000,000	2006/03/29
03608	Credit Suisse	HSBC European Warrants Mar 2007	0.6310	133.8800	2007/03/08	200,000,000	2006/03/29
03601	Goldman Sachs SP (Asia)	HKEx European Warrants Mar 2007	0.4900	46.0000	2007/03/26	100,000,000	2006/03/29
03603	Macquarie Bank Ltd.	Ch Life European Warrants Oct 2006	0.8140	11.50 per unit	2006/10/31	200,000,000	2006/03/29
03604	Macquarie Bank Ltd.	Ch Life European Warrants Mar 2008	0.2590	10.0000	2008/03/31	800,000,000	2006/03/29
03597	Macquarie Bank Ltd.	Ch Netcom European Warrants May 2007	0.2530	15.0000	2007/05/31	100,000,000	2006/03/29
03598	SGA Societe Generale Acceptance N.V.	C Mob Eur Wt Oct 06 A	0.3100	41.0800	2006/10/16	323,000,000	2006/03/29
03599	SGA Societe Generale Acceptance N.V.	Ch Life Eu Wt Oct 06A	0.8000	10.98 per unit	2006/10/03	125,000,000	2006/03/29
03600	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep 06B	0.3250	16,400 per 4,000 units	2006/09/28	308,000,000	2006/03/29
03602	SGA Societe Generale Acceptance N.V.	C Mob Eu Put Wt Oct06	0.3600	38.3800	2006/10/23	278,000,000	2006/03/29
03609	Deutsche Bank AG	Nasdaq-100 Index European Wts Jun 2007	0.2500	USD 1,720 per 7,500 units	2007/06/18	50,000,000	2006/03/30
03613	Deutsche Bank AG	Nasdaq-100 Index Euro Put Wts Jun 2007	0.2500	USD 1,680 per 6,500 units	2007/06/18	50,000,000	2006/03/30
04689 #	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2006 B	0.2430	3.688 per unit	2006/08/22	200,000,000	2006/03/30
04695 #	KBC Fin Products Int'l Ltd.	CCB European Wts Sep 2006 B	0.1810	3.908 per unit	2006/09/01	300,000,000	2006/03/30
04476 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 06E	0.1480	15,800 per 4,000 units	2006/07/28	400,000,000	2006/03/30
03617	ABN AMRO Bank N.V.	BankComm European Warrants Oct 2006	0.3700	5.628 per unit	2006/10/05	100,000,000	2006/03/31
03618	ABN AMRO Bank N.V.	Cheung Kong European Warrants Oct 2006	0.5400	82.2800	2006/10/16	95,000,000	2006/03/31
03619	ABN AMRO Bank N.V.	China Mobile European Put Wts Oct 2006B	0.2550	36.2800	2006/10/03	180,000,000	2006/03/31
03620	ABN AMRO Bank N.V.	Hutchison European Warrants Oct 2006 C	0.4300	74.6800	2006/10/06	200,000,000	2006/03/31
03612	Citigroup Global Mkt H Inc.	China Mobile Euro Wts Oct 2006	0.3000	39.2800	2006/10/20	50,000,000	2006/03/31
03614	Citigroup Global Mkt H Inc.	China Tel Euro Wts Dec 2006	0.2500	2.78 per unit	2006/12/20	50,000,000	2006/03/31
03615	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Oct 2006	0.4300	8.58 per unit	2006/10/20	50,000,000	2006/03/31
03616	Citigroup Global Mkt H Inc.	SHK Ppt European Wts Oct 2006	0.4500	82.5000	2006/10/20	50,000,000	2006/03/31
03610	Deutsche Bank AG	China Life European Warrants Jul 2007 A	0.2500	8.8000	2007/07/25	300,000,000	2006/03/31
04678 #	Deutsche Bank AG	China Life European Put Warrants Aug 2006	0.0960	7.68 per unit	2006/08/28	150,000,000	2006/03/31
04441 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2006 B	0.1240	16,000 per 5,500 units	2006/07/28	400,000,000	2006/03/31

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03621	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Nov 2006 A	0.2530	10.00 per unit	2006/11/29	320,000,000	2006/04/03
03623	KBC Fin Products Int'l Ltd.	Ch Mobile Eu Put Wt Oct 2006 A	0.2500	36.0000	2006/10/04	400,000,000	2006/04/03
03622	KBC Fin Products Int'l Ltd.	CK(H) European Wts Oct 2006	0.6000	82.2800	2006/10/03	148,000,000	2006/04/03
03624	Deutsche Bank AG	Cheung Kong European Warrants Nov 2006 A	0.7300	81.0000	2006/11/27	100,000,000	2006/04/04
03625	Deutsche Bank AG	Hutchison European Warrants Oct 2006 B	0.5500	72.0000	2006/10/31	100,000,000	2006/04/04
03626	HK Bank	China Life European Warrants Dec 2006	0.8000	10.88 per unit	2006/12/04	88,000,000	2006/04/04
03627	KBC Fin Products Int'l Ltd.	China Netcom Euro Wts Dec 2006	0.2500	12.8000	2006/12/01	288,000,000	2006/04/04
03628	KBC Fin Products Int'l Ltd.	Ping An European Wts Oct 2006	0.2800	19.8800	2006/10/06	288,000,000	2006/04/04
02115 #	Deutsche Bank AG	China Mobile European Put Wts Jun 2006	0.0610	36.8000	2006/06/12	200,000,000	2006/04/04
03629	Calyon Fin P (G) Ltd.	China Life European Wts Dec 2006	0.2540	8.7800	2006/12/27	300,000,000	2006/04/06
03630	Calyon Fin P (G) Ltd.	China Life European Wts Jun 2007 A	0.2510	9.6800	2007/06/11	300,000,000	2006/04/06
03631	Calyon Fin P (G) Ltd.	China Mobile European Wts Oct 2006	0.4100	40.6800	2006/10/09	300,000,000	2006/04/06
03632	Calyon Fin P (G) Ltd.	PetroChina European Wts Oct 2006 B	0.7800	7.88 per unit	2006/10/09	300,000,000	2006/04/06
03634	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wts Oct 2006	0.4500	86.5000	2006/10/20	50,000,000	2006/04/06
03635	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Oct 2006	0.3750	75.0000	2006/10/20	50,000,000	2006/04/06
03633	Macquarie Bank Ltd.	HKEx European Warrants Oct 2006 A	0.2880	50.0000	2006/10/31	100,000,000	2006/04/06
03636	KBC Fin Products Int'l Ltd.	Ch Life Euro Put Wts Oct 2006	0.4600	8.80 per unit	2006/10/06	188,000,000	2006/04/06
03637	KBC Fin Products Int'l Ltd.	HKEx European Wts Oct 2006 B	0.5000	45.0000	2006/10/16	168,000,000	2006/04/06
09988 #	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Jun 2006	0.0760	37.3000	2006/06/19	140,000,000	2006/04/06
02191 #	KBC Fin Products Int'l Ltd.	HSI European Put Wts Jun 2006	0.0660	15,200 per 4,300 units	2006/06/29	600,000,000	2006/04/06
03649	CC Rabobank B.A.	BankComm European Warrants Dec 2006	0.6250	4.98 per unit	2006/12/18	100,000,000	2006/04/07
03650	CC Rabobank B.A.	China Life European Warrants Oct 2006	0.8500	10.88 per unit	2006/10/18	100,000,000	2006/04/07
03651	CC Rabobank B.A.	China Life European Warrants Mar 2008	0.2500	10.3800	2008/03/18	180,000,000	2006/04/07
03638	Deutsche Bank AG	China Mobile European Warrants Oct 2006 A	0.2500	43.0000	2006/10/16	100,000,000	2006/04/07
03642	Deutsche Bank AG	China Mobile European Put Wts Oct 2006 C	0.2600	38.3000	2006/10/23	100,000,000	2006/04/07
03639	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 A	0.3400	JPY 17,200 per 250 units	2007/03/08	100,000,000	2006/04/07
03643	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Mar 2007 E	0.2500	JPY 16,200 per 250 units	2007/03/08	100,000,000	2006/04/07
03647	Goldman Sachs SP (Asia)	China Mobile European Wts Oct 2006	0.2700	45.2000	2006/10/09	200,000,000	2006/04/07
03648	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Mar 2007	0.6200	43.8000	2007/03/28	200,000,000	2006/04/07
03640	HK Bank	Jiangxi Copper European Warrants Nov 2006	0.9800	6.25 per unit	2006/11/03	88,000,000	2006/04/07
09989 #	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Aug 2006	0.1840	39.3000	2006/08/07	100,000,000	2006/04/07
03652	Macquarie Bank Ltd.	CCB European Warrants Oct 2006 B	0.2610	4.00 per unit	2006/10/31	250,000,000	2006/04/10
03653	Macquarie Bank Ltd.	Ch Mobile European Put Wts Oct 2006 A	0.2530	38.0000	2006/10/31	200,000,000	2006/04/10
03654	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Oct 2006	0.4400	5.12 per unit	2006/10/10	228,000,000	2006/04/10
03655	SGA Societe Generale Acceptance N.V.	C Tel Eu Put Wt Oct06	0.2500	2.66 per unit	2006/10/23	400,000,000	2006/04/10
03656	SGA Societe Generale Acceptance N.V.	HWL Eu Put Wt Oct 06	0.4900	71.9800	2006/10/10	205,000,000	2006/04/10
04706 #	Deutsche Bank AG	CC Bank European Warrants Oct 2006 B	0.2440	3.80 per unit	2006/10/20	200,000,000	2006/04/10
04498 #	Deutsche Bank AG	HSI European Put Warrants Aug 2006	0.1160	15,400 per 3,950 units	2006/08/30	200,000,000	2006/04/10
09683 #	Deutsche Bank AG	HSI European Put Warrants Apr 2006 A	0.0300	15,600 per 4,000 units	2006/04/27	400,000,000	2006/04/10
03658	Macquarie Bank Ltd.	JX Copper European Warrants Nov 2006	0.4720	8.00 per unit	2006/11/13	80,000,000	2006/04/11
03657	Macquarie Bank Ltd.	NWD European Warrants Aug 2007	0.2500	15.00 per unit	2007/08/31	288,000,000	2006/04/11
03659	Deutsche Bank AG	HSI European Warrants Oct 2006 A	0.2500	16,200 per 3,700 units	2006/10/27	200,000,000	2006/04/12
03665	Deutsche Bank AG	HSI European Put Warrants Oct 2006 B	0.2550	16,000 per 3,700 units	2006/10/27	200,000,000	2006/04/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03660	Macquarie Bank Ltd.	HSI European Warrants Oct 2006 A	0.2570	16,200 per 4,100 units	2006/10/27	388,000,000	2006/04/12
03666	Macquarie Bank Ltd.	HSI European Put Warrants Oct 2006 B	0.2610	16,200 per 4,350 units	2006/10/27	388,000,000	2006/04/12
04538 #	CC Rabobank B.A.	MTRC European Warrants Apr 2007	0.2400	16.8800	2007/04/18	100,000,000	2006/04/12
04707 #	Deutsche Bank AG	CC Bank European Warrants Nov 2006 B	0.1650	4.08 per unit	2006/11/20	200,000,000	2006/04/12
09769 #	Deutsche Bank AG	Hutchison European Warrants Jun 2006 B	0.1270	73.8000	2006/06/01	200,000,000	2006/04/12
04441 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2006 B	0.0820	16,000 per 5,500 units	2006/07/28	1,200,000,000	2006/04/12
04496 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 06F	0.1650	16,200 per 4,000 units	2006/07/28	400,000,000	2006/04/12
09782 #	UBS AG	China Mobile European Warrants May 2006	0.5800	36.2800	2006/05/22	100,000,000	2006/04/12
02224 #	Macquarie Bank Ltd.	HSI European Put Warrants Jun 2006 F	0.0650	15,800 per 4,500 units	2006/06/29	1,000,000,000	2006/04/12
03681	CC Rabobank B.A.	HKEx European Warrants Nov 2006	0.5600	56.8800	2006/11/28	100,000,000	2006/04/13
03682	CC Rabobank B.A.	HKEx European Warrants May 2007 A	0.7500	58.8800	2007/05/28	100,000,000	2006/04/13
03683	CC Rabobank B.A.	Hutchison European Warrants Apr 2007	0.8600	69.8800	2007/04/18	100,000,000	2006/04/13
03680	Goldman Sachs SP (Asia)	HKEx European Warrants Oct 2006	0.3800	63.0000	2006/10/16	150,000,000	2006/04/13
03662	J P Morgan Int'l Der. Ltd.	Ch Overseas Euro Wts Oct 2006	0.5000	5.50 per unit	2006/10/27	200,000,000	2006/04/13
03667	J P Morgan Int'l Der. Ltd.	JX Copper European Wts Oct 2006	0.6800	7.18 per unit	2006/10/27	150,000,000	2006/04/13
03669	J P Morgan Int'l Der. Ltd.	Ping An European Wts Oct 2006	1.5000	22.20 per unit	2006/10/27	70,000,000	2006/04/13
03663	J P Morgan Int'l Der. Ltd.	Ch Res Ent Euro Wts Oct 2006	1.0000	17.68 per unit	2006/10/27	100,000,000	2006/04/13
03668	J P Morgan Int'l Der. Ltd.	New World Dev Euro Wts Dec 2006	1.1800	15.00 per unit	2006/12/05	85,000,000	2006/04/13
03673	SGA Societe Generale Acceptance N.V.	CK(H) Eur Wt Oct 2006	0.5100	88.1800	2006/10/23	196,000,000	2006/04/13
03674	SGA Societe Generale Acceptance N.V.	C Mob Eur Wt Oct 06 B	0.3400	45.3800	2006/10/23	294,000,000	2006/04/13
03678	SGA Societe Generale Acceptance N.V.	Ping An Eur Wt Oct 06	0.2700	23.1800	2006/10/23	370,000,000	2006/04/13
03677	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Oct 06B	0.4600	5.08 per unit	2006/10/23	217,000,000	2006/04/13
03676	SGA Societe Generale Acceptance N.V.	C Mob Eu Put Wt Nov 06A	0.5400	43.5800	2006/11/13	185,000,000	2006/04/13
03686	KBC Fin Products Int'l Ltd.	HSI European Wts Oct 2006 B	0.2500	16,400 per 4,500 units	2006/10/27	400,000,000	2006/04/13
03685	KBC Fin Products Int'l Ltd.	HSI European Wts Oct 2006 A	0.2500	15,800 per 5,800 units	2006/10/27	400,000,000	2006/04/13
03691	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Oct 2006 C	0.2500	16,800 per 5,200 units	2006/10/27	400,000,000	2006/04/13
03684	KBC Fin Products Int'l Ltd.	HSCEI European Wts Oct 2006	0.2500	7,200 per 2,500 units	2006/10/27	400,000,000	2006/04/13
03690	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Oct 2006	0.2500	7,200 per 3,100 units	2006/10/27	400,000,000	2006/04/13
03689	KBC Fin Products Int'l Ltd.	HSBC European Put Wts Oct 2006	0.2700	128.0000	2006/10/13	308,000,000	2006/04/13
03687	KBC Fin Products Int'l Ltd.	Ch Mobile Eu Put Wt Oct 2006 B	0.3200	42.8800	2006/10/13	288,000,000	2006/04/13
03688	KBC Fin Products Int'l Ltd.	HKEx European Wts Oct 2006 C	0.7800	55.0000	2006/10/23	108,000,000	2006/04/13
04457 #	KBC Fin Products Int'l Ltd.	Ch Mob Eur Put Wt Jul 2006 B	0.1210	40.0000	2006/07/27	300,000,000	2006/04/13
03697	KBC Fin Products Int'l Ltd.	China Mobile Euro Wts Oct 2006	0.3400	46.8800	2006/10/18	288,000,000	2006/04/18
03693	Macquarie Bank Ltd.	China Mobile European Wts Oct 2006	0.2630	50.0000	2006/10/31	250,000,000	2006/04/18
03695	Macquarie Bank Ltd.	HLand European Warrants Dec 2006 A	0.2600	50.0000	2006/12/29	88,000,000	2006/04/18
03692	Macquarie Bank Ltd.	HSI European Warrants Oct 2006 C	0.2560	16,600 per 4,100 units	2006/10/27	388,000,000	2006/04/18
03696	Macquarie Bank Ltd.	HSI European Put Warrants Oct 2006 D	0.2610	16,600 per 4,500 units	2006/10/27	388,000,000	2006/04/18
02228 #	Deutsche Bank AG	HSBC European Warrants Jul 2006 B	0.5600	127.0000	2006/07/03	100,000,000	2006/04/18
03713	CC Rabobank B.A.	MTR Corp European Warrants Jul 2007	0.3100	20.8800	2007/07/18	100,000,000	2006/04/19
03698	Deutsche Bank AG	HSI European Warrants Oct 2006 C	0.2500	16,600 per 4,000 units	2006/10/27	200,000,000	2006/04/19
03699	Deutsche Bank AG	China Life European Warrants Oct 2006 C	0.9800	11.00 per unit	2006/10/23	100,000,000	2006/04/19
03701	Deutsche Bank AG	PetroChina European Warrants Oct 2006	0.6100	8.68 per unit	2006/10/31	100,000,000	2006/04/19
03702	Deutsche Bank AG	HSI European Put Warrants Oct 2006 D	0.2500	16,400 per 4,000 units	2006/10/27	200,000,000	2006/04/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date	
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units				
03700	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 B	0.3600	JPY 18,000	per 250 units	2007/03/08	100,000,000	2006/04/19
03706	Goldman Sachs SP (Asia)	HSI European Warrants Oct 2006	0.2500	16,800	per 4,000 units	2006/10/27	200,000,000	2006/04/19
03710	Goldman Sachs SP (Asia)	HSI European Put Warrants Oct 2006	0.2600	16,800	per 5,000 units	2006/10/27	200,000,000	2006/04/19
03708	HK Bank	CC Bank European Put Warrants Nov 2006	0.2900	3.30	per unit	2006/11/10	150,000,000	2006/04/19
03709	HK Bank	CC Bank European Put Warrants Dec 2006	0.2500	3.00	per unit	2006/12/08	150,000,000	2006/04/19
03703	Macquarie Bank Ltd.	BOCHK European Warrants Oct 2006 B	0.5540	17.00	per unit	2006/10/31	150,000,000	2006/04/19
03704	Macquarie Bank Ltd.	HKEx European Warrants Oct 2006 B	0.4500	60.0000		2006/10/31	100,000,000	2006/04/19
03705	Macquarie Bank Ltd.	SPA European Warrants Oct 2006	0.2750	85.0000		2006/10/31	88,000,000	2006/04/19
03712	KBC Fin Products Int'l Ltd.	MTRC European Wts Aug 2007	0.2500	24.0000		2007/08/15	400,000,000	2006/04/19
03711	KBC Fin Products Int'l Ltd.	MTRC European Wts Dec 2006	0.2500	20.0000		2006/12/01	400,000,000	2006/04/19
03717	KBC Fin Products Int'l Ltd.	HKEx European Wts Nov 2006 B	0.5500	68.0000		2006/11/01	168,000,000	2006/04/20
03716	Macquarie Bank Ltd.	HSI European Warrants Oct 2006 E	0.2530	17,000	per 3,650 units	2006/10/27	388,000,000	2006/04/20
03720	Macquarie Bank Ltd.	HSI European Put Warrants Oct 2006 F	0.2780	17,000	per 4,800 units	2006/10/27	388,000,000	2006/04/20
03714	Deutsche Bank AG	China Mobile European Warrants Oct 2006 B	0.3500	48.0000		2006/10/23	100,000,000	2006/04/20
03715	Deutsche Bank AG	BankComm European Put Warrants Oct 2006	0.3700	4.68	per unit	2006/10/31	100,000,000	2006/04/20
03718	Deutsche Bank AG	China Mobile European Put Wts Oct 2006 D	0.3500	43.0000		2006/10/31	100,000,000	2006/04/20
02211 #	ABN AMRO Bank N.V.	Hutchison European Warrants Jun 2006	0.1490	73.9800		2006/06/28	100,000,000	2006/04/20
04599 #	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Aug 2006	0.1020	5.528	per unit	2006/08/08	100,000,000	2006/04/20
03723	ABN AMRO Bank N.V.	China Mobile European Wts Oct 2006 C	0.2750	47.8800		2006/10/23	150,000,000	2006/04/21
03727	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Oct 2006 D	0.2850	41.8800		2006/10/23	150,000,000	2006/04/21
03724	ABN AMRO Bank N.V.	China Life European Warrants Sep 2007 A	0.2500	9.3880		2007/09/24	100,000,000	2006/04/21
03725	ABN AMRO Bank N.V.	PetroChina European Warrants Oct 2006 A	0.4550	9.088	per unit	2006/10/23	200,000,000	2006/04/21
03726	KBC Fin Products Int'l Ltd.	Link REIT Euro Wts Jan 2007	0.2600	17.8800		2007/01/03	208,000,000	2006/04/21
03719	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt Oct 06 B	0.7900	16.78	per unit	2006/10/23	127,000,000	2006/04/21
03721	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2006 D	0.2650	3.68	per unit	2006/10/23	377,000,000	2006/04/21
03722	SGA Societe Generale Acceptance N.V.	HKEx Euro Wt Oct 2006	1.0800	58.8800		2006/10/31	93,000,000	2006/04/21
03728	BNP Paribas Arbit Issu B.V.	MTR Corp European Wts Dec 2006	0.2500	22.80	per unit	2006/12/28	300,000,000	2006/04/24
03731	J P Morgan Int'l Der. Ltd.	CITIC Pac European Wts Nov 2006	0.9800	27.50	per unit	2006/11/03	100,000,000	2006/04/24
03733	J P Morgan Int'l Der. Ltd.	Denway European Wts Nov 2006	0.3500	3.88	per unit	2006/11/03	285,000,000	2006/04/24
03732	J P Morgan Int'l Der. Ltd.	Datang Power Euro Wts Nov 2006	0.2800	5.00	per unit	2006/11/29	350,000,000	2006/04/24
03734	J P Morgan Int'l Der. Ltd.	HK Gas European Wts Nov 2006	0.6800	19.88	per unit	2006/11/29	150,000,000	2006/04/24
03735	J P Morgan Int'l Der. Ltd.	HKEx European Warrants Nov 2006	0.3800	58.8800		2006/11/03	260,000,000	2006/04/24
03736	J P Morgan Int'l Der. Ltd.	MTRC European Warrants Nov 2006	1.0000	22.00	per unit	2006/11/03	100,000,000	2006/04/24
03729	Macquarie Bank Ltd.	MTRC European Warrants Oct 2006	0.2550	22.0000		2006/10/31	200,000,000	2006/04/24
03750	KBC Fin Products Int'l Ltd.	SHK P Euro Put Wts Oct 2006	0.3600	78.0000		2006/10/26	248,000,000	2006/04/24
03751	KBC Fin Products Int'l Ltd.	SHK P Euro Put Wts Dec 2006	0.2500	72.0000		2006/12/20	288,000,000	2006/04/24
03738	KBC Fin Products Int'l Ltd.	BOCHK Euro Put Wts Oct 2006	0.8700	15.60	per unit	2006/10/24	108,000,000	2006/04/24
03742	KBC Fin Products Int'l Ltd.	Ch Mob Euro Put Wts Nov 2006 C	0.2860	39.8000		2006/11/13	308,000,000	2006/04/24
03737	KBC Fin Products Int'l Ltd.	BOCom Euro Put Wts Dec 2006 C	0.2500	3.91	per unit	2006/12/15	308,000,000	2006/04/24
03741	KBC Fin Products Int'l Ltd.	Ch Life Euro Put Wt Nov 2006 C	0.8130	9.80	per unit	2006/11/03	118,000,000	2006/04/24
03749	KBC Fin Products Int'l Ltd.	Ping An Euro Put Wts Dec 2006	0.2500	19.8000		2006/12/01	288,000,000	2006/04/24
03739	KBC Fin Products Int'l Ltd.	CCB European Put Wts Oct 2006	0.3750	3.40	per unit	2006/10/24	208,000,000	2006/04/24
03747	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Oct 06 A	0.3100	7.20	per unit	2006/10/27	288,000,000	2006/04/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units		
03748	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Oct 06 B	0.6100	8.00 per unit	2006/10/27	148,000,000
03743	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Oct 2006 D	0.2500	15,600 per 3,000 units	2006/10/27	400,000,000
03744	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Oct 2006 E	0.2530	16,000 per 3,600 units	2006/10/27	388,000,000
03745	KBC Fin Products Int'l Ltd.	HKEx European Wts Nov 2006 C	0.8200	62.0000	2006/11/10	108,000,000
03740	KBC Fin Products Int'l Ltd.	CCB European Wts Nov 2006 C	0.5100	3.11 per unit	2006/11/01	188,000,000
03746	KBC Fin Products Int'l Ltd.	HSBC European Put Wts Dec 2006	0.2700	124.0000	2006/12/05	288,000,000
02207 #	ABN AMRO Bank N.V.	China Telecom European Wts Aug 2006	0.1420	3.028 per unit	2006/08/18	100,000,000
04442 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2006 C	0.0870	16,400 per 6,500 units	2006/07/28	1,000,000,000
09989 #	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Aug 2006	0.0690	39.3000	2006/08/07	290,000,000
03752	HK Bank	HKEx European Warrants Nov 2006	0.6900	65.0000	2006/11/03	88,000,000
03755	ABN AMRO Bank N.V.	PetroChina European Warrants Oct 2006 B	0.4600	9.428 per unit	2006/10/25	200,000,000
03759	ABN AMRO Bank N.V.	PetroChina European Put Wts Oct 2006	0.5400	8.288 per unit	2006/10/25	100,000,000
03753	Fortis Bank S.A./N.V.	HKEx European Warrants Oct 2006	0.5900	61.3800	2006/10/27	38,000,000
03754	Fortis Bank S.A./N.V.	MTRC European Warrants Jan 2007	0.2500	21.0000	2007/01/31	98,000,000
03757	Fortis Bank S.A./N.V.	HKEx European Put Warrants Oct 2006	0.5600	52.8800	2006/10/27	39,000,000
03758	KBC Fin Products Int'l Ltd.	HSI European Wts Oct 2006 F	0.2500	16,800 per 4,500 units	2006/10/27	400,000,000
03756	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Nov 2006 B	0.3400	50.8800	2006/11/01	208,000,000
03761	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Oct 2006 D	0.2800	8.88 per unit	2006/10/25	308,000,000
03760	KBC Fin Products Int'l Ltd.	Huaneng European Wts Oct 2006	0.5100	6.08 per unit	2006/10/25	188,000,000
09278 #	Credit Suisse	Huaneng Power European Warrants Jul 2006	0.1230	5.68 per unit	2006/07/13	100,000,000
03770	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Oct 2006	0.2570	7,400 per 2,200 units	2006/10/27	400,000,000
03769	BNP Paribas Arbit Issu B.V.	HSI European Warrants Oct 2006	0.2600	17,200 per 3,800 units	2006/10/27	400,000,000
03773	BNP Paribas Arbit Issu B.V.	HSI European Put Wts Oct 2006	0.2670	17,200 per 5,000 units	2006/10/27	400,000,000
03764	Deutsche Bank AG	BOC HK European Warrants Oct 2006	0.4000	17.08 per unit	2006/10/31	100,000,000
03765	Deutsche Bank AG	Sinopec Corp European Warrants Nov 2006	0.3600	5.48 per unit	2006/11/20	100,000,000
03767	Deutsche Bank AG	China Telecom European Warrants Dec 2006	0.2500	3.18 per unit	2006/12/18	100,000,000
03768	Deutsche Bank AG	PetroChina European Warrants Nov 2006 A	0.5400	9.68 per unit	2006/11/13	100,000,000
09153 #	Credit Suisse	Huaneng Power European Warrants Aug 2006	0.1350	5.68 per unit	2006/08/18	100,000,000
03574 #	Deutsche Bank AG	Hutchison European Warrants Oct 2006 A	0.3400	75.0000	2006/10/06	200,000,000
03762	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2006 A	0.2500	16,800 per 3,900 units	2006/10/27	400,000,000
03766	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct 06B	0.2700	16,800 per 4,000 units	2006/10/27	370,000,000
03763	Macquarie Bank Ltd.	YZ Coal European Warrants Oct 2006	0.4630	7.88 per unit	2006/10/31	88,000,000
03771	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Oct 2006 E	0.5900	9.38 per unit	2006/10/26	168,000,000
04689 #	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2006 B	0.1240	3.688 per unit	2006/08/22	150,000,000
04498 #	Deutsche Bank AG	HSI European Put Warrants Aug 2006	0.0510	15,400 per 3,950 units	2006/08/30	400,000,000
03786	ABN AMRO Bank N.V.	Cheung Kong European Warrants Dec 2006	0.3900	92.8800	2006/12/18	150,000,000
03790	ABN AMRO Bank N.V.	Sinopec Corp European Wts Nov 2006 B	0.3100	5.98 per unit	2006/11/13	150,000,000
03787	ABN AMRO Bank N.V.	HSBC European Warrants Nov 2006 A	0.3400	138.8800	2006/11/27	150,000,000
03788	ABN AMRO Bank N.V.	HWL European Warrants Dec 2006 A	0.2900	80.8800	2006/12/18	300,000,000
03789	ABN AMRO Bank N.V.	PetroChina European Warrants Nov 2006 A	0.2800	10.88 per unit	2006/11/17	150,000,000
03791	Deutsche Bank AG	HSI European Warrants Oct 2006 E	0.2500	17,000 per 4,000 units	2006/10/27	200,000,000
03774	HK Bank	China Mobile European Warrants Dec 2006	0.4200	46.5000	2006/12/21	150,000,000
03775	HK Bank	China Mobile European Warrants Jan 2007	0.3500	48.8800	2007/01/15	150,000,000

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03777	HK Bank	China Telecom European Warrants Jan 2007	0.2700	3.18 per unit	2007/01/08	150,000,000	2006/04/27
03778	HK Bank	CNOOC European Warrants Dec 2006	0.5400	7.2 per unit	2006/12/06	90,000,000	2006/04/27
03779	HK Bank	China Telecom European Put Warrants Jan 2007	0.2500	42.0000	2007/01/26	150,000,000	2006/04/27
03772	J P Morgan Int'l Der. Ltd.	N225 Index Euro Wts Dec 2006	0.2500	18,800 per 150 units	2006/12/07	398,000,000	2006/04/27
03776	J P Morgan Int'l Der. Ltd.	N225 Idx Euro Put Wts Dec 2006	0.2500	15,800 per 150 units	2006/12/07	396,000,000	2006/04/27
03780	Macquarie Bank Ltd.	CITIC Pac European Warrants Oct 2006	0.2650	30.0000	2006/10/31	100,000,000	2006/04/27
03785	Macquarie Bank Ltd.	HKEEx European Put Warrants Nov 2006	0.4330	50.0000	2006/11/30	100,000,000	2006/04/27
03783	Macquarie Bank Ltd.	SHK P European Warrants Dec 2006 A	0.4770	90.0000	2006/12/29	150,000,000	2006/04/27
03784	Macquarie Bank Ltd.	Ch Mobile European Put Wts Oct 2006 B	0.2560	42.0000	2006/10/31	200,000,000	2006/04/27
03781	Macquarie Bank Ltd.	PetroChina European Warrants Oct 2006	0.3950	10.00 per unit	2006/10/31	200,000,000	2006/04/27
03782	Macquarie Bank Ltd.	Ping An European Warrants Oct 2006	0.2570	24.0000	2006/10/31	100,000,000	2006/04/27
03804	Deutsche Bank AG	Cheung Kong European Warrants Nov 2006 B	0.4500	92.0000	2006/11/06	100,000,000	2006/04/28
03805	Deutsche Bank AG	Hutchison European Warrants Nov 2006 A	0.4000	78.8000	2006/11/13	100,000,000	2006/04/28
03801	J P Morgan Int'l Der. Ltd.	Link REIT Euro Wts Nov 2006 B	1.0000	18.88 per unit	2006/11/16	100,000,000	2006/04/28
03799	J P Morgan Int'l Der. Ltd.	China Shenhua Euro Wts Nov 2006	1.1500	15.8800	2006/11/16	87,000,000	2006/04/28
03802	J P Morgan Int'l Der. Ltd.	PICC European Warrants Nov 2006	0.3800	3.5000	2006/11/10	265,000,000	2006/04/28
03803	J P Morgan Int'l Der. Ltd.	YZ Coal European Wts Nov 2006	0.5500	8.0000	2006/11/10	180,000,000	2006/04/28
03800	J P Morgan Int'l Der. Ltd.	COSCO Pac European Wts Nov 2006	1.2500	18.88 per unit	2006/11/23	80,000,000	2006/04/28
03798	J P Morgan Int'l Der. Ltd.	Ch Overseas Euro Wts Nov 2006	0.5000	6.5 per unit	2006/11/10	200,000,000	2006/04/28
03797	J P Morgan Int'l Der. Ltd.	Ch Merchants Euro Wts Nov 2006	0.2500	27.0000	2006/11/21	400,000,000	2006/04/28
03792	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2006 C	0.2500	17,200 per 3,900 units	2006/10/27	400,000,000	2006/04/28
03793	SGA Societe Generale Acceptance N.V.	Ch Life Eu Wt Oct 06B	0.8400	11.78 per unit	2006/10/31	119,000,000	2006/04/28
03794	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Nov 06	0.4500	5.78 per unit	2006/11/20	222,000,000	2006/04/28
03795	SGA Societe Generale Acceptance N.V.	Ch Tel Eu Wt Nov 2006	0.2500	2.99 per unit	2006/11/20	400,000,000	2006/04/28
03796	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct 06D	0.2950	17,200 per 4,000 units	2006/10/27	339,000,000	2006/04/28
03807	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Nov 2006 B	0.4100	6.088 per unit	2006/11/01	208,000,000	2006/04/28
03808	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Nov 2006	0.4800	10.088 per unit	2006/11/01	168,000,000	2006/04/28
03806	KBC Fin Products Int'l Ltd.	CK(H) European Wts Nov 2006 A	0.2800	86.0000	2006/11/03	308,000,000	2006/04/28
03809	KBC Fin Products Int'l Ltd.	SHK P European Wts Oct 2006	0.6900	94.0000	2006/10/31	138,000,000	2006/04/28
04599 #	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Aug 2006	0.3300	5.528 per unit	2006/08/08	100,000,000	2006/04/28
09716 #	MSDW Asia Sec Products LLC	HSI European Put Wts Jun 2006 B	0.0560	16,800 per 8,980 units	2006/06/29	1,600,000,000	2006/04/28
03811	ABN AMRO Bank N.V.	Gold European Warrants Dec 2006	0.2550	USD 738 per 1,000 units	2006/12/29	150,000,000	2006/05/02
03815	ABN AMRO Bank N.V.	Gold European Put Warrants Dec 2006	0.2700	USD 618 per 1,200 units	2006/12/29	150,000,000	2006/05/02
03813	ABN AMRO Bank N.V.	Sinopec Corp European Wts Nov 2006 C	0.3300	6.288 per unit	2006/11/28	138,000,000	2006/05/02
03812	ABN AMRO Bank N.V.	Hutchison European Warrants Nov 2006	0.3350	83.8800	2006/11/14	188,000,000	2006/05/02
03810	Citigroup Global Mkt H Inc.	HSBC European Wts Dec 2006	0.2900	138.8000	2006/12/11	35,000,000	2006/05/02
03814	Goldman Sachs SP (Asia)	China Mobile European Wts Nov 2006	0.2700	50.0000	2006/11/06	250,000,000	2006/05/02
03821	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Nov 2006	0.3000	41.8000	2006/11/06	250,000,000	2006/05/02
03820	Goldman Sachs SP (Asia)	Cheung Kong European Wts Nov 2006	0.4900	92.0000	2006/11/06	150,000,000	2006/05/02
03822	Goldman Sachs SP (Asia)	SHK Ppt European Warrants Nov 2006	0.5200	95.0000	2006/11/06	150,000,000	2006/05/03
03825	Macquarie Bank Ltd.	CK(H) European Put Warrants Nov 2006	0.2730	80.0000	2006/11/30	100,000,000	2006/05/03
03828	Macquarie Bank Ltd.	Denway European Warrants Nov 2006	0.2670	3.80 per unit	2006/11/29	88,000,000	2006/05/03
03826	Macquarie Bank Ltd.	HWL European Put Warrants Nov 2006	0.2520	70.0000	2006/11/30	100,000,000	2006/05/03

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
03830	Macquarie Bank Ltd.	JX Copper European Warrants Jul 2007	0.2590	10.0000	2007/07/30	388,000,000	2006/05/03
03823	Macquarie Bank Ltd.	HSI European Warrants Nov 2006	0.2560	17,400 per 3,700 units	2006/11/29	388,000,000	2006/05/03
03829	Macquarie Bank Ltd.	HSI European Put Warrants Nov 2006	0.3170	17,400 per 5,000 units	2006/11/29	388,000,000	2006/05/03
04698 #	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2006 B	0.0740	3.78 per unit	2006/08/21	408,000,000	2006/05/04
03831	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Nov 2006 B	0.3100	3.31 per unit	2006/11/13	323,000,000	2006/05/08
03832	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Nov 2006 A	0.4900	79.2800	2006/11/13	204,000,000	2006/05/08
03833	SGA Societe Generale Acceptance N.V.	PetCh Eu Wt Nov 06 A	0.7200	9.78 per unit	2006/11/13	139,000,000	2006/05/08
03834	SGA Societe Generale Acceptance N.V.	SHKP Eu Wt Nov 2006 A	0.6200	93.2800	2006/11/13	161,000,000	2006/05/08
04689 #	ABN AMRO Bank N.V.	CC Bank European Warrants Aug 2006 B	0.0920	3.688 per unit	2006/08/22	200,000,000	2006/05/08
03837	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Dec 2006	0.3300	5.288 per unit	2006/12/04	150,000,000	2006/05/09
03836	ABN AMRO Bank N.V.	PetroChina European Warrants Nov 2006 B	0.6300	8.828 per unit	2006/11/13	150,000,000	2006/05/09
03838	HK Bank	Jiangxi Copper European Warrants Jan 2007	1.7700	8.88 per unit	2007/01/19	88,000,000	2006/05/09
03841	KBC Fin Products Int'l Ltd.	CK(H) European Wts Nov 2006 B	0.4700	92.0000	2006/11/09	208,000,000	2006/05/09
03843	KBC Fin Products Int'l Ltd.	SHK P European Wts Nov 2006	0.4600	100.0000	2006/11/15	208,000,000	2006/05/09
03842	KBC Fin Products Int'l Ltd.	HWL European Wts Nov 2006 A	0.2900	83.8800	2006/11/09	318,000,000	2006/05/09
04556 #	Deutsche Bank AG	CC Bank European Warrants Oct 2006 A	0.3200	3.28 per unit	2006/10/03	200,000,000	2006/05/09
03844	KBC Fin Products Int'l Ltd.	HSI European Wts Nov 2006 F	0.2500	17,200 per 4,800 units	2006/11/29	400,000,000	2006/05/10
04649 #	Deutsche Bank AG	CC Bank European Warrants Nov 2006 A	0.2800	3.48 per unit	2006/11/21	200,000,000	2006/05/10
03600 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep 06B	0.1160	16,400 per 4,000 units	2006/09/28	392,000,000	2006/05/10
03847	Deutsche Bank AG	HSI European Warrants Nov 2006	0.2500	17,400 per 4,000 units	2006/11/29	200,000,000	2006/05/11
03848	Deutsche Bank AG	BankComm European Warrants Nov 2006	0.3700	5.00 per unit	2006/11/13	100,000,000	2006/05/11
03850	Deutsche Bank AG	HSBC European Warrants Nov 2006 B	0.4100	142.0000	2006/11/13	100,000,000	2006/05/11
03851	Deutsche Bank AG	HSI European Put Warrants Nov 2006	0.2500	16,800 per 4,000 units	2006/11/29	200,000,000	2006/05/11
03846	Macquarie Bank Ltd.	BEA European Warrants Mar 2007	0.2660	36.0000	2007/03/30	150,000,000	2006/05/11
03845	Macquarie Bank Ltd.	HSBC European Warrants Nov 2006 A	0.2530	145.0000	2006/11/30	250,000,000	2006/05/11
03849	Macquarie Bank Ltd.	HSBC European Warrants Nov 2006	0.4050	132.5000	2006/11/30	200,000,000	2006/05/11
03852	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 A	0.2500	17,200 per 5,200 units	2006/11/29	400,000,000	2006/05/11
03853	KBC Fin Products Int'l Ltd.	HSBC European Wts Nov 2006 B	0.3100	146.0000	2006/11/13	318,000,000	2006/05/11
04582 #	ABN AMRO Bank N.V.	Hutchison European Warrants Aug 2006	0.3150	77.0800	2006/08/02	200,000,000	2006/05/11
03691 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Oct 2006 C	0.1480	16,800 per 5,200 units	2006/10/27	600,000,000	2006/05/11
03857	Deutsche Bank AG	HSCEI European Warrants Nov 2006	0.2500	7,400 per 2,500 units	2006/11/29	200,000,000	2006/05/12
03858	Deutsche Bank AG	Hutchison European Warrants Nov 2006 B	0.3800	82.8000	2006/11/27	100,000,000	2006/05/12
03861	Deutsche Bank AG	HSCEI European Put Warrants Nov 2006	0.2500	7,000 per 2,500 units	2006/11/29	200,000,000	2006/05/12
03854	Macquarie Bank Ltd.	China Shenhua European Wts Feb 2007	0.2500	16.0000	2007/02/14	100,000,000	2006/05/12
03855	Macquarie Bank Ltd.	China Unicom European Wts Dec 2006 A	0.4730	8.50 per unit	2006/12/29	150,000,000	2006/05/12
03856	Macquarie Bank Ltd.	PetroChina European Put Wts Nov 2006 A	0.5940	8.50 per unit	2006/11/30	100,000,000	2006/05/12
03859	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt Nov 2006	0.7200	16.48 per unit	2006/11/13	140,000,000	2006/05/12
03860	SGA Societe Generale Acceptance N.V.	CK(H) Eur Wt Nov 2006	0.5300	94.2800	2006/11/13	190,000,000	2006/05/12
03862	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Nov 2006 C	0.2900	3.56 per unit	2006/11/20	345,000,000	2006/05/12
03863	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Nov 2006	0.6100	138.8800	2006/11/13	164,000,000	2006/05/12
03864	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2006 A	0.5100	141.8800	2006/12/11	196,000,000	2006/05/12
03865	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 B	0.2500	17,600 per 6,000 units	2006/11/29	400,000,000	2006/05/12
03866	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 C	0.2500	18,000 per 6,800 units	2006/11/29	318,000,000	2006/05/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04493 #	Deutsche Bank AG	HSI European Put Warrants Jul 2006	0.0430	15,800 per 4,100 units	2006/07/28	235,000,000	2006/05/12
03868	ABN AMRO Bank N.V.	Oil Futures European Warrants Nov 2006	0.2990	USD 82.00 per 180 units	2006/11/20	60,000,000	2006/05/15
03876	ABN AMRO Bank N.V.	Oil Futures European Put Wts Nov 2006	0.2500	USD 68.00 per 180 units	2006/11/20	60,000,000	2006/05/15
03873	ABN AMRO Bank N.V.	CC Bank European Warrants Dec 2006	0.2500	3.788 per unit	2006/12/11	440,000,000	2006/05/15
03874	ABN AMRO Bank N.V.	HSBC European Warrants Nov 2006 B	0.3950	148.8800	2006/11/20	110,000,000	2006/05/15
03875	ABN AMRO Bank N.V.	PetroChina European Warrants Nov 2006 C	0.2500	8.2800	2006/11/20	100,000,000	2006/05/15
03867	Goldman Sachs SP (Asia)	HSI European Warrants Nov 2006	0.2700	17,300 per 5,000 units	2006/11/29	300,000,000	2006/05/15
03872	Goldman Sachs SP (Asia)	HSI European Put Warrants Nov 2006	0.2500	17,300 per 4,500 units	2006/11/29	300,000,000	2006/05/15
03877	Macquarie Bank Ltd.	CK(H) European Warrants Nov 2006 A	0.4510	95.0000	2006/11/29	200,000,000	2006/05/15
03878	Macquarie Bank Ltd.	HSBC European Warrants Dec 2006 A	0.3280	150.0000	2006/12/29	250,000,000	2006/05/15
03879	Macquarie Bank Ltd.	HWL European Warrants Nov 2006	0.3130	85.0000	2006/11/29	200,000,000	2006/05/15
03880	Macquarie Bank Ltd.	HSCEI European Put Warrants Dec 2006 A	0.2510	7,000 per 2,300 units	2006/12/28	188,000,000	2006/05/15
03885	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Nov 2006	0.2500	7,600 per 3,300 units	2006/11/29	400,000,000	2006/05/15
03883	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2006 C	0.2500	160.0000	2006/12/01	368,000,000	2006/05/15
03881	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2006 A	0.5100	150.0000	2006/12/07	188,000,000	2006/05/15
03882	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2006 B	0.4100	154.0000	2006/12/15	228,000,000	2006/05/15
03884	KBC Fin Products Int'l Ltd.	HWL European Wts Nov 2006 B	0.2600	88.8800	2006/11/20	368,000,000	2006/05/15
04619 #	KBC Fin Products Int'l Ltd.	HWL European Warrants Aug 2006	0.3300	77.0000	2006/08/09	300,000,000	2006/05/15
03891	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2006 A	0.2600	17,600 per 4,000 units	2006/11/29	385,000,000	2006/05/16
03892	SGA Societe Generale Acceptance N.V.	CCB Eu Put Wt Nov 06	0.3100	3.51 per unit	2006/11/20	323,000,000	2006/05/16
03893	SGA Societe Generale Acceptance N.V.	C Life Eu Wt Dec 06 A	1.1000	12.88 per unit	2006/12/11	91,000,000	2006/05/16
03895	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Nov 06B	0.3200	17,600 per 4,000 units	2006/11/29	313,000,000	2006/05/16
03887	Macquarie Bank Ltd.	HSCEI European Warrants Dec 2006 B	0.2550	7,600 per 3,000 units	2006/12/28	188,000,000	2006/05/16
03886	Macquarie Bank Ltd.	Ch Life European Warrants Dec 2007 B	0.2540	12.0000	2007/12/21	400,000,000	2006/05/16
03888	KBC Fin Products Int'l Ltd.	CCB European Warrants Dec 2006	0.2600	3.788 per unit	2006/12/01	368,000,000	2006/05/16
03889	KBC Fin Products Int'l Ltd.	Ch Life Euro Wts Nov 2006 A	0.8500	12.368 per unit	2006/11/16	108,000,000	2006/05/16
03890	KBC Fin Products Int'l Ltd.	Ch Life Euro Wts Nov 2006 B	0.4400	13.688 per unit	2006/11/16	208,000,000	2006/05/16
02216 #	Deutsche Bank AG	Hutchison European Warrants Aug 2006 A	0.2260	80.0000	2006/08/21	200,000,000	2006/05/16
04695 #	KBC Fin Products Int'l Ltd.	CCB European Wts Sep 2006 B	0.1580	3.908 per unit	2006/09/01	500,000,000	2006/05/16
04563	Deutsche Bank AG	China Life European Warrants Dec 2006 A	1.1600	12.28 per unit	2006/12/04	100,000,000	2006/05/17
04564	Deutsche Bank AG	Sinopec Corp European Warrants Dec 2006	0.3800	6.00 per unit	2006/12/11	100,000,000	2006/05/17
04565	Deutsche Bank AG	PetroChina European Warrants Nov 2006 B	0.6100	10.28 per unit	2006/11/27	100,000,000	2006/05/17
04567	Deutsche Bank AG	China Life European Put Warrants Nov 2006	0.7800	10.88 per unit	2006/11/27	100,000,000	2006/05/17
04673	Fortis Bank S.A./N.V.	SHK Ppt European Warrants Nov 2006	0.3300	100.0000	2006/11/24	32,000,000	2006/05/17
04569	Fortis Bank S.A./N.V.	PetroChina European Wts Nov 2006	0.4900	10.48 per unit	2006/11/24	22,000,000	2006/05/17
04566	Fortis Bank S.A./N.V.	Cheung Kong European Wts May 2007	0.5500	100.0000	2007/05/04	25,000,000	2006/05/17
04674	Fortis Bank S.A./N.V.	Swire Pac A European Wts Feb 2007	0.4330	88.0000	2007/02/05	26,000,000	2006/05/17
04568	Fortis Bank S.A./N.V.	China Netcom European Wts Apr 2007	0.2850	16.2800	2007/04/30	128,000,000	2006/05/17
04562	Macquarie Bank Ltd.	BOCom European Warrants Dec 2006	0.2940	6.00 per unit	2006/12/29	200,000,000	2006/05/17
04703	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Nov 06 A	0.2500	7,300 per 3,350 units	2006/11/29	400,000,000	2006/05/17
04738	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Nov 06 B	0.2500	7,600 per 2,850 units	2006/11/29	400,000,000	2006/05/17
04740	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Nov 06C	0.2500	7,400 per 2,950 units	2006/11/29	400,000,000	2006/05/17
04742	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Nov 06D	0.2500	7,700 per 3,600 units	2006/11/29	400,000,000	2006/05/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
04702	SGA Societe Generale Acceptance N.V.	C Mob Eur Wt Nov 2006	0.5000	47.8800	2006/11/27	200,000,000	2006/05/17
04739	SGA Societe Generale Acceptance N.V.	C Mob Eu Put Wt Nov 06B	0.5500	46.8800	2006/11/27	182,000,000	2006/05/17
04743	KBC Fin Products Int'l Ltd.	HSCEI European Wts Nov 2006	0.2500	7,600 per 3,500 units	2006/11/29	400,000,000	2006/05/17
04741	KBC Fin Products Int'l Ltd.	BOCHK European Wts Nov 2006 B	0.3800	17.68 per unit	2006/11/17	248,000,000	2006/05/17
04808	UBS AG	BOCHK European Warrants Feb 2007	0.8100	17.38 per unit	2007/02/26	100,000,000	2006/05/18
04813	UBS AG	China Life European Warrants Dec 2007	0.2500	11.8800	2007/12/17	100,000,000	2006/05/18
04831	UBS AG	PetroChina European Warrants Feb 2007	0.9000	9.88 per unit	2007/02/26	100,000,000	2006/05/18
04832	UBS AG	Ping An European Warrants Feb 2007	0.4500	23.8800	2007/02/26	100,000,000	2006/05/18
04815	UBS AG	HSI European Warrants Nov 2006	0.2500	17,400 per 3,600 units	2006/11/29	100,000,000	2006/05/18
04835	UBS AG	HSI European Put Warrants Nov 2006	0.2500	17,000 per 3,600 units	2006/11/29	100,000,000	2006/05/18
04814	UBS AG	China Mobile European Warrants Dec 2006	0.3400	48.8800	2006/12/11	100,000,000	2006/05/18
04833	UBS AG	China Mobile European Put Warrants Dec 2006	0.3800	43.8800	2006/12/11	100,000,000	2006/05/18
04812	UBS AG	Cheung Kong European Warrants Feb 2007	0.6100	92.8800	2007/02/26	100,000,000	2006/05/18
04816	UBS AG	HSBC European Warrants Feb 2007 A	0.6200	148.8800	2007/02/26	100,000,000	2006/05/18
04830	UBS AG	HWL European Warrants Feb 2007	0.4500	82.8800	2007/02/26	100,000,000	2006/05/18
04802	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2006 B	0.5800	147.8800	2006/12/04	172,000,000	2006/05/18
04801	SGA Societe Generale Acceptance N.V.	BOComm Eu Wt Nov 2006	0.4200	5.52 per unit	2006/11/20	238,000,000	2006/05/18
04803	SGA Societe Generale Acceptance N.V.	PetCh Eu Wt Nov 06 B	1.0000	9.38 per unit	2006/11/20	100,000,000	2006/05/18
04804	SGA Societe Generale Acceptance N.V.	SHKP Eu Wt Nov 2006 B	0.7900	90.0800	2006/11/20	127,000,000	2006/05/18
04807	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Dec06D	1.0800	146.8800	2006/12/04	93,000,000	2006/05/18
04836	Macquarie Bank Ltd.	HKEx European Warrants Nov 2006 A	0.6460	70.0000	2006/11/29	188,000,000	2006/05/18
04840	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Nov 2006 C	0.2800	131.8800	2006/11/20	288,000,000	2006/05/18
04862	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Nov 2006 D	0.4800	137.8800	2006/11/20	208,000,000	2006/05/18
04839	KBC Fin Products Int'l Ltd.	Ch Mob Euro Put Wts Nov 2006 D	0.4800	45.8800	2006/11/20	208,000,000	2006/05/18
04837	KBC Fin Products Int'l Ltd.	Ch Life Euro Put Wt Nov 2006 D	0.4100	10.88 per unit	2006/11/20	208,000,000	2006/05/18
04863	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Dec 2006 A	0.3900	11.188 per unit	2006/12/01	248,000,000	2006/05/18
04599 #	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Aug 2006	0.2900	5.528 per unit	2006/08/08	100,000,000	2006/05/18
04864	Deutsche Bank AG	HSBC European Warrants Jan 2007	0.5760	146.0000	2007/01/10	100,000,000	2006/05/19
04868	Deutsche Bank AG	HSBC European Put Warrants Jan 2007	0.3800	135.0000	2007/01/16	100,000,000	2006/05/19
04865	Macquarie Bank Ltd.	Lenovo European Warrants Jan 2007	0.2720	3.00 per unit	2007/01/30	100,000,000	2006/05/19
04866	SGA Societe Generale Acceptance N.V.	C Tel Eu Wt Dec 06 A	0.3150	2.88 per unit	2006/12/11	317,000,000	2006/05/19
04867	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Nov 2006 B	0.6000	76.5800	2006/11/20	167,000,000	2006/05/19
04869	ABN AMRO Bank N.V.	Ch Telecom European Warrants Nov 2006	0.3200	2.628 per unit	2006/11/22	200,000,000	2006/05/22
04874	ABN AMRO Bank N.V.	Ch Telecom European Warrants Jan 2007 A	0.2500	2.888 per unit	2007/01/22	200,000,000	2006/05/22
04875	ABN AMRO Bank N.V.	Ch Telecom European Warrants Mar 2007	0.2500	3.028 per unit	2007/03/12	200,000,000	2006/05/22
04886	ABN AMRO Bank N.V.	HWL European Warrants Jan 2007	0.6000	73.3800	2007/01/22	200,000,000	2006/05/22
04887	ABN AMRO Bank N.V.	HWL European Warrants Dec 2006 B	0.3950	76.8800	2006/12/18	200,000,000	2006/05/22
04888	ABN AMRO Bank N.V.	HSBC European Warrants Dec 2006	0.7200	136.2800	2006/12/18	200,000,000	2006/05/22
04889	ABN AMRO Bank N.V.	HSBC European Warrants Nov 2006 C	0.4900	140.8800	2006/11/22	200,000,000	2006/05/22
04890	Macquarie Bank Ltd.	Sinopec European Warrants Dec 2006 A	0.5270	5.50 per unit	2006/12/27	150,000,000	2006/05/22
04891	Macquarie Bank Ltd.	Sinopec European Warrants Nov 2006	0.2690	6.25 per unit	2006/11/30	200,000,000	2006/05/22
04892	Goldman Sachs SP (Asia)	HKEx European Warrants Nov 2006	0.7200	72.0000	2006/11/27	150,000,000	2006/05/22
04895	KBC Fin Products Int'l Ltd.	Sinopec Euro Put Wts Nov 2006	0.3700	4.488 per unit	2006/11/24	208,000,000	2006/05/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
04893	KBC Fin Products Int'l Ltd.	BOCom Euro Put Wts Nov 2006	0.2700	4.50 per unit	2006/11/22	268,000,000	2006/05/22
04894	KBC Fin Products Int'l Ltd.	CCB European Put Wts Dec 2006	0.2600	3.20 per unit	2006/12/01	288,000,000	2006/05/22
04908	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Nov 2006	0.6900	8.488 per unit	2006/11/22	108,000,000	2006/05/22
04720 #	ABN AMRO Bank N.V.	Sinopec Corp European Wts Sep 2006 C	0.3450	5.028 per unit	2006/09/04	140,000,000	2006/05/22
04617 #	KBC Fin Products Int'l Ltd.	CNOOC European Wts Aug 2006 B	0.1900	6.80 per unit	2006/08/08	300,000,000	2006/05/22
03792 #	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2006 C	0.1460	17,200 per 3,900 units	2006/10/27	400,000,000	2006/05/22
04910	SGA Societe Generale Acceptance N.V.	USD/YEN Eu Wt Dec 06	0.2600	110 per unit	2006/12/18	385,000,000	2006/05/23
04914	SGA Societe Generale Acceptance N.V.	USD/YEN Eu Put Wt Dec06	0.2700	104 per unit	2006/12/18	370,000,000	2006/05/23
04909	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2006 C	0.3000	16,400 per 4,000 units	2006/11/29	333,000,000	2006/05/23
04913	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Nov 06D	0.2500	16,400 per 3,950 units	2006/11/29	400,000,000	2006/05/23
04922	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 E	0.2500	16,400 per 4,500 units	2006/11/29	400,000,000	2006/05/23
04921	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 D	0.2500	16,000 per 3,800 units	2006/11/29	400,000,000	2006/05/23
04920	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2006 D	0.6400	136.0000	2006/12/01	148,000,000	2006/05/23
04918	KBC Fin Products Int'l Ltd.	HSBC European Wts Nov 2006 E	0.4600	140.6800	2006/11/23	208,000,000	2006/05/23
04915	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Nov 2006	0.3170	47.9900	2006/11/27	370,000,000	2006/05/23
04916	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2006 B	0.6850	139.9900	2006/12/08	167,000,000	2006/05/23
04917	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2006 C	0.5520	143.9900	2006/12/15	215,000,000	2006/05/23
04760 #	CC Rabobank B.A.	CC Bank European Warrants Nov 2006	0.2490	3.68 per unit	2006/11/08	100,000,000	2006/05/23
04924	Macquarie Bank Ltd.	China Mobile European Wts Nov 2006	0.3570	45.0000	2006/11/30	200,000,000	2006/05/24
04925	Macquarie Bank Ltd.	Link REIT European Warrants Dec 2006	0.2530	16.8800	2006/12/20	200,000,000	2006/05/24
04926	KBC Fin Products Int'l Ltd.	Ch Life European Wts Dec 2006	0.3100	11.8800	2006/12/01	288,000,000	2006/05/24
04928	KBC Fin Products Int'l Ltd.	C Life European Wts Feb 2007 A	0.2500	14.8800	2007/02/13	400,000,000	2006/05/24
04930	KBC Fin Products Int'l Ltd.	HWL European Wts Dec 2006 A	0.4600	77.0000	2006/12/11	208,000,000	2006/05/24
04929	KBC Fin Products Int'l Ltd.	HKEEx European Wts Nov 2006 D	0.5800	73.8800	2006/11/24	168,000,000	2006/05/24
04943	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Put Wt Nov 2006	0.3400	39.9900	2006/11/27	270,000,000	2006/05/25
04944	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Nov 2006 B	0.2500	12.9900	2006/11/27	400,000,000	2006/05/25
04948	BNP Paribas Arbit Issu B.V.	Ch Life Euro Put Wts Mar 2007	0.2600	11.9900	2007/03/27	400,000,000	2006/05/25
04932	Macquarie Bank Ltd.	China Life European Wts Nov 2006 B	0.2640	13.0000	2006/11/30	388,000,000	2006/05/25
04939	Macquarie Bank Ltd.	China Life European Warrants Feb 2007A	0.2550	15.0000	2007/02/28	388,000,000	2006/05/25
04933	Macquarie Bank Ltd.	China Life European Wts Nov 2006 C	1.7040	14.00 per unit	2006/11/29	168,000,000	2006/05/25
04931	Macquarie Bank Ltd.	China Life European Wts Nov 2006 A	2.3070	12.50 per unit	2006/11/29	88,000,000	2006/05/25
04941	Macquarie Bank Ltd.	CCB European Warrants Nov 2006 B	0.3080	3.60 per unit	2006/11/29	238,000,000	2006/05/25
04940	Macquarie Bank Ltd.	CCB European Warrants Dec 2006 A	0.2670	3.80 per unit	2006/12/29	288,000,000	2006/05/25
04942	Macquarie Bank Ltd.	HKEEx European Warrants Nov 2006 B	0.4390	75.0000	2006/11/29	100,000,000	2006/05/25
04953	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jan 2007	0.4000	78.9900	2007/01/22	229,000,000	2006/05/26
04961	BNP Paribas Arbit Issu B.V.	PetroChina Euro Wts Dec 2006	0.6900	9.9900	2006/12/18	142,000,000	2006/05/26
04962	BNP Paribas Arbit Issu B.V.	Hutchison Euro Put Wt Jan 2007	0.4000	68.9900	2007/01/22	255,000,000	2006/05/26
04949	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Nov06C	0.4000	40.8800	2006/11/29	250,000,000	2006/05/26
04950	SGA Societe Generale Acceptance N.V.	CNOOC Eur Wt Nov 2006	0.5500	6.38 per unit	2006/11/29	182,000,000	2006/05/26
04951	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2006 E	0.3200	16,000 per 4,000 units	2006/11/29	313,000,000	2006/05/26
04966	Macquarie Bank Ltd.	China Telecom European Wts Dec 2006 B	0.2580	2.80 per unit	2006/12/29	200,000,000	2006/05/26
04967	Macquarie Bank Ltd.	China Telecom European Wts May 2007 A	0.2660	3.00 per unit	2007/05/30	200,000,000	2006/05/26
04969	Macquarie Bank Ltd.	HSBC European Warrants Jun 2009	0.2610	135.00 per 100 units	2009/06/30	388,000,000	2006/05/26

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04970	Macquarie Bank Ltd.	HSBC European Warrants Nov 2006 B	0.7820	140.0000	2006/11/29	188,000,000	2006/05/26
04971	Macquarie Bank Ltd.	HWL European Warrants Dec 2010	0.2500	70.00 per 100 units	2010/12/30	388,000,000	2006/05/26
04983	KBC Fin Products Int'l Ltd.	HSI European Wts Nov 2006 G	0.2500	16,200 per 5,000 units	2006/11/29	400,000,000	2006/05/26
04973	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Dec 2006 A	0.3800	5.488 per unit	2006/12/11	248,000,000	2006/05/26
04982	KBC Fin Products Int'l Ltd.	Ch Telecom Euro Wts Jan 2007	0.2500	2.878 per unit	2007/01/10	400,000,000	2006/05/26
04981	KBC Fin Products Int'l Ltd.	Ch Telecom Euro Wts Nov 2006	0.3100	2.60 per unit	2006/11/27	308,000,000	2006/05/26
04972	KBC Fin Products Int'l Ltd.	Ch Life Euro Put Wts Jan 2007	0.2500	12.6800	2007/01/10	288,000,000	2006/05/26
09037 #	Deutsche Bank AG	HSBC European Warrants Nov 2006 A	0.6200	138.0000	2006/11/30	200,000,000	2006/05/26
04988	ABN AMRO Bank N.V.	BankComm European Warrants Dec 2006 B	0.3600	5.288 per unit	2006/12/13	150,000,000	2006/05/29
04986	ABN AMRO Bank N.V.	BankComm European Warrants Dec 2006 A	0.5900	4.788 per unit	2006/12/21	150,000,000	2006/05/29
04989	CC Rabobank B.A.	BankComm European Warrants Jun 2007 A	0.5500	5.38 per unit	2007/06/28	100,000,000	2006/05/29
04990	CC Rabobank B.A.	China Life European Warrants Jun 2007 A	0.2500	12.6800	2007/06/28	100,000,000	2006/05/29
04991	CC Rabobank B.A.	Hutchison European Warrants Jun 2007	0.6400	75.6800	2007/06/08	100,000,000	2006/05/29
04954	Deutsche Bank AG	BOC HK European Warrants Dec 2006 B	0.9500	16.00 per unit	2006/12/11	100,000,000	2006/05/29
04985	Deutsche Bank AG	China Life European Warrants Dec 2006 B	1.2900	13.8000	2006/12/04	100,000,000	2006/05/29
04999	KBC Fin Products Int'l Ltd.	SHK P European Wts Dec 2006 A	0.6600	81.0000	2006/12/15	148,000,000	2006/05/29
04992	KBC Fin Products Int'l Ltd.	BOCom European Wts Dec 2006 A	0.5000	4.808 per unit	2006/12/15	188,000,000	2006/05/29
04993	KBC Fin Products Int'l Ltd.	Ch Mob European Wts Dec 2006	0.4700	40.8800	2006/12/11	208,000,000	2006/05/29
04998	KBC Fin Products Int'l Ltd.	CNOOC European Wts Nov 2006	0.6600	5.88 per unit	2006/11/29	148,000,000	2006/05/29
04997	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Dec 2006 B	0.5900	4.48 per unit	2006/12/01	168,000,000	2006/05/29
09002	Macquarie Bank Ltd.	CK(H) European Warrants Nov 2006 B	0.5020	85.0000	2006/11/29	200,000,000	2006/05/30
09004	Macquarie Bank Ltd.	China Mobile European Wts Dec 2006	0.4700	40.0000	2006/12/29	200,000,000	2006/05/30
09010	Macquarie Bank Ltd.	CNOOC European Warrants Nov 2006	0.5420	6.00 per unit	2006/11/30	150,000,000	2006/05/30
09011	Macquarie Bank Ltd.	PetroChina European Warrants Nov 2006	0.7580	8.50 per unit	2006/11/30	100,000,000	2006/05/30
09003	Macquarie Bank Ltd.	PetroChina European Put Wts Nov 2006 B	0.4450	7.50 per unit	2006/11/29	100,000,000	2006/05/30
09013	UBS AG	BOCom European Warrants Mar 2007 A	0.4700	5.28 per unit	2007/03/12	100,000,000	2006/05/30
09014	UBS AG	China Life European Warrants Dec 2006	0.5200	15.88 per unit	2006/12/11	100,000,000	2006/05/30
09015	UBS AG	HS Bank European Warrants Jun 2007	0.3500	100.8800	2007/06/12	100,000,000	2006/05/30
09016	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2006 F	0.3000	15,600 per 4,000 units	2006/11/29	333,000,000	2006/05/30
09020	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Nov 06G	0.2500	15,800 per 3,800 units	2006/11/29	400,000,000	2006/05/30
09017	Deutsche Bank AG	CC Bank European Put Warrants Jan 2007	0.2500	3.20 per unit	2007/01/15	100,000,000	2006/06/01
09018	Deutsche Bank AG	HSI European Put Warrants Dec 2006 A	0.2500	15,600 per 4,000 units	2006/12/28	200,000,000	2006/06/01
09019	Deutsche Bank AG	Hutchison European Put Warrants Dec 2006	0.4150	70.0000	2006/12/28	100,000,000	2006/06/01
09039	Macquarie Bank Ltd.	BOCom European Warrants Nov 2006	0.3060	5.25 per unit	2006/11/30	100,000,000	2006/06/01
09040	Macquarie Bank Ltd.	SHK Ppt European Warrants Dec 2006 B	0.4700	85.0000	2006/12/28	100,000,000	2006/06/01
09041	Macquarie Bank Ltd.	HSI European Warrants Dec 2006 C	0.2670	16,000 per 4,500 units	2006/12/28	388,000,000	2006/06/01
09045	Macquarie Bank Ltd.	HSI European Put Warrants Dec 2006 A	0.2500	16,000 per 4,500 units	2006/12/28	388,000,000	2006/06/01
09042	SGA Societe Generale Acceptance N.V.	C Life Eu Wt Dec 06 B	1.4900	12.08 per unit	2006/12/04	67,000,000	2006/06/01
09043	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2006 C	0.7700	134.8800	2006/12/04	130,000,000	2006/06/01
09044	SGA Societe Generale Acceptance N.V.	MTRC Euro Wt Dec 2006	0.2500	18.2800	2006/12/04	400,000,000	2006/06/01
09049	SGA Societe Generale Acceptance N.V.	MTRC Euro Wt Apr 2007	0.2500	19.5800	2007/04/02	400,000,000	2006/06/01
09050	SGA Societe Generale Acceptance N.V.	C Life Eu Put Wt Dec 06	1.6000	11.48 per unit	2006/12/04	63,000,000	2006/06/01
09052	KBC Fin Products Int'l Ltd.	HSI European Warrants Dec 2006	0.2500	15,200 per 6,400 units	2006/12/28	400,000,000	2006/06/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
			prices (HK\$)	Subscription price (HK\$) per 10 units			
09079	BNP Paribas Arbit Issu B.V.	BankComm European Wts Dec 2006	0.5000	5.49 per unit	2006/12/11	200,000,000	2006/06/02
09080	BNP Paribas Arbit Issu B.V.	CC Bank European Wts Dec 2006	0.2900	3.59 per unit	2006/12/11	344,000,000	2006/06/02
09084	BNP Paribas Arbit Issu B.V.	HSI European Put Wts Dec 2006A	0.2500	15,600 per 4,000 units	2006/12/28	400,000,000	2006/06/02
09124	Goldman Sachs SP (Asia)	HSBC European Warrants Dec 2006	0.3700	145.0000	2006/12/04	200,000,000	2006/06/02
09125	Goldman Sachs SP (Asia)	HSBC European Warrants Jun 2007 A	1.3300	128.0000	2007/06/29	100,000,000	2006/06/02
09094	Goldman Sachs SP (Asia)	CC Bank European Warrants Dec 2006	0.3500	3.50 per unit	2006/12/04	150,000,000	2006/06/02
09122	Goldman Sachs SP (Asia)	HSI European Warrants Dec 2006	0.2500	15,600 per 5,000 units	2006/12/28	400,000,000	2006/06/02
09126	Goldman Sachs SP (Asia)	HSI European Put Warrants Dec 2006	0.2500	16,000 per 4,500 units	2006/12/28	400,000,000	2006/06/02
09096	Goldman Sachs SP (Asia)	China Mobile European Wts Dec 2006	0.3700	42.0000	2006/12/04	250,000,000	2006/06/02
09123	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2007 A	0.4500	43.8000	2007/04/02	250,000,000	2006/06/02
09095	Goldman Sachs SP (Asia)	China Life European Wts Jan 2007 A	0.2500	11.0000	2007/01/29	200,000,000	2006/06/02
09053	SGA Societe Generale Acceptance N.V.	CK(H) Eur Wt Dec 2006	0.6800	84.1800	2006/12/04	147,000,000	2006/06/02
09071	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Dec 06A	0.5800	4.78 per unit	2006/12/04	172,000,000	2006/06/02
09072	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Dec06E	0.6300	133.3800	2006/12/04	159,000,000	2006/06/02
09077	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Dec 2006	0.5100	73.8800	2006/12/04	196,000,000	2006/06/02
09078	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Dec 06 A	0.5300	85.8800	2006/12/18	189,000,000	2006/06/02
09085	Macquarie Bank Ltd.	CHALCO European Warrants Jun 2008	0.2530	6.0000	2008/06/30	200,000,000	2006/06/02
09086	Macquarie Bank Ltd.	Sinopec European Warrants Dec 2006 B	0.5100	4.60 per unit	2006/12/28	150,000,000	2006/06/02
09087	Macquarie Bank Ltd.	Sinopec European Warrants Jan 2007 A	0.4140	5.00 per unit	2007/01/30	200,000,000	2006/06/02
09088	Macquarie Bank Ltd.	Denway European Warrants Dec 2006	0.2790	3.20 per unit	2006/12/28	100,000,000	2006/06/02
09093	Macquarie Bank Ltd.	HLand European Warrants Dec 2006 B	0.2620	44.0000	2006/12/28	100,000,000	2006/06/02
09127	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Dec 2006	0.2500	7,000 per 2,400 units	2006/12/28	400,000,000	2006/06/05
09131	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Dec 2006	0.2500	6,400 per 2,300 units	2006/12/28	400,000,000	2006/06/05
09128	BNP Paribas Arbit Issu B.V.	BOC HK European Wts Dec 2006	0.3600	16.99 per unit	2006/12/18	50,000,000	2006/06/05
09129	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Dec 2006	0.5000	4.99 per unit	2006/12/15	200,000,000	2006/06/05
09130	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Dec 2006	0.6100	5.99 per unit	2006/12/15	164,000,000	2006/06/05
09133	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Dec 2006 B	0.7700	8.58 per unit	2006/12/15	128,000,000	2006/06/05
09132	KBC Fin Products Int'l Ltd.	BOCom European Wts Dec 2006 B	0.3400	5.288 per unit	2006/12/05	288,000,000	2006/06/05
04701 #	Credit Suisse	Sh Petrochem Euro Wts Jul 2006	0.2000	3.68 per unit	2006/07/28	200,000,000	2006/06/05
09321 #	Credit Suisse	BOC HK European Warrants Aug 2006	0.0490	16.88 per unit	2006/08/08	300,000,000	2006/06/05
03960 #	Credit Suisse	HSBC European Warrants Aug 2006	0.3300	136.8800	2006/08/28	200,000,000	2006/06/06
09134	SGA Societe Generale Acceptance N.V.	C Mob Eur Wt Dec 2006	0.3600	43.5800	2006/12/06	278,000,000	2006/06/06
09135	SGA Societe Generale Acceptance N.V.	C Tel Eu Wt Dec 06 B	0.2500	2.63 per unit	2006/12/06	400,000,000	2006/06/06
09136	SGA Societe Generale Acceptance N.V.	CNOOC Eur Wt Dec 2006	0.5200	6.38 per unit	2006/12/06	192,000,000	2006/06/06
04827 #	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2006 C	1.3300	11.188 per unit	2006/09/01	188,000,000	2006/06/06
02172 #	KBC Fin Products Int'l Ltd.	BOC HK European Wts Sep 2006 A	0.2250	16.40 per unit	2006/09/18	250,000,000	2006/06/06
09138	SGA Societe Generale Acceptance N.V.	CP&CC Eu Put Wt Dec 06D	0.6200	4.61 per unit	2006/12/11	161,000,000	2006/06/07
09137	SGA Societe Generale Acceptance N.V.	BOCHK Eu Put Wt Dec06	0.9600	14.78 per unit	2006/12/11	104,000,000	2006/06/07
09141	Macquarie Bank Ltd.	HSBC European Warrants Dec 2006 B	0.7650	135.0000	2006/12/28	200,000,000	2006/06/08
09142	KBC Fin Products Int'l Ltd.	CK(H) Euro Put Wts Dec 2006	0.2800	77.0000	2006/12/08	288,000,000	2006/06/08
09155	Macquarie Bank Ltd.	HSI European Warrants Dec 2006 D	0.2860	15,600 per 4,500 units	2006/12/28	388,000,000	2006/06/09
09147	Macquarie Bank Ltd.	HSI European Put Warrants Dec 2006 B	0.2500	15,600 per 4,200 units	2006/12/28	388,000,000	2006/06/09
04697 #	Deutsche Bank AG	HSI European Put Warrants Sep 2006 A	0.0760	15,000 per 3,800 units	2006/09/28	200,000,000	2006/06/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
09148	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Jan 2007	0.2500	2.79 per unit	2007/01/29	200,000,000	2006/06/12
09149	BNP Paribas Arbit Issu B.V.	PetroCh Euro Put Wts Dec 2006	0.9200	7.99 per unit	2006/12/18	108,000,000	2006/06/12
09150	BNP Paribas Arbit Issu B.V.	Ping An European Wts Dec 2006	0.2600	23.9900	2006/12/18	200,000,000	2006/06/12
02253 #	Deutsche Bank AG	Nikkei 225 Index European Wts Sep 2006 B	0.0660	JPY 16,800 per 250 units	2006/09/07	160,000,000	2006/06/12
09157	ABN AMRO Bank N.V.	China Life European Warrants Jul 2007 A	0.2500	12.9800	2007/07/23	150,000,000	2006/06/14
09161	ABN AMRO Bank N.V.	HSBC European Warrants Feb 2007 A	0.7100	133.8800	2007/02/05	100,000,000	2006/06/14
09156	Macquarie Bank Ltd.	PCCW European Warrants Dec 2006	0.5530	5.00 per unit	2006/12/29	100,000,000	2006/06/14
02125 #	Deutsche Bank AG	Nikkei 225 Index European Wts Dec 2006	0.1220	JPY 16,000 per 250 units	2006/12/07	200,000,000	2006/06/14
09173	ABN AMRO Bank N.V.	Bank of Comm European Put Wts Feb 2007	0.2500	4.528 per unit	2007/02/16	150,000,000	2006/06/15
09174	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Jan 2007 A	0.2500	37.8800	2007/01/05	180,000,000	2006/06/15
09175	ABN AMRO Bank N.V.	Sinopec Corp European Put Wts Jan 2007	0.3100	3.888 per unit	2007/01/18	100,000,000	2006/06/15
09176	ABN AMRO Bank N.V.	Hutchison European Put Wts Jan 2007	0.2700	66.8800	2007/01/12	100,000,000	2006/06/15
09177	ABN AMRO Bank N.V.	PetroChina European Put Wts Dec 2006	0.5100	7.388 per unit	2006/12/22	100,000,000	2006/06/15
09166	Calyon Fin P (G) Ltd.	China Life European Wts Apr 2007 A	0.2570	12.1800	2007/04/17	300,000,000	2006/06/15
09168	Calyon Fin P (G) Ltd.	HSBC European Warrants Feb 2007 A	0.7500	137.8800	2007/02/28	300,000,000	2006/06/15
09169	Calyon Fin P (G) Ltd.	Hutchison European Warrants Apr 2007	0.8900	69.2800	2007/04/17	300,000,000	2006/06/15
09170	Calyon Fin P (G) Ltd.	Hutchison European Warrants Jan 2007	0.6000	71.8800	2007/01/04	300,000,000	2006/06/15
09164	Calyon Fin P (G) Ltd.	CC Bank European Warrants Feb 2007	0.6300	3.188 per unit	2007/02/28	300,000,000	2006/06/15
09165	Calyon Fin P (G) Ltd.	CC Bank European Warrants Jan 2007 A	0.3720	3.588 per unit	2007/01/04	300,000,000	2006/06/15
09171	Calyon Fin P (G) Ltd.	PetroChina European Wts Jan 2007	0.8100	8.48 per unit	2007/01/04	300,000,000	2006/06/15
09167	Calyon Fin P (G) Ltd.	China Mobile European Wts Jan 2007	0.4300	44.2800	2007/01/29	300,000,000	2006/06/15
09162	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 C	0.3500	JPY 15,200 per 250 units	2007/03/08	100,000,000	2006/06/15
09163	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 D	0.2500	JPY 16,600 per 250 units	2007/03/08	100,000,000	2006/06/15
09172	Macquarie Bank Ltd.	Wharf European Warrants Dec 2006	0.2650	28.0000	2006/12/28	100,000,000	2006/06/15
09178	KBC Fin Products Int'l Ltd.	BOC HK Euro Put Wts Dec 2006	0.7000	14.28 per unit	2006/12/20	138,000,000	2006/06/15
09188	KBC Fin Products Int'l Ltd.	HWL Euro Put Wts Dec 2006 C	0.2500	64.0000	2006/12/15	288,000,000	2006/06/15
09179	KBC Fin Products Int'l Ltd.	CCB European Put Wts Feb 2007	0.2500	2.888 per unit	2007/02/15	400,000,000	2006/06/15
09182	KBC Fin Products Int'l Ltd.	CNOOC Euro Put Wts Dec 2006	0.4000	5.20 per unit	2006/12/15	208,000,000	2006/06/15
09181	KBC Fin Products Int'l Ltd.	Ch Tel Euro Put Wts Mar 2007	0.2500	2.40 per unit	2007/03/08	388,000,000	2006/06/15
09186	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Dec 2006 C	0.2500	15,400 per 4,400 units	2006/12/28	400,000,000	2006/06/15
09185	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Dec 2006 B	0.2500	14,800 per 3,200 units	2006/12/28	400,000,000	2006/06/15
09184	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Dec 2006 A	0.2500	14,400 per 2,600 units	2006/12/28	400,000,000	2006/06/15
09183	KBC Fin Products Int'l Ltd.	HWL European Wts Dec 2006 B	0.7650	66.0000	2006/12/22	118,000,000	2006/06/15
09180	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Dec 2006 C	0.5400	4.08 per unit	2006/12/20	168,000,000	2006/06/15
03518 #	SGA Societe Generale Acceptance N.V.	HSI Euro Wts Sep 2006	0.1200	16,000 per 3,800 units	2006/09/28	400,000,000	2006/06/15
09218	BNP Paribas Arbit Issu B.V.	BOC HK Euro Put Wts Dec 2006	0.7200	13.99 per unit	2006/12/18	50,000,000	2006/06/16
09217	BNP Paribas Arbit Issu B.V.	HSI European Warrants Dec 2006	0.2600	15,800 per 4,000 units	2006/12/28	380,000,000	2006/06/16
09223	BNP Paribas Arbit Issu B.V.	HSI European Put Wts Dec 2006B	0.2500	15,200 per 3,700 units	2006/12/28	400,000,000	2006/06/16
09199	Deutsche Bank AG	Hutchison European Warrants Jan 2007	0.5800	69.0000	2007/01/29	100,000,000	2006/06/16
09200	Deutsche Bank AG	HSI European Warrants Dec 2006	0.2500	15,800 per 4,000 units	2006/12/28	200,000,000	2006/06/16
09189	Macquarie Bank Ltd.	JX Copper European Warrants Aug 2007	0.2530	6.0000	2007/08/30	288,000,000	2006/06/16
09198	Macquarie Bank Ltd.	SHK P European Warrants Dec 2006 C	0.4810	80.0000	2006/12/28	100,000,000	2006/06/16
09190	Macquarie Bank Ltd.	PetroChina European Wts Dec 2006 A	0.7050	8.00 per unit	2006/12/29	100,000,000	2006/06/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09202	KBC Fin Products Int'l Ltd.	HSCEI European Wts Dec 2006 B	0.2500	6,400 per 3,000 units	2006/12/28	400,000,000	2006/06/16
09212	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Dec 2006 D	0.2500	6,000 per 2,100 units	2006/12/28	400,000,000	2006/06/16
09201	KBC Fin Products Int'l Ltd.	HSCEI European Wts Dec 2006 A	0.2500	6,000 per 3,800 units	2006/12/28	400,000,000	2006/06/16
09213	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Dec 2006 E	0.2500	6,400 per 2,900 units	2006/12/28	400,000,000	2006/06/16
09211	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Dec 2006 C	0.2500	5,600 per 1,450 units	2006/12/28	400,000,000	2006/06/16
09203	KBC Fin Products Int'l Ltd.	BOCom Euro Put Wts Dec 2006 D	0.2500	4.208 per unit	2006/12/27	288,000,000	2006/06/16
09207	KBC Fin Products Int'l Ltd.	HWL Euro Put Wts Dec 2006 D	0.5800	72.8800	2006/12/18	168,000,000	2006/06/16
09209	KBC Fin Products Int'l Ltd.	SHK P European Wts Dec 2006 B	0.6200	76.0000	2006/12/20	148,000,000	2006/06/16
09204	KBC Fin Products Int'l Ltd.	BOCom European Wts Jan 2007 A	0.9200	4.48 per unit	2007/01/03	100,000,000	2006/06/16
09208	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Dec 2006 C	0.8040	7.688 per unit	2006/12/21	108,000,000	2006/06/16
09216	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2006 B	0.3400	15,200 per 4,000 units	2006/12/28	294,000,000	2006/06/16
09215	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2006 A	0.2700	15,800 per 4,000 units	2006/12/28	370,000,000	2006/06/16
09219	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 06C	0.2600	15,400 per 4,000 units	2006/12/28	385,000,000	2006/06/16
09224	Credit Suisse	BOC HK European Warrants Feb 2007	0.6750	15.38 per unit	2007/02/08	50,000,000	2006/06/19
09225	Credit Suisse	Henderson Land European Warrants Jan 2007	0.4040	36.8800	2007/01/18	50,000,000	2006/06/19
09226	Credit Suisse	HSBC European Warrants Jan 2007 B	0.4480	142.8800	2007/01/18	50,000,000	2006/06/19
09228	Credit Suisse	Hutchison European Warrants Jan 2007 A	0.3630	73.8800	2007/01/18	50,000,000	2006/06/19
09235	Goldman Sachs SP (Asia)	HSCEI European Warrants Jan 2007	0.2500	6,600 per 3,000 units	2007/01/30	400,000,000	2006/06/19
09239	Goldman Sachs SP (Asia)	HSCEI European Put Wts Jan 2007 A	0.2500	6,100 per 3,000 units	2007/01/30	400,000,000	2006/06/19
09229	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Dec 2006	0.5200	5.03 per unit	2006/12/20	192,000,000	2006/06/19
09230	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Dec 06B	0.4400	4.08 per unit	2006/12/20	227,000,000	2006/06/19
09231	SGA Societe Generale Acceptance N.V.	Sinopec Eu Wt Dec 06C	0.3400	4.36 per unit	2006/12/20	294,000,000	2006/06/19
09232	SGA Societe Generale Acceptance N.V.	PetCh Eu Wt Dec 06 A	0.7600	7.88 per unit	2006/12/20	132,000,000	2006/06/19
09233	SGA Societe Generale Acceptance N.V.	PetCh Eu Wt Dec 06 B	0.6200	8.28 per unit	2006/12/20	161,000,000	2006/06/19
09247	ABN AMRO Bank N.V.	China Mobile European Warrants Dec 2006	0.4500	40.2800	2006/12/29	190,000,000	2006/06/20
09243	ABN AMRO Bank N.V.	Sinopec Corp European Wts Jan 2007 A	0.3700	4.088 per unit	2007/01/09	200,000,000	2006/06/20
09244	ABN AMRO Bank N.V.	PetroChina European Wts Dec 2006 A	0.5900	7.588 per unit	2006/12/20	150,000,000	2006/06/20
09245	ABN AMRO Bank N.V.	PetroChina European Wts Dec 2006 B	0.4700	7.928 per unit	2006/12/22	150,000,000	2006/06/20
09248	ABN AMRO Bank N.V.	China Telecom European Wts Jan 2007 B	0.2500	2.388 per unit	2007/01/19	200,000,000	2006/06/20
09242	UBS AG	HSI European Warrants Dec 2006	0.2740	15,800 per 3,600 units	2006/12/28	100,000,000	2006/06/20
09246	UBS AG	HSI European Put Warrants Dec 2006	0.2640	15,600 per 3,600 units	2006/12/28	100,000,000	2006/06/20
09236	SGA Societe Generale Acceptance N.V.	BOCom Eu Put Wt Dec06	0.5500	4.93 per unit	2006/12/20	182,000,000	2006/06/20
09237	SGA Societe Generale Acceptance N.V.	CCB Eu Put Wt Dec 06	0.2600	3.28 per unit	2006/12/20	385,000,000	2006/06/20
09238	SGA Societe Generale Acceptance N.V.	CP&CC Eu Put Wt Dec 06E	0.5400	4.01 per unit	2006/12/20	186,000,000	2006/06/20
09240	SGA Societe Generale Acceptance N.V.	PetCh Eu Put Wt Dec06	0.8300	7.58 per unit	2006/12/20	120,000,000	2006/06/20
09241	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Dec 06 B	0.4600	81.2800	2006/12/20	218,000,000	2006/06/20
09678 #	Credit Suisse	SMIC European Warrants Mar 2007	0.0410	1.28 per unit	2007/03/08	800,000,000	2006/06/20
09677 #	Credit Suisse	SMIC European Warrants Nov 2006	0.0350	1.18 per unit	2006/11/08	800,000,000	2006/06/20
09286	ABN AMRO Bank N.V.	Sinopec Corp European Warrants Feb 2007	0.5350	3.788 per unit	2007/02/12	150,000,000	2006/06/21
09287	ABN AMRO Bank N.V.	HSBC European Warrants Feb 2007 B	0.7700	130.8800	2007/02/09	100,000,000	2006/06/21
09291	ABN AMRO Bank N.V.	PetroChina European Warrants Feb 2007 A	0.7600	7.028 per unit	2007/02/12	150,000,000	2006/06/21
09283	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Jan 2007	0.3500	43.9900	2007/01/15	280,000,000	2006/06/21
09285	BNP Paribas Arbit Issu B.V.	HSBC European Put Wts Jan 2007	0.7200	103.1900	2007/01/09	130,000,000	2006/06/21

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
09250	Deutsche Bank AG	DJIA Index European Put Warrants Mar 2007	0.2600	USD 10,200 per 20,000 units	2007/03/16	100,000,000	2006/06/21
09251	Deutsche Bank AG	HSI European Put Warrants Dec 2006 B	0.2500	15,800 per 4,000 units	2006/12/28	200,000,000	2006/06/21
09249	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 F	0.2600	JPY 14,600 per 300 units	2007/03/08	100,000,000	2006/06/21
09253	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Mar 2007 G	0.2800	JPY 14,000 per 300 units	2007/03/08	100,000,000	2006/06/21
09294	J P Morgan Int'l Der. Ltd.	N225 Idx Euro Wts Mar 2007 A	0.3600	JPY 15,008 per 150 units	2007/03/08	300,000,000	2006/06/21
09298	J P Morgan Int'l Der. Ltd.	N225 Idx Euro Put Wts Mar 2007B	0.3400	JPY 13,508 per 150 units	2007/03/08	300,000,000	2006/06/21
09257	Macquarie Bank Ltd.	BOCom European Warrants Jan 2007 A	0.4770	4.60 per unit	2007/01/02	200,000,000	2006/06/21
09259	Macquarie Bank Ltd.	CCB European Warrants Dec 2006 B	0.3490	3.20 per unit	2006/12/29	200,000,000	2006/06/21
09293	Macquarie Bank Ltd.	Sinopec European Warrants Dec 2006 C	0.3130	4.20 per unit	2006/12/28	188,000,000	2006/06/21
09292	Macquarie Bank Ltd.	Sinopec European Warrants Jan 2007 B	0.5090	3.80 per unit	2007/01/30	188,000,000	2006/06/21
09252	Macquarie Bank Ltd.	HK Gas European Warrants Jul 2007	0.2500	16.5000	2007/07/30	150,000,000	2006/06/21
09256	Macquarie Bank Ltd.	HSCEI European Warrants Dec 2006 C	0.2750	6,000 per 3,000 units	2006/12/28	200,000,000	2006/06/21
09261	Macquarie Bank Ltd.	HSCEI European Put Warrants Dec 2006 D	0.2520	5,800 per 2,600 units	2006/12/28	200,000,000	2006/06/21
09255	Macquarie Bank Ltd.	HSI European Warrants Dec 2006 E	0.2960	15,200 per 4,500 units	2006/12/28	388,000,000	2006/06/21
09260	Macquarie Bank Ltd.	HSI European Put Warrants Dec 2006 F	0.2530	15,200 per 4,500 units	2006/12/28	388,000,000	2006/06/21
09262	SGA Societe Generale Acceptance N.V.	C Life Eu Wt Jan 07 A	1.3600	10.98 per unit	2007/01/15	74,000,000	2006/06/21
09281	SGA Societe Generale Acceptance N.V.	C Mob Eu Wt Jan 07 A	0.4050	41.7800	2007/01/15	247,000,000	2006/06/21
09282	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Jan07	1.1300	10.02 per unit	2007/01/15	88,000,000	2006/06/21
09280	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2006 D	0.3650	14,800 per 4,000 units	2006/12/28	274,000,000	2006/06/21
09284	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 06E	0.2500	15,000 per 3,850 units	2006/12/28	400,000,000	2006/06/21
09303	KBC Fin Products Int'l Ltd.	HSCEI European Wts Dec 2006 G	0.2500	5,600 per 3,500 units	2006/12/28	400,000,000	2006/06/21
09299	KBC Fin Products Int'l Ltd.	HSCEI European Wts Dec 2006 F	0.2500	5,200 per 4,500 units	2006/12/28	400,000,000	2006/06/21
09295	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jan 2007 A	1.4200	9.888 per unit	2007/01/03	140,000,000	2006/06/21
09296	KBC Fin Products Int'l Ltd.	Sinopec Euro Put Wts Jan 2007	0.6000	4.018 per unit	2007/01/02	168,000,000	2006/06/21
09297	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Jan 2007	0.5900	6.68 per unit	2007/01/02	168,000,000	2006/06/21
09305	Macquarie Bank Ltd.	China Unicom European Wts Dec 2006 B	0.4860	7.00 per unit	2006/12/29	100,000,000	2006/06/22
09304	Macquarie Bank Ltd.	CNOOC European Warrants Dec 2006	0.4450	5.50 per unit	2006/12/29	100,000,000	2006/06/22
09306	Macquarie Bank Ltd.	PetroChina European Wts Dec 2006 B	0.5620	7.50 per unit	2006/12/29	150,000,000	2006/06/22
09308	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt Jan 2007	0.9000	15.08 per unit	2007/01/15	111,000,000	2006/06/23
09311	SGA Societe Generale Acceptance N.V.	C Mob Eu Wt Jan 07 B	0.4900	41.0800	2007/01/15	204,000,000	2006/06/23
09307	SGA Societe Generale Acceptance N.V.	C Tel Eur Wt Jan 2007	0.2700	2.48 per unit	2007/01/15	370,000,000	2006/06/23
09309	SGA Societe Generale Acceptance N.V.	CCB Eu Put Wt Jan 07	0.2900	3.26 per unit	2007/01/15	345,000,000	2006/06/23
09312	SGA Societe Generale Acceptance N.V.	C Tel Eu Put Wt Jan07	0.2500	2.42 per unit	2007/01/15	400,000,000	2006/06/23
09316	ABN AMRO Bank N.V.	Bank of Comm European Wts Feb 2007 B	0.6400	4.488 per unit	2007/02/07	150,000,000	2006/06/26
09315	ABN AMRO Bank N.V.	Gold European Warrants Mar 2007	0.2900	USD 600 per 1,500 units	2007/03/23	150,000,000	2006/06/26
09324	ABN AMRO Bank N.V.	Gold European Put Warrants Mar 2007	0.2800	USD 550 per 1,000 units	2007/03/23	100,000,000	2006/06/26
09325	CC Rabobank B.A.	CC Bank European Warrants May 2007 A	0.4100	3.38 per unit	2007/05/10	100,000,000	2006/06/26
09327	CC Rabobank B.A.	HSBC European Warrants Apr 2007	0.8700	132.8800	2007/04/18	100,000,000	2006/06/26
09328	CC Rabobank B.A.	PetroChina European Warrants Jan 2007	0.9600	7.28 per unit	2007/01/18	100,000,000	2006/06/26
09329	CC Rabobank B.A.	PetroChina European Warrants May 2007 A	0.8200	7.88 per unit	2007/05/18	100,000,000	2006/06/26
09330	Macquarie Bank Ltd.	HSI European Warrants Dec 2006 G	0.2500	16,400 per 4,100 units	2006/12/28	388,000,000	2006/06/27
09334	Macquarie Bank Ltd.	HSI European Put Warrants Dec 2006 H	0.3070	16,400 per 4,800 units	2006/12/28	388,000,000	2006/06/27
09335	Macquarie Bank Ltd.	HSI European Put Warrants Dec 2006 I	0.3480	16,800 per 4,800 units	2006/12/28	388,000,000	2006/06/27

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09331	Macquarie Bank Ltd.	HSCEI European Warrants Dec 2006 E	0.2500	6,600 per 2,950 units	2006/12/28	200,000,000	2006/06/27
09343	Macquarie Bank Ltd.	HSCEI European Put Warrants Dec 2006 F	0.2500	6,500 per 3,700 units	2006/12/28	200,000,000	2006/06/27
09333	Macquarie Bank Ltd.	CCB European Put Warrants Dec 2006	0.2560	3.20 per unit	2006/12/28	188,000,000	2006/06/27
09332	Macquarie Bank Ltd.	CK(H) European Warrants Dec 2006	0.3630	90.0000	2006/12/28	200,000,000	2006/06/27
09323 #	Credit Suisse	HSBC European Put Warrants Aug 2006	0.0420	126.8800	2006/08/08	250,000,000	2006/06/27
04556 #	Deutsche Bank AG	CC Bank European Warrants Oct 2006 A	0.2060	3.28 per unit	2006/10/03	200,000,000	2006/06/28
09346	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wts Mar 2007	0.5600	86.9900	2007/03/19	120,000,000	2006/06/28
09348	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Mar 2007	0.3700	73.4900	2007/03/19	200,000,000	2006/06/28
09349	BNP Paribas Arbit Issu B.V.	SHK Ppt European Wts Apr 2007	0.5800	81.9900	2007/04/20	150,000,000	2006/06/28
09353	HK Bank	Sinopec Corp European Warrants Feb 2007	0.3600	4.60 per unit	2007/02/23	58,000,000	2006/06/28
09351	HK Bank	China Life European Warrants Jul 2007	0.2500	10.2800	2007/07/20	100,000,000	2006/06/28
09350	HK Bank	China Life European Put Warrants Jan 2007	0.9000	10.00 per unit	2007/01/22	20,000,000	2006/06/28
09344	Macquarie Bank Ltd.	Hutchison European Warrants Dec 2006	0.5930	67.5000	2006/12/28	200,000,000	2006/06/28
09345	Macquarie Bank Ltd.	Ping An European Warrants Dec 2006	0.2700	22.0000	2006/12/29	100,000,000	2006/06/28
09354	Macquarie Bank Ltd.	Denway Motors European Wts Feb 2007	0.4230	2.60 per unit	2007/02/27	100,000,000	2006/06/28
09357	Citigroup Global Mkt H Inc.	SHK Ppt European Wts Jan 2007	0.5710	76.8000	2007/01/30	68,000,000	2006/06/29
09356	Citigroup Global Mkt H Inc.	China Life Euro Wts Mar 2007 A	0.2510	9.8800	2007/03/29	68,000,000	2006/06/29
09355	Macquarie Bank Ltd.	Yanzhou Coal European Wts Jan 2007	0.6300	5.80 per unit	2007/01/02	88,000,000	2006/06/29
04751 #	KBC Fin Products Int'l Ltd.	HWL European Warrants Oct 2006	0.2750	70.6800	2006/10/04	340,000,000	2006/06/29
09361	J P Morgan Int'l Der. Ltd.	JX Copper Euro Wts Jan 2007	1.0500	7.18 per unit	2007/01/30	95,000,000	2006/06/30
09358	J P Morgan Int'l Der. Ltd.	China Tel Euro Wts Mar 2007 A	0.2500	2.60 per unit	2007/03/29	400,000,000	2006/06/30
09359	J P Morgan Int'l Der. Ltd.	CNOOC European Wts Jan 2007	0.3000	6.80 per unit	2007/01/30	333,000,000	2006/06/30
09362	J P Morgan Int'l Der. Ltd.	Yanzhou Coal Euro Wts Jan 2007	0.5000	5.88 per unit	2007/01/30	200,000,000	2006/06/30
09363	Credit Suisse	BOC HK European Warrants May 2007	1.0910	14.48 per unit	2007/05/28	40,000,000	2006/07/03
09364	Credit Suisse	HSBC European Put Warrants Jan 2007	0.3300	128.8800	2007/01/29	50,000,000	2006/07/03
09366	Credit Suisse	Hutchison European Warrants Jan 2007 B	0.6160	68.8800	2007/01/29	50,000,000	2006/07/03
09367	Credit Suisse	PetroChina European Warrants Jan 2007	0.5080	8.38 per unit	2007/01/29	80,000,000	2006/07/03
04485 #	ABN AMRO Bank N.V.	HSBC European Warrants Sep 2006 A	0.3800	133.8800	2006/09/11	100,000,000	2006/07/03
03633 #	Macquarie Bank Ltd.	HKEx European Warrants Oct 2006 A	0.3450	50.0000	2006/10/31	100,000,000	2006/07/03
09370	Macquarie Bank Ltd.	Ch Life European Put Wts Jan 2007 A	0.6060	10.00 per unit	2007/01/30	100,000,000	2006/07/04
09371	KBC Fin Products Int'l Ltd.	CCB European Warrants Jan 2007	0.2600	3.588 per unit	2007/01/08	368,000,000	2006/07/04
09372	SGA Societe Generale Acceptance N.V.	CK(H) Eur Wt Jan 2007	0.4800	87.9800	2007/01/15	208,000,000	2006/07/05
09373	SGA Societe Generale Acceptance N.V.	CCB Euro Wts Jan 2007	0.2500	3.73 per unit	2007/01/15	400,000,000	2006/07/05
09374	SGA Societe Generale Acceptance N.V.	HWL Eu Put Wt Jan 07	0.4200	68.8800	2007/01/15	238,000,000	2006/07/05
09375	SGA Societe Generale Acceptance N.V.	SHK P Eu Put Wt Jan07	0.5100	75.4800	2007/01/15	196,000,000	2006/07/05
09376	SGA Societe Generale Acceptance N.V.	CK(H) Eu Put Wt Jan07	0.5600	80.0800	2007/01/15	179,000,000	2006/07/05
03753 #	Fortis Bank S.A./N.V.	HKEx European Warrants Oct 2006	0.1110	61.3800	2006/10/27	91,000,000	2006/07/05
09394	ABN AMRO Bank N.V.	CC Bank European Warrants Jan 2007	0.2750	3.588 per unit	2007/01/22	250,000,000	2006/07/05
09395	ABN AMRO Bank N.V.	Sinopec Corp European Wts Jan 2007 B	0.4550	4.588 per unit	2007/01/09	200,000,000	2006/07/05
09396	ABN AMRO Bank N.V.	HSBC European Warrants Jan 2007	0.7100	132.2800	2007/01/15	100,000,000	2006/07/05
09377	Deutsche Bank AG	China Mobile European Warrants Jan 2007	0.4600	43.0000	2007/01/29	100,000,000	2006/07/05
09378	Deutsche Bank AG	China Mobile European Put Wts Feb 2007 C	0.3100	40.0000	2007/02/05	100,000,000	2006/07/05
09379	Deutsche Bank AG	CC Bank European Warrants Jan 2007	0.4300	3.28 per unit	2007/01/29	100,000,000	2006/07/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09380	Deutsche Bank AG	Hutchison European Warrants Feb 2007	0.4500	73.0000	2007/02/28	100,000,000	2006/07/05
09385	Fortis Bank S.A./N.V.	MTRC European Warrants Feb 2007	0.2580	18.0000	2007/02/16	120,000,000	2006/07/05
09384	Fortis Bank S.A./N.V.	Hutchison European Warrants Jun 2007	0.5230	74.7900	2007/06/28	30,000,000	2006/07/05
09381	Fortis Bank S.A./N.V.	Cheung Kong European Wts Feb 2007	0.8850	78.0000	2007/02/05	28,000,000	2006/07/05
09386	Fortis Bank S.A./N.V.	PetroChina European Wts Jan 2007	1.2770	7.08 per unit	2007/01/08	29,000,000	2006/07/05
09383	Fortis Bank S.A./N.V.	HSBC European Warrants Mar 2007 B	0.7710	133.2800	2007/03/30	18,000,000	2006/07/05
09382	Fortis Bank S.A./N.V.	HSBC European Warrants Mar 2007 A	1.6990	118.8800	2007/03/30	18,000,000	2006/07/05
03642 #	Deutsche Bank AG	China Mobile European Put Wts Oct 2006 C	0.1310	38.3000	2006/10/23	100,000,000	2006/07/05
04679 #	KBC Fin Products Int'l Ltd.	CCB European Wts Sep 2006 A	0.1730	3.588 per unit	2006/09/05	400,000,000	2006/07/05
09399	KBC Fin Products Int'l Ltd.	HSBC European Wts Jan 2007	0.6400	132.0000	2007/01/08	148,000,000	2006/07/06
09397	SGA Societe Generale Acceptance N.V.	ALUCO Eur Wt Jan 2007	0.8600	6.80 per unit	2007/01/15	116,000,000	2006/07/06
09398	SGA Societe Generale Acceptance N.V.	C Life Eu Wt Jan 07 B	1.1700	13.48 per unit	2007/01/29	85,000,000	2006/07/06
09400	Goldman Sachs SP (Asia)	China Life European Wts Jan 2007 B	1.5200	13.5 per unit	2007/01/08	100,000,000	2006/07/07
09401	Goldman Sachs SP (Asia)	China Life European Wts Mar 2007	0.2500	12.7000	2007/03/26	100,000,000	2006/07/07
09416	Goldman Sachs SP (Asia)	China Life Euro Put Wts Jan 2007	1.7000	12.25 per unit	2007/01/08	50,000,000	2006/07/07
09402	Goldman Sachs SP (Asia)	HSBC European Warrants Jan 2007	0.5700	139.8000	2007/01/08	200,000,000	2006/07/07
09417	Goldman Sachs SP (Asia)	HSBC European Put Wts Jan 2007	0.6000	135.0000	2007/01/08	200,000,000	2006/07/07
09403	Goldman Sachs SP (Asia)	SHK Ppt European Wts Jan 2007 A	0.3500	85.0000	2007/01/08	250,000,000	2006/07/07
09418	KBC Fin Products Int'l Ltd.	HKEx European Wts Jan 2007	0.7600	50.0000	2007/01/15	128,000,000	2006/07/07
09419	KBC Fin Products Int'l Ltd.	HKEx European Wts Feb 2007	0.5100	58.0000	2007/02/02	188,000,000	2006/07/07
09421	KBC Fin Products Int'l Ltd.	HWL European Wts Feb 2007 A	0.3900	74.0000	2007/02/01	248,000,000	2006/07/07
09422	KBC Fin Products Int'l Ltd.	SHK Ppt European Wts Jan 2007	0.2700	88.0000	2007/01/09	368,000,000	2006/07/07
09456	ABN AMRO Bank N.V.	BOCL European Warrants Apr 2007 A	0.4850	3.528 per unit	2007/04/23	300,000,000	2006/07/10
09455	ABN AMRO Bank N.V.	BOCL European Warrants Jan 2007 A	0.2500	3.898 per unit	2007/01/22	300,000,000	2006/07/10
09457	ABN AMRO Bank N.V.	BOCL European Warrants Apr 2007 B	0.2500	4.108 per unit	2007/04/23	300,000,000	2006/07/10
09454	ABN AMRO Bank N.V.	China Mobile European Wts Jan 2007 B	0.4300	47.2800	2007/01/17	100,000,000	2006/07/10
09423	BNP Paribas Arbit Issu B.V.	BOCL European Wts Jan 2007	0.2800	3.79 per unit	2007/01/10	300,000,000	2006/07/10
09424	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2007 A	0.2700	3.99 per unit	2007/03/05	300,000,000	2006/07/10
09448	Citigroup Global Mkt H Inc.	BOCL European Wts Jan 2007 A	0.4000	3.50 per unit	2007/01/10	280,000,000	2006/07/10
09449	Citigroup Global Mkt H Inc.	BOCL European Wts Jan 2007 B	0.2900	3.73 per unit	2007/01/10	280,000,000	2006/07/10
09450	Citigroup Global Mkt H Inc.	BOCL European Put Wts Jan 2007	0.3850	3.88 per unit	2007/01/10	100,000,000	2006/07/10
09472	Calyon Fin P (G) Ltd.	BOCL European Warrants Jan 2007 B	0.3000	3.888 per unit	2007/01/15	300,000,000	2006/07/10
09471	Calyon Fin P (G) Ltd.	BOCL European Warrants Jan 2007 A	0.4100	3.628 per unit	2007/01/15	300,000,000	2006/07/10
09473	Calyon Fin P (G) Ltd.	Sinopec Corp European Wts Feb 2007	0.7200	4.288 per unit	2007/02/05	300,000,000	2006/07/10
09465	CC Rabobank B.A.	BOCL European Warrants Mar 2007	0.4500	3.78 per unit	2007/03/28	100,000,000	2006/07/10
09466	CC Rabobank B.A.	BOCL European Warrants Jun 2007	0.4500	3.98 per unit	2007/06/08	100,000,000	2006/07/10
09467	CC Rabobank B.A.	BOC HK European Warrants Jun 2008	0.2500	15.2800	2008/06/18	150,000,000	2006/07/10
09470	CC Rabobank B.A.	HKEx European Warrants Apr 2008	0.9100	50.8800	2008/04/17	150,000,000	2006/07/10
09425	Credit Suisse	BOCL European Warrants Jan 2007	0.3750	3.488 per unit	2007/01/29	100,000,000	2006/07/10
09426	Credit Suisse	BOCL European Warrants Jun 2007	0.3270	3.88 per unit	2007/06/18	100,000,000	2006/07/10
09427	Deutsche Bank AG	BOCL European Warrants Jan 2007	0.3500	3.55 per unit	2007/01/29	100,000,000	2006/07/10
09428	Deutsche Bank AG	BOCL European Warrants Feb 2007	0.2500	3.88 per unit	2007/02/26	100,000,000	2006/07/10
09430	Deutsche Bank AG	BOCL European Warrants Mar 2007	0.4900	3.35 per unit	2007/03/12	100,000,000	2006/07/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09444	HK Bank	BOCL European Warrants Jan 2007	0.3100	3.68 per unit	2007/01/31	188,000,000	2006/07/10
09445	HK Bank	BOCL European Warrants Mar 2007	0.2800	3.88 per unit	2007/03/21	188,000,000	2006/07/10
09431	J P Morgan Int'l Der. Ltd.	BOCL European Warrants Jan 2007	0.2500	3.8 per unit	2007/01/30	400,000,000	2006/07/10
09437	J P Morgan Int'l Der. Ltd.	Ping An European Wts Jan 2007	0.2500	25.0000	2007/01/30	400,000,000	2006/07/10
09436	J P Morgan Int'l Der. Ltd.	Sinopec Corp Euro Wts Jan 2007	0.3800	4.88 per unit	2007/01/30	260,000,000	2006/07/10
09460	KBC Fin Products Int'l Ltd.	BOCL European Wts Feb 2007	0.2900	4.088 per unit	2007/02/01	328,000,000	2006/07/10
09458	KBC Fin Products Int'l Ltd.	BOCL European Wts Jan 2007 A	0.4500	3.588 per unit	2007/01/10	208,000,000	2006/07/10
09459	KBC Fin Products Int'l Ltd.	BOCL European Wts Jan 2007 B	0.3700	3.788 per unit	2007/01/10	258,000,000	2006/07/10
09451	Macquarie Bank Ltd.	BOCL European Warrants Jan 2007 A	0.3310	3.80 per unit	2007/01/30	200,000,000	2006/07/10
09452	Macquarie Bank Ltd.	BOCL European Warrants Feb 2007	0.3090	4.00 per unit	2007/02/27	200,000,000	2006/07/10
09453	Macquarie Bank Ltd.	HKEx European Warrants Jan 2007 A	0.7400	52.5000	2007/01/30	150,000,000	2006/07/10
09446	MSDW Asia Sec Products LLC	BOCL European Warrants Jan 2007	0.4400	3.44 per unit	2007/01/12	220,000,000	2006/07/10
09447	MSDW Asia Sec Products LLC	BOCL European Warrants Feb 2007	0.2900	3.915 per unit	2007/02/28	340,000,000	2006/07/10
09443	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Apr 2007 A	0.3100	3.98 per unit	2007/04/30	323,000,000	2006/07/10
09440	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jan 2007 A	0.2600	3.79 per unit	2007/01/15	385,000,000	2006/07/10
09441	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jan 2007 B	0.3600	3.58 per unit	2007/01/29	278,000,000	2006/07/10
09476	UBS AG	BOCL European Put Warrants Feb 2007	0.2700	3.58 per unit	2007/02/28	100,000,000	2006/07/10
09474	UBS AG	BOCL European Warrants Feb 2007 A	0.3550	3.68 per unit	2007/02/28	100,000,000	2006/07/10
09475	UBS AG	BOCL European Warrants Feb 2007 B	0.2550	3.98 per unit	2007/02/28	100,000,000	2006/07/10
09480	BNP Paribas Arbit Issu B.V.	HSI European Warrants Jan 2007	0.2500	16,800 per 4,000 units	2007/01/30	400,000,000	2006/07/11
09479	BNP Paribas Arbit Issu B.V.	CC Bank European Wts Jan 2007	0.2500	3.79 per unit	2007/01/22	200,000,000	2006/07/11
09511	BNP Paribas Arbit Issu B.V.	PetroChina Euro Wts Jan 2007	0.8800	8.99 per unit	2007/01/22	110,000,000	2006/07/11
09521	Goldman Sachs SP (Asia)	BOCL European Warrants Jan 2007	0.2800	3.90 per unit	2007/01/15	100,000,000	2006/07/11
09525	Goldman Sachs SP (Asia)	BOCL European Warrants Feb 2007	0.2500	4.18 per unit	2007/02/26	100,000,000	2006/07/11
09526	Macquarie Bank Ltd.	BOCL European Warrants Jan 2007 B	0.3990	3.6 per unit	2007/01/11	180,000,000	2006/07/11
09527	Macquarie Bank Ltd.	BOCL European Warrants Jan 2007 C	0.2500	4.2 per unit	2007/01/31	250,000,000	2006/07/11
09514	SGA Societe Generale Acceptance N.V.	CHALCO Eur Wt Apr 07A	0.8300	7.28 per unit	2007/04/02	120,000,000	2006/07/11
09515	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Jan 2007	0.5200	4.73 per unit	2007/01/15	192,000,000	2006/07/11
09519	SGA Societe Generale Acceptance N.V.	Hua P Eur Wt Jan 2007	0.4600	5.48 per unit	2007/01/15	217,000,000	2006/07/11
09513	SGA Societe Generale Acceptance N.V.	PetCh Eur Wt Jan 2007	0.8900	9.01 per unit	2007/01/15	112,000,000	2006/07/11
09520	SGA Societe Generale Acceptance N.V.	PetCh Eu Put Wt Jan07	1.0200	8.23 per unit	2007/01/15	98,000,000	2006/07/11
04999 #	KBC Fin Products Int'l Ltd.	SHK P European Wts Dec 2006 A	0.4850	81.0000	2006/12/15	200,000,000	2006/07/11
09528	Citigroup Global Mkt H Inc.	Ping An European Wts Jan 2007	0.3100	24.8800	2007/01/30	150,000,000	2006/07/12
09536	Deutsche Bank AG	HSCEI European Warrants Jan 2007	0.2900	7,000 per 2,500 units	2007/01/30	200,000,000	2006/07/12
09540	Deutsche Bank AG	HSCEI European Put Warrants Jan 2007	0.2500	6,600 per 2,500 units	2007/01/30	200,000,000	2006/07/12
09529	Macquarie Bank Ltd.	Huaneng European Warrants Jan 2007	0.2950	5.75 per unit	2007/01/15	100,000,000	2006/07/12
09537	KBC Fin Products Int'l Ltd.	BOCL European Wts Mar 2007 A	0.2500	4.208 per unit	2007/03/01	400,000,000	2006/07/12
09539	KBC Fin Products Int'l Ltd.	BOCL European Put Wts Jan 2007	0.4160	3.688 per unit	2007/01/12	228,000,000	2006/07/12
09541	KBC Fin Products Int'l Ltd.	Huaneng European Wts Jan 2007	0.3500	5.5 per unit	2007/01/12	288,000,000	2006/07/12
09542	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Feb 2007	0.5600	9.088 per unit	2007/02/05	168,000,000	2006/07/12
09544	Goldman Sachs SP (Asia)	HSCEI European Put Wts Jan 2007 B	0.2500	6,400 per 2,400 units	2007/01/30	400,000,000	2006/07/13
09545	Goldman Sachs SP (Asia)	HSBC European Warrants Feb 2007 A	0.4200	146.0000	2007/02/05	200,000,000	2006/07/13
09552	Goldman Sachs SP (Asia)	SHK Ppt European Wts Jan 2007 B	0.5600	80.0000	2007/01/15	150,000,000	2006/07/13

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units		
09569	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Jan 2007	0.5800	4.50 per unit	2007/01/15	200,000,000
09570	Goldman Sachs SP (Asia)	PetroChina European Wts Jan 2007	1.0200	8.50 per unit	2007/01/15	100,000,000
09565	Goldman Sachs SP (Asia)	BankComm European Wts Jan 2007	0.4800	5.30 per unit	2007/01/15	200,000,000
09568	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jan 2007 B	0.3600	48.0000	2007/01/29	300,000,000
09567	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jan 2007 A	0.4500	45.2000	2007/01/15	250,000,000
09571	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Jan 2007	0.4700	45.8000	2007/01/15	200,000,000
09566	Goldman Sachs SP (Asia)	CC Bank European Warrants Jan 2007	0.2900	3.8 per unit	2007/01/15	350,000,000
09553	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jan 2007 C	0.2550	4.01 per unit	2007/01/29	392,000,000
09557	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Apr 2007 B	0.2900	4.18 per unit	2007/04/30	345,000,000
09558	SGA Societe Generale Acceptance N.V.	HSI Euro Wts Jan 2007	0.2750	16,800 per 4,000 units	2007/01/30	364,000,000
09562	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jan 07A	0.2500	16,000 per 3,950 units	2007/01/30	400,000,000
09563	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jan 07B	0.3500	16,800 per 4,000 units	2007/01/30	286,000,000
09572	Macquarie Bank Ltd.	BOCL European Put Warrants Jan 2007	0.2520	3.40 per unit	2007/01/30	100,000,000
03681 #	CC Rabobank B.A.	HKEx European Warrants Nov 2006	0.3200	56.8800	2006/11/28	100,000,000
04567 #	Deutsche Bank AG	China Life European Put Warrants Nov 2006	0.5300	10.88 per unit	2006/11/27	100,000,000
09586	Macquarie Bank Ltd.	CNOOC European Warrants Jan 2007	0.4470	7.00 per unit	2007/01/31	100,000,000
09588	Macquarie Bank Ltd.	HSBC European Warrants Jan 2007 A	0.4630	142.5000	2007/01/31	200,000,000
09589	Macquarie Bank Ltd.	PetroChina European Warrants Jan 2007	0.6540	9.00 per unit	2007/01/31	150,000,000
09601	ABN AMRO Bank N.V.	BOCL European Warrants Jan 2007 B	0.4400	3.388 per unit	2007/01/22	300,000,000
09602	ABN AMRO Bank N.V.	China Life European Warrants Jan 2007	0.9600	13.88 per unit	2007/01/18	60,000,000
09600	ABN AMRO Bank N.V.	China Mobile European Wts Jan 2007 C	0.2500	50.9800	2007/01/16	200,000,000
09603	ABN AMRO Bank N.V.	PetroChina European Warrants Jan 2007	0.8600	8.388 per unit	2007/01/23	100,000,000
09604	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Jan 2007 D	0.4300	45.8800	2007/01/16	200,000,000
09576	Deutsche Bank AG	Cheung Kong European Warrants Jan 2007	0.7100	85.0000	2007/01/30	100,000,000
09577	Deutsche Bank AG	Cheung Kong European Warrants Feb 2007	0.5400	90.0000	2007/02/27	100,000,000
09578	Deutsche Bank AG	CC Bank European Warrants Feb 2007	0.3900	3.60 per unit	2007/02/12	100,000,000
09585	Deutsche Bank AG	CC Bank European Warrants Mar 2007 A	0.2800	4.00 per unit	2007/03/19	100,000,000
09626	Goldman Sachs SP (Asia)	HSI European Put Wts Jan 2007 C	0.2500	16,600 per 4,000 units	2007/01/30	400,000,000
09627	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2007 C	0.2500	16,400 per 4,000 units	2007/02/27	400,000,000
09621	Goldman Sachs SP (Asia)	HSI European Warrants Jan 2007 A	0.2600	16,300 per 5,000 units	2007/01/30	400,000,000
09622	Goldman Sachs SP (Asia)	HSI European Warrants Jan 2007 B	0.2500	17,300 per 3,500 units	2007/01/30	400,000,000
09624	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2007 B	0.2700	16,800 per 4,300 units	2007/02/27	400,000,000
09623	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2007 A	0.2900	15,800 per 6,000 units	2007/02/27	350,000,000
09640	ABN AMRO Bank N.V.	BOCL European Warrants Jan 2007 C	0.3650	3.488 per unit	2007/01/19	200,000,000
09641	ABN AMRO Bank N.V.	BOCL European Warrants Feb 2007	0.2500	4.028 per unit	2007/02/13	200,000,000
09629	J P Morgan Int'l Der. Ltd.	Shinsei Bank Euro Wts Apr 2007	0.4500	JPY 808	2007/04/10	150,000,000
09628	J P Morgan Int'l Der. Ltd.	Seven & I European Wts Apr 2007	0.2500	JPY 3,940 per 100 units	2007/04/10	300,000,000
09630	J P Morgan Int'l Der. Ltd.	Toray Ind European Wts Apr 2007	0.7000	JPY 1,088	2007/04/10	120,000,000
09427 #	Deutsche Bank AG	BOCL European Warrants Jan 2007	0.3850	3.55 per unit	2007/01/29	200,000,000
09644	Deutsche Bank AG	BOCL European Put Warrants Feb 2007	0.2700	3.50 per unit	2007/02/27	100,000,000
09653	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2007 B	0.2500	17,300 per 4,000 units	2007/03/29	400,000,000
09652	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2007 A	0.3000	16,300 per 5,000 units	2007/03/29	350,000,000
09657	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2007 D	0.2500	16,800 per 5,000 units	2007/03/29	400,000,000

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09656	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2007 C	0.2500	16,000 per 4,000 units	2007/03/29	400,000,000	2006/07/19
09605	KBC Fin Products Int'l Ltd.	HSCEI European Wts Jan 2007	0.2600	6,800 per 3,500 units	2007/01/30	400,000,000	2006/07/17
09614	KBC Fin Products Int'l Ltd.	HSI European Warrants Jan 2007	0.2500	16,600 per 5,500 units	2007/01/30	400,000,000	2006/07/17
09615	KBC Fin Products Int'l Ltd.	CCB European Warrants Feb 2007	0.2500	3.988 per unit	2007/02/01	400,000,000	2006/07/17
09618	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jan 2007	0.2500	6,800 per 2,900 units	2007/01/30	400,000,000	2006/07/17
09619	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jan 2007 A	0.2500	16,800 per 4,600 units	2007/01/30	400,000,000	2006/07/17
09631	Macquarie Bank Ltd.	HSBC European Warrants Jan 2007 B	0.3920	147.5000	2007/01/31	200,000,000	2006/07/18
09632	Macquarie Bank Ltd.	MTRC European Warrants Jun 2007 C	0.2540	20.0000	2007/06/29	200,000,000	2006/07/18
09633	MSDW Asia Sec Products LLC	CLP European Warrants Feb 2007	0.2760	45.5000	2007/02/06	180,000,000	2006/07/18
09634	MSDW Asia Sec Products LLC	HSBC European Warrants Mar 2007	0.6530	141.3720	2007/03/06	150,000,000	2006/07/18
09639	MSDW Asia Sec Products LLC	HSI European Warrants Jan 2007	0.2500	16,800 per 4,780 units	2007/01/30	400,000,000	2006/07/18
09645	KBC Fin Products Int'l Ltd.	BOCL European Wts Jan 2007 C	0.2500	3.898 per unit	2007/01/19	400,000,000	2006/07/19
09648	KBC Fin Products Int'l Ltd.	BOCom European Wts Jan 2007 B	0.2800	5.688 per unit	2007/01/22	288,000,000	2006/07/19
09649	KBC Fin Products Int'l Ltd.	Ch Life Euro Wts Jan 2007 B	0.8300	13.888 per unit	2007/01/19	108,000,000	2006/07/19
09651	KBC Fin Products Int'l Ltd.	HSBC European Wts Feb 2007 A	0.4000	143.8800	2007/02/02	248,000,000	2006/07/19
09683	BNP Paribas Arbit Issu B.V.	CHALCO European Wts Jan 2007	0.8900	6.39 per unit	2007/01/22	75,000,000	2006/07/20
09684	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2007 B	0.2500	4.29 per unit	2007/03/08	300,000,000	2006/07/20
09685	BNP Paribas Arbit Issu B.V.	BOCL European Put Wts Jan 2007	0.5700	3.99 per unit	2007/01/22	100,000,000	2006/07/20
09686	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jan 2007	1.3400	13.99 per unit	2007/01/22	120,000,000	2006/07/20
09658	Calyon Fin P (G) Ltd.	China Life European Wts Jul 2007 A	0.2560	13.2800	2007/07/10	300,000,000	2006/07/20
09666	Calyon Fin P (G) Ltd.	HSBC European Warrants Jun 2007	1.2200	131.6800	2007/06/04	300,000,000	2006/07/20
09667	Calyon Fin P (G) Ltd.	HSBC European Warrants Jan 2007	0.9400	134.2800	2007/01/22	300,000,000	2006/07/20
09681	Citigroup Global Mkt H Inc.	China Life Euro Wts Mar 2007 B	0.2750	11.8800	2007/03/29	280,000,000	2006/07/20
09682	Citigroup Global Mkt H Inc.	China Life Euro Wts May 2007 A	0.2600	12.8800	2007/05/30	280,000,000	2006/07/20
09695	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Jan 07 A	0.2600	6,500 per 4,000 units	2007/01/30	385,000,000	2006/07/20
09696	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Jan 07 B	0.2500	6,800 per 3,550 units	2007/01/30	400,000,000	2006/07/20
09705	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Jan 07 C	0.2500	7,100 per 3,050 units	2007/01/30	400,000,000	2006/07/20
09706	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Jan 07D	0.2500	6,800 per 2,880 units	2007/01/30	400,000,000	2006/07/20
09707	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Jan 07E	0.2500	7,100 per 3,530 units	2007/01/30	400,000,000	2006/07/20
02253 #	Deutsche Bank AG	Nikkei 225 Index European Wts Sep 2006 B	0.0210	JPY 16,800 per 250 units	2006/09/07	480,000,000	2006/07/20
03574 #	Deutsche Bank AG	Hutchison European Warrants Oct 2006 A	0.0920	75.0000	2006/10/06	110,000,000	2006/07/20
04909 #	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2006 C	0.1780	16,400 per 4,000 units	2006/11/29	400,000,000	2006/07/20
09708	Macquarie Bank Ltd.	HSI European Warrants Jan 2007 A	0.2520	16,800 per 4,400 units	2007/01/30	388,000,000	2006/07/21
09709	Macquarie Bank Ltd.	HSCEI European Warrants Jan 2007	0.2530	7,000 per 3,200 units	2007/01/30	188,000,000	2006/07/21
03762 #	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2006 A	0.0970	16,800 per 3,900 units	2006/10/27	400,000,000	2006/07/21
09721	BOCI Asia Ltd.	Tracker Fund European Warrants Jan 2007	1.2100	15.91 per unit	2007/01/31	80,000,000	2006/07/24
09719	BOCI Asia Ltd.	BOCL European Warrants Jun 2007	0.2500	4.00 per unit	2007/06/04	100,000,000	2006/07/24
09717	Goldman Sachs SP (Asia)	HSI European Put Wts Jan 2007 D	0.2500	15,800 per 3,800 units	2007/01/30	400,000,000	2006/07/24
09718	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2007 D	0.2500	15,600 per 3,800 units	2007/02/27	400,000,000	2006/07/24
02125 #	Deutsche Bank AG	Nikkei 225 Index European Wts Dec 2006	0.0900	JPY 16,000 per 250 units	2006/12/07	400,000,000	2006/07/24
09934 #	Deutsche Bank AG	Nikkei 225 Index European Wts Sep 2006 A	0.1030	JPY 15,000 per 250 units	2006/09/07	200,000,000	2006/07/24
03692 #	Macquarie Bank Ltd.	HSI European Warrants Oct 2006 C	0.1080	16,600 per 4,100 units	2006/10/27	500,000,000	2006/07/24
09724	BOCI Asia Ltd.	Hutchison European Warrants May 2007	0.7500	67.5000	2007/05/18	300,000,000	2006/07/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
09728	BOCI Asia Ltd.	Hutchison European Put Warrants May 2007	0.7500	70.5000	2007/05/18	300,000,000	2006/07/25
09729	BOCI Asia Ltd.	Foxconn European Warrants Mar 2007	0.3500	14.7500	2007/03/30	700,000,000	2006/07/25
09722	Deutsche Bank AG	HSI European Warrants Jan 2007 A	0.2600	16,200 per 4,000 units	2007/01/30	200,000,000	2006/07/25
09723	Deutsche Bank AG	HSI European Warrants Jan 2007 B	0.2500	16,600 per 3,800 units	2007/01/30	200,000,000	2006/07/25
09726	Deutsche Bank AG	HSI European Put Warrants Jan 2007 C	0.2800	16,000 per 3,800 units	2007/01/30	200,000,000	2006/07/25
09727	Deutsche Bank AG	HSI European Put Warrants Jan 2007 D	0.2800	16,400 per 4,000 units	2007/01/30	200,000,000	2006/07/25
09731	Goldman Sachs SP (Asia)	Hutchison European Wts Jan 2007 B	0.3000	75.8000	2007/01/29	350,000,000	2006/07/25
09730	Goldman Sachs SP (Asia)	Hutchison European Wts Jan 2007 A	0.4500	71.8000	2007/01/29	250,000,000	2006/07/25
09732	Goldman Sachs SP (Asia)	Hutchison European Wts Mar 2007	0.4200	74.8000	2007/03/26	250,000,000	2006/07/25
09733	Deutsche Bank AG	China Life European Warrants Feb 2007	1.2500	13.00 per unit	2007/02/05	100,000,000	2006/07/26
09734	Deutsche Bank AG	China Mobile European Warrants Feb 2007 A	0.4100	46.0000	2007/02/05	100,000,000	2006/07/26
09735	UBS AG	BOCom European Warrants Mar 2007 B	0.5200	4.88 per unit	2007/03/28	100,000,000	2006/07/26
09736	UBS AG	Sinopec European Warrants Apr 2007	0.4500	4.38 per unit	2007/04/23	100,000,000	2006/07/26
09737	UBS AG	HSBC European Warrants Feb 2007 B	0.5700	138.8800	2007/02/28	100,000,000	2006/07/26
09738	UBS AG	PetroChina European Warrants Mar 2007	0.7600	8.88 per unit	2007/03/28	100,000,000	2006/07/26
09739	UBS AG	SHK P European Warrants Mar 2007	0.3150	83.8800	2007/03/28	100,000,000	2006/07/26
09742	KBC Fin Products Int'l Ltd.	HWL European Wts Feb 2007 B	0.4800	70.6800	2007/02/15	208,000,000	2006/07/26
09740	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Feb 2007 A	0.4800	44.8800	2007/02/08	200,000,000	2006/07/26
09741	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jan 2007 B	0.2500	15,800 per 3,800 units	2007/01/30	400,000,000	2006/07/26
09744	KBC Fin Products Int'l Ltd.	Ch Mob Euro Put Wts Feb 2007 D	0.3300	42.8800	2007/02/01	288,000,000	2006/07/26
09743	Calyon Fin P (G) Ltd.	China Life European Wts Mar 2007	0.2830	11.3800	2007/03/26	300,000,000	2006/07/27
09745	Calyon Fin P (G) Ltd.	SHK Ppt European Warrants Feb 2007	0.5600	78.5800	2007/02/21	300,000,000	2006/07/27
09747	Macquarie Bank Ltd.	BOCom European Warrants Jan 2007 B	0.4130	5.00 per unit	2007/01/31	100,000,000	2006/07/27
09748	Macquarie Bank Ltd.	CCB European Warrants Jan 2007	0.3330	3.40 per unit	2007/01/31	250,000,000	2006/07/27
09749	Macquarie Bank Ltd.	Ch Mobile European Warrants Jan 2007	0.3440	48.0000	2007/01/31	200,000,000	2006/07/27
09755	Macquarie Bank Ltd.	HSBC European Warrants Jan 2007 C	0.5440	137.5000	2007/01/31	200,000,000	2006/07/27
09756	Macquarie Bank Ltd.	Ping An European Warrants Jan 2007	0.3500	25.0000	2007/01/31	100,000,000	2006/07/27
09774	BOCI Asia Ltd.	Cheung Kong European Warrants Feb 2007	0.7500	82.9000	2007/02/23	800,000,000	2006/07/28
09775	BOCI Asia Ltd.	HSBC European Warrants Feb 2007	1.1000	130.9100	2007/02/28	800,000,000	2006/07/28
09779	Citigroup Global Mkt H Inc.	BOCL European Wts Apr 2007 A	0.3200	3.68 per unit	2007/04/23	280,000,000	2006/07/28
09781	Citigroup Global Mkt H Inc.	BOCL European Wts Apr 2007 B	0.2500	3.88 per unit	2007/04/23	280,000,000	2006/07/28
09782	Citigroup Global Mkt H Inc.	BOCL Euro Put Wts Apr 2007	0.3650	3.68 per unit	2007/04/23	100,000,000	2006/07/28
09784	CC Rabobank B.A.	BOCL European Warrants Apr 2007	0.4200	3.488 per unit	2007/04/27	100,000,000	2006/07/28
09790	CC Rabobank B.A.	HSBC European Warrants Jul 2007	0.6300	142.8800	2007/07/27	100,000,000	2006/07/28
09791	CC Rabobank B.A.	SHK Ppt European Warrants Apr 2007	0.6800	79.8800	2007/04/18	100,000,000	2006/07/28
09776	Goldman Sachs SP (Asia)	Cheung Kong European Wts Jan 2007	0.4400	88.8000	2007/01/29	200,000,000	2006/07/28
09778	Goldman Sachs SP (Asia)	Cheung Kong European Wts Apr 2007	0.5600	90.2000	2007/04/30	150,000,000	2006/07/28
09762	Macquarie Bank Ltd.	BOCHK European Warrants Jan 2007	0.6050	16.00 per unit	2007/01/30	200,000,000	2006/07/28
09763	Macquarie Bank Ltd.	HKEEx European Warrants Jan 2007 B	0.7290	48.0000	2007/01/30	100,000,000	2006/07/28
09766	Macquarie Bank Ltd.	HKEEx European Warrants Jan 2007 C	0.3170	60.0000	2007/01/30	100,000,000	2006/07/28
09767	Macquarie Bank Ltd.	HWL European Warrants Jan 2007	0.2550	80.0000	2007/01/30	200,000,000	2006/07/28
09757	Macquarie Bank Ltd.	HSI European Warrants Jan 2007 B	0.2500	17,200 per 4,200 units	2007/01/30	388,000,000	2006/07/28
09761	Macquarie Bank Ltd.	HSI European Put Warrants Jan 2007	0.3520	17,200 per 4,500 units	2007/01/30	388,000,000	2006/07/28

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09785	Macquarie Bank Ltd.	Ch Life European Warrants Jan 2007	0.8650	14.00 per unit	2007/01/30	100,000,000	2006/07/28
09789	Macquarie Bank Ltd.	Ch Life European Put Wts Jan 2007 B	0.8360	12.00 per unit	2007/01/30	80,000,000	2006/07/28
03960 #	Credit Suisse	HSBC European Warrants Aug 2006	0.1760	136.8800	2006/08/28	300,000,000	2006/07/28
09794	ABN AMRO Bank N.V.	China Mobile European Wts Feb 2007 A	0.2500	52.8800	2007/02/05	200,000,000	2006/07/31
09795	ABN AMRO Bank N.V.	Hutchison European Warrants Feb 2007	0.4850	70.8800	2007/02/21	200,000,000	2006/07/31
09798	ABN AMRO Bank N.V.	China Mobile European Put Wts Feb 2007B	0.4100	45.6800	2007/02/05	200,000,000	2006/07/31
09797	UBS AG	BOCHK European Warrants May 2007	0.4100	17.38 per unit	2007/05/28	100,000,000	2006/08/01
09822	UBS AG	CK(H) European Warrants Mar 2007	0.4850	88.8800	2007/03/26	100,000,000	2006/08/01
09823	UBS AG	HSCEI European Warrants Feb 2007	0.2500	7,688 per 2,000 units	2007/02/27	100,000,000	2006/08/01
09824	UBS AG	HWL European Warrants Apr 2007	0.3350	76.8800	2007/04/16	100,000,000	2006/08/01
09827	UBS AG	PICC European Warrants Jul 2007	0.3900	3.38 per unit	2007/07/23	100,000,000	2006/08/01
09828	J P Morgan Int'l Der. Ltd.	N225 Index Euro Wts Mar 2007 C	0.2800	JPY 16,008 per 150 units	2007/03/08	300,000,000	2006/08/02
09832	J P Morgan Int'l Der. Ltd.	N225 Idx Euro Put Wts Mar 2007D	0.2800	JPY 14,008 per 150 units	2007/03/08	300,000,000	2006/08/02
03727 #	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Oct 2006 D	0.0720	41.8800	2006/10/23	140,000,000	2006/08/02
03710 #	Goldman Sachs SP (Asia)	HSI European Put Warrants Oct 2006	0.1190	16,800 per 5,000 units	2006/10/27	400,000,000	2006/08/02
04922 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 E	0.1250	16,400 per 4,500 units	2006/11/29	600,000,000	2006/08/02
03666 #	Macquarie Bank Ltd.	HSI European Put Warrants Oct 2006 B	0.0910	16,200 per 4,350 units	2006/10/27	1,000,000,000	2006/08/02
09829	BNP Paribas Arbit Issu B.V.	BankComm European Wts Feb 2007	0.3800	5.19 per unit	2007/02/05	120,000,000	2006/08/03
09831	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Feb 2007	0.5600	4.49 per unit	2007/02/05	100,000,000	2006/08/03
09837	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Feb 2007	0.6200	6.69 per unit	2007/02/05	100,000,000	2006/08/03
09838	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Mar 2007	0.2500	16.9900	2007/03/19	140,000,000	2006/08/03
04833 #	UBS AG	China Mobile European Put Warrants Dec 2006	0.1580	43.8800	2006/12/11	200,000,000	2006/08/03
09869	ABN AMRO Bank N.V.	BankComm European Warrants Mar 2007 A	0.4400	5.088 per unit	2007/03/05	100,000,000	2006/08/04
09906	ABN AMRO Bank N.V.	CC Bank European Warrants Mar 2007	0.2500	3.788 per unit	2007/03/26	300,000,000	2006/08/04
09910	ABN AMRO Bank N.V.	PetroChina European Warrants Feb 2007 B	0.6200	9.4 per unit	2007/02/26	100,000,000	2006/08/04
09895	ABN AMRO Bank N.V.	HSBC European Warrants Feb 2007 C	0.3950	146.8800	2007/02/26	110,000,000	2006/08/04
09896	ABN AMRO Bank N.V.	HSBC European Warrants Feb 2007 D	0.2500	152.8800	2007/02/26	110,000,000	2006/08/04
09907	ABN AMRO Bank N.V.	China Mobile European Wts Feb 2007 C	0.2500	56.8800	2007/02/12	150,000,000	2006/08/04
09911	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Feb 2007 D	0.2700	47.8800	2007/02/12	150,000,000	2006/08/04
09864	BNP Paribas Arbit Issu B.V.	HSBC European Wts Feb 2007	0.4400	146.9900	2007/02/08	100,000,000	2006/08/04
09865	BNP Paribas Arbit Issu B.V.	MTRC European Wts May 2007	0.2500	19.8900	2007/05/14	80,000,000	2006/08/04
09868	BNP Paribas Arbit Issu B.V.	SHK Ppt European Wts Feb 2007	0.4800	84.9900	2007/02/08	100,000,000	2006/08/04
09932	Citigroup Global Mkt H Inc.	CC Bank European Wts Feb 2007	0.2500	3.58 per unit	2007/02/12	320,000,000	2006/08/04
09930	Deutsche Bank AG	China Mobile European Warrants Feb 2007 B	0.4700	52.0000	2007/02/05	100,000,000	2006/08/04
04593	Deutsche Bank AG	China Mobile European Put Wts Feb 2007 D	0.3300	47.0000	2007/02/05	100,000,000	2006/08/04
09870	Macquarie Bank Ltd.	Ch Mobile European Warrants Feb 2007 A	0.3110	55.0000	2007/02/27	200,000,000	2006/08/04
09889	Macquarie Bank Ltd.	Ch Mobile European Put Wts Feb 2007	0.2980	46.0000	2007/02/27	150,000,000	2006/08/04
09890	Macquarie Bank Ltd.	Esprit European Warrants Mar 2007	0.6820	60.0000	2007/03/29	100,000,000	2006/08/04
09931	Macquarie Bank Ltd.	Ch Merchants European Wts Feb 2007	0.4350	24.0000	2007/02/28	200,000,000	2006/08/04
04839 #	KBC Fin Products Int'l Ltd.	Ch Mob Euro Put Wts Nov 2006 D	0.2030	45.8800	2006/11/20	384,000,000	2006/08/04
04578 #	KBC Fin Products Int'l Ltd.	CCB European Wts Nov 2006 A	0.2190	3.388 per unit	2006/11/01	612,000,000	2006/08/04
03766 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct 06B	0.1580	16,800 per 4,000 units	2006/10/27	430,000,000	2006/08/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
04903	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Feb 2007	0.4300	54.9900	2007/02/12	180,000,000	2006/08/07
04905	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jun 2007 A	0.2600	13.4900	2007/06/29	200,000,000	2006/08/07
04907	BNP Paribas Arbit Issu B.V.	Ch Mobile Eur Put Wts Feb 2007	0.5400	49.9900	2007/02/12	180,000,000	2006/08/07
04904	BNP Paribas Arbit Issu B.V.	HSI European Wts Feb 2007 A	0.2600	17,400 per 4,000 units	2007/02/27	400,000,000	2006/08/07
04963	BNP Paribas Arbit Issu B.V.	HSI European Put Wts Feb 2007	0.2500	16,800 per 4,000 units	2007/02/27	400,000,000	2006/08/07
03400	CC Rabobank B.A.	Ping An European Warrants Jun 2007	0.4500	27.8800	2007/06/28	100,000,000	2006/08/07
04906	CC Rabobank B.A.	BankComm European Warrants Aug 2007 A	0.3000	5.88 per unit	2007/08/28	100,000,000	2006/08/07
04964	CC Rabobank B.A.	China Life European Warrants Jun 2007 B	0.2500	14.3800	2007/06/28	100,000,000	2006/08/07
04965	CC Rabobank B.A.	China Life European Warrants Aug 2007 A	0.2500	15.0800	2007/08/28	100,000,000	2006/08/07
04594	Deutsche Bank AG	BankComm European Warrants Feb 2007 A	0.4800	5 per unit	2007/02/07	100,000,000	2006/08/07
04595	Deutsche Bank AG	BankComm European Warrants Feb 2007 B	0.3100	5.4 per unit	2007/02/07	100,000,000	2006/08/07
04596	Deutsche Bank AG	China Life European Warrants Mar 2007 A	1.1100	14.5 per unit	2007/03/05	100,000,000	2006/08/07
04902	Deutsche Bank AG	China Life European Put Wts Mar 2007 B	1.1200	12.68 per unit	2007/03/05	100,000,000	2006/08/07
03401	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Feb 2007 B	0.3900	52.8800	2007/02/12	248,000,000	2006/08/07
03402	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Feb 2007 C	0.2600	56.8800	2007/02/12	368,000,000	2006/08/07
03403	KBC Fin Products Int'l Ltd.	HSBC European Wts Feb 2007 B	0.3600	147.0000	2007/02/07	268,000,000	2006/08/07
03404	KBC Fin Products Int'l Ltd.	HSBC European Wts Feb 2007 C	0.2700	152.0000	2007/02/14	368,000,000	2006/08/07
03405	KBC Fin Products Int'l Ltd.	Ch Mob Euro Put Wts Feb 2007 E	0.3300	48.0000	2007/02/07	288,000,000	2006/08/07
03408	Deutsche Bank AG	Hutchison European Warrants Mar 2007	0.3500	77.0000	2007/03/29	100,000,000	2006/08/08
03409	Deutsche Bank AG	PetroChina European Warrants Feb 2007	0.9300	8.88 per unit	2007/02/27	100,000,000	2006/08/08
03410	Goldman Sachs SP (Asia)	China Mobile Euro Wts Feb 2007 A	0.4300	53.0000	2007/02/12	250,000,000	2006/08/08
03414	Goldman Sachs SP (Asia)	China Mobile Euro Wts Feb 2007 B	0.3500	56.0000	2007/02/26	250,000,000	2006/08/08
03415	Goldman Sachs SP (Asia)	Ch Mobile Euro Put Wts Feb 2007 D	0.4300	49.0000	2007/02/12	250,000,000	2006/08/08
03417	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2007 E	0.2500	17,800 per 4,300 units	2007/02/27	400,000,000	2006/08/08
03418	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Apr07A	1.4300	12.9800	2007/04/02	70,000,000	2006/08/08
03419	SGA Societe Generale Acceptance N.V.	C Mob Eur Wt Feb 2007	0.4400	53.8800	2007/02/12	227,000,000	2006/08/08
03420	SGA Societe Generale Acceptance N.V.	HKEx Eur Wts Feb 2007	0.6600	53.8800	2007/02/12	152,000,000	2006/08/08
03425	SGA Societe Generale Acceptance N.V.	PetCh Eu Put Wt Feb07	1.2400	8.8800	2007/02/12	81,000,000	2006/08/08
03426	SGA Societe Generale Acceptance N.V.	C Mob Eu Put Wt Feb07	0.5300	49.8800	2007/02/12	189,000,000	2006/08/08
03433	ABN AMRO Bank N.V.	HSBC European Warrants May 2007 A	0.5000	141.8000	2007/05/10	100,000,000	2006/08/09
03432	ABN AMRO Bank N.V.	Oil Futures European Warrants Feb 2007	0.3450	USD 82 per 200 units	2007/02/21	40,000,000	2006/08/09
03436	ABN AMRO Bank N.V.	Oil Futures European Put Wts Feb 2007	0.2500	USD 70 per 180 units	2007/02/21	40,000,000	2006/08/09
03427	Credit Suisse	Cheung Kong European Warrants Apr 2007	0.7640	83.8800	2007/04/03	100,000,000	2006/08/09
03428	Credit Suisse	China Mobile European Warrants May 2007 B	0.6300	48.8800	2007/05/03	100,000,000	2006/08/09
03429	Credit Suisse	China Tel European Warrants Apr 2007	0.3060	2.48 per unit	2007/04/03	100,000,000	2006/08/09
03431	Credit Suisse	Huaneng Power European Warrants Apr 2007	0.3230	5.28 per unit	2007/04/03	100,000,000	2006/08/09
03406	Deutsche Bank AG	HSBC European Warrants Feb 2007	0.8900	138.0000	2007/02/27	100,000,000	2006/08/09
03407	Deutsche Bank AG	HSBC European Warrants Apr 2007 A	0.6400	142.0000	2007/04/10	100,000,000	2006/08/09
03439	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Mar 2007	0.2500	7200 per 3900 units	2007/03/29	400,000,000	2006/08/09
03438	KBC Fin Products Int'l Ltd.	SHK P European Put Wt Feb 2007	0.4400	82.0000	2007/02/14	208,000,000	2006/08/09
03437	KBC Fin Products Int'l Ltd.	C Mob European Wts Feb 2007 F	0.2700	55.8800	2007/02/12	368,000,000	2006/08/09
03435	KBC Fin Products Int'l Ltd.	HSI European Wts Feb 2007 A	0.2500	17600 per 4800 units	2007/02/27	400,000,000	2006/08/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03434	KBC Fin Products Int'l Ltd.	HSCEI European Wts Mar 2007	0.2500	7200 per 2900 units	2007/03/29	400,000,000	2006/08/09
04556 #	Deutsche Bank AG	CC Bank European Warrants Oct 2006 A	0.2600	3.28 per unit	2006/10/03	200,000,000	2006/08/09
04649 #	Deutsche Bank AG	CC Bank European Warrants Nov 2006 A	0.1800	3.48 per unit	2006/11/21	200,000,000	2006/08/09
03440	Calyon Fin P (G) Ltd.	CC Bank European Warrants Mar 2007 A	0.2760	3.658 per unit	2007/03/19	300,000,000	2006/08/10
03441	Calyon Fin P (G) Ltd.	HSBC European Warrants Apr 2007 A	0.6600	142.6800	2007/04/17	300,000,000	2006/08/10
03442	Calyon Fin P (G) Ltd.	Hutchison European Warrants Feb 2007	0.4500	75.8800	2007/02/28	300,000,000	2006/08/10
03443	SGA Societe Generale Acceptance N.V.	HSI Eur Wts Feb 2007D	0.3000	17400 per 4000 units	2007/02/27	333,000,000	2006/08/10
03447	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Feb 07A	0.2500	16600 per 3800 units	2007/02/27	400,000,000	2006/08/10
03452	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Feb 07B	0.2850	17000 per 4000 units	2007/02/27	357,000,000	2006/08/10
03444	KBC Fin Products Int'l Ltd.	C Life European Wts Feb 2007 B	1.3300	12.8800	2007/02/12	148,000,000	2006/08/10
03445	KBC Fin Products Int'l Ltd.	C Mob European Wts Feb 2007 G	0.5600	48.4800	2007/02/15	168,000,000	2006/08/10
09427 #	Deutsche Bank AG	BOCL European Warrants Jan 2007	0.1840	3.55 per unit	2007/01/29	200,000,000	2006/08/10
04722 #	ABN AMRO Bank N.V.	Hutchison European Warrants Oct 2006 A	0.2390	71.8800	2006/10/04	100,000,000	2006/08/11
09446 #	MSDW Asia Sec Products LLC	BOCL European Warrants Jan 2007	0.1840	3.44 per unit	2007/01/12	500,000,000	2006/08/11
03446	BOCI Asia Ltd.	CC Bank European Warrants May 2007	0.4000	3.47 per unit	2007/05/18	100,000,000	2006/08/14
03453	BOCI Asia Ltd.	HSBC European Put Warrants Mar 2007	0.7500	140.4000	2007/03/02	300,000,000	2006/08/14
03454	BOCI Asia Ltd.	PetroChina European Warrants Mar 2007	1.0000	8.70 per unit	2007/03/09	100,000,000	2006/08/14
03455	Citigroup Global Mkt H Inc.	Huaneng Power Euro Wt Feb 2007	0.3950	5.08 per unit	2007/02/14	130,000,000	2006/08/14
03515 #	Citigroup Global Mkt H Inc.	CC Bank European Wts Sep 2006	0.0410	3.828 per unit	2006/09/29	250,000,000	2006/08/14
09678 #	Credit Suisse	SMIC European Warrants Mar 2007	0.0200	1.28 per unit	2007/03/08	980,000,000	2006/08/14
03457	Citigroup Global Mkt H Inc.	HK Gas European Wts Mar 2007	0.9500	18.2 per unit	2007/03/19	80,000,000	2006/08/15
03464	J P Morgan Int'l Der. Ltd.	CHALCO European Wts Feb 2007	0.5000	6.00 per unit	2007/02/16	200,000,000	2006/08/15
03465	J P Morgan Int'l Der. Ltd.	Ch Ship Cont Euro Wts Feb 2007	0.2500	2.18 per unit	2007/02/16	400,000,000	2006/08/15
03467	J P Morgan Int'l Der. Ltd.	HKEx European Warrants Feb 2007	0.3000	56.8800	2007/02/16	333,000,000	2006/08/15
03466	J P Morgan Int'l Der. Ltd.	HK Gas European Wts Feb 2007	0.5000	18.5 per unit	2007/02/16	200,000,000	2006/08/15
03468	J P Morgan Int'l Der. Ltd.	MTRC European Warrants Feb 2007	0.8000	21.5 per unit	2007/02/16	125,000,000	2006/08/15
03458	SGA Societe Generale Acceptance N.V.	BOCom Eu Wts Feb 2007	0.3900	5.29 per unit	2007/02/15	256,000,000	2006/08/15
03459	SGA Societe Generale Acceptance N.V.	CCB Euro Wts Feb 2007	0.3000	3.59 per unit	2007/02/15	333,000,000	2006/08/15
03462	SGA Societe Generale Acceptance N.V.	SHK P Euro Wts Feb 07	0.5900	84.8800	2007/02/15	170,000,000	2006/08/15
03463	SGA Societe Generale Acceptance N.V.	CCB Eu Put Wts Feb 07	0.2600	3.47 per unit	2007/02/15	385,000,000	2006/08/15
03460	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Feb07	1.3200	12.78 per unit	2007/02/15	76,000,000	2006/08/15
03461	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wts Feb07C	0.3300	17,400 per 4,000 units	2007/02/27	303,000,000	2006/08/15
03851 #	Deutsche Bank AG	HSI European Put Warrants Nov 2006	0.1240	16,800 per 4,000 units	2006/11/29	400,000,000	2006/08/15
03489	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Mar 2007	0.4850	74.3000	2007/03/29	170,000,000	2006/08/16
03469	Deutsche Bank AG	HSI European Warrants Feb 2007 A	0.2500	17,200 per 4,000 units	2007/02/27	200,000,000	2006/08/16
03470	Deutsche Bank AG	HSI European Warrants Feb 2007 B	0.2500	17,600 per 4,000 units	2007/02/27	200,000,000	2006/08/16
03473	Deutsche Bank AG	HSI European Put Warrants Feb 2007	0.2500	17,000 per 4,000 units	2007/02/27	200,000,000	2006/08/16
03490	Deutsche Bank AG	China Mobile European Warrants Feb 2007 E	0.3600	56.0000	2007/02/27	100,000,000	2006/08/16
03471	Macquarie Bank Ltd.	Cheung Kong European Warrants Feb 2007	0.5060	87.5000	2007/02/27	150,000,000	2006/08/16
03472	Macquarie Bank Ltd.	Hutchison European Warrants Feb 2007	0.3880	75.0000	2007/02/27	200,000,000	2006/08/16
03477	Macquarie Bank Ltd.	Swire Pac A European Warrants Feb 2007	0.4740	85.0000	2007/02/27	100,000,000	2006/08/16
03480	SGA Societe Generale Acceptance N.V.	CLife Eu Wts Mar 07 A	1.2800	14.48 per unit	2007/03/26	78,000,000	2006/08/16
03479	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Feb 2007 A	0.3500	76.9800	2007/02/16	286,000,000	2006/08/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03478	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Feb 2007	0.5700	145.0800	2007/02/16	175,000,000	2006/08/16
03481	SGA Societe Generale Acceptance N.V.	SHKP Eu Put Wt Feb 07	0.5600	81.7800	2007/02/16	179,000,000	2006/08/16
03487	SGA Societe Generale Acceptance N.V.	HWL Eu Put Wt Feb 07	0.3900	71.6800	2007/02/16	256,000,000	2006/08/16
03486	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Feb 07	0.5700	139.0800	2007/02/16	175,000,000	2006/08/16
04657 #	Deutsche Bank AG	BankComm European Warrants Oct 2006	0.3950	4.80 per unit	2006/10/10	100,000,000	2006/08/16
04686 #	Fortis Bank S.A./N.V.	Hutchison European Warrants Nov 2006	0.0880	81.0000	2006/11/13	113,800,000	2006/08/16
03645	Citigroup Global Mkt H Inc.	SHK Ppt European Wts Feb 2007	0.5900	83.8000	2007/02/27	120,000,000	2006/08/17
03491	Fortis Bank S.A./N.V.	CHALCO European Warrants Feb 2007	0.5100	6.28 per unit	2007/02/27	33,000,000	2006/08/17
03492	Fortis Bank S.A./N.V.	China Life European Wts Jun 2007	0.2600	13.0800	2007/06/28	300,000,000	2006/08/17
03493	Fortis Bank S.A./N.V.	SHK Ppt European Warrants Feb 2007	0.4500	88.8800	2007/02/27	39,000,000	2006/08/17
02403	KBC Fin Products Int'l Ltd.	SHK Ppt European Wts Feb 2007	0.5500	83.8800	2007/02/21	168,000,000	2006/08/17
03646	KBC Fin Products Int'l Ltd.	Cheung Kong Euro Wts Feb 2007	0.7400	82.8800	2007/02/21	128,000,000	2006/08/17
02402	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Feb 2007 C	0.3400	77.0000	2007/02/21	288,000,000	2006/08/17
02400	KBC Fin Products Int'l Ltd.	CC Bank European Wts Mar 2007A	0.5400	3.088 per unit	2007/03/01	180,000,000	2006/08/17
02401	KBC Fin Products Int'l Ltd.	CC Bank European Wts Mar 2007B	0.3400	3.388 per unit	2007/03/15	288,000,000	2006/08/17
03496	Macquarie Bank Ltd.	China Mobile European Wts Feb 2007 B	0.2570	60.0000	2007/02/27	200,000,000	2006/08/17
03498	Macquarie Bank Ltd.	Hang Seng Bank European Wts Mar 2007	0.2610	105.0000	2007/03/29	100,000,000	2006/08/17
03500	Macquarie Bank Ltd.	PetroChina European Warrants Feb 2007	0.5690	9.5 per unit	2007/02/27	150,000,000	2006/08/17
03644	Macquarie Bank Ltd.	Ping An European Warrants Feb 2007	0.3190	28.0000	2007/02/27	150,000,000	2006/08/17
03494	SGA Societe Generale Acceptance N.V.	CTel Eur Wts Mar 2007	0.2600	2.96 per unit	2007/03/05	385,000,000	2006/08/17
03499	SGA Societe Generale Acceptance N.V.	CTel Eu Put Wt Mar 07	0.2700	2.73 per unit	2007/03/05	370,000,000	2006/08/17
03495	SGA Societe Generale Acceptance N.V.	HSI Eur Wts Feb 2007E	0.2700	17,800 per 4,000 units	2007/02/27	370,000,000	2006/08/17
03890 #	KBC Fin Products Int'l Ltd.	Ch Life Euro Wts Nov 2006 B	0.8800	13.688 per unit	2006/11/16	110,000,000	2006/08/17
03862 #	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Nov 2006 C	0.1720	3.56 per unit	2006/11/20	455,000,000	2006/08/17
02412	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Mar 2007 A	0.7650	9.38 per unit	2007/03/29	110,000,000	2006/08/18
02413	Deutsche Bank AG	CHALCO European Warrants Feb 2007	0.5400	6.35 per unit	2007/02/27	40,000,000	2006/08/18
02414	Deutsche Bank AG	China Life European Warrants Aug 2007	0.2500	14.1400	2007/08/07	200,000,000	2006/08/18
02416	Deutsche Bank AG	MTR Corp European Warrants Aug 2007	0.2500	20.9600	2007/08/07	200,000,000	2006/08/18
02415	Deutsche Bank AG	HKEx European Warrants Feb 2007	0.5000	57.0900	2007/02/27	200,000,000	2006/08/18
02405	Macquarie Bank Ltd.	China Unicom European Wts Feb 2007	0.4090	8 per unit	2007/02/27	100,000,000	2006/08/18
02404	Macquarie Bank Ltd.	CNOOC European Warrants Feb 2007	0.4020	7.5 per unit	2007/02/27	100,000,000	2006/08/18
02408	Macquarie Bank Ltd.	CNOOC European Put Warrants Feb 2007	0.3140	6.5 per unit	2007/02/27	100,000,000	2006/08/18
02406	Macquarie Bank Ltd.	Sh Petrochem European Wts Feb 2007	0.4120	4.5 per unit	2007/02/27	100,000,000	2006/08/18
02407	Macquarie Bank Ltd.	HSI European Warrants Feb 2007 A	0.2670	17,800 per 4,500 units	2007/02/27	388,000,000	2006/08/18
02409	Macquarie Bank Ltd.	HSI European Warrants Feb 2007 B	0.2520	18,200 per 4,150 units	2007/02/27	388,000,000	2006/08/18
02410	Macquarie Bank Ltd.	HSI European Put Warrants Feb 2007 C	0.3470	17,800 per 4,500 units	2007/02/27	388,000,000	2006/08/18
02411	Macquarie Bank Ltd.	HSI European Put Warrants Feb 2007 D	0.3990	18,200 per 4,500 units	2007/02/27	388,000,000	2006/08/18
02417	BNP Paribas Arbit Issu B.V.	HSI European Wts Feb 2007 B	0.2500	17,800 per 4,000 units	2007/02/27	400,000,000	2006/08/21
02418	Citigroup Global Mkt H Inc.	HKEx European Wts Mar 2007	0.5000	56.0000	2007/03/19	120,000,000	2006/08/21
02419	UBS AG	HKEx European Warrants Feb 2007	0.3200	59.8800	2007/02/27	100,000,000	2006/08/21
09427 #	Deutsche Bank AG	BOCL European Warrants Jan 2007	0.1670	3.55 per unit	2007/01/29	300,000,000	2006/08/21
02421	Calyon Fin P (G) Ltd.	China Life European Wts Jun 2007 B	0.2500	14.3800	2007/06/11	300,000,000	2006/08/22
02424	Calyon Fin P (G) Ltd.	China Mobile Euro Put Wts Feb 2007	0.4200	49.2800	2007/02/23	300,000,000	2006/08/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
02420	Calyon Fin P (G) Ltd.	China Mobile European Wts Feb 2007	0.4900	53.2800	2007/02/23	300,000,000	2006/08/22
02422	Calyon Fin P (G) Ltd.	PetroChina European Wts Feb 2007	0.7500	9.48 per unit	2007/02/23	300,000,000	2006/08/22
02434	Citigroup Global Mkt H Inc.	Foxconn European Wts Mar 2007	0.2950	20.8000	2007/03/29	230,000,000	2006/08/22
02432	CC Rabobank B.A.	CC Bank European Warrants Jun 2007 A	0.2800	3.688 per unit	2007/06/08	100,000,000	2006/08/22
02433	CC Rabobank B.A.	PetroChina European Warrants Apr 2007	0.7700	9.688 per unit	2007/04/18	100,000,000	2006/08/22
02435	DBS Bank Ltd.	Foxconn European Warrants Mar 2007	0.3900	20.0600	2007/03/23	500,000,000	2006/08/22
02429	Goldman Sachs SP (Asia)	China Life European Wts Apr 2007 A	0.2500	14.6000	2007/04/30	400,000,000	2006/08/22
02430	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2007 E	0.2500	18,300 per 4,000 units	2007/03/29	400,000,000	2006/08/22
02431	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2007 F	0.2500	17,200 per 4,500 units	2007/02/27	400,000,000	2006/08/22
02423	J P Morgan Int'l Der. Ltd.	BOCL European Wts Feb 2007	0.2500	3.58 per unit	2007/02/27	400,000,000	2006/08/22
02425	J P Morgan Int'l Der. Ltd.	BOC HK European Wts Feb 2007	0.3000	17.00 per unit	2007/02/27	333,000,000	2006/08/22
02428	J P Morgan Int'l Der. Ltd.	PCCW European Warrants Feb 2007	0.2500	5.50 per unit	2007/02/27	400,000,000	2006/08/22
02427	J P Morgan Int'l Der. Ltd.	China Unicom Euro Wts Feb 2007	0.3500	8.38 per unit	2007/02/27	285,000,000	2006/08/22
02426	J P Morgan Int'l Der. Ltd.	Cathay Pac Euro Wts Feb 2007	0.5000	15.18 per unit	2007/02/27	200,000,000	2006/08/22
02436	KBC Fin Products Int'l Ltd.	HSI European Wts Feb 2007 B	0.2700	15,800 per 8,000 units	2007/02/27	368,000,000	2006/08/22
02437	KBC Fin Products Int'l Ltd.	HSI European Wts Feb 2007 C	0.2700	16,200 per 7,000 units	2007/02/27	368,000,000	2006/08/22
02438	KBC Fin Products Int'l Ltd.	HSI European Wts Feb 2007 D	0.2500	17,000 per 5,800 units	2007/02/27	400,000,000	2006/08/22
02439	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Mar 2007	1.1400	8.388 per unit	2007/03/01	168,000,000	2006/08/22
02440	KBC Fin Products Int'l Ltd.	PetroCh Euro Put Wts Feb 2007	0.3100	8.00 per unit	2007/02/22	218,000,000	2006/08/22
03720 #	Macquarie Bank Ltd.	HSI European Put Warrants Oct 2006 F	0.0740	17,000 per 4,800 units	2006/10/27	1,000,000,000	2006/08/22
02445	ABN AMRO Bank N.V.	BankComm European Warrants Mar 2007 B	0.2500	5.728 per unit	2007/03/05	100,000,000	2006/08/23
02446	ABN AMRO Bank N.V.	Huaneng Power European Wts Mar 2007	0.2500	5.888 per unit	2007/03/12	100,000,000	2006/08/23
02447	ABN AMRO Bank N.V.	Ping An European Warrants Mar 2007	0.2500	29.8800	2007/03/26	100,000,000	2006/08/23
02448	Calyon Fin P (G) Ltd.	China Life European Wts Jun 2007 C	0.2500	15.1800	2007/06/01	300,000,000	2006/08/23
02442	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Feb 2007 E	0.2500	16,200 per 2,800 units	2007/02/27	400,000,000	2006/08/23
02443	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Feb 2007 F	0.2500	16,600 per 3,300 units	2007/02/27	400,000,000	2006/08/23
02444	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Feb 2007 G	0.2500	17,200 per 4,500 units	2007/02/27	400,000,000	2006/08/23
02441	KBC Fin Products Int'l Ltd.	BOC HK European Wts Dec 2007	0.2500	15.2800	2007/12/03	400,000,000	2006/08/23
03888 #	KBC Fin Products Int'l Ltd.	CCB European Warrants Dec 2006	0.0930	3.788 per unit	2006/12/01	632,000,000	2006/08/23
02458	BOCI Asia Ltd.	Foxconn European Warrants Apr 2007	0.4300	19.8500	2007/04/20	300,000,000	2006/08/24
02457	BOCI Asia Ltd.	China Telecom European Warrants Apr 2007	0.2600	2.85 per unit	2007/04/27	80,000,000	2006/08/24
02460	Calyon Fin P (G) Ltd.	China Life Euro Put Wts Aug 2007	0.2500	13.2800	2007/08/06	300,000,000	2006/08/24
02450	Deutsche Bank AG	HSI European Warrants Feb 2007 C	0.2500	18,000 per 4,000 units	2007/02/27	200,000,000	2006/08/24
02461	Goldman Sachs SP (Asia)	China Mobile Euro Wts Feb 2007 C	0.3000	59.0000	2007/02/26	300,000,000	2006/08/24
02465	Goldman Sachs SP (Asia)	Ch Mobile Euro Put Wts Feb 2007 E	0.5100	52.0000	2007/02/26	200,000,000	2006/08/24
02459	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2007 F	0.2500	18,800 per 3,800 units	2007/03/29	400,000,000	2006/08/24
02449	Macquarie Bank Ltd.	Foxconn European Warrants Feb 2007	0.2630	22.0000	2007/02/27	88,000,000	2006/08/24
02455	SGA Societe Generale Acceptance N.V.	BOCL Eu Put Wt Mar 07	0.2600	3.49 per unit	2007/03/05	385,000,000	2006/08/24
02456	SGA Societe Generale Acceptance N.V.	BOCL Eu Put Wt Apr 07	0.3300	3.59 per unit	2007/04/30	303,000,000	2006/08/24
02452	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Mar 2007 B	0.2500	3.62 per unit	2007/03/05	400,000,000	2006/08/24
02451	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Mar 2007 A	0.3300	3.46 per unit	2007/03/05	303,000,000	2006/08/24
02453	SGA Societe Generale Acceptance N.V.	CLife Eu Wts Mar 07 B	1.2600	15.28 per unit	2007/03/05	80,000,000	2006/08/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
02454	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wts Mar 07A	0.4800	56.6800	2007/03/09	208,000,000	2006/08/24
03847 #	Deutsche Bank AG	HSI European Warrants Nov 2006	0.1520	17,400 per 4,000 units	2006/11/29	200,000,000	2006/08/24
02129 #	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Dec 2006	0.0880	JPY 15,000 per 250 units	2006/12/07	300,000,000	2006/08/24
02462	Calyon Fin P (G) Ltd.	Foxconn European Warrants Feb 2007	0.2550	21.2800	2007/02/26	300,000,000	2006/08/25
04655 #	Deutsche Bank AG	DJIA European Put Warrants Dec 2006	0.0740	USD 10,600 per 18,000 units	2006/12/15	150,000,000	2006/08/25
03844 #	KBC Fin Products Int'l Ltd.	HSI European Wts Nov 2006 F	0.1220	17,200 per 4,800 units	2006/11/29	600,000,000	2006/08/25
02468	Goldman Sachs SP (Asia)	N225 Index Euro Wts Mar 2007	0.2500	JPY 16,800 per 250 units	2007/03/09	240,000,000	2006/08/28
02472	Goldman Sachs SP (Asia)	N225 Index Euro Put Wts Mar 2007	0.2900	JPY 16,000 per 300 units	2007/03/09	210,000,000	2006/08/28
02467	KBC Fin Products Int'l Ltd.	Foxconn European Wts Mar 2007A	0.3400	18.6800	2007/03/02	368,000,000	2006/08/28
02466	KBC Fin Products Int'l Ltd.	BOCL European Wts Mar 2007 B	0.2700	3.388 per unit	2007/03/01	368,000,000	2006/08/28
02463	Macquarie Bank Ltd.	China Life European Wts Feb 2007 B	1.1920	14.50 per unit	2007/02/28	88,000,000	2006/08/28
02464	Macquarie Bank Ltd.	China Life European Wts Feb 2007 C	0.6940	16.00 per unit	2007/02/28	168,000,000	2006/08/28
02477	Citigroup Global Mkt H Inc.	China Life Euro Wts Apr 2007	1.7000	14.8 per unit	2007/04/27	50,000,000	2006/08/29
02469	MSDW Asia Sec Products LLC	BOCL European Wts Apr 2007	0.4100	3.2 per unit	2007/04/10	240,000,000	2006/08/29
02471	UBS AG	China Mobile European Warrants Mar 2007	0.2700	56.8800	2007/03/12	100,000,000	2006/08/29
02473	UBS AG	China Tel European Warrants May 2007	0.2500	2.88 per unit	2007/05/14	100,000,000	2006/08/29
02474	UBS AG	Foxconn European Warrants Apr 2007	0.2600	21.8800	2007/04/10	100,000,000	2006/08/29
02475	UBS AG	Ping An European Warrants Mar 2007	0.2600	26.8800	2007/03/12	100,000,000	2006/08/29
02476	UBS AG	China Mobile European Put Warrants Mar 2007	0.3200	46.8800	2007/03/12	100,000,000	2006/08/29
02470	UBS AG	China Life European Put Warrants Mar 2007	0.5600	12.08 per unit	2007/03/12	100,000,000	2006/08/29
02480	Citigroup Global Mkt H Inc.	HSBC European Wts Apr 2007 A	0.6920	140.0000	2007/04/27	120,000,000	2006/08/30
02478	Credit Suisse	SMIC European Warrants Nov 2007	0.2560	0.838 per unit	2007/11/28	500,000,000	2006/08/30
02481	KBC Fin Products Int'l Ltd.	HSBC European Put Wts Mar 2007	0.2700	132.8800	2007/03/01	368,000,000	2006/08/30
02479	Macquarie Bank Ltd.	PetroChina European Warrants Apr 2007	0.4890	10.00 per unit	2007/04/27	150,000,000	2006/08/30
03875 #	ABN AMRO Bank N.V.	PetroChina European Warrants Nov 2006 C	0.0830	8.2800	2006/11/20	150,000,000	2006/08/30
02482	Deutsche Bank AG	DJIA Index European Warrants Jun 2007	0.3100	11,800 per 20,000 units	2007/06/18	50,000,000	2006/08/31
02486	Deutsche Bank AG	DJIA Index European Put Warrants Jun 2007	0.2600	11,000 per 20,000 units	2007/06/18	50,000,000	2006/08/31
02484	ABN AMRO Bank N.V.	China Life European Put Wts Mar 2007	0.3350	12.88 per unit	2007/03/19	100,000,000	2006/09/01
02485	ABN AMRO Bank N.V.	SHK Ppt European Put Warrants Mar 2007	0.3550	78.8800	2007/03/19	100,000,000	2006/09/01
02483	J P Morgan Int'l Der. Ltd.	N225 Index Euro Wts Mar 2007 E	0.3200	JPY 16,508 per 150 units	2007/03/08	330,000,000	2006/09/01
02487	J P Morgan Int'l Der. Ltd.	N225 Idx Eur Put Wts Mar 2007 F	0.3400	JPY 15,508 per 150 units	2007/03/08	300,000,000	2006/09/01
02488	Macquarie Bank Ltd.	BOCL European Warrants Mar 2007 A	0.4010	3.2 per unit	2007/03/29	180,000,000	2006/09/01
02489	Macquarie Bank Ltd.	BOCL European Warrants Mar 2007 B	0.3010	3.4 per unit	2007/03/29	200,000,000	2006/09/01
02492	Macquarie Bank Ltd.	BOCL European Put Warrants Jul 2007 A	0.2550	3.3 per unit	2007/07/30	200,000,000	2006/09/01
02490	Macquarie Bank Ltd.	HSI European Put Warrants Mar 2007 A	0.2550	18,000 per 7,500 units	2007/03/29	388,000,000	2006/09/01
04567 #	Deutsche Bank AG	China Life European Put Warrants Nov 2006	0.1200	10.88 per unit	2006/11/27	200,000,000	2006/09/01
02164 #	UBS AG	CHALCO European Warrants Dec 2006	0.3300	5.88 per unit	2006/12/11	200,000,000	2006/09/01
02491	Deutsche Bank AG	CG Bank European Warrants Mar 2007 B	0.2900	3.45 per unit	2007/03/29	100,000,000	2006/09/04
02493	SGA Societe Generale Acceptance N.V.	C MOB Eur Wt Mar 07 B	0.4350	52.5800	2007/03/09	230,000,000	2006/09/04
02495	SGA Societe Generale Acceptance N.V.	Petch Eur Wt Mar 07 A	0.6600	9.48 per unit	2007/03/09	152,000,000	2006/09/04
02497	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Mar 07	0.3900	47.3800	2007/03/09	256,000,000	2006/09/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
02494	SGA Societe Generale Acceptance N.V.	HSI Euro Wts Mar 07 A	0.3000	17,000 per 4,000 units	2007/03/29	333,000,000	2006/09/04
01232	BOCI Asia Ltd.	China Mobile European Warrants Jun 2007	0.4700	52.9000	2007/06/01	300,000,000	2006/09/05
01233	BOCI Asia Ltd.	Sinopec Corp European Warrants Apr 2007	0.4000	4.73 per unit	2007/04/20	100,000,000	2006/09/05
02498	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2007 C	0.2600	3.39 per unit	2007/03/08	200,000,000	2006/09/05
02499	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Mar 2007	0.9500	14.19 per unit	2007/03/12	100,000,000	2006/09/05
02496	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Mar 2007	0.2500	7,200 per 2,500 units	2007/03/29	200,000,000	2006/09/05
01230	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Mar 2007	0.2600	6,600 per 2,100 units	2007/03/29	200,000,000	2006/09/05
01234	Calyon Fin P (G) Ltd.	China Life European Wts Apr 2007 B	0.2500	13.7800	2007/04/23	300,000,000	2006/09/05
01236	Calyon Fin P (G) Ltd.	PetroChina European Wts Mar 2007 A	0.8300	8.98 per unit	2007/03/19	200,000,000	2006/09/05
01231	Deutsche Bank AG	Nikkei 225 Index European Wts Jun 2007 A	0.3400	15,800 per 250 units	2007/06/08	100,000,000	2006/09/05
01235	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Jun 2007 B	0.2700	15,000 per 250 units	2007/06/08	100,000,000	2006/09/05
03891 #	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2006 A	0.0860	17,600 per 4,000 units	2006/11/29	415,000,000	2006/09/05
01239	BNP Paribas Arbit Issu B.V.	Foxconn European Wts Mar 2007	0.3000	21.9900	2007/03/12	80,000,000	2006/09/06
01240	BNP Paribas Arbit Issu B.V.	HSI Euro Put Warrants Mar 2007	0.2600	17,200 per 4,500 units	2007/03/29	400,000,000	2006/09/06
01248	Calyon Fin P (G) Ltd.	CC Bank European Warrants Mar 2007 B	0.2900	3.428 per unit	2007/03/12	300,000,000	2006/09/06
01252	Goldman Sachs SP (Asia)	Foxconn European Wts Mar 2007 A	0.3800	20.8000	2007/03/12	300,000,000	2006/09/06
01253	Goldman Sachs SP (Asia)	Foxconn European Wts Mar 2007 B	0.3000	22.8000	2007/03/12	300,000,000	2006/09/06
01250	Goldman Sachs SP (Asia)	CC Bank European Wts Mar 2007	0.2500	3.68 per unit	2007/03/12	300,000,000	2006/09/06
01251	Goldman Sachs SP (Asia)	CC Bank European Wts May 2007 A	0.4400	3.38 per unit	2007/05/28	300,000,000	2006/09/06
01254	Goldman Sachs SP (Asia)	CC Bank European Put Wts Mar 2007	0.3500	3.5 per unit	2007/03/12	300,000,000	2006/09/06
01249	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Mar 2007	0.2900	68.0000	2007/03/12	288,000,000	2006/09/06
01242	KBC Fin Products Int'l Ltd.	N225 Idx Euro Put Wts Mar 07 D	0.2500	16,200 per 370 units	2007/03/09	400,000,000	2006/09/06
01241	KBC Fin Products Int'l Ltd.	N225 Idx Euro Put Wts Mar 07 C	0.2500	15,200 per 220 units	2007/03/09	400,000,000	2006/09/06
01237	KBC Fin Products Int'l Ltd.	N225 Index Euro Wts Mar 2007 A	0.2900	14,800 per 400 units	2007/03/09	338,000,000	2006/09/06
01238	KBC Fin Products Int'l Ltd.	N225 Index Euro Wts Mar 2007 B	0.2500	15,800 per 320 units	2007/03/09	400,000,000	2006/09/06
01243	UBS AG	CHALCO European Warrants Sep 2007	0.8300	6.88 per unit	2007/09/10	100,000,000	2006/09/06
01244	UBS AG	CC Bank European Warrants May 2007	0.2500	3.48 per unit	2007/05/22	100,000,000	2006/09/06
01245	UBS AG	Esprit European Warrants Sep 2007	0.7100	68.8800	2007/09/10	100,000,000	2006/09/06
01246	UBS AG	HSBC European Put Warrants Apr 2007	0.5500	138.8800	2007/04/16	100,000,000	2006/09/06
01247	UBS AG	Jiangxi Copper European Warrants Dec 2007	0.2500	7.3800	2007/12/10	100,000,000	2006/09/06
03866 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 C	0.1380	18,000 per 6,800 units	2006/11/29	682,000,000	2006/09/06
01255	J P Morgan Int'l Der. Ltd.	BankComm European Wts Mar 2007	0.2500	5.38 per unit	2007/03/13	400,000,000	2006/09/07
01256	J P Morgan Int'l Der. Ltd.	BEA European Warrants May 2007	0.2500	35.8800	2007/05/30	400,000,000	2006/09/07
01257	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Mar 2007	0.2500	3.58 per unit	2007/03/29	400,000,000	2006/09/07
01259	J P Morgan Int'l Der. Ltd.	Shenhua European Wts Mar 2007	1.1500	15.00 per unit	2007/03/13	87,000,000	2006/09/07
01260	J P Morgan Int'l Der. Ltd.	Foxconn European Wts Mar 2007	0.2500	22.3800	2007/03/13	400,000,000	2006/09/07
01258	J P Morgan Int'l Der. Ltd.	China Life Euro Wts Mar 2007 A	0.8800	15.00 per unit	2007/03/13	113,000,000	2006/09/07
01262	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Mar 2007 C	0.3550	3.32 per unit	2007/03/26	278,000,000	2006/09/07
01263	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wts Mar 07 B	0.9000	17.48 per unit	2007/03/19	111,000,000	2006/09/07
01261	SGA Societe Generale Acceptance N.V.	CCB Euro Wts Mar 07 A	0.2900	3.47 per unit	2007/03/26	345,000,000	2006/09/07
01265	SGA Societe Generale Acceptance N.V.	CCB Eur Put Wt Mar 07	0.2500	3.37 per unit	2007/03/26	400,000,000	2006/09/07
01264	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Mar07	1.3700	13.78 per unit	2007/03/12	73,000,000	2006/09/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09619 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jan 2007 A	0.1040	16,800 per 4,600 units	2007/01/30	600,000,000	2006/09/07
01275	BNP Paribas Arbit Issu B.V.	BOCHK European Wts Mar 2007	0.3800	18.39 per unit	2007/03/12	50,000,000	2006/09/08
01276	BNP Paribas Arbit Issu B.V.	HKEx European Wts Apr 2007	0.5300	58.2800	2007/04/30	100,000,000	2006/09/08
01277	BNP Paribas Arbit Issu B.V.	HSBC European Wts Apr 2007	0.4200	149.9900	2007/04/13	100,000,000	2006/09/08
01279	Calyon Fin P (G) Ltd.	CHALCO European Warrants Jul 2007	0.3900	6.66 per unit	2007/07/23	100,000,000	2006/09/08
01278	Calyon Fin P (G) Ltd.	CC Bank European Put Wts Mar 2007	0.2500	3.268 per unit	2007/03/26	200,000,000	2006/09/08
01269	Macquarie Bank Ltd.	BOCHK European Warrants May 2007	0.6270	18.00 per unit	2007/05/30	88,000,000	2006/09/08
01272	Macquarie Bank Ltd.	CHALCO European Warrants May 2007	0.3740	6.50 per unit	2007/05/30	88,000,000	2006/09/08
01273	Macquarie Bank Ltd.	Jiangxi Copper European Wts May 2007	1.0510	8.38 per unit	2007/05/30	88,000,000	2006/09/08
01274	Macquarie Bank Ltd.	Wharf European Warrants Jun 2007	0.2590	28.0000	2007/06/28	88,000,000	2006/09/08
01268	SGA Societe Generale Acceptance N.V.	N225 Euro Wts Mar 07C	0.2500	17,000 per 3,300 units	2007/03/08	400,000,000	2006/09/08
01267	SGA Societe Generale Acceptance N.V.	N225 Euro Wts Mar 07B	0.3000	16,500 per 3,300 units	2007/03/08	333,000,000	2006/09/08
01266	SGA Societe Generale Acceptance N.V.	N225 Euro Wts Mar 07A	0.3600	16,000 per 3,300 units	2007/03/08	278,000,000	2006/09/08
01271	SGA Societe Generale Acceptance N.V.	N225 Eu Put Wt Mar07E	0.3900	16,500 per 3,300 units	2007/03/08	256,000,000	2006/09/08
01270	SGA Societe Generale Acceptance N.V.	N225 Eu Put Wt Mar07D	0.3100	16,000 per 3,300 units	2007/03/08	323,000,000	2006/09/08
09430 #	Deutsche Bank AG	BOCL European Warrants Mar 2007	0.2470	3.35 per unit	2007/03/12	200,000,000	2006/09/08
01286	Goldman Sachs SP (Asia)	BOCL European Warrants Mar 2007	0.3400	3.33 per unit	2007/03/12	250,000,000	2006/09/11
01287	Goldman Sachs SP (Asia)	BOCL European Warrants Apr 2007 A	0.2500	3.63 per unit	2007/04/30	250,000,000	2006/09/11
01290	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Apr 2007	0.2500	13.8000	2007/04/30	150,000,000	2006/09/11
01293	Goldman Sachs SP (Asia)	PetroChina European Wts Mar 2007 A	0.8300	8.88 per unit	2007/03/12	150,000,000	2006/09/11
01294	Goldman Sachs SP (Asia)	PetroChina European Wts Mar 2007 B	0.5100	9.78 per unit	2007/03/12	150,000,000	2006/09/11
01288	Goldman Sachs SP (Asia)	Sinopec Corp Euro Wts Mar 2007 A	0.4800	5.00 per unit	2007/03/12	150,000,000	2006/09/11
01289	Goldman Sachs SP (Asia)	Sinopec Corp Euro Wts Mar 2007 B	0.3200	5.48 per unit	2007/03/12	150,000,000	2006/09/11
01291	Goldman Sachs SP (Asia)	HSCEI European Warrants Mar 2007	0.2500	7,000 per 3,000 units	2007/03/29	400,000,000	2006/09/11
01292	Goldman Sachs SP (Asia)	HSCEI European Put Wts Apr 2007	0.2600	7,200 per 3,000 units	2007/04/27	400,000,000	2006/09/11
01284	HK Bank	China Life European Warrants Aug 2007	0.2500	14.0000	2007/08/31	100,000,000	2006/09/11
01285	HK Bank	China Life European Put Warrants Mar 2007	0.5000	12.25 per unit	2007/03/30	200,000,000	2006/09/11
01281	SGA Societe Generale Acceptance N.V.	CK(H) Euro Wts Mar 07	0.4150	91.8800	2007/03/12	238,000,000	2006/09/11
01283	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Mar 2007	0.3450	74.8800	2007/03/19	294,000,000	2006/09/11
01280	SGA Societe Generale Acceptance N.V.	CHALCO Eur Wt Apr 07B	0.7100	6.05 per unit	2007/04/02	141,000,000	2006/09/11
01282	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 07C	0.3300	17,800 per 4,000 units	2007/03/29	303,000,000	2006/09/11
01301	HK Bank	HKEx European Warrants Mar 2007	0.3800	58.0000	2007/03/30	88,000,000	2006/09/12
01295	Macquarie Bank Ltd.	BankComm European Put Wts Mar 2007	0.2630	4.8 per unit	2007/03/29	100,000,000	2006/09/12
01304	Macquarie Bank Ltd.	China Mobile Euro Put Wts Apr 2007 A	0.3340	50.0000	2007/04/30	168,000,000	2006/09/12
01302	Macquarie Bank Ltd.	Foxconn European Warrants Mar 2007	0.3410	24.0000	2007/03/30	88,000,000	2006/09/12
01306	Macquarie Bank Ltd.	Foxconn European Put Warrants Mar 2007	0.2500	22.0000	2007/03/30	68,000,000	2006/09/12
01307	Macquarie Bank Ltd.	SHK Ppt European Put Warrants Apr 2007	0.3490	80.0000	2007/04/30	88,000,000	2006/09/12
01303	Macquarie Bank Ltd.	SHK Ppt European Warrants Apr 2007	0.3580	90.0000	2007/04/30	150,000,000	2006/09/12
01300	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Apr 2008	0.9000	146.8800	2008/04/07	111,000,000	2006/09/12
01297	SGA Societe Generale Acceptance N.V.	CP&CC Euro Wts Mar 07	0.4000	5.28 per unit	2007/03/12	250,000,000	2006/09/12
01298	SGA Societe Generale Acceptance N.V.	CNOOC Euro Wts Mar 07	0.5300	7.08 per unit	2007/03/12	190,000,000	2006/09/12
01296	SGA Societe Generale Acceptance N.V.	CLife Euro Wts Apr 08	0.2500	14.8000	2008/04/07	400,000,000	2006/09/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
01299	SGA Societe Generale Acceptance N.V.	HSI Euro Wts Mar 07 B	0.2500	18,200 per 4,000 units	2007/03/29	400,000,000	2006/09/12
01310	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Apr 2007 A	0.2500	17,600 per 5,200 units	2007/04/27	400,000,000	2006/09/13
01311	CC Rabobank B.A.	Foxconn European Warrants Jun 2007	0.5400	23.8800	2007/06/08	100,000,000	2006/09/13
01313	CC Rabobank B.A.	Foxconn European Warrants Aug 2007	0.5800	24.8800	2007/08/28	100,000,000	2006/09/13
01305	Deutsche Bank AG	China Life European Warrants Mar 2007 C	1.0600	15.4 per unit	2007/03/29	100,000,000	2006/09/13
01308	Deutsche Bank AG	China Mobile European Warrants Mar 2007	0.2900	60.0000	2007/03/29	100,000,000	2006/09/13
01309	Deutsche Bank AG	China Life European Put Wts Mar 2007 D	0.8600	13.00 per unit	2007/03/29	100,000,000	2006/09/13
01312	Deutsche Bank AG	China Mobile European Put Wts Mar 2007	0.3200	50.0000	2007/03/29	100,000,000	2006/09/13
01314	KBC Fin Products Int'l Ltd.	Sinopec Euro Put Wts Mar 2007	0.2900	4.488 per unit	2007/03/13	308,000,000	2006/09/13
01323	Calyon Fin P (G) Ltd.	China Life European Wts Jun 2007 D	0.2550	14.7800	2007/06/27	300,000,000	2006/09/14
01325	Calyon Fin P (G) Ltd.	Foxconn European Warrants Mar 2007	0.3200	24.2800	2007/03/15	150,000,000	2006/09/14
01324	Calyon Fin P (G) Ltd.	Esprit European Warrants May 2007	0.5800	68.2800	2007/05/09	100,000,000	2006/09/14
01315	Deutsche Bank AG	BOCL European Put Warrants Jun 2007 C	0.2500	3.35 per unit	2007/06/28	100,000,000	2006/09/14
01316	Deutsche Bank AG	BankComm European Put Warrants Mar 2007	0.2500	4.75 per unit	2007/03/19	100,000,000	2006/09/14
01320	J P Morgan Int'l Der. Ltd.	Link REIT Euro Wts Mar 2007	0.6000	17.5 per unit	2007/03/20	166,000,000	2006/09/14
01319	J P Morgan Int'l Der. Ltd.	JX Copper European Wts Mar 2007	0.6000	9.18 per unit	2007/03/14	166,000,000	2006/07/14
01317	J P Morgan Int'l Der. Ltd.	China Life Euro Wts Mar 2007 B	0.6200	15.88 per unit	2007/03/14	160,000,000	2006/09/14
01321	J P Morgan Int'l Der. Ltd.	PICC P&C European Wts Mar 2007	0.2500	3.15 per unit	2007/03/16	400,000,000	2006/09/14
01322	J P Morgan Int'l Der. Ltd.	Sh Petrochem Euro Wts Mar 2007	0.3000	4.18 per unit	2007/03/16	333,000,000	2006/09/14
01318	J P Morgan Int'l Der. Ltd.	HS Bank European Wts Mar 2007	0.2500	100.0000	2007/03/29	400,000,000	2006/09/14
01326	KBC Fin Products Int'l Ltd.	Foxconn European Wts Mar 2007B	0.3200	23.0880	2007/03/14	300,000,000	2006/09/14
03588 #	ABN AMRO Bank N.V.	Sinopec Corp European Wts Nov 2006 A	0.1460	4.880 per unit	2006/11/02	100,000,000	2006/09/14
03850 #	Deutsche Bank AG	HSBC European Warrants Nov 2006 B	0.1920	142.0000	2006/11/13	100,000,000	2006/09/14
01332	BOCI Asia Ltd.	China Mobile European Put Warrants Apr 2007	0.5000	49.5500	2007/04/25	300,000,000	2006/09/15
01333	BOCI Asia Ltd.	CNOOC European Warrants Jun 2007	0.4800	6.94 per unit	2007/06/13	100,000,000	2006/09/15
01334	BOCI Asia Ltd.	Foxconn European Warrants May 2007	0.3500	24.4000	2007/05/07	300,000,000	2006/09/15
01335	BOCI Asia Ltd.	Kingboard European Warrants Jun 2007	0.3300	31.3500	2007/06/04	300,000,000	2006/09/15
01336	BOCI Asia Ltd.	Techtronic European Warrants Jun 2007	0.2600	10.7900	2007/06/15	500,000,000	2006/09/15
01337	Deutsche Bank AG	Sinopec Corp European Warrants Mar 2007	0.3700	4.98 per unit	2007/03/26	100,000,000	2006/09/15
01341	Deutsche Bank AG	Sinopec Corp European Put Wts Mar 2007	0.2900	4.50 per unit	2007/03/26	100,000,000	2006/09/15
01327	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Apr 2007 C	0.2600	3.48 per unit	2007/04/30	385,000,000	2006/09/15
01328	SGA Societe Generale Acceptance N.V.	CCB Euro Wts May 2007	0.2700	3.52 per unit	2007/05/21	370,000,000	2006/09/15
01329	SGA Societe Generale Acceptance N.V.	CLife Eur Wt May 2007	1.9400	13.98 per unit	2007/05/07	52,000,000	2006/09/15
01330	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Apr 07 A	0.6000	139.8800	2007/04/02	167,000,000	2006/09/15
01331	SGA Societe Generale Acceptance N.V.	Petch Eur Wt Mar 07 B	0.6800	8.88 per unit	2007/03/19	147,000,000	2006/09/15
03847 #	Deutsche Bank AG	HSI European Warrants Nov 2006	0.0800	17,400 per 4,000 units	2006/11/29	200,000,000	2006/09/15
01345	Citigroup Global Mkt H Inc.	CC Bank Euro Wts Apr 2007 A	0.4200	3.38 per unit	2007/04/23	320,000,000	2006/09/18
01346	Citigroup Global Mkt H Inc.	CC Bank Euro Wts Apr 2007 B	0.2550	3.8 per unit	2007/04/23	320,000,000	2006/09/18
01339	Fortis Bank S.A./N.V.	Bank of EA European Wts Jul 2007	0.2500	38.0800	2007/07/03	60,000,000	2006/09/18
01340	Fortis Bank S.A./N.V.	China Mobile European Wts Sep 2007	0.5200	56.7800	2007/09/28	68,000,000	2006/09/18
01342	Fortis Bank S.A./N.V.	HKEx European Warrants Apr 2007	0.4270	60.0800	2007/04/18	60,000,000	2006/09/18
01343	Fortis Bank S.A./N.V.	HKEx European Warrants Jul 2007	0.2900	68.0800	2007/07/03	48,000,000	2006/09/18
01351	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2007 G	0.2800	17,600 per 4,000 units	2007/03/29	360,000,000	2006/09/18

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
01347	Goldman Sachs SP (Asia)	BankComm European Wts Mar 2007	0.2900	5.68 per unit	2007/03/19	350,000,000	2006/09/18
01348	Goldman Sachs SP (Asia)	China Life European Wts Jun 2007 B	0.2500	15.6300	2007/06/25	400,000,000	2006/09/18
01349	Goldman Sachs SP (Asia)	China Life European Wts Aug 2007	0.2500	16.6000	2007/08/27	400,000,000	2006/09/18
01350	Goldman Sachs SP (Asia)	Foxconn European Put Wts Mar 2007	0.3700	22.5000	2007/03/19	270,000,000	2006/09/18
01352	KBC Fin Products Int'l Ltd.	BOC HK European Wts Mar 2007	0.9300	16.88 per unit	2007/03/19	208,000,000	2006/09/18
01353	KBC Fin Products Int'l Ltd.	Sinopec Corp Eu Wts Apr 2007 A	0.3800	4.89 per unit	2007/04/02	248,000,000	2006/09/18
01354	Macquarie Bank Ltd.	China Overseas European Wts Apr 2007	0.6370	6.5 per unit	2007/04/30	88,000,000	2006/09/18
01355	Macquarie Bank Ltd.	Zijin Mining European Wts Apr 2007	0.3840	4 per unit	2007/04/30	88,000,000	2006/09/18
01338	Macquarie Bank Ltd.	HSBC European Put Warrants Mar 2007	0.4120	135.0000	2007/03/30	150,000,000	2006/09/18
01344	UBS AG	Agile Ppt European Warrants May 2007	1.4000	6.88 per unit	2007/05/29	100,000,000	2006/09/18
03639 #	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 A	0.1100	JPY 17,200 per 250 units	2007/03/08	200,000,000	2006/09/18
01357	Citigroup Global Mkt H Inc.	HSBC European Wts Mar 2007	0.8200	133.8000	2007/03/29	85,000,000	2006/09/19
01358	Citigroup Global Mkt H Inc.	HSBC European Wts Apr 2007 B	0.2850	148.0000	2007/04/27	200,000,000	2006/09/19
01356	UBS AG	Bank of EA European Warrants Jun 2007	0.2800	36.8800	2007/06/25	100,000,000	2006/09/19
09604 #	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Jan 2007 D	0.1100	45.8800	2007/01/16	100,000,000	2006/09/19
01362	ABN AMRO Bank N.V.	China Mobile European Put Wts Mar 2007	0.3000	50.8800	2007/03/26	100,000,000	2006/09/20
01363	ABN AMRO Bank N.V.	Foxconn European Warrants Apr 2007	0.2500	26.8800	2007/04/20	100,000,000	2006/09/20
01364	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Mar 2007 B	1.0450	7.8 per unit	2007/03/29	100,000,000	2006/09/20
01365	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Mar 2007 C	0.7350	8.38 per unit	2007/03/29	100,000,000	2006/09/20
01366	Macquarie Bank Ltd.	Agile Ppt European Warrants Jun 2007	0.7850	6.5 per unit	2007/06/04	88,000,000	2006/09/20
01367	Macquarie Bank Ltd.	Zijin Mining European Put Wts Apr 2007	0.3150	3.5 per unit	2007/04/30	88,000,000	2006/09/20
01359	SGA Societe Generale Acceptance N.V.	BOCL Eur Wts Apr 2008	0.3850	3.55 per unit	2008/04/07	263,000,000	2006/09/20
01360	SGA Societe Generale Acceptance N.V.	CCB Euro Wts Apr 2008	0.4400	3.6 per unit	2008/04/07	227,000,000	2006/09/20
01361	SGA Societe Generale Acceptance N.V.	PetCh Eur Wts Apr 08	1.3300	8.5 per unit	2008/04/07	75,000,000	2006/09/20
01371	Calyon Fin P (G) Ltd.	Hutchison European Warrants May 2007	0.4300	72.2800	2007/05/09	300,000,000	2006/09/21
01370	Calyon Fin P (G) Ltd.	Agile Ppt European Warrants Mar 2007	0.8500	6.68 per unit	2007/03/21	100,000,000	2006/09/21
01373	Calyon Fin P (G) Ltd.	Zijin Mining European Wts Mar 2007	0.3700	4.18 per unit	2007/03/21	100,000,000	2006/09/21
01368	Citigroup Global Mkt H Inc.	China Mobile Euro Wts Apr 2007	0.6200	49.4000	2007/04/27	150,000,000	2006/09/21
01369	Citigroup Global Mkt H Inc.	BankComm European Wts Apr 2007	0.2650	5.28 per unit	2007/04/27	280,000,000	2006/09/21
01372	Citigroup Global Mkt H Inc.	Ch Mobile Eur Put Wts Apr 2007	0.5350	54.8000	2007/04/27	150,000,000	2006/09/21
09394 #	ABN AMRO Bank N.V.	CC Bank European Warrants Jan 2007	0.0420	3.588 per unit	2007/01/22	250,000,000	2006/09/21
02105	ABN AMRO Bank N.V.	China Life European Warrants Sep 2007 B	0.2500	14.2800	2007/09/27	100,000,000	2006/09/22
02107	ABN AMRO Bank N.V.	HSBC European Put Warrants Apr 2007	0.3400	132.8000	2007/04/18	100,000,000	2006/09/22
01375	Deutsche Bank AG	PetroChina European Warrants Apr 2007	0.7800	8.4 per unit	2007/04/02	100,000,000	2006/09/22
01374	Deutsche Bank AG	HSBC European Put Warrants Apr 2007	0.3400	133.0000	2007/04/02	100,000,000	2006/09/22
01376	Macquarie Bank Ltd.	Esprit European Warrants Apr 2007	0.4850	75.0000	2007/04/26	88,000,000	2006/09/22
01378	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Apr 07 B	0.4600	142.9800	2007/04/02	217,000,000	2006/09/22
01379	SGA Societe Generale Acceptance N.V.	Huaneng Eu Wts Apr07A	0.4100	5.38 per unit	2007/04/16	244,000,000	2006/09/22
01380	SGA Societe Generale Acceptance N.V.	SHK Ppt Eu Wt Apr 07A	0.5400	85.8800	2007/04/02	185,000,000	2006/09/22
01377	SGA Societe Generale Acceptance N.V.	BOCom Eu Wts Mar 2007	0.4200	5.18 per unit	2007/03/26	238,000,000	2006/09/22
02100	UBS AG	Nine Dragons European Warrants May 2007	1.0300	8.88 per unit	2007/05/28	100,000,000	2006/09/22
02101	UBS AG	Swire Pac A European Warrants May 2007	0.4700	82.8800	2007/05/28	100,000,000	2006/09/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
02104	UBS AG	Zijin Mining European Warrants May 2007	0.3800	4.38 per unit	2007/05/28	100,000,000	2006/09/22
02110	Macquarie Bank Ltd.	China Life European Put Wts Mar 2007	0.7670	14.00 per unit	2007/03/30	88,000,000	2006/09/25
02121	Citigroup Global Mkt H Inc.	HSI European Warrants Mar 2007	0.3600	16,500 per 4,000 units	2007/03/29	300,000,000	2006/09/26
02127	Citigroup Global Mkt H Inc.	HSI European Put Wts Mar 2007	0.3000	18,200 per 4,000 units	2007/03/29	300,000,000	2006/09/26
02113	Deutsche Bank AG	HSI European Warrants Mar 2007 A	0.3600	16,800 per 4,000 units	2007/03/29	200,000,000	2006/09/26
02117	Deutsche Bank AG	HSI European Put Warrants Mar 2007 B	0.2500	17,400 per 4,000 units	2007/03/29	200,000,000	2006/09/26
02115	SGA Societe Generale Acceptance N.V.	N225 Eu Wt Jun 2007 C	0.4100	15,500 per 3,300 units	2007/06/07	244,000,000	2006/09/26
02119	SGA Societe Generale Acceptance N.V.	N225 Eu Put Wt Jun07A	0.2600	15,000 per 3,300 units	2007/06/07	385,000,000	2006/09/26
02120	SGA Societe Generale Acceptance N.V.	N225 Eu Put Wt Jun07B	0.3300	15,500 per 3,300 units	2007/06/07	303,000,000	2006/09/26
02156	ABN AMRO Bank N.V.	BankComm European Warrants Mar 2007 C	0.2600	5.388 per unit	2007/03/27	100,000,000	2006/09/27
02157	ABN AMRO Bank N.V.	BOC HK European Warrants Apr 2007	0.2500	19.88 per unit	2007/04/16	50,000,000	2006/09/27
02159	ABN AMRO Bank N.V.	CC Bank European Warrants Apr 2007 A	0.2500	3.228 per unit	2007/04/10	200,000,000	2006/09/27
02161	ABN AMRO Bank N.V.	Sinopec Corp European Wts Apr 2007 A	0.2500	5.328 per unit	2007/04/02	150,000,000	2006/09/27
02130	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Mar 2007	0.3300	57.9900	2007/03/28	150,000,000	2006/09/27
02132	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jul 2007 A	0.2600	16.3800	2007/07/16	300,000,000	2006/09/27
02141	BNP Paribas Arbit Issu B.V.	Ch Mob Euro Put Wts Mar 2007	0.5500	53.9900	2007/03/28	150,000,000	2006/09/27
02133	BNP Paribas Arbit Issu B.V.	HSI European Wts Mar 2007 A	0.2500	17,000 per 5,500 units	2007/03/29	400,000,000	2006/09/27
02155	Citigroup Global Mkt H Inc.	Sinopec Corp Euro Wts Mar 2007	0.3200	5.18 per unit	2007/03/29	200,000,000	2006/09/27
02134	Deutsche Bank AG	China Life European Warrants Apr 2007 A	0.9600	16.5 per unit	2007/04/10	100,000,000	2006/09/27
02145	Deutsche Bank AG	China Life European Put Warrants Apr 2007	0.9000	14.28 per unit	2007/04/10	100,000,000	2006/09/27
02181	KBC Fin Products Int'l Ltd.	BOC HK European Wts Apr 2007	1.1300	17.888 per unit	2007/04/10	168,000,000	2006/09/27
02183	KBC Fin Products Int'l Ltd.	China Life Euro Wts Apr 2007 C	0.8900	16.888 per unit	2007/04/02	108,000,000	2006/09/27
02182	KBC Fin Products Int'l Ltd.	China Life Euro Wts Mar 2007	1.2400	15.888 per unit	2007/03/29	80,000,000	2006/09/27
02179	KBC Fin Products Int'l Ltd.	BankComm European Wts Mar 2007	0.3800	5.288 per unit	2007/03/27	248,000,000	2006/09/27
02180	KBC Fin Products Int'l Ltd.	BankComm European Wts Apr 2007	0.6000	4.888 per unit	2007/04/02	158,000,000	2006/09/27
02124	Macquarie Bank Ltd.	China Resources European Wts Aug 2007	0.2550	18.1800	2007/08/28	88,000,000	2006/09/27
02123	Macquarie Bank Ltd.	Cathay Pacific European Wts Jun 2008 B	0.2580	16.0000	2008/06/27	200,000,000	2006/09/27
02177	Macquarie Bank Ltd.	China Life European Wts Mar 2007 A	0.7470	17 per unit	2007/03/30	128,000,000	2006/09/27
02178	Macquarie Bank Ltd.	China Life European Wts Mar 2007 B	0.5030	18 per unit	2007/03/30	168,000,000	2006/09/27
02173	Macquarie Bank Ltd.	CC Bank European Put Warrants Mar 2007	0.2560	3.2 per unit	2007/03/30	200,000,000	2006/09/27
02162	Macquarie Bank Ltd.	CC Bank European Warrants Mar 2007	0.2670	3.4 per unit	2007/03/30	300,000,000	2006/09/27
02147	SGA Societe Generale Acceptance N.V.	CK(H) Eu Put Wt Apr07	0.5400	86.4800	2007/04/20	185,000,000	2006/09/27
02149	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Apr07B	1.3400	14.88 per unit	2007/04/02	75,000,000	2006/09/27
02150	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Apr07A	0.4700	52.5800	2007/04/20	213,000,000	2006/09/27
02152	SGA Societe Generale Acceptance N.V.	CP&CC Eu Put Wt Apr07	0.4700	4.88 per unit	2007/04/20	213,000,000	2006/09/27
02154	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 07B	0.3500	26.9800	2007/04/17	286,000,000	2006/09/27
09379 #	Deutsche Bank AG	CC Bank European Warrants Jan 2007	0.2470	3.28 per unit	2007/01/29	200,000,000	2006/09/27
02401 #	KBC Fin Products Int'l Ltd.	CC Bank European Wts Mar 2007B	0.2330	3.388 per unit	2007/03/15	400,000,000	2006/09/27
04907 #	BNP Paribas Arbit Issu B.V.	Ch Mobile Eur Put Wts Feb 2007	0.1510	49.9900	2007/02/12	120,000,000	2006/09/27
03851 #	Deutsche Bank AG	HSI European Put Warrants Nov 2006	0.0450	16,800 per 4,000 units	2006/11/29	250,000,000	2006/09/27
02184	Deutsche Bank AG	HSI European Warrants Mar 2007 C	0.2500	18,400 per 4,000 units	2007/03/29	200,000,000	2006/09/28
02189	Deutsche Bank AG	HSI European Put Warrants Mar 2007 D	0.3300	17,800 per 4,000 units	2007/03/29	200,000,000	2006/09/28

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Unit issued on initial listing	Listing date	
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
02213	KBC Fin Products Int'l Ltd.	Ch Life Eur Put Wts Apr 2007 A	0.5500	13.88 per unit	2007/04/02	168,000,000	2006/09/28
02211	KBC Fin Products Int'l Ltd.	HSI European Wts Mar 2007 C	0.2500	16,800 per 6,500 units	2007/03/29	400,000,000	2006/09/28
02215	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2007 A	0.2500	17,600 per 4,200 units	2007/03/29	400,000,000	2006/09/28
02218	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2007 B	0.2500	18,000 per 5,000 units	2007/03/29	400,000,000	2006/09/28
02201	Macquarie Bank Ltd.	BankComm European Warrants Mar 2007	0.3200	5.5 per unit	2007/03/29	150,000,000	2006/09/28
02204	Macquarie Bank Ltd.	BankComm European Put Wts Apr 2007 B	0.2790	5.00 per unit	2007/04/27	100,000,000	2006/09/28
02206	Macquarie Bank Ltd.	HSBC European Warrants Mar 2007 A	0.3430	145.0000	2007/03/30	250,000,000	2006/09/28
02208	Macquarie Bank Ltd.	HSBC European Warrants Mar 2007 B	0.2680	150.0000	2007/03/30	250,000,000	2006/09/28
02210	Macquarie Bank Ltd.	HSBC European Put Warrants Apr 2007	0.4680	140.0000	2007/04/30	150,000,000	2006/09/28
02205	Macquarie Bank Ltd.	Sinopec Corp European Wts Mar 2007	0.2650	5.5 per unit	2007/03/29	200,000,000	2006/09/28
02209	Macquarie Bank Ltd.	Sinopec Corp European Put Wts Mar 2007	0.2960	4.8 per unit	2007/03/29	100,000,000	2006/09/28
02185	Macquarie Bank Ltd.	HSI European Warrants Mar 2007 B	0.3180	17,000 per 5,000 units	2007/03/29	388,000,000	2006/09/28
02186	Macquarie Bank Ltd.	HSI European Warrants Mar 2007 C	0.2770	17,400 per 4,800 units	2007/03/29	388,000,000	2006/09/28
02187	Macquarie Bank Ltd.	HSI European Warrants Mar 2007 D	0.2570	18,000 per 4,100 units	2007/03/29	388,000,000	2006/09/28
02191	Macquarie Bank Ltd.	HSI European Put Warrants Mar 2007 E	0.2550	17,000 per 3,300 units	2007/03/29	388,000,000	2006/09/28
02192	Macquarie Bank Ltd.	HSI European Put Warrants Mar 2007 F	0.2560	17,400 per 3,800 units	2007/03/29	388,000,000	2006/09/28
09024	ABN AMRO Bank N.V.	CC Bank European Warrants Apr 2007 B	0.2500	3.38 per unit	2007/04/04	300,000,000	2006/09/29
09026	ABN AMRO Bank N.V.	CM Bank European Warrants Mar 2007 A	0.5700	12.08 per unit	2007/03/30	70,000,000	2006/09/29
09027	ABN AMRO Bank N.V.	CM Bank European Warrants Mar 2007 B	1.1800	10.68 per unit	2007/03/30	70,000,000	2006/09/29
09028	ABN AMRO Bank N.V.	CM Bank European Warrants Sep 2007	0.2500	10.2800	2007/09/17	250,000,000	2006/09/29
02238	BOCI Asia Ltd.	BOCL European Warrants Aug 2007	0.3300	3.32 per unit	2007/08/10	200,000,000	2006/09/29
02239	BOCI Asia Ltd.	BankComm European Warrants Aug 2007	0.3500	6.01 per unit	2007/08/07	100,000,000	2006/09/29
02245	BOCI Asia Ltd.	China Life European Warrants Aug 2007	0.2700	14.6600	2007/08/03	500,000,000	2006/09/29
02249	BOCI Asia Ltd.	CM Bank European Warrants Aug 2007	0.5000	10 per unit	2007/08/15	20,000,000	2006/09/29
02221	BNP Paribas Arbit Issu B.V.	CM Bank European Wts Mar 2007	0.2700	11.38 per unit	2007/03/29	100,000,000	2006/09/29
02224	BNP Paribas Arbit Issu B.V.	CM Bank Euro Wts May 2007 A	0.2600	12.38 per unit	2007/05/31	100,000,000	2006/09/29
09061	BNP Paribas Arbit Issu B.V.	CM Bank European Wts Sep 2007	0.2600	10.3800	2007/09/28	200,000,000	2006/09/29
02219	Calyon Fin P (G) Ltd.	China Life European Wts May 2007 A	0.2550	16.0800	2007/05/21	300,000,000	2006/09/29
02220	Calyon Fin P (G) Ltd.	PetroChina European Wts Mar 2007 B	0.9700	7.98 per unit	2007/03/29	150,000,000	2006/09/29
09062	Calyon Fin P (G) Ltd.	CM Bank European Warrants Mar 2007 A	0.6100	11.22 per unit	2007/03/29	200,000,000	2006/09/29
09064	Calyon Fin P (G) Ltd.	CM Bank European Warrants Mar 2007 B	0.2800	12.34 per unit	2007/03/29	200,000,000	2006/09/29
09032	Citigroup Global Mkt H Inc.	CM Bank Euro Wts Mar 2007 A	1.6550	10.0 per unit	2007/03/29	100,000,000	2006/09/29
09033	Citigroup Global Mkt H Inc.	CM Bank Euro Wts Mar 2007 B	1.1800	10.8 per unit	2007/03/29	100,000,000	2006/09/29
09034	Citigroup Global Mkt H Inc.	CM Bank Euro Wts Mar 2007 C	0.7340	11.8 per unit	2007/03/29	110,000,000	2006/09/29
09029	Deutsche Bank AG	CM Bank European Warrants Apr 2007 A	0.9900	10.88 per unit	2007/04/02	200,000,000	2006/09/29
09030	Deutsche Bank AG	CM Bank European Warrants Apr 2007 B	0.7400	11.48 per unit	2007/04/16	200,000,000	2006/09/29
09031	Deutsche Bank AG	CM Bank European Warrants Apr 2007 C	1.1200	10.28 per unit	2007/04/16	200,000,000	2006/09/29
09048	DBS Bank Ltd.	CM Bank European Warrants Apr 2007	0.7000	11.53 per unit	2007/04/10	100,000,000	2006/09/29
02274	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2007 B	0.3400	62.0000	2007/04/02	300,000,000	2006/09/29
02263	Goldman Sachs SP (Asia)	China Life European Wts Apr 2007 B	1.2000	17.00 per unit	2007/04/02	100,000,000	2006/09/29
09005	Goldman Sachs SP (Asia)	HKEx European Warrants Apr 2007	0.6600	63.0000	2007/04/02	150,000,000	2006/09/29
09008	Goldman Sachs SP (Asia)	China Mobile Eur Put Wt Apr 2007 C	0.4400	55.0000	2007/04/02	300,000,000	2006/09/29

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09006	Goldman Sachs SP (Asia)	China Life Euro Put Wts Apr 2007 D	1.4800	15.4 per unit	2007/04/02	100,000,000	2006/09/29
09070	Goldman Sachs SP (Asia)	CM Bank European Wts Apr 2007 A	1.1900	10.5 per unit	2007/04/02	100,000,000	2006/09/29
09073	Goldman Sachs SP (Asia)	CM Bank European Wts Apr 2007 B	0.7200	11.6 per unit	2007/04/02	100,000,000	2006/09/29
09035	HK Bank	CM Bank European Warrants Mar 2007	0.7000	11.88 per unit	2007/03/30	88,000,000	2006/09/29
09036	HK Bank	CM Bank European Warrants May 2007	1.2200	11 per unit	2007/05/18	88,000,000	2006/09/29
09047	HK Bank	CM Bank European Warrants Dec 2007	2.0000	10.5 per unit	2007/12/14	68,000,000	2006/09/29
02231	J P Morgan Int'l Der. Ltd.	China Mobile Euro Wts Nov 2007	0.5500	61.1800	2007/11/01	182,000,000	2006/09/29
02233	J P Morgan Int'l Der. Ltd.	China Unicom Euro Wts Nov 2007	0.6800	8.88 per unit	2007/11/01	148,000,000	2006/09/29
02234	J P Morgan Int'l Der. Ltd.	Foxconn European Wts May 2007	0.2500	28.0000	2007/05/30	400,000,000	2006/09/29
02237	J P Morgan Int'l Der. Ltd.	Ping An European Wts May 2007	0.2500	31.0000	2007/05/30	400,000,000	2006/09/29
02225	J P Morgan Int'l Der. Ltd.	CM Bank European Wts Jun 2007 A	0.2500	10.88 per unit	2007/06/01	400,000,000	2006/09/29
02228	J P Morgan Int'l Der. Ltd.	CM Bank European Wts Jun 2007 C	0.2500	12 per unit	2007/06/01	400,000,000	2006/09/29
02227	J P Morgan Int'l Der. Ltd.	CM Bank European Wts Jun 2007 B	0.2500	11.5 per unit	2007/06/01	400,000,000	2006/09/29
09056	KBC Fin Products Int'l Ltd.	BankComm Euro Put Wts Mar 2007	0.2500	4.888 per unit	2007/03/29	388,000,000	2006/09/29
09057	KBC Fin Products Int'l Ltd.	CM Bank European Wts Mar 2007	0.4600	11.888 per unit	2007/03/29	208,000,000	2006/09/29
09058	KBC Fin Products Int'l Ltd.	CM Bank Euro Wts Apr 2007 A	0.8400	10.888 per unit	2007/04/02	118,000,000	2006/09/29
09059	KBC Fin Products Int'l Ltd.	CM Bank Euro Wts Apr 2007 B	0.2500	12.888 per unit	2007/04/02	400,000,000	2006/09/29
09060	KBC Fin Products Int'l Ltd.	HSI European Wts Mar 2007 D	0.2500	18,000 per 4,300 units	2007/03/29	400,000,000	2006/09/29
09076	Macquarie Bank Ltd.	CM Bank European Warrants Aug 2007	0.2520	10.0000	2007/08/31	388,000,000	2006/09/29
09074	Macquarie Bank Ltd.	CM Bank European Warrants Mar 2007 A	0.8790	11 per unit	2007/03/30	200,000,000	2006/09/29
09075	Macquarie Bank Ltd.	CM Bank European Warrants Mar 2007 B	0.5230	12 per unit	2007/03/30	200,000,000	2006/09/29
09081	Macquarie Bank Ltd.	CM Bank European Put Warrants Mar 2007	0.5040	10 per unit	2007/03/30	100,000,000	2006/09/29
09065	CC Rabobank B.A.	CM Bank European Warrants Mar 2007	0.9500	10.888 per unit	2007/03/30	160,000,000	2006/09/29
09068	CC Rabobank B.A.	CM Bank European Warrants Jun 2007	1.2500	10.688 per unit	2007/06/08	160,000,000	2006/09/29
09069	CC Rabobank B.A.	CM Bank European Warrants Jul 2007	1.2600	10.888 per unit	2007/07/18	160,000,000	2006/09/29
02250	SGA Societe Generale Acceptance N.V.	CLife Eur Wt Apr 07 C	1.3200	16.68 per unit	2007/04/02	76,000,000	2006/09/29
02262	SGA Societe Generale Acceptance N.V.	Ping An Eur Wt May 07	0.3500	30.8800	2007/05/09	286,000,000	2006/09/29
02254	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Apr07B	0.5200	55.3800	2007/04/30	192,000,000	2006/09/29
02256	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Apr07E	0.6500	140.8800	2007/04/23	154,000,000	2006/09/29
02255	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 07D	0.3200	18,200 per 4,000 units	2007/03/29	313,000,000	2006/09/29
09023	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Mar 07 C	0.5500	12.18 per unit	2007/03/29	182,000,000	2006/09/29
09009	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Mar 07 A	1.2400	10.48 per unit	2007/03/29	81,000,000	2006/09/29
09021	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Mar 07 B	0.7300	11.58 per unit	2007/03/29	137,000,000	2006/09/29
09054	UBS AG	CM Bank European Warrants Apr 2007	0.5200	11.38 per unit	2007/04/16	100,000,000	2006/09/29
09055	UBS AG	HK Gas European Warrants May 2007	0.7200	18.88 per unit	2007/05/28	100,000,000	2006/09/29
09082	UBS AG	China Life European Warrants May 2007 A	0.2500	16.8800	2007/05/28	100,000,000	2006/09/29
04593 #	Deutsche Bank AG	China Mobile European Put Wts Feb 2007 D	0.0960	47.0000	2007/02/05	150,000,000	2006/09/29
03864 #	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2006 A	0.2950	141.8800	2006/12/11	100,000,000	2006/09/29
09098	Deutsche Bank AG	BankComm European Warrants Apr 2007 A	0.4300	5.8 per unit	2007/04/23	200,000,000	2006/10/03
09099	Deutsche Bank AG	BankComm European Warrants Apr 2007 B	0.2700	6.28 per unit	2007/04/23	200,000,000	2006/10/03
09083	Deutsche Bank AG	China Life European Warrants Apr 2007 B	1.5300	18 per unit	2007/04/10	200,000,000	2006/10/03
09097	Deutsche Bank AG	China Life European Warrants Jun 2007 A	1.2600	20 per unit	2007/06/18	200,000,000	2006/10/03

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
09101	Deutsche Bank AG	China Life European Put Wts Jun 2007 B	1.6600	16 per unit	2007/06/11	200,000,000	2006/10/03
01308 #	Deutsche Bank AG	China Mobile European Warrants Mar 2007	0.3900	60.0000	2007/03/29	100,000,000	2006/10/03
03865 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2006 B	0.0790	17,600 per 6,000 units	2006/11/29	600,000,000	2006/10/03
09109	ABN AMRO Bank N.V.	BankComm European Warrants Apr 2007	0.2500	5.988 per unit	2007/04/10	100,000,000	2006/10/04
09104	ABN AMRO Bank N.V.	China Mobile European Warrants Apr 2007	0.2700	60.8800	2007/04/03	150,000,000	2006/10/04
09108	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Apr 2007 A	0.3200	53.8800	2007/04/03	150,000,000	2006/10/04
09110	ABN AMRO Bank N.V.	Sinopec Corp European Wts Apr 2007 B	0.3300	4.898 per unit	2007/04/16	150,000,000	2006/10/04
09120	KBC Fin Products Int'l Ltd.	HSBC European Put Wts Apr 2007	0.3700	137.8000	2007/04/04	248,000,000	2006/10/04
09111	KBC Fin Products Int'l Ltd.	China Tel Euro Wts May 2007	0.3000	2.6 per unit	2007/05/02	328,000,000	2006/10/04
09117	KBC Fin Products Int'l Ltd.	HSBC European Wts Jun 2007 A	0.8400	136.0000	2007/06/01	118,000,000	2006/10/04
09116	KBC Fin Products Int'l Ltd.	HSBC European Wts Apr 2007	0.5500	140.8800	2007/04/17	168,000,000	2006/10/04
09100	SGA Societe Generale Acceptance N.V.	BOCom Eu Wts Apr 07 A	0.3800	5.88 per unit	2007/04/04	263,000,000	2006/10/04
09102	SGA Societe Generale Acceptance N.V.	CMob Euro Wts Apr 07D	0.4400	59.8800	2007/04/04	227,000,000	2006/10/04
09103	SGA Societe Generale Acceptance N.V.	CTel Eur Wts Apr 2007	0.2650	2.78 per unit	2007/04/04	377,000,000	2006/10/04
09139	UBS AG	China Life European Warrants Apr 2007	0.8200	18.88 per unit	2007/04/10	100,000,000	2006/10/04
09121	UBS AG	China Mobile European Warrants Apr 2007	0.3050	63.8800	2007/04/10	100,000,000	2006/10/04
09140	UBS AG	China Mobile European Put Warrants Apr 2007	0.3000	50.8800	2007/04/10	100,000,000	2006/10/04
09014 #	UBS AG	China Life European Warrants Dec 2006	0.9500	15.88 per unit	2006/12/11	200,000,000	2006/10/04
09145	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jul 2007 A	0.2500	3.59 per unit	2007/07/23	200,000,000	2006/10/05
09146	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eur Wt May 2007 A	0.4800	5.19 per unit	2007/05/14	100,000,000	2006/10/05
09158	BNP Paribas Arbit Issu B.V.	Ping An European Wts Apr 2007	0.2800	31.8800	2007/04/17	100,000,000	2006/10/05
09159	BNP Paribas Arbit Issu B.V.	PetroChina Euro Wts Aug 2007 A	0.7800	8.88 per unit	2007/08/08	100,000,000	2006/10/05
09143	Macquarie Bank Ltd.	BankComm European Warrants Apr 2007 A	0.3040	6 per unit	2007/04/27	200,000,000	2006/10/05
09144	Macquarie Bank Ltd.	Ping An European Put Warrants May 2007	0.2580	26.0000	2007/05/30	88,000,000	2006/10/05
09160	Goldman Sachs SP (Asia)	China Life European Wts Apr 2007 C	1.0200	18.3 per unit	2007/04/16	100,000,000	2006/10/06
09191	Goldman Sachs SP (Asia)	China Life Euro Put Wts Apr 2007 E	0.2500	17.0000	2007/04/16	400,000,000	2006/10/06
09193	KBC Fin Products Int'l Ltd.	BOCL European Wts Jul 2007 A	0.2600	3.55 per unit	2007/07/10	400,000,000	2006/10/06
09205	KBC Fin Products Int'l Ltd.	BOCL European Wts Sep 2009 A	0.7300	3.688 per unit	2009/09/09	288,000,000	2006/10/06
09263	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jun 2007 A	0.3300	3.288 per unit	2007/06/01	288,000,000	2006/10/06
09264	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jul 2007 A	0.2500	3.588 per unit	2007/07/02	400,000,000	2006/10/06
09266	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Sep 2009 A	0.5200	3.68 per unit	2009/09/09	148,000,000	2006/10/06
09220	KBC Fin Products Int'l Ltd.	Cathay Pac Euro Wts Apr 2008	0.2500	16.0000	2008/04/02	400,000,000	2006/10/06
09221	KBC Fin Products Int'l Ltd.	Cheung Kong Euro Wts Apr 2007	0.4900	86.0000	2007/04/11	188,000,000	2006/10/06
09270	KBC Fin Products Int'l Ltd.	MTRC European Wts Jul 2007	0.2500	19.8800	2007/07/16	368,000,000	2006/10/06
09206	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Sep 2009 A	1.0500	6 per unit	2009/09/09	100,000,000	2006/10/06
09267	KBC Fin Products Int'l Ltd.	CM Bank European Wts Sep 2009	0.2600	12.1880	2009/09/09	368,000,000	2006/10/06
09192	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt Apr 2007	1.0500	18.48 per unit	2007/04/23	96,000,000	2006/10/06
03473 #	Deutsche Bank AG	HSI European Put Warrants Feb 2007	0.1090	17,000 per 4,000 units	2007/02/27	200,000,000	2006/10/06
03461 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wts Feb07C	0.1630	17,400 per 4,000 units	2007/02/27	297,000,000	2006/10/06
09271	Goldman Sachs SP (Asia)	BankComm European Wts Apr 2007	0.3300	6.3 per unit	2007/04/16	200,000,000	2006/10/09
09275	Goldman Sachs SP (Asia)	BankComm Euro Put Wts Apr 2007	0.5100	5.5 per unit	2007/04/16	200,000,000	2006/10/09
09313	ABN AMRO Bank N.V.	CC Bank European Warrants Apr 2007 C	0.2650	3.508 per unit	2007/04/10	200,000,000	2006/10/10
09288	ABN AMRO Bank N.V.	CM Bank European Warrants Oct 2007	0.2500	11.2800	2007/10/29	200,000,000	2006/10/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09289	ABN AMRO Bank N.V.	CM Bank European Warrants Apr 2007	0.3300	13.28 per unit	2007/04/10	100,000,000	2006/10/10
09337	Deutsche Bank AG	CM Bank European Warrants Apr 2007 D	0.9200	12.08 per unit	2007/04/23	200,000,000	2006/10/10
09338	Deutsche Bank AG	CM Bank European Warrants Apr 2007 E	0.5600	12.98 per unit	2007/04/10	200,000,000	2006/10/10
09339	Deutsche Bank AG	CM Bank European Warrants May 2007 A	0.5100	13.48 per unit	2007/05/14	200,000,000	2006/10/10
09277	J P Morgan Int'l Der. Ltd.	HSBC European Warrants Apr 2007	0.3800	143.8100	2007/04/20	264,000,000	2006/10/10
09278	J P Morgan Int'l Der. Ltd.	Hutchison European Wts May 2007	0.2500	75.0000	2007/05/23	400,000,000	2006/10/10
09276	J P Morgan Int'l Der. Ltd.	China Mobile Euro Wts Apr 2007	0.3000	60.0000	2007/04/20	340,000,000	2006/10/10
09274	J P Morgan Int'l Der. Ltd.	BOC HK European Wts May 2007	0.5000	18.88 per unit	2007/05/30	200,000,000	2006/10/10
09272	J P Morgan Int'l Der. Ltd.	BankComm Euro Wts Jun 2007 A	0.3000	6.18 per unit	2007/06/15	340,000,000	2006/10/10
09279	J P Morgan Int'l Der. Ltd.	Yanzhou Coal Euro Wts Jun 2007	0.2500	6.18 per unit	2007/06/15	400,000,000	2006/10/10
09314	Macquarie Bank Ltd.	CM Bank European Warrants Apr 2007	0.5180	13.38 per unit	2007/04/10	200,000,000	2006/10/10
09336	Macquarie Bank Ltd.	CM Bank European Put Warrants Apr 2007	0.5970	11 per unit	2007/04/30	100,000,000	2006/10/10
09026 #	ABN AMRO Bank N.V.	CM Bank European Warrants Mar 2007 A	0.8600	12.08 per unit	2007/03/30	50,000,000	2006/10/10
09761 #	Macquarie Bank Ltd.	HSI European Put Warrants Jan 2007	0.0970	17,200 per 4,500 units	2007/01/30	1,000,000,000	2006/10/10
09340	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 H	0.2500	JPY 15800 per 320 units	2007/03/09	200,000,000	2006/10/11
09387	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Mar 2007 I	0.1500	JPY 15200 per 220 units	2007/03/09	200,000,000	2006/10/11
09390	KBC Fin Products Int'l Ltd.	CM Bank Euro Wts Apr 2007 C	0.3600	13.888 per unit	2007/04/11	268,000,000	2006/10/11
09388	Macquarie Bank Ltd.	CC Bank European Warrants Apr 2007	0.2910	3.6 per unit	2007/04/27	300,000,000	2006/10/11
09389	Macquarie Bank Ltd.	CC Bank European Warrants May 2007 A	0.2570	3.8 per unit	2007/05/30	250,000,000	2006/10/11
09341	Macquarie Bank Ltd.	SMIC European Warrants Nov 2007	0.2580	1 per unit	2007/11/29	200,000,000	2006/10/11
02444 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Feb 2007 G	0.1020	17,200 per 4,500 units	2007/02/27	600,000,000	2006/10/11
09170 #	Calyon Fin P (G) Ltd.	Hutchison European Warrants Jan 2007	0.1620	71.8800	2007/01/04	200,000,000	2006/10/11
04868 #	Deutsche Bank AG	HSBC European Put Warrants Jan 2007	0.0770	135.0000	2007/01/16	150,000,000	2006/10/11
03872 #	Goldman Sachs SP (Asia)	HSI European Put Warrants Nov 2006	0.0550	17,300 per 4,500 units	2006/11/29	300,000,000	2006/10/11
09392	Calyon Fin P (G) Ltd.	CC Bank European Warrants Jan 2007 B	0.1580	3.588 per unit	2007/01/15	300,000,000	2006/10/12
09393	Calyon Fin P (G) Ltd.	HSBC European Warrants Feb 2007 B	0.4300	145.1800	2007/02/12	300,000,000	2006/10/12
09405	Calyon Fin P (G) Ltd.	HSBC European Put Warrants May 2007	0.4400	136.2800	2007/05/14	200,000,000	2006/10/12
09524	Deutsche Bank AG	HSBC European Warrants Apr 2007 B	0.5800	146.0000	2007/04/27	150,000,000	2006/10/12
09530	Deutsche Bank AG	HSBC European Warrants Apr 2007 C	0.4400	150.0000	2007/04/24	150,000,000	2006/10/12
09531	Deutsche Bank AG	HSBC European Put Warrants May 2007	0.4300	140.0000	2007/05/29	150,000,000	2006/10/12
09523	Deutsche Bank AG	China Mobile European Put Wts Apr 2007	0.4200	54.8000	2007/04/12	200,000,000	2006/10/12
09407	Goldman Sachs SP (Asia)	CM Bank European Wts Apr 2007 C	0.6900	12.8 per unit	2007/04/16	100,000,000	2006/10/12
09408	J P Morgan Int'l Der. Ltd.	CM Bank European Wts Apr 2007 A	0.4400	12.89 per unit	2007/04/02	227,000,000	2006/10/12
09412	J P Morgan Int'l Der. Ltd.	HSBC European Wts Feb 2007 A	0.3400	145.0800	2007/02/16	295,000,000	2006/10/12
09439	J P Morgan Int'l Der. Ltd.	SHK Ppt European Wts Jan 2007	0.2000	88.0000	2007/01/16	500,000,000	2006/10/12
09438	J P Morgan Int'l Der. Ltd.	Hutchison European Wts Feb 2007	0.2100	70.8800	2007/02/21	477,000,000	2006/10/12
09462	J P Morgan Int'l Der. Ltd.	Swire Pac A Euro Wts Feb 2007	0.3400	85.0000	2007/02/27	294,000,000	2006/10/12
09411	J P Morgan Int'l Der. Ltd.	CNPC European Warrants Apr 2007	0.5300	3.98 per unit	2007/04/30	189,000,000	2006/10/12
09463	KBC Fin Products Int'l Ltd.	Huaneng Power Eur Wts May 2007	0.3700	6.088 per unit	2007/05/11	268,000,000	2006/10/12
09391	Macquarie Bank Ltd.	China Mobile European Wts Apr 2007	0.3070	65.0000	2007/04/27	200,000,000	2006/10/12
09404	Macquarie Bank Ltd.	China Mobile Euro Put Wts Apr 2007 B	0.3780	55.0000	2007/04/27	150,000,000	2006/10/12
09406	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Apr 2007	0.7600	12.88 per unit	2007/04/23	132,000,000	2006/10/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03895 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Nov 06B	0.0880	17,600 per 4,000 units	2006/11/29	287,000,000	2006/10/12
09548	ABN AMRO Bank N.V.	CM Bank European Put Warrants Apr 2007	0.2500	10.68 per unit	2007/04/17	70,000,000	2006/10/13
09549	ABN AMRO Bank N.V.	China Life European Warrants Oct 2007	0.2500	17.6800	2007/10/02	100,000,000	2006/10/13
09559	ABN AMRO Bank N.V.	Cheung Kong European Warrants Apr 2007	0.3800	89.8800	2007/04/16	50,000,000	2006/10/13
09560	ABN AMRO Bank N.V.	Hutchison European Warrants May 2007 A	0.2500	71.8800	2007/05/08	100,000,000	2006/10/13
09573	ABN AMRO Bank N.V.	HSBC European Warrants May 2007 B	0.2800	150.8800	2007/05/07	100,000,000	2006/10/13
09592	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Put Wt Apr 2007	0.6800	59.9900	2007/04/17	200,000,000	2006/10/13
09593	BNP Paribas Arbit Issu B.V.	HSI European Wts Feb 2007 C	0.2250	18,200 per 4,150 units	2007/02/27	400,000,000	2006/10/13
09594	KBC Fin Products Int'l Ltd.	CMob Euro Put Wts Apr 2007 A	0.2060	50.8800	2007/04/02	400,000,000	2006/10/13
09574	Macquarie Bank Ltd.	HSBC European Warrants Apr 2007	0.2530	155.0000	2007/04/27	300,000,000	2006/10/13
09580	Macquarie Bank Ltd.	HSI European Warrants Apr 2007 A	0.2520	18,400 per 4,800 units	2007/04/27	388,000,000	2006/10/13
09581	Macquarie Bank Ltd.	HSI European Warrants Apr 2007 B	0.2500	18,800 per 4,200 units	2007/04/27	388,000,000	2006/10/13
09590	Macquarie Bank Ltd.	HSI European Put Warrants Apr 2007 C	0.3050	18,400 per 4,800 units	2007/04/27	388,000,000	2006/10/13
09591	Macquarie Bank Ltd.	HSI European Put Warrants Apr 2007 D	0.3530	18,800 per 4,800 units	2007/04/27	388,000,000	2006/10/13
09678 #	Credit Suisse	SMIC European Warrants Mar 2007	0.0120	1.28 per unit	2007/03/08	950,000,000	2006/10/13
09595	Macquarie Bank Ltd.	Cheung Kong European Put Wts Apr 2007	0.3570	82.5000	2007/04/27	100,000,000	2006/10/16
09596	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts May 2007	0.3090	46.0000	2007/05/30	100,000,000	2006/10/16
09597	Macquarie Bank Ltd.	Hutchison European Put Wts Apr 2007	0.2500	65.0000	2007/04/27	100,000,000	2006/10/16
09608	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Apr 07 D	0.4100	153.6800	2007/04/30	244,000,000	2006/10/16
09607	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Apr 07 C	0.5200	149.8800	2007/04/23	192,000,000	2006/10/16
09611	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Apr07F	0.7600	146.8800	2007/04/23	132,000,000	2006/10/16
09598	SGA Societe Generale Acceptance N.V.	HSI Euro Wts Mar 07 E	0.1840	18,800 per 3,800 units	2007/03/29	550,000,000	2006/10/16
09606	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 07F	0.1660	17,400 per 4,000 units	2007/03/29	588,000,000	2006/10/16
03473 #	Deutsche Bank AG	HSI European Put Warrants Feb 2007	0.1000	17,000 per 4,000 units	2007/02/27	400,000,000	2006/10/16
09646	Calyon Fin P (G) Ltd.	China Life European Wts May 2007 B	0.2100	16.8980	2007/05/21	300,000,000	2006/10/18
09647	Calyon Fin P (G) Ltd.	China Life European Put Wts Oct 2007	0.2550	15.2880	2007/10/29	300,000,000	2006/10/18
09609	Citigroup Global Mkt H Inc.	HS Bank European Wts Apr 2007	0.2860	102.8800	2007/04/27	180,000,000	2006/10/18
09610	Citigroup Global Mkt H Inc.	BankComm European Wts May 2007	0.4050	6.18 per unit	2007/05/30	150,000,000	2006/10/18
09612	Citigroup Global Mkt H Inc.	China Life Euro Wts May 2007 B	1.2500	17.28 per unit	2007/05/21	70,000,000	2006/10/18
09613	Citigroup Global Mkt H Inc.	Ping An European Wts May 2007	0.2500	29.3800	2007/05/30	260,000,000	2006/10/18
09637	J P Morgan Int'l Der. Ltd.	PetroChina Euro Wts Jan 2007	0.1700	8.99 per unit	2007/01/22	588,000,000	2006/10/18
09636	J P Morgan Int'l Der. Ltd.	HSBC European Warrants Jan 2007	0.3100	147.5000	2007/01/31	333,000,000	2006/10/18
09635	J P Morgan Int'l Der. Ltd.	China Life Euro Wts Feb 2007	1.2000	16 per unit	2007/02/28	83,000,000	2006/10/18
09638	J P Morgan Int'l Der. Ltd.	Zijin Mining Euro Wts Mar 2007	0.6700	4.18 per unit	2007/03/28	149,000,000	2006/10/18
02410 #	Macquarie Bank Ltd.	HSI European Put Warrants Feb 2007 C	0.1410	17,800 per 4,500 units	2007/02/27	1,000,000,000	2006/10/18
09660	Macquarie Bank Ltd.	BankComm European Warrants Apr 2007 C	0.2870	6.5 per unit	2007/04/27	150,000,000	2006/10/19
09661	Macquarie Bank Ltd.	BOCL European Warrants Apr 2007	0.2560	3.5 per unit	2007/04/30	168,000,000	2006/10/19
09663	Macquarie Bank Ltd.	China Life European Wts May 2007 A	0.1750	16.8800	2007/05/30	388,000,000	2006/10/19
09662	Macquarie Bank Ltd.	CC Bank European Put Warrants Apr 2007	0.2500	3.4 per unit	2007/04/27	200,000,000	2006/10/19
09664	Macquarie Bank Ltd.	BankComm European Put Wts Apr 2007 D	0.4520	6 per unit	2007/04/27	100,000,000	2006/10/19
02117 #	Deutsche Bank AG	HSI European Put Warrants Mar 2007 B	0.1440	17,400 per 4,000 units	2007/03/29	200,000,000	2006/10/19
01282 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 07C	0.1870	17,800 per 4,000 units	2007/03/29	397,000,000	2006/10/19
02473 #	UBS AG	China Tel European Warrants May 2007	0.2250	2.88 per unit	2007/05/14	200,000,000	2006/10/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09673	ABN AMRO Bank N.V.	BankComm European Warrants May 2007 A	0.4700	6.288 per unit	2007/05/02	100,000,000	2006/10/20
09674	ABN AMRO Bank N.V.	CC Bank European Warrants Apr 2007 D	0.2500	3.838 per unit	2007/04/23	200,000,000	2006/10/20
09679	ABN AMRO Bank N.V.	Sinopec Corp European Wts Apr 2007 C	0.4000	5.628 per unit	2007/04/20	150,000,000	2006/10/20
09680	ABN AMRO Bank N.V.	China Mobile Euro Put Wts Apr 2007 B	0.3460	56.8800	2007/04/20	150,000,000	2006/10/20
09665	SGA Societe Generale Acceptance N.V.	BOCom Eu Wts Apr 07 B	0.4900	6.29 per unit	2007/04/16	200,000,000	2006/10/20
09670	SGA Societe Generale Acceptance N.V.	CCB Euro Wts Mar 07 B	0.2200	3.79 per unit	2007/03/26	300,000,000	2006/10/20
09671	SGA Societe Generale Acceptance N.V.	CLife Eur Wt Apr 07 D	1.4800	17.68 per unit	2007/04/30	68,000,000	2006/10/20
09672	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Apr07C	0.5300	57.5000	2007/04/30	189,000,000	2006/10/20
01312 #	Deutsche Bank AG	China Mobile European Put Wts Mar 2007	0.1520	50.0000	2007/03/29	100,000,000	2006/10/20
09711	Credit Suisse	China Mobile European Warrants May 2007 C	0.5830	58.8800	2007/05/18	50,000,000	2006/10/23
09712	Credit Suisse	China Mobile European Warrants Jul 2007	0.4820	62.8800	2007/07/18	50,000,000	2006/10/23
09713	Credit Suisse	Hutchison European Warrants May 2007	0.4840	68.8800	2007/05/18	50,000,000	2006/10/23
09714	Credit Suisse	Hutchison European Warrants Jul 2007	0.3840	72.8800	2007/07/18	50,000,000	2006/10/23
09752	Goldman Sachs SP (Asia)	BOCL European Put Wts Apr 2007	0.2700	3.48 per unit	2007/04/23	350,000,000	2006/10/23
09753	Goldman Sachs SP (Asia)	BOC HK European Put Wts Apr 2007	1.0100	17 per unit	2007/04/23	100,000,000	2006/10/23
09754	Goldman Sachs SP (Asia)	HSBC European Put Wts Apr 2007	0.6000	143.3000	2007/04/23	150,000,000	2006/10/23
09687	HK Bank	CNOOC European Warrants Jun 2007	0.4400	6.88 per unit	2007/06/29	88,000,000	2006/10/23
09688	HK Bank	PetroChina European Warrants May 2007	0.4300	9 per unit	2007/05/18	88,000,000	2006/10/23
09689	HK Bank	PetroChina European Warrants Jun 2007	0.6500	8.5 per unit	2007/06/15	88,000,000	2006/10/23
09701	J P Morgan Int'l Der. Ltd.	BOCL European Wts Mar 2007 A	0.1700	3.46 per unit	2007/03/05	300,000,000	2006/10/23
09703	J P Morgan Int'l Der. Ltd.	Ch Overseas Euro Wts May 2007	0.6200	6.88 per unit	2007/05/11	200,000,000	2006/10/23
09710	J P Morgan Int'l Der. Ltd.	CITIC Pac European Wts May 2007	0.8800	26.28 per unit	2007/05/30	50,000,000	2006/10/23
09702	J P Morgan Int'l Der. Ltd.	Cheung Kong Euro Wts Mar 2007	0.2400	91.8800	2007/03/12	200,000,000	2006/10/23
09716	KBC Fin Products Int'l Ltd.	Sinopec Corp Eu Wts Apr 2007 B	0.3600	5.328 per unit	2007/04/02	268,000,000	2006/10/23
09715	KBC Fin Products Int'l Ltd.	Ch Life Eur Put Wts Apr 2007 B	1.2400	15.4 per unit	2007/04/02	78,000,000	2006/10/23
09750	KBC Fin Products Int'l Ltd.	HSI European Wts Apr 2007 A	0.2500	17,400 per 7,000 units	2007/04/27	400,000,000	2006/10/23
09751	KBC Fin Products Int'l Ltd.	HSI European Wts Apr 2007 B	0.2500	18,400 per 5,000 units	2007/04/27	400,000,000	2006/10/23
09697	Macquarie Bank Ltd.	Denway Motors European Wts Jun 2007	0.3040	3.2 per unit	2007/06/28	88,000,000	2006/10/23
09698	Macquarie Bank Ltd.	Dongfeng Motor European Wts Jun 2007	0.3490	4 per unit	2007/06/28	88,000,000	2006/10/23
09694	Macquarie Bank Ltd.	HKEx European Warrants May 2007 A	0.3350	65.0000	2007/05/30	88,000,000	2006/10/23
09700	Macquarie Bank Ltd.	HKEx European Put Warrants Jun 2007	0.2540	50.0000	2007/06/28	88,000,000	2006/10/23
09693	SGA Societe Generale Acceptance N.V.	Huaneng Eu Wts Apr07B	0.3700	6.18 per unit	2007/04/30	100,000,000	2006/10/23
09758	BNP Paribas Arbit Issu B.V.	BankComm European Wts May 2007	0.3900	6.38 per unit	2007/05/09	100,000,000	2006/10/24
09759	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Apr 2007	0.4300	63.9900	2007/04/26	200,000,000	2006/10/24
09760	BNP Paribas Arbit Issu B.V.	HSI European Warrants Apr 2007	0.2500	18,400 per 4,000 units	2007/04/27	400,000,000	2006/10/24
09764	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Apr 2007 B	0.2500	18,000 per 4,000 units	2007/04/27	400,000,000	2006/10/24
09786	Goldman Sachs SP (Asia)	Foxconn European Put Wts Apr 2007	0.3700	25.0000	2007/04/30	270,000,000	2006/10/24
09787	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2007 G	0.1900	18,200 per 4,500 units	2007/02/27	400,000,000	2006/10/24
09771	J P Morgan Int'l Der. Ltd.	PetroChina Euro Wts Feb 2007	0.3800	9.09 per unit	2007/02/05	150,000,000	2006/10/24
09765	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Feb 2007	0.2500	3.6 per unit	2007/02/12	400,000,000	2006/10/24
09768	J P Morgan Int'l Der. Ltd.	China Tel Euro Wts Mar 2007 B	0.1700	2.96 per unit	2007/03/05	400,000,000	2006/10/24
09770	J P Morgan Int'l Der. Ltd.	HKEx European Warrants Apr 2007	0.4600	60.0800	2007/04/18	200,000,000	2006/10/24
09769	J P Morgan Int'l Der. Ltd.	HS Bank European Wts Jun 2007	0.2400	100.8800	2007/06/11	100,000,000	2006/10/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
09772	KBC Fin Products Int'l Ltd.	HS Bank European Wts Jun 2007	0.2600	99.0000	2007/06/12	288,000,000	2006/10/24
09773	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts May 2007	0.5300	67.8880	2007/05/23	188,000,000	2006/10/24
02466 #	KBC Fin Products Int'l Ltd.	BOCL European Wts Mar 2007 B	0.1840	3.388 per unit	2007/03/01	500,000,000	2006/10/24
09691 #	Credit Suisse	SMIC European Warrants Oct 2007	0.0160	1.48 per unit	2007/10/18	800,000,000	2006/10/24
09788	Deutsche Bank AG	BOCL European Warrants Apr 2007 A	0.2500	3.5 per unit	2007/04/30	200,000,000	2006/10/25
09792	Deutsche Bank AG	China Mobile European Warrants Apr 2007	0.3900	66.0000	2007/04/30	200,000,000	2006/10/25
09793	Deutsche Bank AG	HSI European Warrants Apr 2007	0.2500	18,800 per 4,200 units	2007/04/27	200,000,000	2006/10/25
09799	Deutsche Bank AG	HSI European Put Warrants Apr 2007	0.2700	18,200 per 4,200 units	2007/04/27	200,000,000	2006/10/25
09813	J P Morgan Int'l Der. Ltd.	R&F Ppt European Wts May 2007	1.4800	10.98 per unit	2007/05/18	25,000,000	2006/10/25
09812	J P Morgan Int'l Der. Ltd.	Esprit European Wts May 2007	0.6400	75.8800	2007/05/18	200,000,000	2006/10/25
09814	J P Morgan Int'l Der. Ltd.	Henderson Inv Euro Wts May 2007	0.7900	14.68 per unit	2007/05/18	20,000,000	2006/10/25
09819	J P Morgan Int'l Der. Ltd.	New World Dev Euro Wts May 2007	1.2600	14.28 per unit	2007/05/18	100,000,000	2006/10/25
09818	J P Morgan Int'l Der. Ltd.	Hysan Dev European Wts Jun 2007	1.9700	21.28 per unit	2007/06/29	15,000,000	2006/10/25
09820	J P Morgan Int'l Der. Ltd.	PCCW European Warrants Jun 2007	0.3600	4.98 per unit	2007/06/29	200,000,000	2006/10/25
09825	KBC Fin Products Int'l Ltd.	BankComm Euro Wts May 2007 A	0.4000	6.188 per unit	2007/05/02	248,000,000	2006/10/25
09826	KBC Fin Products Int'l Ltd.	China Life Euro Wts Apr 2007 D	1.4000	18 per unit	2007/04/02	138,000,000	2006/10/25
09834	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jun 2007 B	0.9500	20.88 per unit	2007/06/18	208,000,000	2006/10/25
09833	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jun 2007 A	1.1500	19.68 per unit	2007/06/01	168,000,000	2006/10/25
09806	UBS AG	BankComm European Warrants Jun 2007 A	0.5200	6.08 per unit	2007/06/18	100,000,000	2006/10/25
09807	UBS AG	CC Bank European Warrants Jun 2007 A	0.2500	3.78 per unit	2007/06/18	100,000,000	2006/10/25
09808	UBS AG	Sinopec Corp European Warrants Jun 2007 A	0.4250	5.28 per unit	2007/06/25	100,000,000	2006/10/25
09809	UBS AG	China Tel European Warrants Aug 2007	0.2500	3.08 per unit	2007/08/13	100,000,000	2006/10/25
09810	UBS AG	CNOOC European Warrants Jun 2007	0.5000	6.88 per unit	2007/06/18	100,000,000	2006/10/25
09849	Calyon Fin P (G) Ltd.	China Life European Wts Jul 2007 B	0.2610	17.5880	2007/07/10	300,000,000	2006/10/26
09850	Calyon Fin P (G) Ltd.	HSBC European Warrants Apr 2007 B	0.4800	150.0800	2007/04/17	300,000,000	2006/10/26
09839	Macquarie Bank Ltd.	Bank of EA European Wts Apr 2007 B	0.2690	40.0000	2007/04/30	200,000,000	2006/10/26
09840	Macquarie Bank Ltd.	China Shenhua European Wts Jul 2007	0.2550	14.5000	2007/07/19	200,000,000	2006/10/26
09841	Macquarie Bank Ltd.	BankComm European Put Wts Apr 2007 E	0.2610	5.5 per unit	2007/04/27	150,000,000	2006/10/26
09851	Macquarie Bank Ltd.	China Life European Wts May 2007 B	0.8420	20 per unit	2007/05/30	168,000,000	2006/10/26
09860	Macquarie Bank Ltd.	China Life European Put Wts Jun 2007 A	1.3830	16 per unit	2007/06/28	88,000,000	2006/10/26
09861	Macquarie Bank Ltd.	China Life European Put Wts Sep 2007	0.2600	17.0000	2007/09/27	388,000,000	2006/10/26
02490 #	Macquarie Bank Ltd.	HSI European Put Warrants Mar 2007 A	0.0920	18,000 per 7,500 units	2007/03/29	1,000,000,000	2006/10/26
02189 #	Deutsche Bank AG	HSI European Put Warrants Mar 2007 D	0.1650	17,800 per 4,000 units	2007/03/29	200,000,000	2006/10/26
09876	ABN AMRO Bank N.V.	Gold European Warrants Jun 2007	0.4150	630 per 1,000 units	2007/06/22	50,000,000	2006/10/27
09874	ABN AMRO Bank N.V.	HKEx European Warrants Jun 2007	0.2500	66.8800	2007/06/05	100,000,000	2006/10/27
09875	ABN AMRO Bank N.V.	PetroChina European Warrants Jun 2007 A	0.5600	8.88 per unit	2007/06/04	100,000,000	2006/10/27
09877	J P Morgan Int'l Der. Ltd.	N225 Index Euro Wts Jun 2007 A	0.3600	17,008 per 150 units	2007/06/07	300,000,000	2006/10/27
09879	J P Morgan Int'l Der. Ltd.	N225 Index Euro Wts Sep 2007	0.2900	18,008 per 150 units	2007/09/13	360,000,000	2006/10/27
09878	J P Morgan Int'l Der. Ltd.	N225 Index Euro Wts Jun 2007 B	0.2800	17,508 per 150 units	2007/06/07	390,000,000	2006/10/27
09880	J P Morgan Int'l Der. Ltd.	N225 Idx Euro Put Wts Jun 2007	0.3200	16,008 per 150 units	2007/06/07	330,000,000	2006/10/27
09862	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts May 2007 A	0.3500	3.488 per unit	2007/05/08	278,000,000	2006/10/27
09863	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jun 2007 B	0.2700	3.688 per unit	2007/06/01	368,000,000	2006/10/27

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
09866	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jul 2007 B	0.2500	3.818 per unit	2007/07/03	388,000,000	2006/10/27
09867	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2007 E	0.1790	17,800 per 4,000 units	2007/03/29	548,000,000	2006/10/27
09871	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Apr 2007 C	0.2500	17,400 per 4,000 units	2007/04/27	548,000,000	2006/10/27
09881	Macquarie Bank Ltd.	HSI European Put Warrants Apr 2007 E	0.2570	19,200 per 7,000 units	2007/04/27	388,000,000	2006/10/27
09872	SGA Societe Generale Acceptance N.V.	CMob Euro Wts Apr 07E	0.4900	62.7800	2007/04/30	204,000,000	2006/10/27
09873	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2007 C	0.3250	17,800 per 4,000 units	2007/04/27	400,000,000	2006/10/27
09578 #	Deutsche Bank AG	CC Bank European Warrants Feb 2007	0.2070	3.60 per unit	2007/02/12	100,000,000	2006/10/27
09902	ABN AMRO Bank N.V.	ICBC European Warrants May 2007 A	0.2500	3.528 per unit	2007/05/07	200,000,000	2006/10/27
09903	ABN AMRO Bank N.V.	ICBC European Warrants May 2007 B	0.2500	3.688 per unit	2007/05/07	200,000,000	2006/10/27
09904	ABN AMRO Bank N.V.	ICBC European Warrants Jul 2007 A	0.2500	3.838 per unit	2007/07/16	200,000,000	2006/10/27
09905	ABN AMRO Bank N.V.	ICBC European Warrants Aug 2007 A	0.2500	4.028 per unit	2007/08/27	200,000,000	2006/10/27
09908	BOCI Asia Ltd.	ICBC European Warrants Aug 2007	0.2500	3.3 per unit	2007/08/15	500,000,000	2006/10/27
09953	Calyon Fin P (G) Ltd.	ICBC European Warrants Apr 2007 A	0.3100	3.888 per unit	2007/04/27	300,000,000	2006/10/27
09954	Calyon Fin P (G) Ltd.	ICBC European Warrants Apr 2007 B	0.2550	4.188 per unit	2007/04/27	300,000,000	2006/10/27
09928	Citigroup Global Mkt H Inc.	ICBC European Wts Apr 2007 A	0.3370	3.48 per unit	2007/04/27	220,000,000	2006/10/27
09929	Citigroup Global Mkt H Inc.	ICBC European Wts Apr 2007 B	0.2550	3.78 per unit	2007/04/27	280,000,000	2006/10/27
09936	Citigroup Global Mkt H Inc.	ICBC European Wts Jun 2007 A	0.4850	3.28 per unit	2007/06/28	180,000,000	2006/10/27
09909	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 A	0.3200	3.188 per unit	2007/05/02	288,000,000	2006/10/27
09913	KBC Fin Products Int'l Ltd.	ICBC European Wts Jun 2007 A	0.2500	3.58 per unit	2007/06/05	400,000,000	2006/10/27
09914	KBC Fin Products Int'l Ltd.	ICBC European Wts Aug 2007 A	0.2500	3.788 per unit	2007/08/02	400,000,000	2006/10/27
09912	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 B	0.2500	3.388 per unit	2007/05/02	400,000,000	2006/10/27
09915	KBC Fin Products Int'l Ltd.	ICBC European Put Wts May 2007	0.3700	3.188 per unit	2007/05/02	268,000,000	2006/10/27
09900	CC Rabobank B.A.	ICBC European Warrants May 2007	0.2500	3.4 per unit	2007/05/18	160,000,000	2006/10/27
09901	CC Rabobank B.A.	ICBC European Warrants Jul 2007	0.2500	3.6 per unit	2007/07/18	160,000,000	2006/10/27
09898	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Apr 2007 A	0.2500	3.35 per unit	2007/04/27	200,000,000	2006/10/27
09899	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2007 A	0.2500	3.47 per unit	2007/06/04	200,000,000	2006/10/27
09951	UBS AG	ICBC European Warrants Jun 2007 A	0.2500	3.38 per unit	2007/06/25	100,000,000	2006/10/27
09952	UBS AG	ICBC European Warrants Aug 2007 A	0.2500	3.68 per unit	2007/08/20	100,000,000	2006/10/27
09882	BNP Paribas Arbit Issu B.V.	HSCEI European Wts May 2007 A	0.2600	7,600 per 3,100 units	2007/05/30	200,000,000	2006/10/31
09886	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts May 2007 C	0.2600	7,000 per 2,000 units	2007/05/30	200,000,000	2006/10/31
09885	Credit Suisse	Sinopec Corp European Warrants Aug 2007 A	0.5800	5.18 per unit	2007/08/08	100,000,000	2006/10/31
09887	Credit Suisse	Sinopec Corp European Warrants Aug 2007 B	0.4800	5.48 per unit	2007/08/08	100,000,000	2006/10/31
09888	Credit Suisse	CNOOC European Warrants Aug 2007	0.5100	6.88 per unit	2007/08/08	100,000,000	2006/10/31
09891	Credit Suisse	PetroChina European Warrants Aug 2007	0.7100	8.88 per unit	2007/08/08	100,000,000	2006/10/31
09892	Fortis Bank S.A./N.V.	CHALCO European Warrants May 2007	0.4760	5.88 per unit	2007/05/07	68,000,000	2006/10/31
09893	Fortis Bank S.A./N.V.	China Shenhua Euro Wts Sep 2007	0.2550	15.0800	2007/09/28	268,000,000	2006/10/31
09894	Fortis Bank S.A./N.V.	Hutchison European Warrants May 2007	0.2630	78.0000	2007/05/31	51,000,000	2006/10/31
09897	Fortis Bank S.A./N.V.	Hutchison European Warrants Nov 2007	0.2600	83.0300	2007/11/02	48,000,000	2006/10/31
09973	ABN AMRO Bank N.V.	China Mobile European Warrants May 2007	0.3700	64.8800	2007/05/15	150,000,000	2006/11/01
09974	ABN AMRO Bank N.V.	ICBC European Warrants Jun 2007 A	0.3330	3.328 per unit	2007/06/26	200,000,000	2006/11/01
09983	J P Morgan Int'l Der. Ltd.	Agile Ppt European Wts Jun 2007	1.3000	6.68 per unit	2007/06/28	50,000,000	2006/11/01
09984	J P Morgan Int'l Der. Ltd.	Henderson Land Eur Wts May 2007	0.2600	44.9800	2007/05/30	100,000,000	2006/11/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09989	J P Morgan Int'l Der. Ltd.	Sino Land European Wts May 2007	0.9800	13.98 per unit	2007/05/25	20,000,000	2006/11/01
09988	J P Morgan Int'l Der. Ltd.	HKEx European Warrants May 2007	0.3600	66.8800	2007/05/30	200,000,000	2006/11/01
03448	J P Morgan Int'l Der. Ltd.	Wharf European Wts Jun 2007	0.2500	27.8800	2007/06/28	100,000,000	2006/11/01
09965	KBC Fin Products Int'l Ltd.	BOCL European Wts Jun 2007 A	0.2920	3.44 per unit	2007/06/08	338,000,000	2006/11/01
09966	KBC Fin Products Int'l Ltd.	BOCL European Wts Aug 2007 A	0.2540	3.688 per unit	2007/08/10	388,000,000	2006/11/01
09969	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Aug 2007 A	0.2500	4.088 per unit	2007/08/01	388,000,000	2006/11/01
09970	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Sep 2007 A	0.2500	4.288 per unit	2007/09/03	368,000,000	2006/11/01
09971	KBC Fin Products Int'l Ltd.	CC Bank Eur Put Wts Jun 2007 E	0.2500	3.49 per unit	2007/06/04	400,000,000	2006/11/01
09955	UBS AG	China Life European Warrants May 2007 B	0.2500	15.8800	2007/05/07	100,000,000	2006/11/01
09956	UBS AG	China Life European Warrants May 2007 C	1.1500	17.88 per unit	2007/05/07	100,000,000	2006/11/01
09964	UBS AG	China Life European Put Warrants May 2007	1.1300	15.88 per unit	2007/05/07	100,000,000	2006/11/01
09458 #	KBC Fin Products Int'l Ltd.	BOCL European Wts Jan 2007 A	0.0580	3.588 per unit	2007/01/10	792,000,000	2006/11/01
02202	Barclays Bank plc	ICBC European Warrants Jun 2007	0.2500	3.6 per unit	2007/06/28	50,000,000	2006/11/02
03475	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jul 2007 B	0.2500	18.2800	2007/07/16	200,000,000	2006/11/02
03525	BNP Paribas Arbit Issu B.V.	CM Bank Euro Wts May 2007 B	0.6900	12.99 per unit	2007/05/02	100,000,000	2006/11/02
02222	J P Morgan Int'l Der. Ltd.	Ch Mobile Euro Put Wts May 2007	0.3100	57.0000	2007/05/25	200,000,000	2006/11/02
03449	Macquarie Bank Ltd.	CIFH European Warrants Jun 2007 A	0.6080	5.5 per unit	2007/06/28	100,000,000	2006/11/02
03474	Macquarie Bank Ltd.	PCCW European Warrants Jun 2007	0.3760	5 per unit	2007/06/28	150,000,000	2006/11/02
02196	SGA Societe Generale Acceptance N.V.	CK(H) Eur Wt May 07 A	0.6500	85.8800	2007/05/02	154,000,000	2006/11/02
03526	SGA Societe Generale Acceptance N.V.	Ch Mob Eur Wts May 07	0.5300	63.1800	2007/05/02	189,000,000	2006/11/02
02195	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt May 07 A	0.4900	5.48 per unit	2007/05/02	204,000,000	2006/11/02
02197	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 07A	0.2100	18,000 per 4,000 units	2007/04/27	400,000,000	2006/11/02
02411 #	Macquarie Bank Ltd.	HSI European Put Warrants Feb 2007 D	0.1220	18,200 per 4,500 units	2007/02/27	1,000,000,000	2006/11/02
02273	KBC Fin Products Int'l Ltd.	BankComm Euro Wts May 2007 B	0.7000	5.688 per unit	2007/05/15	138,000,000	2006/11/03
02277	KBC Fin Products Int'l Ltd.	CMob European Wts Apr 2007	0.6200	62.0000	2007/04/02	158,000,000	2006/11/03
02282	KBC Fin Products Int'l Ltd.	CMob Euro Put Wts Apr 2007 B	0.2020	55.0000	2007/04/02	400,000,000	2006/11/03
02279	KBC Fin Products Int'l Ltd.	BankComm Eur Put Wt May 2007 F	0.2500	5.488 per unit	2007/05/08	388,000,000	2006/11/03
02278	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2007 F	0.1890	18,200 per 4,000 units	2007/03/29	508,000,000	2006/11/03
02223	Macquarie Bank Ltd.	Foxconn European Warrants Jun 2007	0.3500	28.0000	2007/06/28	88,000,000	2006/11/03
02267	Macquarie Bank Ltd.	Zijin Mining European Wts May 2007	0.7380	5 per unit	2007/05/30	88,000,000	2006/11/03
02268	CC Rabobank B.A.	CC Bank European Warrants May 2007 B	0.2500	3.88 per unit	2007/05/28	160,000,000	2006/11/03
02269	CC Rabobank B.A.	China Life European Warrants Jul 2007	0.2600	16.9800	2007/07/20	160,000,000	2006/11/03
02270	CC Rabobank B.A.	CM Bank European Warrants May 2007	0.6800	13.08 per unit	2007/05/28	160,000,000	2006/11/03
02272	CC Rabobank B.A.	PetroChina European Warrants May 2007 B	0.6400	9 per unit	2007/05/28	160,000,000	2006/11/03
02215 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2007 A	0.1230	17,600 per 4,200 units	2007/03/29	600,000,000	2006/11/03
02255 #	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 07D	0.1760	18,200 per 4,000 units	2007/03/29	487,000,000	2006/11/03
02293	BNP Paribas Arbit Issu B.V.	BOCHK European Wts Jun 2007	0.6100	19.28 per unit	2007/06/15	40,000,000	2006/11/06
02295	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eur Wt May 2007 B	0.3500	5.88 per unit	2007/05/07	100,000,000	2006/11/06
02296	BNP Paribas Arbit Issu B.V.	HSBC European Wts May 2007	0.2500	158.8800	2007/05/14	100,000,000	2006/11/06
02292	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts May 2007 C	0.3500	18,400 per 4,000 units	2007/05/30	400,000,000	2006/11/06
02297	BNP Paribas Arbit Issu B.V.	HSI European Wts May 2007 A	0.3000	18,800 per 4,000 units	2007/05/30	400,000,000	2006/11/06
02284	Deutsche Bank AG	HSBC European Warrants May 2007	0.3600	154.0000	2007/05/08	100,000,000	2006/11/06
04433	Goldman Sachs SP (Asia)	HKEx European Put Wts May 2007	1.0200	61.8000	2007/05/07	150,000,000	2006/11/06

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	
04432	Goldman Sachs SP (Asia)	China Mobile Eur Put Wt Apr 2007 D	0.4300	60.0000	2007/04/16	300,000,000	2006/11/06
02299	KBC Fin Products Int'l Ltd.	BOCL European Wts Jun 2007 B	0.2750	3.288 per unit	2007/06/04	348,000,000	2006/11/06
04430	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 C	0.3300	3.488 per unit	2007/05/08	288,000,000	2006/11/06
04431	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 D	0.4500	3.288 per unit	2007/05/15	218,000,000	2006/11/06
02280	Macquarie Bank Ltd.	HKEx European Warrants May 2007 B	0.4270	70.0000	2007/05/30	128,000,000	2006/11/06
02283	Macquarie Bank Ltd.	HKEx European Put Warrants May 2007	0.3280	60.0000	2007/05/30	88,000,000	2006/11/06
02298	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 07B	0.1830	18,400 per 4,800 units	2007/04/27	400,000,000	2006/11/06
04439	Citigroup Global Mkt H Inc.	HSI European Warrants May 2007	0.3650	17,600 per 4,000 units	2007/05/30	250,000,000	2006/11/07
04443	Citigroup Global Mkt H Inc.	HSI European Put Wts May 2007	0.3700	19,000 per 4,000 units	2007/05/30	250,000,000	2006/11/07
04434	Credit Suisse	China Life European Warrants Nov 2007	0.2530	15.6800	2007/11/08	50,000,000	2006/11/07
04435	Credit Suisse	BankComm European Warrants Jul 2007	0.5450	5.88 per unit	2007/07/18	50,000,000	2006/11/07
04436	Credit Suisse	China Mobile European Put Warrants May 2007	0.3810	60.8800	2007/05/08	50,000,000	2006/11/07
04437	Credit Suisse	China Life European Put Warrants Nov 2007	0.2530	17.2800	2007/11/08	50,000,000	2006/11/07
04448	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 E	0.3590	3.35 per unit	2007/05/02	268,000,000	2006/11/07
04449	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 F	0.2040	3.688 per unit	2007/05/07	488,000,000	2006/11/07
04442	KBC Fin Products Int'l Ltd.	HSBC European Wts Jun 2007 C	0.5300	146.8800	2007/06/05	188,000,000	2006/11/07
04441	KBC Fin Products Int'l Ltd.	HSBC European Wts Jun 2007 B	0.6700	143.8800	2007/06/05	148,000,000	2006/11/07
04440	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Apr 2007 D	0.1890	18,400 per 4,800 units	2007/04/27	508,000,000	2006/11/07
04438	SGA Societe Generale Acceptance N.V.	ICBC Eur Wts May 2007	0.2500	3.738 per unit	2007/05/07	400,000,000	2006/11/07
04864 #	Deutsche Bank AG	HSBC European Warrants Jan 2007	0.4750	146.0000	2007/01/10	100,000,000	2006/11/07
02189 #	Deutsche Bank AG	HSI European Put Warrants Mar 2007 D	0.1340	17,800 per 4,000 units	2007/03/29	400,000,000	2006/11/07
04455	ABN AMRO Bank N.V.	Sinopec Corp European Warrants May 2007	0.2500	5.988 per unit	2007/05/08	150,000,000	2006/11/08
04456	ABN AMRO Bank N.V.	Sinopec Corp European Wts Jun 2007 A	0.2500	6.288 per unit	2007/06/04	150,000,000	2006/11/08
04454	Citigroup Global Mkt H Inc.	CM Bank European Wts May 2007	0.6200	13.18 per unit	2007/05/14	90,000,000	2006/11/08
04458	KBC Fin Products Int'l Ltd.	HSBC European Wts Jun 2007 D	0.5500	149.8800	2007/06/15	168,000,000	2006/11/08
04460	KBC Fin Products Int'l Ltd.	HSBC European Wts Sep 2007	0.3540	158.8800	2007/09/03	268,000,000	2006/11/08
04459	KBC Fin Products Int'l Ltd.	HSBC European Wts Jun 2007 E	0.3700	153.8800	2007/06/01	268,000,000	2006/11/08
04457	KBC Fin Products Int'l Ltd.	HSCEI European Wts Jun 2007 A	0.2520	7,600 per 3,000 units	2007/06/28	388,000,000	2006/11/08
04462	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jun 2007 C	0.2500	7,600 per 2,600 units	2007/06/28	400,000,000	2006/11/08
04450	Macquarie Bank Ltd.	PetroChina European Put Wts May 2007	0.6040	8.5 per unit	2007/05/30	88,000,000	2006/11/08
04451	Macquarie Bank Ltd.	Cathay Pacific European Wts Jun 2008 C	0.2520	18.0000	2008/06/27	88,000,000	2006/11/08
04452	Macquarie Bank Ltd.	China Mobile European Wts May 2007 A	0.3880	70.0000	2007/05/30	250,000,000	2006/11/08
04453	Macquarie Bank Ltd.	Huaneng Power European Wts Jul 2007	0.2510	6.5 per unit	2007/07/30	88,000,000	2006/11/08
02117 #	Deutsche Bank AG	HSI European Put Warrants Mar 2007 B	0.0750	17,400 per 4,000 units	2007/03/29	400,000,000	2006/11/08
02218 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2007 B	0.1100	18,000 per 5,000 units	2007/03/29	600,000,000	2006/11/08
09590 #	Macquarie Bank Ltd.	HSI European Put Warrants Apr 2007 C	0.1430	18,400 per 4,800 units	2007/04/27	1,000,000,000	2006/11/08
04480	Citigroup Global Mkt H Inc.	BOCL European Wts Jun 2007 A	0.2550	3.58 per unit	2007/06/28	200,000,000	2006/11/09
04463	Deutsche Bank AG	CC Bank European Warrants Jun 2007 A	0.2600	3.8 per unit	2007/06/11	100,000,000	2006/11/09
04471	Deutsche Bank AG	China Mobile European Warrants May 2007	0.4600	70.0000	2007/05/30	100,000,000	2006/11/09
04472	Deutsche Bank AG	CC Bank European Put Warrants Jun 2007 B	0.2800	3.5 per unit	2007/06/18	100,000,000	2006/11/09
04473	Deutsche Bank AG	China Mobile European Put Wts May 2007	0.4700	60.0000	2007/05/30	100,000,000	2006/11/09
04488	Deutsche Bank AG	HSI European Warrants May 2007 D	0.2550	19,200 per 4,000 units	2007/05/30	200,000,000	2006/11/09
04490	Deutsche Bank AG	HSI European Put Warrants May 2007 E	0.3000	18,600 per 4,200 units	2007/05/30	200,000,000	2006/11/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units		
04494	KBC Fin Products Int'l Ltd.	Sinopec Corp Eur Wt Jun 2007 A	0.4500	5.818 per unit	2007/06/20	188,000,000
04495	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jul 2007 A	0.2900	2.878 per unit	2007/07/03	488,000,000
04493	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Jun 2007 A	0.5100	6.488 per unit	2007/06/15	148,000,000
04492	KBC Fin Products Int'l Ltd.	HSI European Wts May 2007 A	0.2500	18,800 per 6,000 units	2007/05/30	400,000,000
04496	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Apr 2007 E	0.1880	18,800 per 4,800 units	2007/04/27	548,000,000
04475	KBC Fin Products Int'l Ltd.	N225 Idx European Wts Jun 2007	0.2500	16,400 per 340 units	2007/06/08	400,000,000
04481	KBC Fin Products Int'l Ltd.	N225 Idx Euro Put Wts Jun 07 A	0.2500	15,800 per 300 units	2007/06/08	400,000,000
04482	KBC Fin Products Int'l Ltd.	N225 Idx Euro Put Wts Jun 07 B	0.2500	16,600 per 400 units	2007/06/08	400,000,000
04483	Macquarie Bank Ltd.	China Mobile Euro Put Wts May 2007 B	0.4010	60.0000	2007/05/30	250,000,000
04491	Macquarie Bank Ltd.	HSI European Warrants May 2007 A	0.2540	19,200 per 5,000 units	2007/05/30	388,000,000
04484	CC Rabobank B.A.	China Life European Warrants Jun 2007 C	0.2500	16.5800	2007/06/18	160,000,000
04485	CC Rabobank B.A.	CM Bank European Warrants Aug 2007 A	1.2800	12.78 per unit	2007/08/28	160,000,000
04486	CC Rabobank B.A.	HKEx European Warrants May 2007 B	0.5500	62.3800	2007/05/30	160,000,000
04487	CC Rabobank B.A.	HSBC European Warrants Jun 2007	0.4400	152.5000	2007/06/08	100,000,000
04476	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2007 D	0.2220	18,400 per 4,800 units	2007/04/27	500,000,000
04479	SGA Societe Generale Acceptance N.V.	HWL Euro Wts May 2007	0.3300	70.1800	2007/05/09	250,000,000
09742 #	KBC Fin Products Int'l Ltd.	HWL European Wts Feb 2007 B	0.2310	70.6800	2007/02/15	430,000,000
09909 #	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 A	0.5100	3.188 per unit	2007/05/02	390,000,000
09912 #	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 B	0.3750	3.388 per unit	2007/05/02	260,000,000
04500	BNP Paribas Arbit Issu B.V.	CC Bank European Wts May 2007	0.2500	3.88 per unit	2007/05/31	200,000,000
04503	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Jun 2007	0.5800	69.9900	2007/06/15	200,000,000
04524	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Put Wt Jun 2007	0.7900	66.9900	2007/06/15	200,000,000
04525	BNP Paribas Arbit Issu B.V.	PetroChina Euro Wts May 2007	0.5600	9.18 per unit	2007/05/31	100,000,000
04526	BNP Paribas Arbit Issu B.V.	HSI European Wts Mar 2007 B	0.2700	18,800 per 3,800 units	2007/03/29	400,000,000
04513	Fortis Bank S.A./N.V.	ICBC European Warrants Jun 2007	0.2500	3.58 per unit	2007/06/06	100,000,000
04514	Fortis Bank S.A./N.V.	Sinopec Corp European Wts Jul 2007	0.3260	6.08 per unit	2007/07/06	39,000,000
04521	Fortis Bank S.A./N.V.	ICBC European Warrants Jun 2008	0.2750	4.08 per unit	2008/06/04	80,000,000
04529	Goldman Sachs SP (Asia)	SHK Ppt European Warrants Apr 2007	0.3700	90.0500	2007/04/23	200,000,000
04528	Goldman Sachs SP (Asia)	HSBC European Warrants Feb 2007 B	0.4300	152.9000	2007/02/26	200,000,000
04527	Goldman Sachs SP (Asia)	China Mobile Euro Wts May 2007 A	0.5400	70.0000	2007/05/14	300,000,000
04532	Goldman Sachs SP (Asia)	HSCEI European Warrants May 2007	0.2500	7,800 per 2,500 units	2007/05/30	400,000,000
04539	Goldman Sachs SP (Asia)	HSCEI European Put Wts May 2007	0.2500	7,600 per 2,500 units	2007/05/30	400,000,000
04531	Goldman Sachs SP (Asia)	HSI European Warrants May 2007 A	0.2500	19,300 per 3,500 units	2007/05/30	400,000,000
04535	Goldman Sachs SP (Asia)	HSI European Put Wts May 2007 C	0.2500	18,800 per 4,800 units	2007/05/30	400,000,000
04522	J P Morgan Int'l Der. Ltd.	BOCL European Wts Mar 2007 B	0.1500	3.39 per unit	2007/03/01	500,000,000
04523	J P Morgan Int'l Der. Ltd.	HSBC European Wts Feb 2007 B	0.3600	152.0000	2007/02/14	200,000,000
04533	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jun 2007 A	0.3100	70.8800	2007/06/06	318,000,000
04540	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jul 2007 A	0.2500	73.8800	2007/07/05	400,000,000
04497	Macquarie Bank Ltd.	HSBC European Warrants May 2007 B	0.2660	160.0000	2007/05/30	300,000,000
04498	Macquarie Bank Ltd.	Lenovo European Warrants May 2007	0.3270	3.6 per unit	2007/05/14	88,000,000
04499	Macquarie Bank Ltd.	Yanzhou Coal European Wts Jun 2007	0.3630	5.8 per unit	2007/06/28	88,000,000
04502	Macquarie Bank Ltd.	HSBC European Put Warrants May 2007	0.6770	150.0000	2007/05/30	200,000,000

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
04507	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2007 A	0.2500	3.758 per unit	2007/06/04	400,000,000	2006/11/10
04508	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt May07A	0.6000	64.8800	2007/05/21	250,000,000	2006/11/10
04509	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt May07A	0.7800	149.8800	2007/05/21	300,000,000	2006/11/10
04506	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2007 B	0.4050	18,000 per 4,000 units	2007/05/30	500,000,000	2006/11/10
04504	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2007 A	0.2700	19,000 per 4,000 units	2007/05/30	500,000,000	2006/11/10
04511	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt May 07E	0.2950	18,800 per 4,000 units	2007/05/30	500,000,000	2006/11/10
09799 #	Deutsche Bank AG	HSI European Put Warrants Apr 2007	0.1420	18,200 per 4,200 units	2007/04/27	400,000,000	2006/11/10
04543	ABN AMRO Bank N.V.	BankComm European Warrants May 2007 B	0.2560	6.688 per unit	2007/05/14	100,000,000	2006/11/13
04545	ABN AMRO Bank N.V.	HSBC European Warrants May 2007 C	0.2700	156.2800	2007/05/28	100,000,000	2006/11/13
04548	ABN AMRO Bank N.V.	ICBC European Warrants May 2007 C	0.2500	3.868 per unit	2007/05/28	200,000,000	2006/11/13
04544	ABN AMRO Bank N.V.	China Tel European Warrants Jun 2007 A	0.2500	3.108 per unit	2007/06/28	200,000,000	2006/11/13
04542	ABN AMRO Bank N.V.	China Mobile European Warrants Jun 2007	0.4250	72.8800	2007/06/20	150,000,000	2006/11/13
04547	ABN AMRO Bank N.V.	China Mobile European Put Wts Jun 2007	0.3700	62.8800	2007/06/12	150,000,000	2006/11/13
04550	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jun 2007 A	0.2600	3.18 per unit	2007/06/18	368,000,000	2006/11/13
04557	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Wts Aug 2007 A	0.8400	83.8800	2007/08/01	118,000,000	2006/11/13
04555	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Wts Jul 2007 A	0.5400	88.8800	2007/07/05	168,000,000	2006/11/13
04553	KBC Fin Products Int'l Ltd.	HSI European Wts May 2007 B	0.2500	19,200 per 5,300 units	2007/05/30	400,000,000	2006/11/13
04554	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Apr 2007 F	0.1510	19,200 per 7,000 units	2007/04/27	648,000,000	2006/11/13
04541	Macquarie Bank Ltd.	China Unicom European Wts May 2007	0.4470	10 per unit	2007/05/30	150,000,000	2006/11/13
04549	Macquarie Bank Ltd.	Sinopec Corp European Wts May 2007	0.3200	6 per unit	2007/05/30	200,000,000	2006/11/13
09592 #	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Put Wt Apr 2007	0.2000	59.9900	2007/04/17	140,000,000	2006/11/13
04616	BNP Paribas Arbit Issu B.V.	ICBC European Wts May 2007 A	0.4000	3.48 per unit	2007/05/14	200,000,000	2006/11/14
04617	BNP Paribas Arbit Issu B.V.	ICBC European Wts May 2007 B	0.2500	3.8 per unit	2007/05/14	200,000,000	2006/11/14
04625	Deutsche Bank AG	China Life European Warrants May 2007	0.1890	16.9000	2007/05/21	100,000,000	2006/11/14
04587	Deutsche Bank AG	HSI European Warrants May 2007 A	0.2500	19,600 per 4,000 units	2007/05/30	200,000,000	2006/11/14
04591	Deutsche Bank AG	HSI European Put Warrants May 2007 B	0.2900	19,000 per 4,500 units	2007/05/30	200,000,000	2006/11/14
04640	Goldman Sachs SP (Asia)	BankComm European Wts May 2007 A	0.4300	6.9 per unit	2007/05/14	200,000,000	2006/11/14
04639	Goldman Sachs SP (Asia)	China Mobile Euro Wts May 2007 B	0.4600	73.8000	2007/05/14	300,000,000	2006/11/14
04642	Goldman Sachs SP (Asia)	CM Bank European Wts Apr 2007 D	0.7700	13.9 per unit	2007/04/02	100,000,000	2006/11/14
04643	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts May 2007	0.5900	66.0000	2007/05/14	300,000,000	2006/11/14
04644	Goldman Sachs SP (Asia)	HSI European Warrants May 2007 B	0.2500	19,800 per 4,000 units	2007/05/30	400,000,000	2006/11/14
04646	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2007 A	0.2500	18,888 per 4,800 units	2007/04/27	400,000,000	2006/11/14
04612	HK Bank	China Life European Warrants Dec 2007	0.2800	16.8000	2007/12/14	100,000,000	2006/11/14
04624	J P Morgan Int'l Der. Ltd.	Ch Overseas Euro Wts Jun 2007	0.7500	8.28 per unit	2007/06/15	200,000,000	2006/11/14
04623	J P Morgan Int'l Der. Ltd.	China Netcom Euro Wts Jun 2007	0.8500	15.68 per unit	2007/06/15	100,000,000	2006/11/14
04620	J P Morgan Int'l Der. Ltd.	CC Bank European Wts May 2007	0.2400	3.58 per unit	2007/05/18	400,000,000	2006/11/14
04619	J P Morgan Int'l Der. Ltd.	BankComm Euro Wts Jun 2007 B	0.4500	6.68 per unit	2007/06/15	300,000,000	2006/11/14
04621	J P Morgan Int'l Der. Ltd.	CM Bank European Wts Apr 2007 B	0.8000	13.89 per unit	2007/04/11	150,000,000	2006/11/14
04629	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jul 2007 B	0.2500	3.488 per unit	2007/07/05	388,000,000	2006/11/14
04638	KBC Fin Products Int'l Ltd.	SHK Ppt European Wts May 2007	0.4200	93.8880	2007/05/30	228,000,000	2006/11/14
04628	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Jul 2007 A	0.4070	6.888 per unit	2007/07/03	238,000,000	2006/11/14
04636	KBC Fin Products Int'l Ltd.	ICBC European Wts Jun 2007 B	0.2500	3.868 per unit	2007/06/01	400,000,000	2006/11/14
04630	KBC Fin Products Int'l Ltd.	Huaneng Power Eur Wts Jun 2007	0.4000	6.588 per unit	2007/06/18	248,000,000	2006/11/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04602	Macquarie Bank Ltd.	BankComm European Warrants Jun 2007 A	0.3890	7 per unit	2007/06/28	250,000,000	2006/11/14
04604	Macquarie Bank Ltd.	CM Bank European Warrants May 2007 A	1.1330	14 per unit	2007/05/30	100,000,000	2006/11/14
04603	Macquarie Bank Ltd.	CM Bank European Warrants Dec 2007 A	0.2590	13.0000	2007/12/27	388,000,000	2006/11/14
04558	Macquarie Bank Ltd.	China Mobile European Wts May 2007 C	0.4690	75.0000	2007/05/30	250,000,000	2006/11/14
04559	Macquarie Bank Ltd.	China Mobile European Wts May 2007 D	0.3270	80.0000	2007/05/30	250,000,000	2006/11/14
04560	Macquarie Bank Ltd.	China Telecom European Wts May 2007 B	0.2540	3.25 per unit	2007/05/30	200,000,000	2006/11/14
04580	Macquarie Bank Ltd.	China Mobile Euro Put Wts May 2007 E	0.4490	65.0000	2007/05/30	250,000,000	2006/11/14
04601	Macquarie Bank Ltd.	HSI European Warrants May 2007 B	0.2530	19,600 per 5,000 units	2007/05/30	388,000,000	2006/11/14
04605	Macquarie Bank Ltd.	HSI European Put Warrants May 2007 C	0.2500	19,000 per 5,600 units	2007/05/30	388,000,000	2006/11/14
04606	Macquarie Bank Ltd.	HSI European Put Warrants May 2007 D	0.2540	19,600 per 6,800 units	2007/05/30	388,000,000	2006/11/14
04589	SGA Societe Generale Acceptance N.V.	CMB Euro Wts May 2007	1.0100	13.78 per unit	2007/05/14	300,000,000	2006/11/14
04590	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Aug 2008	0.2500	12.8800	2008/08/25	200,000,000	2006/11/14
04600	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2007 A	0.3400	3.628 per unit	2007/07/03	200,000,000	2006/11/14
04599	SGA Societe Generale Acceptance N.V.	ICBC Eu Put Wt Jun 07	0.2500	3.59 per unit	2007/06/04	200,000,000	2006/11/14
04598	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2007 C	0.2500	19,400 per 4,000 units	2007/05/30	500,000,000	2006/11/14
04588	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 07E	0.1650	18,800 per 4,800 units	2007/04/27	500,000,000	2006/11/14
04607	UBS AG	China Mobile European Warrants Jun 2007	0.4000	78.8800	2007/06/25	100,000,000	2006/11/14
04609	UBS AG	China Tel European Warrants Jun 2007	0.2500	3.68 per unit	2007/06/11	100,000,000	2006/11/14
04610	UBS AG	HSBC European Warrants Jun 2007	0.2500	159.8800	2007/06/11	100,000,000	2006/11/14
04611	UBS AG	China Mobile European Put Warrants Jun 2007	0.4350	63.8800	2007/06/25	100,000,000	2006/11/14
09903 #	ABN AMRO Bank N.V.	ICBC European Warrants May 2007 B	0.1850	3.688 per unit	2007/05/07	200,000,000	2006/11/14
04669	BOCI Asia Ltd.	China Life European Warrants Sep 2007 A	0.2500	16.7000	2007/09/03	500,000,000	2006/11/15
04670	BOCI Asia Ltd.	CM Bank European Warrants Sep 2007	0.4900	16.32 per unit	2007/09/04	25,000,000	2006/11/15
04671	BOCI Asia Ltd.	Foxconn European Warrants Sep 2007	0.4000	29.6500	2007/09/05	300,000,000	2006/11/15
04672	BOCI Asia Ltd.	HSBC European Warrants Sep 2007	0.5000	160.0000	2007/09/06	200,000,000	2006/11/15
04675	BOCI Asia Ltd.	SHK Ppt European Warrants Sep 2007	0.4900	98.6000	2007/09/07	150,000,000	2006/11/15
04647	Deutsche Bank AG	China Mobile European Warrants Jun 2007	0.4500	75.0000	2007/06/11	100,000,000	2006/11/15
04654	Deutsche Bank AG	China Mobile European Put Wts Jun 2007	0.5500	65.0000	2007/06/11	100,000,000	2006/11/15
04676	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Apr 2007	0.4900	5.99 per unit	2007/04/30	150,000,000	2006/11/15
04677	Goldman Sachs SP (Asia)	HSBC European Put Wts May 2007	0.7700	150.0000	2007/05/21	150,000,000	2006/11/15
04678	Macquarie Bank Ltd.	ICBC European Warrants May 2007 A	0.2590	3.6 per unit	2007/05/30	388,000,000	2006/11/15
04679	Macquarie Bank Ltd.	ICBC European Warrants Apr 2007 A	0.1940	3.688 per unit	2007/04/30	388,000,000	2006/11/15
04680	Macquarie Bank Ltd.	ICBC European Warrants Apr 2007 B	0.1510	3.79 per unit	2007/04/27	388,000,000	2006/11/15
04648	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jun 2007	0.5300	72.8800	2007/06/04	500,000,000	2006/11/15
04650	SGA Societe Generale Acceptance N.V.	CK(H) Eur Wt May 07 B	0.4050	96.8800	2007/05/21	200,000,000	2006/11/15
04653	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Jun 2007	0.2600	75.8800	2007/06/04	400,000,000	2006/11/15
04665	SGA Societe Generale Acceptance N.V.	SHK P Eur Wt May 2007	0.6200	92.8800	2007/05/21	200,000,000	2006/11/15
04666	SGA Societe Generale Acceptance N.V.	SHK P Eur Wt Jun 2007	0.4850	97.8800	2007/06/18	200,000,000	2006/11/15
04668	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Jun 07	0.6700	66.8800	2007/06/04	500,000,000	2006/11/15
09228 #	Credit Suisse	Hutchison European Warrants Jan 2007 A	0.1040	73.8800	2007/01/18	200,000,000	2006/11/15
01283 #	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Mar 2007	0.1670	74.8800	2007/03/19	100,000,000	2006/11/15
09591 #	Macquarie Bank Ltd.	HSI European Put Warrants Apr 2007 D	0.1560	18,800 per 4,800 units	2007/04/27	1,000,000,000	2006/11/15

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units		
04693	ABN AMRO Bank N.V.	China Mobile European Warrants Jul 2007	0.5400	68.8800	2007/07/03	150,000,000
04694	ABN AMRO Bank N.V.	China Tel European Warrants Jun 2007 B	0.2500	3.488 per unit	2007/06/28	200,000,000
04691	ABN AMRO Bank N.V.	Oil Futures European Warrants May 2007	0.2600	USD 72 per 200 units	2007/05/23	40,000,000
04695	ABN AMRO Bank N.V.	Oil Futures European Put Wts May 2007	0.3900	USD 60 per 100 units	2007/05/23	40,000,000
04698	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Sep 2009 B	0.6700	8 per unit	2009/09/09	148,000,000
04699	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Sep 2009 B	0.4420	4.8 per unit	2009/09/09	218,000,000
04700	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2009	0.2900	22.8800	2009/09/29	338,000,000
04701	KBC Fin Products Int'l Ltd.	CM Bank European Wts May 2007	0.8600	14.888 per unit	2007/05/30	108,000,000
04711	KBC Fin Products Int'l Ltd.	ICBC European Wts Sep 2009	0.3500	4.688 per unit	2009/09/29	268,000,000
04681	SGA Societe Generale Acceptance N.V.	CTel Eur Wts May 2007	0.2600	3.48 per unit	2007/05/28	300,000,000
04687	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Jul 2007 A	0.2500	3.68 per unit	2007/07/30	300,000,000
04689	SGA Societe Generale Acceptance N.V.	CNOOC Euro Wts Jun 07	0.5300	6.68 per unit	2007/06/11	300,000,000
04690	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt May 07 B	0.5100	5.88 per unit	2007/05/21	300,000,000
03713 #	CC Rabobank B.A.	MTR Corp European Warrants Jul 2007	0.0620	20.8800	2007/07/18	180,000,000
04754	ABN AMRO Bank N.V.	BankComm European Warrants Jun 2007 A	0.3200	7.288 per unit	2007/06/01	100,000,000
04764	ABN AMRO Bank N.V.	China Tel European Warrants Jun 2007 C	0.2500	3.688 per unit	2007/06/11	200,000,000
04765	ABN AMRO Bank N.V.	PetroChina European Warrants Jun 2007 B	0.5600	9.288 per unit	2007/06/11	100,000,000
04766	ABN AMRO Bank N.V.	PetroChina European Warrants Jul 2007 A	0.5100	9.688 per unit	2007/07/03	100,000,000
04767	Barclays Bank plc	BankComm European Warrants May 2007	0.2600	7.68 per unit	2007/05/22	50,000,000
04768	BNP Paribas Arbit Issu B.V.	HSI European Wts May 2007 B	0.2900	19,600 per 3,600 units	2007/05/30	400,000,000
04772	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts May 2007 D	0.3100	18,800 per 4,000 units	2007/05/30	400,000,000
04712	Deutsche Bank AG	BankComm European Warrants May 2007 A	0.5600	6.8 per unit	2007/05/17	100,000,000
04750	Deutsche Bank AG	CM Bank European Warrants May 2007 B	1.6100	15 per unit	2007/05/21	100,000,000
04753	Deutsche Bank AG	CM Bank European Warrants May 2007 C	1.2600	16 per unit	2007/05/29	100,000,000
04744	Deutsche Bank AG	ICBC European Warrants May 2007 A	0.3100	3.65 per unit	2007/05/21	100,000,000
04749	Deutsche Bank AG	ICBC European Warrants May 2007 B	0.2500	3.88 per unit	2007/05/28	100,000,000
04787	Goldman Sachs SP (Asia)	ICBC European Warrants Apr 2007 A	0.2000	3.79 per unit	2007/04/23	80,000,000
04791	Goldman Sachs SP (Asia)	ICBC European Warrants Apr 2007 B	0.1500	4.18 per unit	2007/04/23	70,000,000
04783	Goldman Sachs SP (Asia)	China Tel European Wts May 2007	0.3900	3.48 per unit	2007/05/21	200,000,000
04781	Goldman Sachs SP (Asia)	BankComm European Put Wts May 2007	0.5100	6.5 per unit	2007/05/21	200,000,000
04719	J P Morgan Int'l Der. Ltd.	MTRC European Warrants Jul 2007	0.6800	21.28 per unit	2007/07/16	30,000,000
04718	J P Morgan Int'l Der. Ltd.	Denway European Wts Nov 2007	0.5500	3.48 per unit	2007/11/09	150,000,000
04713	J P Morgan Int'l Der. Ltd.	Cathay Pac Euro Wts Jul 2007	0.4800	18.88 per unit	2007/07/16	30,000,000
04714	J P Morgan Int'l Der. Ltd.	Sinopec Corp Euro Wts Jul 2007	0.4200	6.18 per unit	2007/07/16	400,000,000
04717	J P Morgan Int'l Der. Ltd.	Datang European Wts Jul 2007	0.2500	7.8 per unit	2007/07/16	80,000,000
04769	CC Rabobank B.A.	BankComm European Warrants Jun 2007 B	0.5700	6.888 per unit	2007/06/08	160,000,000
04770	CC Rabobank B.A.	BankComm European Warrants Aug 2007 B	0.5900	7.08 per unit	2007/08/08	160,000,000
04771	CC Rabobank B.A.	CM Bank European Warrants Aug 2007 B	0.2500	14.4800	2007/08/28	300,000,000
04780	CC Rabobank B.A.	CM Bank European Warrants Nov 2007	0.2500	15.0800	2007/11/08	300,000,000
04720	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt May 07 A	0.6400	6.68 per unit	2007/05/21	300,000,000
04723	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jul 07 A	0.5600	7.08 per unit	2007/07/16	300,000,000
04727	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2007 B	0.2500	3.88 per unit	2007/06/11	400,000,000

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04728	SGA Societe Generale Acceptance N.V.	CLife Eur Wt Jun 07 A	1.6000	18.38 per unit	2007/06/25	300,000,000	2006/11/17
04732	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Jul 07 A	0.4900	6.18 per unit	2007/07/03	300,000,000	2006/11/17
04733	SGA Societe Generale Acceptance N.V.	Huaneng Eur Wt Jun 07	0.4800	6.68 per unit	2007/06/11	100,000,000	2006/11/17
04734	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2007 B	0.2500	3.88 per unit	2007/07/03	400,000,000	2006/11/17
09929 #	Citigroup Global Mkt H Inc.	ICBC European Wts Apr 2007 B	0.1680	3.78 per unit	2007/04/27	200,000,000	2006/11/17
09530 #	Deutsche Bank AG	HSBC European Warrants Apr 2007 C	0.5200	150.0000	2007/04/24	150,000,000	2006/11/17
04794	BNP Paribas Arbit Issu B.V.	HSCEI European Wts May 2007 B	0.2800	8,400 per 2,000 units	2007/05/30	400,000,000	2006/11/20
04810	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts May 2007 D	0.2600	7,600 per 2,000 units	2007/05/30	400,000,000	2006/11/20
04796	HK Bank	ICBC European Warrants May 2007	0.2700	3.68 per unit	2007/05/28	150,000,000	2006/11/20
04797	HK Bank	ICBC European Warrants Jul 2007	0.2750	3.78 per unit	2007/07/27	150,000,000	2006/11/20
04800	HK Bank	ICBC European Warrants Sep 2007	0.2500	4.08 per unit	2007/09/24	150,000,000	2006/11/20
04870	KBC Fin Products Int'l Ltd.	BankComm Euro Wts May 2007 C	0.6600	7.288 per unit	2007/05/22	148,000,000	2006/11/20
04871	KBC Fin Products Int'l Ltd.	BankComm Euro Wts May 2007 D	0.5300	7.588 per unit	2007/05/22	188,000,000	2006/11/20
04872	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 G	0.2900	3.968 per unit	2007/05/22	328,000,000	2006/11/20
04873	KBC Fin Products Int'l Ltd.	ICBC European Wts Jun 2007 C	0.2500	4.188 per unit	2007/06/20	400,000,000	2006/11/20
04880	KBC Fin Products Int'l Ltd.	ICBC European Wts Jul 2007 A	0.2500	4.288 per unit	2007/07/16	400,000,000	2006/11/20
04827	Macquarie Bank Ltd.	CM Bank European Warrants May 2007 B	0.9930	15 per unit	2007/05/30	138,000,000	2006/11/20
04828	Macquarie Bank Ltd.	CM Bank European Warrants May 2007 C	0.6410	16 per unit	2007/05/30	138,000,000	2006/11/20
04844	Macquarie Bank Ltd.	BOCL European Warrants May 2007 A	0.2520	3.6 per unit	2007/05/30	388,000,000	2006/11/20
04857	Macquarie Bank Ltd.	CM Bank European Put Warrants May 2007	0.4830	14 per unit	2007/05/30	88,000,000	2006/11/20
04826	Macquarie Bank Ltd.	HSCEI European Warrants Jun 2007 A	0.2520	8,200 per 3,050 units	2007/06/28	188,000,000	2006/11/20
04856	Macquarie Bank Ltd.	HSCEI European Put Warrants Jun 2007 B	0.2510	8,000 per 2,950 units	2007/06/28	188,000,000	2006/11/20
04811	UBS AG	BankComm European Warrants Jun 2007 B	0.5400	7.38 per unit	2007/06/11	100,000,000	2006/11/20
04824	UBS AG	CM Bank European Warrants Jun 2007	0.8900	15.88 per unit	2007/06/11	100,000,000	2006/11/20
04825	UBS AG	Sinopec Corp European Warrants Jun 2007 B	0.3900	6.38 per unit	2007/06/25	100,000,000	2006/11/20
02183 #	KBC Fin Products Int'l Ltd.	China Life Euro Wts Apr 2007 C	2.0700	16.888 per unit	2007/04/02	288,000,000	2006/11/20
04488 #	Deutsche Bank AG	HSI European Warrants May 2007 D	0.2060	19,200 per 4,000 units	2007/05/30	400,000,000	2006/11/20
06008	ABN AMRO Bank N.V.	BankComm European Warrants Jun 2007 B	0.2750	7.688 per unit	2007/06/20	100,000,000	2006/11/21
06009	ABN AMRO Bank N.V.	CG Bank European Warrants Jun 2007 A	0.2660	4.028 per unit	2007/06/28	200,000,000	2006/11/21
06002	BOCI Asia Ltd.	China Mobile European Warrants Sep 2007	0.4500	77.4500	2007/09/06	300,000,000	2006/11/21
06007	BOCI Asia Ltd.	China Mobile European Put Warrants Sep 2007	0.4900	59.0000	2007/09/06	300,000,000	2006/11/21
06003	BOCI Asia Ltd.	HK Gas European Warrants Sep 2007	0.3300	21 per unit	2007/09/03	150,000,000	2006/11/21
06004	BOCI Asia Ltd.	Hutchison European Warrants Sep 2007	0.2800	80.0000	2007/09/04	250,000,000	2006/11/21
06005	BOCI Asia Ltd.	MTR European Warrants Sep 2007	0.3000	23.7 per unit	2007/09/05	200,000,000	2006/11/21
06006	BOCI Asia Ltd.	Nine Dragons European Warrants Oct 2007 A	0.2800	13.0000	2007/10/15	500,000,000	2006/11/21
06001	Citigroup Global Mkt H Inc.	ICBC European Wts May 2007	0.2530	4.28 per unit	2007/05/30	380,000,000	2006/11/21
04881	Credit Suisse	ICBC European Warrants Jun 2007	0.3590	3.78 per unit	2007/06/08	50,000,000	2006/11/21
04882	Credit Suisse	ICBC European Warrants Jul 2007	0.3090	3.98 per unit	2007/07/18	50,000,000	2006/11/21
04898	Credit Suisse	ICBC European Warrants Oct 2007	0.3110	4.18 per unit	2007/10/18	50,000,000	2006/11/21
04976	Deutsche Bank AG	BankComm European Warrants May 2007 B	0.7000	7.68 per unit	2007/05/25	100,000,000	2006/11/21
04958	Deutsche Bank AG	China Life European Warrants Jun 2007 C	0.2500	19.0000	2007/06/11	200,000,000	2006/11/21
04977	Deutsche Bank AG	ICBC European Warrants Jun 2007 A	0.2500	4.08 per unit	2007/06/18	100,000,000	2006/11/21
06000	Deutsche Bank AG	China Life European Put Wts Jun 2007 D	0.2500	17.3800	2007/06/18	200,000,000	2006/11/21

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
06013	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Jun 2007 B	0.3400	8.288 per unit	2007/06/20	288,000,000	2006/11/21
06012	KBC Fin Products Int'l Ltd.	BankComm Euro Wts May 2007 E	0.4400	7.888 per unit	2007/05/22	218,000,000	2006/11/21
06011	KBC Fin Products Int'l Ltd.	BOCL European Wts Sep 2007 A	0.2550	4.088 per unit	2007/09/14	388,000,000	2006/11/21
06010	KBC Fin Products Int'l Ltd.	BOCL European Wts Aug 2007 B	0.2500	3.888 per unit	2007/08/10	400,000,000	2006/11/21
06014	KBC Fin Products Int'l Ltd.	HSCEI European Wts Jun 2007 B	0.2500	8,000 per 4,000 units	2007/06/28	400,000,000	2006/11/21
04899	Macquarie Bank Ltd.	BankComm European Warrants Jun 2007 B	0.5730	7.5 per unit	2007/06/28	200,000,000	2006/11/21
04946	Macquarie Bank Ltd.	BankComm European Warrants Jun 2007 C	0.3950	8 per unit	2007/06/28	200,000,000	2006/11/21
04955	Macquarie Bank Ltd.	BankComm European Put Wts Jun 2007	0.4570	7 per unit	2007/06/28	200,000,000	2006/11/21
04957	Macquarie Bank Ltd.	China Telecom European Wts May 2007 C	0.2600	3.6 per unit	2007/05/30	200,000,000	2006/11/21
09881 #	Macquarie Bank Ltd.	HSI European Put Warrants Apr 2007 E	0.1250	19,200 per 7,000 units	2007/04/27	1,000,000,000	2006/11/21
09585 #	Deutsche Bank AG	CC Bank European Warrants Mar 2007 A	0.4900	4.00 per unit	2007/03/19	200,000,000	2006/11/21
06021	ABN AMRO Bank N.V.	CC Bank European Warrants Jun 2007 B	0.2500	4.228 per unit	2007/06/04	200,000,000	2006/11/22
06022	ABN AMRO Bank N.V.	CC Bank European Warrants Jul 2007 A	0.2500	4.488 per unit	2007/07/09	200,000,000	2006/11/22
06023	ABN AMRO Bank N.V.	ICBC European Warrants Jun 2007 B	0.2500	4.028 per unit	2007/06/20	200,000,000	2006/11/22
06024	ABN AMRO Bank N.V.	ICBC European Warrants Jul 2007 B	0.2500	4.228 per unit	2007/07/09	200,000,000	2006/11/22
06018	BOCI Asia Ltd.	BankComm European Warrants Sep 2007	0.4300	8.5 per unit	2007/09/10	250,000,000	2006/11/22
06020	BOCI Asia Ltd.	TPV Technology European Warrants Sep 2007	0.6900	7.44 per unit	2007/09/12	20,000,000	2006/11/22
06046	Deutsche Bank AG	CC Bank European Warrants Jun 2007 C	0.2500	4.68 per unit	2007/06/18	100,000,000	2006/11/22
06047	Deutsche Bank AG	CC Bank European Warrants May 2007	0.3600	4.28 per unit	2007/05/30	100,000,000	2006/11/22
06050	Deutsche Bank AG	CC Bank European Put Warrants Jun 2007 D	0.3300	4 per unit	2007/06/18	100,000,000	2006/11/22
06028	Goldman Sachs SP (Asia)	CM Bank European Warrants May 2007	1.2900	15.5 per unit	2007/05/28	100,000,000	2006/11/22
06027	Goldman Sachs SP (Asia)	China Life European Wts May 2007	1.4300	19.8 per unit	2007/05/28	100,000,000	2006/11/22
06026	Goldman Sachs SP (Asia)	CC Bank European Wts May 2007 B	0.2500	4.3 per unit	2007/05/28	300,000,000	2006/11/22
06025	Goldman Sachs SP (Asia)	BankComm European Wts May 2007 B	0.7600	7.6 per unit	2007/05/28	150,000,000	2006/11/22
06045	Goldman Sachs SP (Asia)	China Life Euro Put Wts May 2007	1.9700	18.2 per unit	2007/05/28	100,000,000	2006/11/22
06034	J P Morgan Int'l Der. Ltd.	HKEx European Warrants Jun 2007	0.3800	72.8800	2007/06/29	100,000,000	2006/11/22
06033	J P Morgan Int'l Der. Ltd.	China Tel European Wts Nov 2007	0.2500	3.88 per unit	2007/11/30	200,000,000	2006/11/22
06029	J P Morgan Int'l Der. Ltd.	CHALCO European Wts Jun 2007	0.4500	6.68 per unit	2007/06/29	100,000,000	2006/11/22
06031	J P Morgan Int'l Der. Ltd.	China Life Euro Wts Jun 2008	0.2500	19.8800	2008/06/27	500,000,000	2006/11/22
06030	J P Morgan Int'l Der. Ltd.	BankComm European Wts Aug 2007	0.3800	8.28 per unit	2007/08/03	100,000,000	2006/11/22
06032	J P Morgan Int'l Der. Ltd.	CM Bank European Wts Dec 2008	0.2500	16.0800	2008/12/29	500,000,000	2006/11/22
06043	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jun 2007 C	0.2640	4.48 per unit	2007/06/07	368,000,000	2006/11/22
06044	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Aug 2007 B	0.2700	4.88 per unit	2007/08/20	368,000,000	2006/11/22
06040	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 H	0.3400	4.088 per unit	2007/05/22	288,000,000	2006/11/22
06042	KBC Fin Products Int'l Ltd.	ICBC European Wts Aug 2007 B	0.2500	4.588 per unit	2007/08/01	368,000,000	2006/11/22
06041	KBC Fin Products Int'l Ltd.	ICBC European Wts Jul 2007 B	0.2800	4.388 per unit	2007/07/16	348,000,000	2006/11/22
06035	Macquarie Bank Ltd.	CC Bank European Warrants May 2007 B	0.3210	4 per unit	2007/05/30	300,000,000	2006/11/22
06036	Macquarie Bank Ltd.	CC Bank European Warrants May 2007 C	0.2580	4.2 per unit	2007/05/30	388,000,000	2006/11/22
06037	Macquarie Bank Ltd.	China Life European Wts Sep 2007 A	0.2600	19.0000	2007/09/27	388,000,000	2006/11/22
06038	Macquarie Bank Ltd.	ICBC European Warrants May 2007 B	0.3170	4 per unit	2007/05/30	288,000,000	2006/11/22
06039	Macquarie Bank Ltd.	ICBC European Warrants May 2007 C	0.2560	4.2 per unit	2007/05/30	388,000,000	2006/11/22
06015	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2007 A	0.2500	4.18 per unit	2007/07/03	400,000,000	2006/11/22
06016	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Feb 2007 B	0.2280	73.0000	2007/02/28	250,000,000	2006/11/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
06017	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2007 A	0.2500	4.18 per unit	2007/08/06	400,000,000	2006/11/22
09428 #	Deutsche Bank AG	BOCL European Warrants Feb 2007	0.1440	3.88 per unit	2007/02/26	100,000,000	2006/11/22
09466 #	CC Rabobank B.A.	BOCL European Warrants Jun 2007	0.1700	3.98 per unit	2007/06/08	150,000,000	2006/11/22
04609 #	UBS AG	China Tel European Warrants Jun 2007	0.3650	3.68 per unit	2007/06/11	200,000,000	2006/11/22
06057	ABN AMRO Bank N.V.	BOCL European Warrants Jun 2007 A	0.3520	3.688 per unit	2007/06/25	200,000,000	2006/11/23
06058	ABN AMRO Bank N.V.	BOCL European Warrants May 2007	0.2590	3.888 per unit	2007/05/25	200,000,000	2006/11/23
06049	Deutsche Bank AG	ICBC European Warrants Jun 2007 B	0.2500	4.38 per unit	2007/06/11	100,000,000	2006/11/23
06051	Deutsche Bank AG	ICBC European Warrants Jun 2007 C	0.2500	4.68 per unit	2007/06/26	100,000,000	2006/11/23
06019	Deutsche Bank AG	BankComm European Put Warrants Jun 2007	0.6100	7 per unit	2007/06/18	100,000,000	2006/11/23
06048	Deutsche Bank AG	HSCEI European Warrants May 2007	0.2500	8,600 per 3,000 units	2007/05/30	200,000,000	2006/11/23
06052	Deutsche Bank AG	HSCEI European Put Warrants May 2007	0.2500	8,000 per 3,000 units	2007/05/30	200,000,000	2006/11/23
06062	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts May 2007 B	0.3500	4.288 per unit	2007/05/23	268,000,000	2006/11/23
06063	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jun 2007 D	0.2500	4.688 per unit	2007/06/01	400,000,000	2006/11/23
06064	KBC Fin Products Int'l Ltd.	HS Bank European Wts Aug 2007	0.2700	110.0000	2007/08/02	348,000,000	2006/11/23
06053	Macquarie Bank Ltd.	CC Bank European Warrants May 2007 D	0.2650	4.4 per unit	2007/05/30	388,000,000	2006/11/23
06054	Macquarie Bank Ltd.	CC Bank European Warrants Jun 2007	0.2550	4.6 per unit	2007/06/28	388,000,000	2006/11/23
06056	Macquarie Bank Ltd.	CNOOC European Warrants May 2007	0.4450	7 per unit	2007/05/30	88,000,000	2006/11/23
06055	Macquarie Bank Ltd.	Cheung Kong European Warrants May 2007	0.3850	92.5000	2007/05/30	100,000,000	2006/11/23
06059	CC Rabobank B.A.	BankComm European Warrants Jun 2007 C	0.7100	7.63 per unit	2007/06/20	160,000,000	2006/11/23
06060	CC Rabobank B.A.	CC Bank European Warrants Jun 2007 B	0.2700	4.38 per unit	2007/06/20	160,000,000	2006/11/23
06061	CC Rabobank B.A.	ICBC European Warrants Jun 2007	0.3000	4.23 per unit	2007/06/28	160,000,000	2006/11/23
06067	UBS AG	BOCL European Warrants Jun 2007	0.2500	3.98 per unit	2007/06/11	100,000,000	2006/11/23
06068	UBS AG	BOCL European Warrants Aug 2007	0.2500	4.28 per unit	2007/08/20	100,000,000	2006/11/23
06069	UBS AG	CC Bank European Warrants Jun 2007 B	0.2500	4.38 per unit	2007/06/11	100,000,000	2006/11/23
06070	UBS AG	CC Bank European Warrants Aug 2007 A	0.2500	4.88 per unit	2007/08/20	100,000,000	2006/11/23
06075	UBS AG	HKEx European Warrants Jun 2007	0.3200	78.8800	2007/06/11	100,000,000	2006/11/23
06071	UBS AG	ICBC European Warrants Jun 2007 B	0.2500	4.28 per unit	2007/06/11	100,000,000	2006/11/23
06072	UBS AG	ICBC European Warrants Aug 2007 B	0.2500	4.68 per unit	2007/08/20	100,000,000	2006/11/23
06065	UBS AG	HSI European Warrants May 2007 A	0.2500	19,500 per 4,000 units	2007/05/30	200,000,000	2006/11/23
06066	UBS AG	HSI European Warrants May 2007 B	0.2500	19,800 per 4,000 units	2007/05/30	200,000,000	2006/11/23
06073	UBS AG	HSI European Put Warrants May 2007 C	0.2500	18,500 per 4,000 units	2007/05/30	200,000,000	2006/11/23
06074	UBS AG	HSI European Put Warrants May 2007 D	0.2500	18,800 per 4,000 units	2007/05/30	200,000,000	2006/11/23
09954 #	Calyon Fin P (G) Ltd.	ICBC European Warrants Apr 2007 B	0.2850	4.188 per unit	2007/04/27	500,000,000	2006/11/23
04864 #	Deutsche Bank AG	HSBC European Warrants Jan 2007	0.3300	146.0000	2007/01/10	200,000,000	2006/11/23
06084	Calyon Fin P (G) Ltd.	BOCL European Warrants May 2007	0.2550	3.928 per unit	2007/05/25	300,000,000	2006/11/24
06085	Calyon Fin P (G) Ltd.	Foxconn European Warrants Jun 2007	0.3600	28.2800	2007/06/18	200,000,000	2006/11/24
06086	Calyon Fin P (G) Ltd.	ICBC European Warrants May 2007	0.2550	4.488 per unit	2007/05/25	300,000,000	2006/11/24
06087	Calyon Fin P (G) Ltd.	Zijin Mining European Wts Jul 2007	0.7800	5.18 per unit	2007/07/23	100,000,000	2006/11/24
06076	Macquarie Bank Ltd.	China Tel European Warrants Jun 2007	0.2750	4 per unit	2007/06/28	250,000,000	2006/11/24
06088	Macquarie Bank Ltd.	BOCL European Warrants May 2007 B	0.3200	3.8 per unit	2007/05/30	338,000,000	2006/11/24
06089	Macquarie Bank Ltd.	BOCL European Warrants May 2007 C	0.2560	4 per unit	2007/05/30	388,000,000	2006/11/24
06079	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jun 07 A	0.5100	8.08 per unit	2007/06/04	300,000,000	2006/11/24
06080	SGA Societe Generale Acceptance N.V.	CTel Eur Wts Jun 2007	0.3600	4.08 per unit	2007/06/04	300,000,000	2006/11/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
06081	SGA Societe Generale Acceptance N.V.	HKEx Eur Wts Jun 2007	0.5300	75.3000	2007/06/04	170,000,000	2006/11/24
06077	SGA Societe Generale Acceptance N.V.	HSCEI Eur Wt Jun 07 A	0.2500	8,600 per 3,750 units	2007/06/28	200,000,000	2006/11/24
06078	SGA Societe Generale Acceptance N.V.	HSCEI Eur Wt Jun 07 B	0.2500	8,300 per 3,700 units	2007/06/28	200,000,000	2006/11/24
06082	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Jun07C	0.2500	8,300 per 3,400 units	2007/06/28	200,000,000	2006/11/24
06083	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Jun07D	0.2500	8,000 per 3,300 units	2007/06/28	200,000,000	2006/11/24
09644 #	Deutsche Bank AG	BOCL European Put Warrants Feb 2007	0.0870	3.50 per unit	2007/02/27	120,000,000	2006/11/24
09530 #	Deutsche Bank AG	HSBC European Warrants Apr 2007 C	0.3250	150.0000	2007/04/24	300,000,000	2006/11/24
03639 #	Deutsche Bank AG	Nikkei 225 Index European Wts Mar 2007 A	0.0520	JPY 17,200 per 250 units	2007/03/08	300,000,000	2006/11/24
01277 #	BNP Paribas Arbit Issu B.V.	HSBC European Wts Apr 2007	0.3400	149.9900	2007/04/13	140,000,000	2006/11/24
06090	ABN AMRO Bank N.V.	Sinopec Corp European Wts Jun 2007 B	0.2730	6.488 per unit	2007/06/20	200,000,000	2006/11/27
06091	Calyon Fin P (G) Ltd.	CC Bank European Warrants Jun 2007	0.2550	4.288 per unit	2007/06/01	300,000,000	2006/11/27
06092	Calyon Fin P (G) Ltd.	China Life European Wts Jun 2007 E	0.2550	18.8880	2007/06/01	300,000,000	2006/11/27
06093	Calyon Fin P (G) Ltd.	China Life European Wts Jul 2007 C	0.2550	19.8880	2007/07/10	300,000,000	2006/11/27
06094	KBC Fin Products Int'l Ltd.	BOCL European Wts Jun 2007 C	0.2600	4 per unit	2007/06/01	368,000,000	2006/11/27
06097	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2007 B	0.2600	18.0000	2007/08/17	368,000,000	2006/11/27
06096	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2007 A	0.3200	16.8880	2007/08/17	308,000,000	2006/11/27
06100	KBC Fin Products Int'l Ltd.	ICBC European Wts Apr 2007	0.2700	4.198 per unit	2007/04/20	368,000,000	2006/11/27
06101	KBC Fin Products Int'l Ltd.	ICBC European Wts May 2007 I	0.2560	4.488 per unit	2007/05/28	388,000,000	2006/11/27
06095	KBC Fin Products Int'l Ltd.	BankComm Eur Put Wt May 2007 G	0.4100	6.688 per unit	2007/05/28	248,000,000	2006/11/27
06099	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jun 2007 E	0.2500	8,400 per 4,200 units	2007/06/28	400,000,000	2006/11/27
06098	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jun 2007 D	0.2500	8,000 per 3,400 units	2007/06/28	400,000,000	2006/11/27
06125	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Aug 2007	0.2500	21.0000	2007/08/20	400,000,000	2006/11/28
06126	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jul 2007 A	0.2600	4.5 per unit	2007/07/16	200,000,000	2006/11/28
06104	Calyon Fin P (G) Ltd.	CM Bank European Warrants Aug 2007	0.2550	15.9800	2007/08/27	300,000,000	2006/11/28
06105	Calyon Fin P (G) Ltd.	China Tel European Warrants Jul 2007	0.2550	3.927 per unit	2007/07/16	200,000,000	2006/11/28
06106	Calyon Fin P (G) Ltd.	SHK Ppt European Warrants Jun 2007	0.5000	91.8800	2007/06/11	100,000,000	2006/11/28
06115	Deutsche Bank AG	BOCL European Warrants Jun 2007 A	0.4700	3.68 per unit	2007/06/28	200,000,000	2006/11/28
06116	Deutsche Bank AG	BOCL European Warrants Jun 2007 B	0.2500	4.18 per unit	2007/06/28	200,000,000	2006/11/28
06117	Deutsche Bank AG	BOCL European Warrants Apr 2007 B	0.2500	3.99 per unit	2007/04/27	200,000,000	2006/11/28
06118	Deutsche Bank AG	BOCL European Put Warrants Jun 2007 D	0.2800	3.7 per unit	2007/06/18	200,000,000	2006/11/28
06107	Macquarie Bank Ltd.	BOCHK European Warrants Jun 2008	0.2530	18.0000	2008/06/27	388,000,000	2006/11/28
06111	Macquarie Bank Ltd.	BOCHK European Put Warrants Jun 2008	0.2560	18.0000	2008/06/27	388,000,000	2006/11/28
06113	Macquarie Bank Ltd.	HKEx European Warrants Jul 2007 A	0.2720	78.0000	2007/07/30	150,000,000	2006/11/28
06114	Macquarie Bank Ltd.	Esprit European Warrants May 2007	0.2930	88.0000	2007/05/30	150,000,000	2006/11/28
06102	Macquarie Bank Ltd.	BOCL European Put Warrants Jul 2007 B	0.2530	3.6 per unit	2007/07/30	200,000,000	2006/11/28
06103	Macquarie Bank Ltd.	ICBC European Put Warrants Jul 2007 C	0.2680	3.8 per unit	2007/07/30	200,000,000	2006/11/28
06108	Macquarie Bank Ltd.	CM Bank European Warrants May 2007 D	1.1900	17 per unit	2007/05/30	138,000,000	2006/11/28
06109	Macquarie Bank Ltd.	CM Bank European Warrants Dec 2007 B	0.2550	17.0000	2007/12/28	388,000,000	2006/11/28
06110	Macquarie Bank Ltd.	CM Bank European Warrants May 2007 E	0.9470	18 per unit	2007/05/30	168,000,000	2006/11/28
06112	Macquarie Bank Ltd.	BOCL European Warrants Jun 2007	0.2550	4.2 per unit	2007/06/28	388,000,000	2006/11/28
06119	SGA Societe Generale Acceptance N.V.	CLife Eur Wt Jun 07 B	1.5800	20.28 per unit	2007/06/04	300,000,000	2006/11/28
06120	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Jun 2007	1.2000	17.38 per unit	2007/06/04	300,000,000	2006/11/28

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
06121	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Jul 2007	1.0500	18.38 per unit	2007/07/09	300,000,000	2006/11/28
06123	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2007 B	0.3700	4.05 per unit	2007/06/04	400,000,000	2006/11/28
06124	SGA Societe Generale Acceptance N.V.	Petch Eur Wt Jun 2007	0.6500	9.48 per unit	2007/06/11	150,000,000	2006/11/28
06122	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jun 2007 A	0.5300	147.8800	2007/06/11	300,000,000	2006/11/28
04472 #	Deutsche Bank AG	CC Bank European Put Warrants Jun 2007 B	0.1050	3.5 per unit	2007/06/18	200,000,000	2006/11/28
06133	ABN AMRO Bank N.V.	CC Bank European Warrants Jun 2007 C	0.2720	4.728 per unit	2007/06/28	200,000,000	2006/11/29
06134	ABN AMRO Bank N.V.	CC Bank European Warrants Jul 2007 B	0.2530	4.977 per unit	2007/07/16	200,000,000	2006/11/29
06135	ABN AMRO Bank N.V.	Sinopec Corp European Wts Jun 2007 C	0.2900	6.788 per unit	2007/06/15	200,000,000	2006/11/29
06136	ABN AMRO Bank N.V.	Sinopec Corp European Wts Jun 2007 D	0.2530	7.028 per unit	2007/06/28	200,000,000	2006/11/29
06137	ABN AMRO Bank N.V.	Hutchison European Warrants May 2007 B	0.2940	77.8800	2007/05/31	100,000,000	2006/11/29
06140	Goldman Sachs SP (Asia)	China Tel European Wts Jun 2007 A	0.3500	3.88 per unit	2007/06/04	200,000,000	2006/11/29
06139	Goldman Sachs SP (Asia)	CC Bank European Warrants Jun 2007	0.3400	4.75 per unit	2007/06/04	300,000,000	2006/11/29
06138	Goldman Sachs SP (Asia)	BOC HK European Warrants Apr 2007	0.7400	19.9 per unit	2007/04/16	50,000,000	2006/11/29
06141	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2007	0.7300	73.0000	2007/06/04	80,000,000	2006/11/29
06132	Goldman Sachs SP (Asia)	CM Bank European Warrants Jun 2007	1.3200	17.68 per unit	2007/06/04	100,000,000	2006/11/29
06131	Goldman Sachs SP (Asia)	Bank of Comm European Wts Jun 2007	0.5100	8.38 per unit	2007/06/25	150,000,000	2006/11/29
06130	Goldman Sachs SP (Asia)	BOCL European Warrants Apr 2007 B	0.2300	4.19 per unit	2007/04/23	350,000,000	2006/11/29
06128	Goldman Sachs SP (Asia)	China Life European Wts Jun 2007 C	1.5000	21.3 per unit	2007/06/25	100,000,000	2006/11/29
06129	Goldman Sachs SP (Asia)	China Mobile European Wts Jun 2007	0.3900	78.0000	2007/06/04	300,000,000	2006/11/29
06152	Goldman Sachs SP (Asia)	CC Bank European Put Wts Jun 2007	0.3600	4.28 per unit	2007/06/04	300,000,000	2006/11/29
06151	Goldman Sachs SP (Asia)	Bank of Comm Euro Put Wts Jun 2007	0.7300	7.2 per unit	2007/06/04	150,000,000	2006/11/29
06150	Goldman Sachs SP (Asia)	BOCL European Put Wts Jun 2007	0.3100	3.83 per unit	2007/06/04	350,000,000	2006/11/29
06153	Goldman Sachs SP (Asia)	CM Bank European Put Wts Jun 2007	1.6300	15.98 per unit	2007/06/04	100,000,000	2006/11/29
06144	KBC Fin Products Int'l Ltd.	BOC HK European Wts Oct 2007	0.2500	18.2880	2007/10/02	368,000,000	2006/11/29
06145	KBC Fin Products Int'l Ltd.	ICBC European Wts Jul 2007 C	0.2500	4.888 per unit	2007/07/20	368,000,000	2006/11/29
06149	KBC Fin Products Int'l Ltd.	ICBC Euro Put Wts Jul 2007 E	0.2900	3.888 per unit	2007/07/09	318,000,000	2006/11/29
06143	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Aug 2007 C	0.2700	5.188 per unit	2007/08/20	368,000,000	2006/11/29
06142	KBC Fin Products Int'l Ltd.	BOCL European Put Wts Jun 2007	0.2500	3.688 per unit	2007/06/28	400,000,000	2006/11/29
06146	KBC Fin Products Int'l Ltd.	BOCL European Wts Apr 2007	0.2600	3.99 per unit	2007/04/23	288,000,000	2006/11/29
06147	KBC Fin Products Int'l Ltd.	BOCL European Wts Jun 2007 D	0.2900	4.208 per unit	2007/06/21	288,000,000	2006/11/29
06148	KBC Fin Products Int'l Ltd.	BOCL European Wts Jul 2007 B	0.2540	4.408 per unit	2007/07/19	388,000,000	2006/11/29
06127	Macquarie Bank Ltd.	Sinopec Corp European Wts Jun 2007 A	0.3270	6.5 per unit	2007/06/28	150,000,000	2006/11/29
06167	ABN AMRO Bank N.V.	China Tel European Warrants Jun 2007 D	0.2520	4.028 per unit	2007/06/04	200,000,000	2006/11/30
06168	ABN AMRO Bank N.V.	PetroChina European Warrants Jun 2007 C	0.5110	10.28 per unit	2007/06/20	100,000,000	2006/11/30
06157	Deutsche Bank AG	Cheung Kong European Warrants Jun 2007 A	0.5020	96.0000	2007/06/04	200,000,000	2006/11/30
06159	Deutsche Bank AG	PetroChina European Warrants Jun 2007	0.5000	10.28 per unit	2007/06/11	200,000,000	2006/11/30
06161	Deutsche Bank AG	Sinopec Corp European Warrants Jun 2007 A	0.3600	7.38 per unit	2007/06/28	200,000,000	2006/11/30
06162	Deutsche Bank AG	Sinopec Corp European Warrants Jun 2007 B	0.5400	6.8 per unit	2007/06/28	200,000,000	2006/11/30
06158	Deutsche Bank AG	HSI European Warrants May 2007 C	0.2500	20,000 per 4,500 units	2007/05/30	200,000,000	2006/11/30
06154	Deutsche Bank AG	HSI European Put Warrants May 2007 F	0.2500	19,400 per 5,500 units	2007/05/30	200,000,000	2006/11/30
06155	Deutsche Bank AG	HSI European Put Warrants May 2007 G	0.2500	19,800 per 6,000 units	2007/05/30	200,000,000	2006/11/30
06176	KBC Fin Products Int'l Ltd.	Ch Life Euro Put Wts May 2007	0.9500	16.888 per unit	2007/05/30	100,000,000	2006/11/30
06172	KBC Fin Products Int'l Ltd.	CM Bank European Wts Aug 2007	0.2500	15.8880	2007/08/23	400,000,000	2006/11/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
06173	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Jun 2007 A	0.7300	68.0000	2007/06/08	128,000,000	2006/11/30
06177	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Put Wt May 2007	0.2900	60.0000	2007/05/30	338,000,000	2006/11/30
06174	KBC Fin Products Int'l Ltd.	HSCEI European Wts Jun 2007 F	0.2500	8,400 per 5,000 units	2007/06/28	400,000,000	2006/11/30
06175	KBC Fin Products Int'l Ltd.	HSCEI European Wts Jun 2007 G	0.2500	8,800 per 4,300 units	2007/06/28	400,000,000	2006/11/30
06165	Macquarie Bank Ltd.	HSCEI European Put Warrants May 2007 A	0.2510	8,600 per 3,700 units	2007/05/30	188,000,000	2006/11/30
06166	Macquarie Bank Ltd.	HSCEI European Put Warrants May 2007 B	0.2560	9,000 per 4,500 units	2007/05/30	188,000,000	2006/11/30
06170	Macquarie Bank Ltd.	HSCEI European Warrants May 2007	0.2560	9,000 per 3,600 units	2007/05/30	188,000,000	2006/11/30
06163	Macquarie Bank Ltd.	ICBC European Warrants May 2007 D	0.2790	4.4 per unit	2007/05/30	338,000,000	2006/11/30
06164	Macquarie Bank Ltd.	ICBC European Warrants May 2007 E	0.2580	4.6 per unit	2007/05/30	388,000,000	2006/11/30
06156	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2007 D	0.2500	19,800 per 4,000 units	2007/05/30	500,000,000	2006/11/30
06160	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt May 07F	0.2600	19,200 per 4,500 units	2007/05/30	500,000,000	2006/11/30
06169	UBS AG	China Life European Warrants Jul 2007 A	1.3600	21.88 per unit	2007/07/16	100,000,000	2006/11/30
06171	UBS AG	China Life European Warrants Jul 2007 B	0.8800	23.88 per unit	2007/07/16	100,000,000	2006/11/30
06181	Citigroup Global Mkt H Inc.	BOCL European Wts Jul 2007 A	0.2550	4.18 per unit	2007/07/23	380,000,000	2006/12/01
06182	Citigroup Global Mkt H Inc.	CC Bank European Wts Aug 2007	0.2550	4.7 per unit	2007/08/06	380,000,000	2006/12/01
06183	Citigroup Global Mkt H Inc.	ICBC European Wts Jul 2007 A	0.2550	4.68 per unit	2007/07/30	380,000,000	2006/12/01
06178	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Jun 2007 A	1.4600	16.6 per unit	2007/06/04	20,000,000	2006/12/01
06180	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Jun 2007	0.8700	71.2800	2007/06/04	150,000,000	2006/12/01
06184	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Jun 2007 B	1.0500	60.8880	2007/06/08	95,000,000	2006/12/01
06190	KBC Fin Products Int'l Ltd.	Ch Mobile Eur Put Wts Jun 2007	0.4800	66.0000	2007/06/01	208,000,000	2006/12/01
06191	KBC Fin Products Int'l Ltd.	Sinopec Corp Eur Put Wt Jun 07	0.4100	5.68 per unit	2007/06/11	238,000,000	2006/12/01
06185	KBC Fin Products Int'l Ltd.	Sinopec Corp Eur Wt Jun 2007 B	0.6100	6.388 per unit	2007/06/25	368,000,000	2006/12/01
06186	KBC Fin Products Int'l Ltd.	Sinopec Corp Eur Wt Jun 2007 C	0.4400	6.988 per unit	2007/06/25	288,000,000	2006/12/01
06193	KBC Fin Products Int'l Ltd.	HSI European Wts Jun 2007 D	0.2500	19,600 per 5,500 units	2007/06/28	400,000,000	2006/12/01
06187	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jun 2007 A	1.2000	9 per unit	2007/06/18	78,000,000	2006/12/01
06188	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jun 2007 B	0.8500	9.688 per unit	2007/06/18	108,000,000	2006/12/01
06189	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jun 2007 C	0.5800	10.388 per unit	2007/06/18	168,000,000	2006/12/01
06192	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Jun 2007	0.5300	9 per unit	2007/06/18	188,000,000	2006/12/01
06179	Macquarie Bank Ltd.	CIFH European Warrants Jun 2007 B	0.5520	7 per unit	2007/06/28	150,000,000	2006/12/01
06198	CC Rabobank B.A.	China Life European Warrants Aug 2007 B	0.2600	19.8300	2007/08/28	160,000,000	2006/12/01
06199	CC Rabobank B.A.	CM Bank European Warrants Aug 2007 C	0.2500	16.0800	2007/08/28	160,000,000	2006/12/01
06195	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2007 C	0.3400	4.38 per unit	2007/06/04	400,000,000	2006/12/01
06194	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2007 B	0.3100	4.58 per unit	2007/07/09	400,000,000	2006/12/01
06196	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Apr 2007 B	0.3050	4.188 per unit	2007/04/27	400,000,000	2006/12/01
06197	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2007 C	0.2800	4.388 per unit	2007/06/18	400,000,000	2006/12/01
09424 #	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2007 A	0.1750	3.99 per unit	2007/03/05	160,000,000	2006/12/01
09684 #	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2007 B	0.0860	4.29 per unit	2007/03/08	160,000,000	2006/12/01
04977 #	Deutsche Bank AG	ICBC European Warrants Jun 2007 A	0.3300	4.08 per unit	2007/06/18	200,000,000	2006/12/01
09475 #	UBS AG	BOCL European Warrants Feb 2007 B	0.1740	3.98 per unit	2007/02/28	200,000,000	2006/12/01
02103	Goldman Sachs SP (Asia)	ICBC European Warrants May 2007	0.2700	4.28 per unit	2007/05/28	100,000,000	2006/12/04
02106	Goldman Sachs SP (Asia)	Henderson Land Euro Wts May 2007	0.3100	46.0000	2007/05/28	40,000,000	2006/12/04
02135	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jun 2007 C	0.2700	19.0000	2007/06/04	368,000,000	2006/12/04
02136	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2007 C	0.2500	22.6880	2007/08/27	400,000,000	2006/12/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
02112	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Jun 2007 A	0.7500	94.0000	2007/06/08	128,000,000	2006/12/04
02126	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Jun 2007 B	0.4700	102.0000	2007/06/08	208,000,000	2006/12/04
02139	KBC Fin Products Int'l Ltd.	CNOOC European Wts Jun 2007	0.3300	7.68 per unit	2007/06/20	300,000,000	2006/12/04
02140	KBC Fin Products Int'l Ltd.	HKEx European Wts Jul 2007 A	0.8400	66.8800	2007/07/03	118,000,000	2006/12/04
02142	KBC Fin Products Int'l Ltd.	HKEx European Wts Jul 2007 B	0.5900	72.0000	2007/07/03	168,000,000	2006/12/04
02137	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jun 2007 B	0.2500	4.088 per unit	2007/06/08	400,000,000	2006/12/04
02138	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jul 2007 C	0.3100	3.788 per unit	2007/07/03	308,000,000	2006/12/04
02108	KBC Fin Products Int'l Ltd.	BOC HK European Wts Apr 2008	0.2600	20.6800	2008/04/14	368,000,000	2006/12/04
02102	SGA Societe Generale Acceptance N.V.	N225 Eu Wt Jun 2007 D	0.2500	16,000 per 3,300 units	2007/06/07	400,000,000	2006/12/04
04830 #	UBS AG	HWL European Warrants Feb 2007	0.0850	82.8800	2007/02/26	200,000,000	2006/12/04
02148	Credit Suisse	HSBC European Warrants Jun 2007	0.4980	145.8800	2007/06/18	30,000,000	2006/12/05
02146	Credit Suisse	China Tel European Warrants Jul 2007	0.2930	3.28 per unit	2007/07/18	50,000,000	2006/12/05
02143	Credit Suisse	CC Bank European Warrants Jun 2007	0.4070	3.88 per unit	2007/06/18	50,000,000	2006/12/05
02144	Credit Suisse	CC Bank European Warrants Aug 2007	0.3740	4.08 per unit	2007/08/08	50,000,000	2006/12/05
02176	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Put Wts Jul 2007	0.3200	82.0000	2007/07/19	288,000,000	2006/12/05
02167	KBC Fin Products Int'l Ltd.	HSBC European Put Wts Jun 2007	0.5300	140.0000	2007/06/15	188,000,000	2006/12/05
02174	KBC Fin Products Int'l Ltd.	Hutchison Eu Put Wt Jul 2007 D	0.3200	68.0000	2007/07/19	300,000,000	2006/12/05
02151	Macquarie Bank Ltd.	CITIC Pacific European Wts Feb 2008	0.2510	28.0000	2008/02/28	200,000,000	2006/12/05
02153	Macquarie Bank Ltd.	Zijin Mining European Wts Jun 2007	0.8070	6 per unit	2007/06/28	88,000,000	2006/12/05
02158	UBS AG	Cheung Kong European Warrants Jul 2007	0.5100	102.8800	2007/07/16	100,000,000	2006/12/05
02160	UBS AG	Hutchison European Warrants Jul 2007	0.3100	80.8800	2007/07/16	100,000,000	2006/12/05
02163	UBS AG	PetroChina European Warrants Jul 2007	0.5900	10.88 per unit	2007/07/16	100,000,000	2006/12/05
02165	UBS AG	SHK Ppt European Warrants Jul 2007	0.4000	98.8800	2007/07/16	100,000,000	2006/12/05
04455 #	ABN AMRO Bank N.V.	Sinopec Corp European Warrants May 2007	0.5400	5.988 per unit	2007/05/08	100,000,000	2006/12/05
09007	BNP Paribas Arbit Issu B.V.	BOCL European Wts Jun 2007	0.2900	3.8 per unit	2007/06/27	300,000,000	2006/12/06
09022	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jun 2007 B	1.9600	20 per unit	2007/06/27	130,000,000	2006/12/06
09113	Citigroup Global Mkt H Inc.	BOCL European Put Wts Aug 2007	0.5000	3.88 per unit	2007/08/13	150,000,000	2006/12/06
09114	Citigroup Global Mkt H Inc.	China Life Euro Wts Jul 2007 A	1.5900	20.38 per unit	2007/07/30	60,000,000	2006/12/06
09115	Citigroup Global Mkt H Inc.	China Life Eur Put Wt Aug 2007	0.3000	20.0000	2007/08/30	230,000,000	2006/12/06
09118	Citigroup Global Mkt H Inc.	China Life Euro Wts Nov 2007	0.2500	19.4800	2007/11/29	320,000,000	2006/12/06
09151	Citigroup Global Mkt H Inc.	ICBC European Put Wts Jul 2007	0.4900	4.08 per unit	2007/07/30	163,000,000	2006/12/06
09152	J P Morgan Int'l Der. Ltd.	CC Bank Euro Put Wts Jun 2007	0.2900	3.7 per unit	2007/06/18	400,000,000	2006/12/06
04958 #	Deutsche Bank AG	China Life European Warrants Jun 2007 C	0.2300	19.0000	2007/06/11	200,000,000	2006/12/06
09195	Citigroup Global Mkt H Inc.	BankComm Euro Wts Jul 2007 A	0.8500	7.28 per unit	2007/07/23	110,000,000	2006/12/07
09227	Citigroup Global Mkt H Inc.	BankComm Euro Wts Jul 2007 B	0.7400	7.58 per unit	2007/07/30	110,000,000	2006/12/07
09254	Citigroup Global Mkt H Inc.	CC Bank European Wts Jul 2007	0.3150	4.18 per unit	2007/07/23	300,000,000	2006/12/07
09317	Citigroup Global Mkt H Inc.	CC Bank Euro Put Wts Aug 2007	0.3950	4 per unit	2007/08/06	200,000,000	2006/12/07
09318	Citigroup Global Mkt H Inc.	CM Bank European Wts Aug 2007	0.2650	15.1800	2007/08/16	300,000,000	2006/12/07
09319	Citigroup Global Mkt H Inc.	CM Bank European Wts Sep 2007	0.2600	15.9800	2007/09/20	300,000,000	2006/12/07
09153	Deutsche Bank AG	Hutchison European Warrants Jun 2007 A	0.4500	73.2800	2007/06/18	200,000,000	2006/12/07
09154	Deutsche Bank AG	Hutchison European Warrants Jun 2007 B	0.6900	68.8800	2007/06/25	200,000,000	2006/12/07
09194	Deutsche Bank AG	ICBC European Put Warrants Jun 2007	0.3000	3.8 per unit	2007/06/28	200,000,000	2006/12/07
09321	Deutsche Bank AG	Nikkei 225 Index European Wts Jun 2007 C	0.2500	16,400 per 300 units	2007/06/08	200,000,000	2006/12/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09322	Deutsche Bank AG	Nikkei 225 Index European Wts Jun 2007 D	0.2500	17,000 per 250 units	2007/06/08	200,000,000	2006/12/07
09342	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Jun 2007 E	0.2700	16,000 per 300 units	2007/06/08	200,000,000	2006/12/07
09461	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jul 2007 B	0.3600	77.0000	2007/07/11	268,000,000	2006/12/07
09414	KBC Fin Products Int'l Ltd.	CC Bank Eur Put Wts Jun 2007 F	0.2500	3.788 per unit	2007/06/27	388,000,000	2006/12/07
09415	KBC Fin Products Int'l Ltd.	China Tel Eur Put Wts Jul 2007	0.2600	3.288 per unit	2007/07/05	368,000,000	2006/12/07
09464	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Sep 2007 A	0.2500	8,800 per 5,000 units	2007/09/27	400,000,000	2006/12/07
09478	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Sep 2007 B	0.2500	9,200 per 6,000 units	2007/09/27	400,000,000	2006/12/07
09323	CC Rabobank B.A.	China Life European Warrants Sep 2007 A	0.2550	19.2800	2007/09/18	160,000,000	2006/12/07
09409	CC Rabobank B.A.	HKEx European Warrants Aug 2007	0.8700	69.6800	2007/08/29	100,000,000	2006/12/07
09410	CC Rabobank B.A.	SHK Ppt European Warrants Jun 2007	0.7400	86.9800	2007/06/08	100,000,000	2006/12/07
09413	CC Rabobank B.A.	SHK Ppt European Warrants Aug 2007	0.7700	89.5800	2007/08/27	100,000,000	2006/12/07
09535	ABN AMRO Bank N.V.	Foxconn European Warrants Jun 2007	0.2540	23.0800	2007/06/11	100,000,000	2006/12/08
09538	ABN AMRO Bank N.V.	HSBC European Warrants Jun 2007 A	0.2600	147.8800	2007/06/08	100,000,000	2006/12/08
09543	ABN AMRO Bank N.V.	PetroChina European Warrants Jun 2007 D	0.5000	10.98 per unit	2007/06/08	100,000,000	2006/12/08
09546	ABN AMRO Bank N.V.	Ping An European Warrants Jun 2007	0.2530	33.8800	2007/06/08	100,000,000	2006/12/08
09516	Citigroup Global Mkt H Inc.	HSCEI Euro Put Wts Jun 2007	0.3300	9,000 per 3,300 units	2007/06/28	300,000,000	2006/12/08
09512	Citigroup Global Mkt H Inc.	PICC European Wts Jul 2007	0.6200	3.28 per unit	2007/07/23	130,000,000	2006/12/08
09517	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jun 07 B	0.7300	7.48 per unit	2007/06/25	300,000,000	2006/12/08
09518	SGA Societe Generale Acceptance N.V.	CK(H) Euro Wts Jun 07	0.6700	93.0800	2007/06/11	400,000,000	2006/12/08
09522	SGA Societe Generale Acceptance N.V.	CP&CC Euro Wts Jun 07	0.5200	6.68 per unit	2007/06/25	300,000,000	2006/12/08
09532	SGA Societe Generale Acceptance N.V.	CNOOC Euro Wts Jul 07	0.5700	7.38 per unit	2007/07/09	300,000,000	2006/12/08
09533	SGA Societe Generale Acceptance N.V.	Petch Eur Wt Jul 07 A	0.8600	10.58 per unit	2007/07/09	300,000,000	2006/12/08
03854 #	Macquarie Bank Ltd.	China Shenhua European Wts Feb 2007	0.1550	16.0000	2007/02/14	288,000,000	2006/12/08
04514 #	Fortis Bank S.A./N.V.	Sinopec Corp European Wts Jul 2007	0.6900	6.08 per unit	2007/07/06	33,000,000	2006/12/08
09555	BNP Paribas Arbit Issu B.V.	HSI European Warrants Jun 2007	0.2500	20,000 per 3,800 units	2007/06/28	400,000,000	2006/12/11
09551	J P Morgan Int'l Der. Ltd.	China Life Eur Put Wts Jun 2007	1.5000	18.5 per unit	2007/06/15	50,000,000	2006/12/11
09550	J P Morgan Int'l Der. Ltd.	BankComm Euro Put Wts May 2007	0.2500	6.5 per unit	2007/05/21	100,000,000	2006/12/11
09620	KBC Fin Products Int'l Ltd.	HSI European Wts Aug 2007 A	0.2900	17,200 per 8,800 units	2007/08/30	338,000,000	2006/12/11
09625	KBC Fin Products Int'l Ltd.	HSI European Wts Aug 2007 B	0.2500	17,600 per 9,000 units	2007/08/30	400,000,000	2006/12/11
09616	KBC Fin Products Int'l Ltd.	HSI European Wts Jul 2007 A	0.2600	18,000 per 7,500 units	2007/07/30	378,000,000	2006/12/11
09617	KBC Fin Products Int'l Ltd.	HSI European Wts Jul 2007 B	0.2600	18,400 per 7,000 units	2007/07/30	378,000,000	2006/12/11
09599	KBC Fin Products Int'l Ltd.	HSI European Wts Jun 2007 E	0.2500	18,600 per 6,500 units	2007/06/28	400,000,000	2006/12/11
09655	KBC Fin Products Int'l Ltd.	HSI European Put Wts Aug 2007	0.2500	19,600 per 7,800 units	2007/08/30	400,000,000	2006/12/11
09654	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2007 E	0.2500	19,000 per 6,200 units	2007/07/30	400,000,000	2006/12/11
09650	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jun 2007 C	0.2500	18,600 per 5,000 units	2007/06/28	400,000,000	2006/12/11
09643	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jun 2007 B	0.2500	18,200 per 4,600 units	2007/06/28	400,000,000	2006/12/11
09642	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jun 2007 A	0.2500	17,800 per 4,000 units	2007/06/28	400,000,000	2006/12/11
09556	SGA Societe Generale Acceptance N.V.	CPAir Eur Wt Jun 2007	1.6600	18.58 per unit	2007/06/18	400,000,000	2006/12/11
09564	SGA Societe Generale Acceptance N.V.	CPAir Eur Wt Oct 2007	0.2500	17.7900	2007/10/15	400,000,000	2006/12/11
09582	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 07 F	0.4750	66.0000	2007/04/30	500,000,000	2006/12/11
09583	SGA Societe Generale Acceptance N.V.	HWL Euro Wts Apr 2007	0.2500	76.8800	2007/04/16	400,000,000	2006/12/11
09584	SGA Societe Generale Acceptance N.V.	MTRC Eur Wts Aug 2007	0.2500	19.3800	2007/08/23	400,000,000	2006/12/11
09587	SGA Societe Generale Acceptance N.V.	SHK Ppt Eu Wt Apr 07B	0.3700	90.0500	2007/04/23	200,000,000	2006/12/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09585 #	Deutsche Bank AG	CC Bank European Warrants Mar 2007 A	0.3850	4.00 per unit	2007/03/19	200,000,000	2006/12/11
09815	ABN AMRO Bank N.V.	BOCL European Warrants Jun 2007 B	0.2900	4.008 per unit	2007/06/11	200,000,000	2006/12/12
09816	ABN AMRO Bank N.V.	China Tel European Warrants Jun 2007 E	0.2500	4.488 per unit	2007/06/26	100,000,000	2006/12/12
09720	BOCI Asia Ltd.	China Life European Warrants Dec 2007	0.2500	20.0000	2007/12/17	500,000,000	2006/12/12
09777	BOCI Asia Ltd.	China Mobile European Warrants Oct 2007	0.5000	70.0000	2007/10/05	300,000,000	2006/12/12
09796	BOCI Asia Ltd.	Nine Dragons European Warrants Oct 2007 B	0.3000	15.0000	2007/10/03	500,000,000	2006/12/12
09811	BOCI Asia Ltd.	Zijin Mining European Warrants Jun 2007	1.0000	6.25 per unit	2007/06/05	120,000,000	2006/12/12
09668	Calyon Fin P (G) Ltd.	China Mobile European Wts Jun 2007	0.4800	67.2800	2007/06/11	200,000,000	2006/12/12
09669	Calyon Fin P (G) Ltd.	PetroChina European Wts Jun 2007	0.7100	10.48 per unit	2007/06/27	200,000,000	2006/12/12
09817	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2007 D	0.2500	20.3880	2007/08/27	400,000,000	2006/12/12
09821	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2007 E	1.0400	24.88 per unit	2007/08/01	188,000,000	2006/12/12
09830	KBC Fin Products Int'l Ltd.	Sinopec Corp Eur Wt Jun 2007 D	1.1100	5.488 per unit	2007/06/25	178,000,000	2006/12/12
09835	KBC Fin Products Int'l Ltd.	Sinopec Corp Euro Wts Aug 2007	0.2600	7.588 per unit	2007/08/10	348,000,000	2006/12/12
09836	KBC Fin Products Int'l Ltd.	Sinopec Corp Eur Put Wt Jul 07	0.7500	6.68 per unit	2007/07/20	128,000,000	2006/12/12
09847	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jul 2007 A	0.6400	11.088 per unit	2007/07/11	148,000,000	2006/12/12
09848	KBC Fin Products Int'l Ltd.	ICBC European Wts Aug 2007 C	0.3000	4.188 per unit	2007/08/10	328,000,000	2006/12/12
09852	KBC Fin Products Int'l Ltd.	ICBC European Wts Oct 2007 A	0.3800	4.088 per unit	2007/10/02	258,000,000	2006/12/12
09853	KBC Fin Products Int'l Ltd.	ICBC European Wts Nov 2007	0.5200	3.868 per unit	2007/11/01	188,000,000	2006/12/12
09855	KBC Fin Products Int'l Ltd.	ICBC European Put Wts Jun 2007	0.1600	3.6 per unit	2007/06/04	400,000,000	2006/12/12
09524 #	Deutsche Bank AG	HSBC European Warrants Apr 2007 B	0.3300	146.0000	2007/04/27	250,000,000	2006/12/12
09859	ABN AMRO Bank N.V.	HSBC European Warrants Jul 2007	0.3500	142.8800	2007/07/09	100,000,000	2006/12/13
09919	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jun 2007 C	0.3000	4.488 per unit	2007/06/26	318,000,000	2006/12/13
09920	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Aug 2007	0.2600	4.888 per unit	2007/08/13	368,000,000	2006/12/13
09921	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jul 2007 C	0.2900	80.8880	2007/07/05	308,000,000	2006/12/13
09922	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Aug 2007	0.2700	84.8880	2007/08/27	348,000,000	2006/12/13
09917	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Sep 2007 B	0.5100	3.988 per unit	2007/09/03	188,000,000	2006/12/13
09883	KBC Fin Products Int'l Ltd.	HSCEI European Wts Sep 2007 D	0.2500	9,200 per 4,700 units	2007/09/27	400,000,000	2006/12/13
09884	KBC Fin Products Int'l Ltd.	HSCEI European Wts Sep 2007 E	0.2500	9,600 per 4,000 units	2007/09/27	400,000,000	2006/12/13
09916	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Sep 2007 C	0.2500	9,600 per 6,500 units	2007/09/27	400,000,000	2006/12/13
09857	UBS AG	China Tel European Warrants Sep 2007	0.3750	4.58 per unit	2007/09/24	100,000,000	2006/12/13
09858	UBS AG	China Netcom European Warrants Sep 2007	0.2500	17.8800	2007/09/24	100,000,000	2006/12/13
09937	Deutsche Bank AG	HSBC European Warrants Jun 2007 A	0.6030	142.0000	2007/06/15	200,000,000	2006/12/14
09938	Deutsche Bank AG	HSBC European Warrants Jun 2007 B	0.3800	148.0000	2007/06/22	200,000,000	2006/12/14
09939	Deutsche Bank AG	HSBC European Warrants Jun 2007 C	0.8610	138.0000	2007/06/28	200,000,000	2006/12/14
09935	Deutsche Bank AG	HSBC European Put Warrants Jun 2007	0.4640	136.0000	2007/06/28	200,000,000	2006/12/14
09940	KBC Fin Products Int'l Ltd.	BOC HK European Wts Sep 2007	0.2700	16.8800	2007/09/18	368,000,000	2006/12/14
09943	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Wts Aug 2007 B	0.5100	93.8880	2007/08/24	188,000,000	2006/12/14
09941	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Oct 2007 A	0.4400	4.48 per unit	2007/10/15	218,000,000	2006/12/14
09942	KBC Fin Products Int'l Ltd.	CC Bank European Wts Nov 2007	0.4200	4.688 per unit	2007/11/01	338,000,000	2006/12/14
09927	Macquarie Bank Ltd.	PetroChina European Warrants Jun 2007	0.6600	10.5 per unit	2007/06/28	200,000,000	2006/12/14
09933	Macquarie Bank Ltd.	China Telecom European Wts Jul 2007 A	0.2510	4.4 per unit	2007/07/30	150,000,000	2006/12/14
09934	Macquarie Bank Ltd.	PetroChina European Put Wts Jun 2007	0.5530	9.5 per unit	2007/06/28	100,000,000	2006/12/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
09924	MSDW Asia Sec Products LLC	BOCL European Warrants Dec 2008	0.3190	4.85 per unit	2008/12/29	240,000,000	2006/12/14
09923	MSDW Asia Sec Products LLC	BOCL European Warrants Aug 2007	0.2970	4 per unit	2007/08/28	240,000,000	2006/12/14
09925	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt May07B	0.3600	136.3000	2007/05/14	300,000,000	2006/12/14
09926	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt May07B	0.3300	60.8800	2007/05/08	500,000,000	2006/12/14
09792 #	Deutsche Bank AG	China Mobile European Warrants Apr 2007	0.3700	66.0000	2007/04/30	200,000,000	2006/12/14
09949	BNP Paribas Arbit Issu B.V.	CC Bank European Wts Aug 2007	0.3000	4.8 per unit	2007/08/13	300,000,000	2006/12/15
09957	BNP Paribas Arbit Issu B.V.	Ch Mobile Eur Put Wts Jul 2007	0.7000	62.9900	2007/07/16	300,000,000	2006/12/15
09944	Deutsche Bank AG	China Mobile European Warrants Jul 2007 A	0.9000	58.8800	2007/07/03	100,000,000	2006/12/15
09945	Deutsche Bank AG	China Mobile European Warrants Jul 2007 B	0.6600	63.8800	2007/07/10	100,000,000	2006/12/15
09946	Deutsche Bank AG	China Life European Warrants Jul 2007 B	0.2500	21.0800	2007/07/30	200,000,000	2006/12/15
09947	Deutsche Bank AG	China Mobile European Put Wts Jul 2007	0.2800	54.8000	2007/07/03	100,000,000	2006/12/15
09948	Deutsche Bank AG	China Life European Put Wts Jul 2007 C	0.2500	18.5000	2007/07/23	200,000,000	2006/12/15
04445	J P Morgan Int'l Der. Ltd.	BOCL European Put Wts Apr 2007	0.2000	3.68 per unit	2007/04/23	150,000,000	2006/12/15
04446	J P Morgan Int'l Der. Ltd.	ICBC European Put Wts Jun 2007	0.2550	3.85 per unit	2007/06/18	200,000,000	2006/12/15
09967	KBC Fin Products Int'l Ltd.	BOCL European Wts Jul 2007 C	0.3700	3.788 per unit	2007/07/05	268,000,000	2006/12/15
09968	KBC Fin Products Int'l Ltd.	BOCL European Wts Sep 2007 B	0.6100	3.388 per unit	2007/09/12	158,000,000	2006/12/15
09978	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Sep 2007 A	1.8200	5.688 per unit	2007/09/05	108,000,000	2006/12/15
09979	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Sep 2007 B	1.4000	6.188 per unit	2007/09/18	138,000,000	2006/12/15
09980	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Oct 2007 B	0.6700	3.688 per unit	2007/10/15	148,000,000	2006/12/15
09981	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2007 F	0.4100	15.6880	2007/08/27	238,000,000	2006/12/15
09963	KBC Fin Products Int'l Ltd.	Ch Mobile Eur Put Wts Jul 2007	0.2700	55.0000	2007/07/05	368,000,000	2006/12/15
09982	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wts Jul 2007	0.8500	56.8880	2007/07/12	108,000,000	2006/12/15
09985	KBC Fin Products Int'l Ltd.	ICBC European Wts Sep 2007 A	0.6000	3.688 per unit	2007/09/03	158,000,000	2006/12/15
09987	KBC Fin Products Int'l Ltd.	ICBC European Wts Oct 2007 B	0.6900	3.588 per unit	2007/10/08	138,000,000	2006/12/15
09959	CC Rabobank B.A.	Sinopec Corp European Warrants Jul 2007	0.6400	6.4 per unit	2007/07/16	120,000,000	2006/12/15
09960	CC Rabobank B.A.	Sinopec Corp European Warrants Sep 2007	0.6200	6.668 per unit	2007/09/07	120,000,000	2006/12/15
09961	CC Rabobank B.A.	ICBC European Warrants Aug 2007	0.4100	4.068 per unit	2007/08/07	160,000,000	2006/12/15
09962	CC Rabobank B.A.	PetroChina European Warrants Jul 2007	0.8200	10.68 per unit	2007/07/09	120,000,000	2006/12/15
03407 #	Deutsche Bank AG	HSBC European Warrants Apr 2007 A	0.3850	142.0000	2007/04/10	200,000,000	2006/12/15
04447	ABN AMRO Bank N.V.	HWL European Warrants Jun 2007 A	0.2830	80.8800	2007/06/20	100,000,000	2006/12/18
04464	ABN AMRO Bank N.V.	ICBC European Warrants Jun 2007 C	0.2670	4.528 per unit	2007/06/20	200,000,000	2006/12/18
06100 #	KBC Fin Products Int'l Ltd.	ICBC European Wts Apr 2007	0.2950	4.198 per unit	2007/04/20	300,000,000	2006/12/18
04487 #	CC Rabobank B.A.	HSBC European Warrants Jun 2007	0.1930	152.5000	2007/06/08	100,000,000	2006/12/18
03478 #	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Feb 2007	0.2040	145.0800	2007/02/16	175,000,000	2006/12/18
01378 #	SGA Societe Generale Acceptance N.V.	HSBC Eur Wts Apr 07 B	0.3000	142.9800	2007/04/02	217,000,000	2006/12/18
04551	BNP Paribas Arbit Issu B.V.	BankComm European Wts Aug 2007	0.5400	7.88 per unit	2007/08/16	120,000,000	2006/12/19
04552	BNP Paribas Arbit Issu B.V.	China Mobile Euro Wts Jul 2007	0.5300	66.9900	2007/07/27	300,000,000	2006/12/19
04556	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Aug 2007	0.7200	6.8 per unit	2007/08/13	180,000,000	2006/12/19
04570	BNP Paribas Arbit Issu B.V.	HSBC European Wts Sep 2007	0.3900	151.8800	2007/09/10	200,000,000	2006/12/19
04516	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jun 2007	0.3600	148.8800	2007/06/28	200,000,000	2006/12/19
04517	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jun 2007	0.3600	82.0000	2007/06/27	140,000,000	2006/12/19
04518	BNP Paribas Arbit Issu B.V.	ICBC European Wts Aug 2007 A	0.4300	4.28 per unit	2007/08/28	150,000,000	2006/12/19
04520	BNP Paribas Arbit Issu B.V.	PetroChina Euro Wts Jul 2007	0.8500	11.28 per unit	2007/07/16	170,000,000	2006/12/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04465	Citigroup Global Mkt H Inc.	China Tel Euro Wts Jun 2007	0.2600	4.28 per unit	2007/06/28	300,000,000	2006/12/19
04469	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Jul 2007	0.2700	85.2800	2007/07/16	300,000,000	2006/12/19
04470	Deutsche Bank AG	CC Bank European Warrants Jul 2007 A	0.2500	5 per unit	2007/07/30	200,000,000	2006/12/19
04474	Deutsche Bank AG	ICBC European Warrants Aug 2007 A	0.2500	5 per unit	2007/08/06	200,000,000	2006/12/19
04489	Deutsche Bank AG	PetroChina European Warrants Jul 2007	0.8000	11 per unit	2007/07/03	100,000,000	2006/12/19
04512	Deutsche Bank AG	PetroChina European Put Warrants Jul 2007	0.6100	9.3 per unit	2007/07/03	100,000,000	2006/12/19
04583	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Nov 2007	0.2600	8.4880	2007/11/01	388,000,000	2006/12/19
04585	KBC Fin Products Int'l Ltd.	PetroCh Euro Put Wts Jul 2007	0.3300	8 per unit	2007/07/20	218,000,000	2006/12/19
04592	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Wts Aug 2007 C	1.1300	79.8800	2007/08/24	158,000,000	2006/12/19
04579	KBC Fin Products Int'l Ltd.	HSBC European Wts Oct 2007 A	0.8300	136.0000	2007/10/05	108,000,000	2006/12/19
04582	KBC Fin Products Int'l Ltd.	HSBC European Put Wts Sep 2007	0.3400	135.0000	2007/09/05	288,000,000	2006/12/19
04571	KBC Fin Products Int'l Ltd.	HSCEI European Wts Sep 2007 F	0.3600	7,200 per 5,600 units	2007/09/27	278,000,000	2006/12/19
04576	KBC Fin Products Int'l Ltd.	HSI European Wts Jul 2007 C	0.3400	16,800 per 7,800 units	2007/07/30	288,000,000	2006/12/19
04546	Macquarie Bank Ltd.	China Netcom European Wts Jun 2007 B	0.2530	18.0000	2007/06/28	128,000,000	2006/12/19
09573 #	ABN AMRO Bank N.V.	HSBC European Warrants May 2007 B	0.1380	150.8800	2007/05/07	73,000,000	2006/12/19
04958 #	Deutsche Bank AG	China Life European Warrants Jun 2007 C	0.2100	19.0000	2007/06/11	200,000,000	2006/12/19
04977 #	Deutsche Bank AG	ICBC European Warrants Jun 2007 A	0.3700	4.08 per unit	2007/06/18	300,000,000	2006/12/19
04631	Citigroup Global Mkt H Inc.	BOCL European Wts Jun 2007 B	0.2500	4.08 per unit	2007/06/28	320,000,000	2006/12/20
04634	Citigroup Global Mkt H Inc.	CC Bank European Wts Jun 2007	0.2900	4.48 per unit	2007/06/25	320,000,000	2006/12/20
04635	Citigroup Global Mkt H Inc.	ICBC European Wts Jun 2007 B	0.2600	4.48 per unit	2007/06/28	310,000,000	2006/12/20
04618	Deutsche Bank AG	HSCEI European Warrants Jun 2007	0.2500	9,000 per 3,000 units	2007/06/28	200,000,000	2006/12/20
04627	Deutsche Bank AG	HSCEI European Put Warrants Jun 2007	0.2500	8,400 per 3,000 units	2007/06/28	200,000,000	2006/12/20
04613	Deutsche Bank AG	HSI European Warrants Jun 2007 A	0.2900	18,600 per 4,500 units	2007/06/28	200,000,000	2006/12/20
04614	Deutsche Bank AG	HSI European Warrants Jun 2007 B	0.2700	19,000 per 4,500 units	2007/06/28	200,000,000	2006/12/20
04626	Deutsche Bank AG	HSI European Put Warrants Jun 2007	0.2500	18,400 per 4,500 units	2007/06/28	200,000,000	2006/12/20
04656	Goldman Sachs SP (Asia)	HSBC European Warrants Apr 2007	0.3900	143.9000	2007/04/16	200,000,000	2006/12/20
04657	Goldman Sachs SP (Asia)	Hutchison European Wts Apr 2007	0.4300	76.9000	2007/04/23	250,000,000	2006/12/20
04659	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2007 B	0.1500	18,400 per 4,800 units	2007/04/27	400,000,000	2006/12/20
04662	CC Rabobank B.A.	HSBC European Warrants Sep 2007	0.4500	146.6800	2007/09/07	100,000,000	2006/12/20
04663	CC Rabobank B.A.	Hutchison European Warrants Aug 2007	0.4200	79.3800	2007/08/01	100,000,000	2006/12/20
04664	CC Rabobank B.A.	Hutchison European Warrants Sep 2007	0.3900	81.6800	2007/09/04	100,000,000	2006/12/20
04641	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2007 A	0.3400	18,200 per 4,000 units	2007/06/28	500,000,000	2006/12/20
04652	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2007 B	0.2900	18,600 per 4,000 units	2007/06/28	500,000,000	2006/12/20
04637	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt May 07G	0.2050	18,400 per 4,000 units	2007/05/30	500,000,000	2006/12/20
04490 #	Deutsche Bank AG	HSI European Put Warrants May 2007 E	0.1750	18,600 per 4,200 units	2007/05/30	400,000,000	2006/12/20
03496 #	Macquarie Bank Ltd.	China Mobile European Wts Feb 2007 B	0.5300	60.0000	2007/02/27	100,000,000	2006/12/20
04685	Deutsche Bank AG	DJIA European Warrants Sep 2007	0.3300	12,800 per 20,000 units	2007/09/24	100,000,000	2006/12/21
04710	Deutsche Bank AG	DJIA European Put Warrants Sep 2007	0.2500	11,800 per 20,000 units	2007/09/24	100,000,000	2006/12/21
04697	Deutsche Bank AG	HSCEI European Warrants Jul 2007 A	0.2600	9,200 per 3,000 units	2007/07/30	200,000,000	2006/12/21
04715	Deutsche Bank AG	HSCEI European Put Warrants Jul 2007 D	0.3600	9,000 per 3,000 units	2007/07/30	200,000,000	2006/12/21
04708	Deutsche Bank AG	Nikkei 225 Index European Wts Sep 2007 A	0.4200	16,600 per 250 units	2007/09/14	200,000,000	2006/12/21
04709	Deutsche Bank AG	Nikkei 225 Index European Wts Sep 2007 B	0.3200	17,400 per 250 units	2007/09/14	200,000,000	2006/12/21
04721	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Sep 2007	0.2500	15,600 per 300 units	2007/09/14	200,000,000	2006/12/21

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04730	Macquarie Bank Ltd.	CC Bank European Put Warrants Jun 2007	0.2660	4 per unit	2007/06/28	200,000,000	2006/12/21
04731	Macquarie Bank Ltd.	Sinopec Corp European Put Wts Jun 2007	0.3240	6 per unit	2007/06/28	100,000,000	2006/12/21
04736	Macquarie Bank Ltd.	Hutchison European Put Wts Jun 2007	0.2990	72.5000	2007/06/28	100,000,000	2006/12/21
04722	Macquarie Bank Ltd.	Cheung Kong European Warrants Jun 2007	0.2580	97.5000	2007/06/28	150,000,000	2006/12/21
04724	Macquarie Bank Ltd.	Sinopec Corp European Wts Jun 2007 B	0.3830	7 per unit	2007/06/28	150,000,000	2006/12/21
04725	Macquarie Bank Ltd.	HSBC European Warrants Jun 2007	0.3690	145.0000	2007/06/28	200,000,000	2006/12/21
04729	Macquarie Bank Ltd.	Hutchison European Warrants Jun 2007	0.2510	82.5000	2007/06/28	200,000,000	2006/12/21
04496 #	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Apr 2007 E	0.1230	18,800 per 4,800 units	2007/04/27	600,000,000	2006/12/21
01298 #	SGA Societe Generale Acceptance N.V.	CNOOC Euro Wts Mar 07	0.2850	7.08 per unit	2007/03/12	100,000,000	2006/12/21
04799	ABN AMRO Bank N.V.	Ch Comm Cons European Wts Dec 2007 A	0.2500	6.3800	2007/12/27	150,000,000	2006/12/22
04805	ABN AMRO Bank N.V.	Ch Comm Cons European Wts Dec 2007 B	0.2500	6.6800	2007/12/31	150,000,000	2006/12/22
04806	ABN AMRO Bank N.V.	China Life European Warrants Jul 2007 B	0.2500	22.8800	2007/07/25	100,000,000	2006/12/22
04817	ABN AMRO Bank N.V.	HSBC European Warrants Jun 2007 B	0.4900	139.2800	2007/06/22	100,000,000	2006/12/22
04819	ABN AMRO Bank N.V.	HWL European Warrants Jun 2007 B	0.2500	83.8800	2007/06/22	100,000,000	2006/12/22
04845	Goldman Sachs SP (Asia)	Ch Comm Cons European Wts Jun 2007	0.6800	6.98 per unit	2007/06/25	100,000,000	2006/12/22
04846	Goldman Sachs SP (Asia)	Sinopec Corp Euro Wts Jun 2007 A	0.5900	6.79 per unit	2007/06/11	150,000,000	2006/12/22
04850	Goldman Sachs SP (Asia)	PetroChina European Wts Jun 2007	0.7500	11 per unit	2007/06/04	150,000,000	2006/12/22
04848	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Jun 2007 B	1.7400	19.3 per unit	2007/06/25	20,000,000	2006/12/22
04849	Goldman Sachs SP (Asia)	Hutchison European Wts Jun 2007 A	0.2500	89.8000	2007/06/25	250,000,000	2006/12/22
04834	KBC Fin Products Int'l Ltd.	Ch Comm Cons Eur Wt Jun 2007 A	0.5500	6.688 per unit	2007/06/28	168,000,000	2006/12/22
04838	KBC Fin Products Int'l Ltd.	Ch Comm Cons Eur Wt Jul 2007 A	0.4300	7.088 per unit	2007/07/05	218,000,000	2006/12/22
04841	Macquarie Bank Ltd.	Ch Comm Cons European Wts Jun 2008	0.2510	6.0000	2008/06/30	400,000,000	2006/12/22
04842	Macquarie Bank Ltd.	Ch Comm Cons European Wts Jun 2007 A	0.5380	6.5 per unit	2007/06/28	200,000,000	2006/12/22
04843	Macquarie Bank Ltd.	Ch Comm Cons European Wts Jun 2007 B	0.3510	7 per unit	2007/06/28	300,000,000	2006/12/22
04773	Macquarie Bank Ltd.	China Life European Warrants Aug 2007	0.2560	21.0000	2007/08/30	388,000,000	2006/12/22
04776	Macquarie Bank Ltd.	China Life European Wts Jun 2007 C	1.2830	22 per unit	2007/06/28	168,000,000	2006/12/22
04786	Macquarie Bank Ltd.	Ping An European Warrants Jun 2007	0.2770	34.0000	2007/06/28	150,000,000	2006/12/22
04782	Macquarie Bank Ltd.	China Life European Put Wts Jun 2007 B	1.2460	18 per unit	2007/06/28	88,000,000	2006/12/22
04820	CC Rabobank B.A.	Ch Comm Cons European Warrants Jun 2007	1.1800	6.68 per unit	2007/06/22	120,000,000	2006/12/22
04751	SGA Societe Generale Acceptance N.V.	BOCL Eur Wts May 2007	0.2700	3.928 per unit	2007/05/25	300,000,000	2006/12/22
04755	SGA Societe Generale Acceptance N.V.	BOCL Eur Wts Jun 2007	0.3800	3.78 per unit	2007/06/25	300,000,000	2006/12/22
04737	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt May 07 B	0.8500	6.9 per unit	2007/05/14	300,000,000	2006/12/22
04756	SGA Societe Generale Acceptance N.V.	CLife Eur Wt Apr 07 E	1.9800	18.88 per unit	2007/04/10	300,000,000	2006/12/22
04763	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jun 2007 B	0.6900	138.8800	2007/06/25	300,000,000	2006/12/22
04789	SGA Societe Generale Acceptance N.V.	CCCL Eur Wts Jun 2007	1.3900	6.7 per unit	2007/06/25	100,000,000	2006/12/22
04798	SGA Societe Generale Acceptance N.V.	CCCL Eur Wts Aug 2007	1.0400	8.5 per unit	2007/08/27	100,000,000	2006/12/22
04858	ABN AMRO Bank N.V.	BankComm European Warrants Jul 2007 A	0.4800	8.108 per unit	2007/07/03	100,000,000	2006/12/27
04859	ABN AMRO Bank N.V.	ICBC European Warrants Jul 2007 C	0.2500	4.798 per unit	2007/07/17	200,000,000	2006/12/27
04851	Deutsche Bank AG	China Life European Warrants Aug 2007 A	0.2500	23.0000	2007/08/06	200,000,000	2006/12/27
04852	Deutsche Bank AG	China Life European Warrants Aug 2007 B	0.2500	24.8800	2007/08/20	200,000,000	2006/12/27
04860	Goldman Sachs SP (Asia)	China Life European Wts Jul 2007 A	1.7400	25 per unit	2007/07/09	100,000,000	2006/12/27
04861	Goldman Sachs SP (Asia)	China Life European Wts Jul 2007 B	1.1100	27.5 per unit	2007/07/09	100,000,000	2006/12/27
04877	Goldman Sachs SP (Asia)	China Life Euro Put Wts Jul 2007 D	2.2100	21.8 per unit	2007/07/09	100,000,000	2006/12/27

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/ exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
04896	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jun 2007 D	1.4400	27.088 per unit	2007/06/27	138,000,000	2006/12/27
04897	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2007 G	1.0600	30.088 per unit	2007/08/01	188,000,000	2006/12/27
04878	Macquarie Bank Ltd.	China Life European Wts Jun 2007 D	0.2540	23.0000	2007/06/28	388,000,000	2006/12/27
04883	Macquarie Bank Ltd.	China Life European Wts Jul 2007 A	0.2550	24.0000	2007/07/30	388,000,000	2006/12/27
04884	Macquarie Bank Ltd.	China Life European Wts Sep 2007 B	0.2580	26.0000	2007/09/27	388,000,000	2006/12/27
04885	Macquarie Bank Ltd.	China Life European Put Wts Jun 2007 E	0.2520	22.0000	2007/06/28	388,000,000	2006/12/27
04464 #	ABN AMRO Bank N.V.	ICBC European Warrants Jun 2007 C	0.2650	4.528 per unit	2007/06/20	200,000,000	2006/12/27
04958 #	Deutsche Bank AG	China Life European Warrants Jun 2007 C	0.4200	19.0000	2007/06/11	400,000,000	2006/12/27
09946 #	Deutsche Bank AG	China Life European Warrants Jul 2007 B	0.3400	21.0800	2007/07/30	400,000,000	2006/12/27
06049 #	Deutsche Bank AG	ICBC European Warrants Jun 2007 B	0.3800	4.38 per unit	2007/06/11	300,000,000	2006/12/27
09664 #	Macquarie Bank Ltd.	BankComm European Put Wts Apr 2007 D	0.1300	6 per unit	2007/04/27	100,000,000	2006/12/27
04923	Citigroup Global Mkt H Inc.	China Life Euro Wts Aug 2007	0.3200	24.8800	2007/08/30	280,000,000	2006/12/28
04927	Citigroup Global Mkt H Inc.	China Life Euro Wts Sep 2007	0.2800	26.8800	2007/09/27	280,000,000	2006/12/28
04934	Citigroup Global Mkt H Inc.	Ping An European Wts Jul 2007	0.3000	39.8000	2007/07/16	260,000,000	2006/12/28
04900	Deutsche Bank AG	Cheung Kong European Warrants Jun 2007 B	0.6900	91.8800	2007/06/28	100,000,000	2006/12/28
04912	Deutsche Bank AG	Cheung Kong European Warrants Jul 2007	0.3400	101.9000	2007/07/09	100,000,000	2006/12/28
04919	Deutsche Bank AG	Cheung Kong European Put Wts Jun 2007	0.4400	88.0000	2007/06/28	100,000,000	2006/12/28
04936	SGA Societe Generale Acceptance N.V.	CLife Eur Wt Jun 07 C	0.2700	23.4800	2007/06/28	500,000,000	2006/12/28
04945	SGA Societe Generale Acceptance N.V.	CLife Eur Wt Aug 07 A	0.2500	25.4800	2007/08/20	500,000,000	2006/12/28
04956	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2007 C	0.3100	4.61 per unit	2007/07/16	500,000,000	2006/12/28
04952	SGA Societe Generale Acceptance N.V.	HSI Eur Put Wt Jun 07	0.2700	19,000 per 4,000 units	2007/06/28	500,000,000	2006/12/28
04472 #	Deutsche Bank AG	CC Bank European Put Warrants Jun 2007 B	0.0720	3.5 per unit	2007/06/18	200,000,000	2006/12/28
06000 #	Deutsche Bank AG	China Life European Put Wts Jun 2007 D	0.0540	17.3800	2007/06/18	200,000,000	2006/12/28
03407 #	Deutsche Bank AG	HSBC European Warrants Apr 2007 A	0.4150	142.0000	2007/04/10	300,000,000	2006/12/28
03424	ABN AMRO Bank N.V.	China Life European Warrants Jul 2007 C	0.2500	25.2800	2007/07/10	200,000,000	2006/12/29
03430	ABN AMRO Bank N.V.	Sinopec Corp European Wts Aug 2007 A	0.4300	7.288 per unit	2007/08/07	200,000,000	2006/12/29
03450	ABN AMRO Bank N.V.	ICBC European Warrants Jul 2007 D	0.2500	4.988 per unit	2007/07/31	250,000,000	2006/12/29
03451	ABN AMRO Bank N.V.	ICBC European Warrants Aug 2007 B	0.2500	5.108 per unit	2007/08/08	250,000,000	2006/12/29
03456	ABN AMRO Bank N.V.	Ping An European Warrants Jul 2007 A	0.3100	38.8800	2007/07/10	100,000,000	2006/12/29
04975	BNP Paribas Arbit Issu B.V.	BOCL European Wts Aug 2007	0.2700	4.18 per unit	2007/08/20	200,000,000	2006/12/29
04978	BNP Paribas Arbit Issu B.V.	BOCL European Wts Oct 2007	0.2500	4.38 per unit	2007/10/15	200,000,000	2006/12/29
04979	BNP Paribas Arbit Issu B.V.	BOCL European Put Wts Jul 2007	0.3500	3.8 per unit	2007/07/30	100,000,000	2006/12/29
04987	BNP Paribas Arbit Issu B.V.	CC Bank European Wts Sep 2007	0.2500	5.29 per unit	2007/09/24	200,000,000	2006/12/29
04994	BNP Paribas Arbit Issu B.V.	CC Bank Euro Put Wts Jul 2007	0.4000	4.28 per unit	2007/07/30	200,000,000	2006/12/29
03411	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jul 2007 C	0.2500	25.0000	2007/07/03	300,000,000	2006/12/29
03412	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Sep 2007 A	0.2600	26.8800	2007/09/10	300,000,000	2006/12/29
03413	BNP Paribas Arbit Issu B.V.	Ch Life Euro Put Wts Jul 2007	0.3200	21.8800	2007/07/03	200,000,000	2006/12/29
03416	BNP Paribas Arbit Issu B.V.	ICBC European Wts Aug 2007 B	0.4000	4.68 per unit	2007/08/06	200,000,000	2006/12/29
03421	BNP Paribas Arbit Issu B.V.	ICBC European Wts Sep 2007	0.3500	4.99 per unit	2007/09/10	200,000,000	2006/12/29
03531	Calyon Fin P (G) Ltd.	CC Bank European Warrants Jul 2007	0.3700	4.688 per unit	2007/07/16	200,000,000	2006/12/29
03530	Calyon Fin P (G) Ltd.	China Life European Wts Jul 2007 D	0.3900	22.8800	2007/07/10	200,000,000	2006/12/29
03522	Calyon Fin P (G) Ltd.	China Life European Wts Aug 2007	0.2900	25.8800	2007/08/13	200,000,000	2006/12/29
03532	Calyon Fin P (G) Ltd.	Sinopec Corp European Wts Jul 2007	0.6700	7.08 per unit	2007/07/03	200,000,000	2006/12/29

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/	Subscription/exercise period	Unit issued on initial listing	Listing date
				Subscription price (HK\$) per 10 units			
03516	Calyon Fin P (G) Ltd.	Foxconn European Warrants Jul 2007	0.4200	25.2800	2007/07/03	200,000,000	2006/12/29
03517	Calyon Fin P (G) Ltd.	HSBC European Warrants Jul 2007	0.7400	139.8800	2007/07/16	200,000,000	2006/12/29
03518	Calyon Fin P (G) Ltd.	HSBC European Warrants Oct 2007	0.6000	145.8800	2007/10/09	200,000,000	2006/12/29
03519	Calyon Fin P (G) Ltd.	Hutchison European Wts Aug 2007 A	0.6800	74.8800	2007/08/06	200,000,000	2006/12/29
03520	Calyon Fin P (G) Ltd.	Hutchison European Warrants Jul 2007	0.4900	77.8800	2007/07/10	200,000,000	2006/12/29
03476	KBC Fin Products Int'l Ltd.	Ch Comm Cons Eur Wt Jun 2007 B	0.2800	7.8 per unit	2007/06/29	368,000,000	2006/12/29
03482	Macquarie Bank Ltd.	HSCEI European Warrants Jun 2007 C	0.2550	9,600 per 4,100 units	2007/06/28	188,000,000	2006/12/29
03484	Macquarie Bank Ltd.	HSCEI European Warrants Jun 2007 D	0.2540	10,000 per 3,600 units	2007/06/28	188,000,000	2006/12/29
03485	Macquarie Bank Ltd.	HSCEI European Put Warrants Jun 2007 E	0.2550	9,600 per 4,400 units	2007/06/28	188,000,000	2006/12/29
03497	Macquarie Bank Ltd.	HSCEI European Put Warrants Jun 2007 F	0.2560	10,000 per 5,400 units	2007/06/28	188,000,000	2006/12/29
03505	Macquarie Bank Ltd.	HSI European Warrants Jun 2007	0.2540	20,000 per 4,500 units	2007/06/28	388,000,000	2006/12/29
03515	Macquarie Bank Ltd.	HSI European Put Warrants Jun 2007	0.2590	20,000 per 7,000 units	2007/06/28	388,000,000	2006/12/29
04960	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Jul 07 B	0.5300	7.38 per unit	2007/07/03	200,000,000	2006/12/29
04959	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2007 A	0.4150	80.8800	2007/07/03	200,000,000	2006/12/29
04968	SGA Societe Generale Acceptance N.V.	HWL Eur Put Wt Jul 07	0.5200	74.8800	2007/07/03	200,000,000	2006/12/29
06125 #	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Aug 2007	0.4950	21.0000	2007/08/20	600,000,000	2006/12/29

Further issue

List of expired equity warrants, 2006

Code	Warrant	Last trading date	Delisted date
00354	Champion Technology Holdings Ltd. Warrants 2006	2006/02/13	2006/02/17
00442	South China Brokerage Co. Ltd. Warrants 2006	2006/02/27	2006/03/03
02311	Soundwill Holdings Ltd. Warrants 2006	2006/02/27	2006/03/03
00407	Playmates Holdings Ltd. Warrants 2006	2006/05/18	2006/05/24
00695	Lei Shing Hong Ltd. Warrants 2006	2006/06/14	2006/06/20
01214	China Travel Int'l Investment Hong Kong Ltd. Warrants 2006	2006/05/25	2006/06/01
00117	Pacific Andes International Holdings Ltd. Warrants 2006	2006/07/26	2006/08/01
00490	China Credit Holdings Ltd. Warrants 2006	2006/07/26	2006/08/01
00951	Hopewell Highway Infrastructure Ltd. Warrants 2006	2006/08/01	2006/08/07
00400	CITIC 21CN Co. Ltd. Warrants 2006	2006/09/12	2006/09/18
00705	Zhongda International Holdings Ltd. Warrants 2006	2006/10/25	2006/11/01
02393	Man Yue International Holdings Ltd. Warrants 2006	2006/10/31	2006/11/06

List of expired derivative warrants, 2006

Code	Warrant	Last trading date	Delisted date
03915	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2005	2005/12/22	2006/01/03
03934	Calyon Fin P (G) Ltd. – Huaneng Power European Wts Jan 2006	2005/12/22	2006/01/03
03771	J P Morgan Int'l Der. Ltd. – COSCO Pac European Wts Dec 2005	2005/12/22	2006/01/03
09010	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Dec 2005	2005/12/22	2006/01/03
04836	Macquarie Bank Ltd. – ALUCO European Warrants Dec 2005	2005/12/22	2006/01/03
04741	Macquarie Bank Ltd. – Ch Ship Con European Warrants Dec 2005	2005/12/22	2006/01/03
04951	Macquarie Bank Ltd. – Ch Unicom European Warrants Dec 2005	2005/12/22	2006/01/03
04943	Macquarie Bank Ltd. – Cheung Kong European Put Wts Dec 2005	2005/12/22	2006/01/03
04939	Macquarie Bank Ltd. – Cheung Kong European Warrants Dec 2005	2005/12/22	2006/01/03
03567	Macquarie Bank Ltd. – China Merchants Euro Wts Dec 2005 B	2005/12/22	2006/01/03
04874	Macquarie Bank Ltd. – China Mobile European Put Wts Dec 2005	2005/12/22	2006/01/03
03895	Macquarie Bank Ltd. – China Mobile European Wts Dec 2005 A	2005/12/22	2006/01/03
04929	Macquarie Bank Ltd. – China Mobile European Wts Dec 2005 B	2005/12/22	2006/01/03
03917	Macquarie Bank Ltd. – China Telecom European Wts Dec 2005	2005/12/22	2006/01/03
03918	Macquarie Bank Ltd. – CITIC Pacific European Wts Dec 2005	2005/12/22	2006/01/03
04953	Macquarie Bank Ltd. – Henderson Land European Wts Dec 2005	2005/12/22	2006/01/03
03555	Macquarie Bank Ltd. – HKEx European Warrants Dec 2005	2005/12/22	2006/01/03
04875	Macquarie Bank Ltd. – HSBC European Warrants Dec 2005 A	2005/12/22	2006/01/03
04930	Macquarie Bank Ltd. – HSBC European Warrants Dec 2005 B	2005/12/22	2006/01/03
04867	Macquarie Bank Ltd. – Huaneng Power European Wts Dec 2005	2005/12/22	2006/01/03
04944	Macquarie Bank Ltd. – Hutchison European Put Wts Dec 2005	2005/12/22	2006/01/03
04940	Macquarie Bank Ltd. – Hutchison European Warrants Dec 2005	2005/12/22	2006/01/03
04742	Macquarie Bank Ltd. – JX Copper European Warrants Dec 2005	2005/12/22	2006/01/03
03568	Macquarie Bank Ltd. – Li & Fung European Warrants Dec 2005	2005/12/22	2006/01/03
04954	Macquarie Bank Ltd. – PCCW European Warrants Dec 2005	2005/12/22	2006/01/03
03454	Macquarie Bank Ltd. – PetroChina European Put Wts Dec 2005	2005/12/22	2006/01/03
03951	Macquarie Bank Ltd. – PetroChina European Warrants Dec 2005	2005/12/22	2006/01/03
04743	Macquarie Bank Ltd. – SH Petrochemical European Wts Dec 2005	2005/12/22	2006/01/03
04941	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Dec 2005	2005/12/22	2006/01/03
03906	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2005	2005/12/22	2006/01/03
03731	Macquarie Bank Ltd. – Shun Tak European Warrants Dec 2005	2005/12/22	2006/01/03
03950	Macquarie Bank Ltd. – Sinopec European Warrants Dec 2005	2005/12/22	2006/01/03
03439	Deutsche Bank AG – China Life European Warrants Jan 2006	2005/12/23	2006/01/04
09011	Deutsche Bank AG – HSBC European Warrants Jan 2006	2005/12/23	2006/01/04
03418	Deutsche Bank AG – PetroChina European Warrants Jan 2006 A	2005/12/23	2006/01/04
03747	KBC Fin Products Int'l Ltd. – HS Bank European Wts Jan 2006	2005/12/23	2006/01/04
03661	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2006 A	2005/12/23	2006/01/04
03976	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2006 B	2005/12/23	2006/01/04
03977	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2006 C	2005/12/23	2006/01/04
04663	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jan 2006 A	2005/12/23	2006/01/04
04703	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jan 2006 B	2005/12/23	2006/01/04
04904	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jan 2006	2005/12/23	2006/01/04
03879	KBC Fin Products Int'l Ltd. – COSCO Pac Euro Wts Jan 2006	2005/12/28	2006/01/05
09035	Deutsche Bank AG – PetroChina European Warrants Jan 2006 B	2006/01/03	2006/01/10
04990	Deutsche Bank AG – SHK Ppt European Warrants Jan 2006	2006/01/03	2006/01/10
03996	Calyon Fin P (G) Ltd. – China Ship Cont Euro Wts Jan 2006	2006/01/04	2006/01/11
04593	Credit Suisse First Boston – HLand European Wts Jan 2006	2006/01/06	2006/01/13
04568	Credit Suisse First Boston – PetroChina Euro Wts Jan 2006	2006/01/06	2006/01/13
04596	Credit Suisse First Boston – Swire Pac European Wts Jan 2006	2006/01/06	2006/01/13
03859	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Jan 2006	2006/01/09	2006/01/16
09008	KBC Fin Products Int'l Ltd. – China Mobile Euro Wts Jan 2006	2006/01/09	2006/01/16
09091	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jan 2006 C	2006/01/09	2006/01/16
04916	Calyon Fin P (G) Ltd. – SHK Ppt European Warrants Jan 2006	2006/01/10	2006/01/17
09080	Deutsche Bank AG – CNOOC European Put Warrants Jan 2006	2006/01/10	2006/01/17
04888	Deutsche Bank AG – Hutchison European Warrants Jan 2006	2006/01/10	2006/01/17
03472	SGA Societe Generale Acceptance N.V. – ALUCO Eur Wt Jan 2006	2006/01/10	2006/01/17
03468	SGA Societe Generale Acceptance N.V. – PetCh Eu Put Wt Jan06	2006/01/10	2006/01/17
03910	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Jan 06 A	2006/01/10	2006/01/17
04866	BOCI Asia Ltd. – Hutchison European Put Warrants Jan 2006	2006/01/11	2006/01/18
04862	BOCI Asia Ltd. – Hutchison European Warrants Jan 2006	2006/01/11	2006/01/18
04702	KBC Fin Products Int'l Ltd. – Ch Life European Wts Jan 2006	2006/01/11	2006/01/18
04925	ABN AMRO Bank N.V. – China Travel European Warrants Jan 2006	2006/01/12	2006/01/19
04910	ABN AMRO Bank N.V. – PetroChina European Warrants Jan 2006 A	2006/01/12	2006/01/19
09088	ABN AMRO Bank N.V. – PetroChina European Warrants Jan 2006 B	2006/01/12	2006/01/19
03842	Credit Suisse First Boston – China Mobile Euro Wts Jan 2006	2006/01/12	2006/01/19
03843	Credit Suisse First Boston – HSBC European Wts Jan 2006	2006/01/12	2006/01/19
03844	Credit Suisse First Boston – Huaneng Power Euro Wts Jan 2006	2006/01/12	2006/01/19
03693	Fortis Bank S.A./N.V. – HSBC European Warrants Jan 2006	2006/01/12	2006/01/19
04914	ABN AMRO Bank N.V. – PetroChina European Put Wts Jan 2006	2006/01/13	2006/01/20
03457	ABN AMRO Bank N.V. – China Netcom European Warrants Jan 2006	2006/01/16	2006/01/23
03459	ABN AMRO Bank N.V. – MTRC European Warrants Jan 2006	2006/01/16	2006/01/23
09019	SGA Societe Generale Acceptance N.V. – C Mob Eu Put Wt Jan06	2006/01/16	2006/01/23
09122	ABN AMRO Bank N.V. – Cheung Kong European Warrants Jan 2006	2006/01/17	2006/01/24
09127	ABN AMRO Bank N.V. – Hutchison European Warrants Jan 2006	2006/01/17	2006/01/24
09141	BNP Paribas Arbit Issu B.V. – BOC HK European Wts Jan 2006	2006/01/17	2006/01/24
04906	Calyon Fin P (G) Ltd. – HSBC European Warrants Jan 2006	2006/01/17	2006/01/24
09137	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jan 2006	2006/01/17	2006/01/24
09039	Deutsche Bank AG – PetroChina European Put Warrants Jan 2006	2006/01/17	2006/01/24
04801	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Jan 2006	2006/01/17	2006/01/24
09015	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Jan 06 C	2006/01/17	2006/01/24
09016	SGA Societe Generale Acceptance N.V. – Ch Life Eur Wt Jan 06	2006/01/17	2006/01/24
03854	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 06 A	2006/01/17	2006/01/24
04893	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 06 B	2006/01/17	2006/01/24
09084	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Jan 2006	2006/01/17	2006/01/24
03930	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Jan 2006	2006/01/17	2006/01/24
03901	SGA Societe Generale Acceptance N.V. – HSBC Euro Wt Jan 2006	2006/01/17	2006/01/24
09085	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Jan 2006	2006/01/17	2006/01/24

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
03464	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Jan 06 B	2006/01/17	2006/01/24
03467	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Jan 06 C	2006/01/17	2006/01/24
09017	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Jan 06 D	2006/01/17	2006/01/24
09086	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt Jan 2006	2006/01/17	2006/01/24
04991	SGA Societe Generale Acceptance N.V. – Sinopec Eur Wt Jan 06	2006/01/17	2006/01/24
09126	ABN AMRO Bank N.V. – HKEx European Warrants Jan 2006	2006/01/18	2006/01/25
09125	ABN AMRO Bank N.V. – HLand Dev European Warrants Jan 2006	2006/01/18	2006/01/25
09170	ABN AMRO Bank N.V. – Hang Lung Ppt European Wts Jan 2006	2006/01/20	2006/01/27
09173	ABN AMRO Bank N.V. – SHK Ppt European Warrants Jan 2006	2006/01/20	2006/01/27
09159	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Jan 2006	2006/01/20	2006/01/27
09155	BNP Paribas Arbit Issu B.V. – HSI European Warrants Jan 2006	2006/01/20	2006/01/27
03860	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Jan 2006	2006/01/20	2006/01/27
09178	Deutsche Bank AG – HSCEI European Put Warrants Jan 2006	2006/01/20	2006/01/27
09174	Deutsche Bank AG – HSCEI European Warrants Jan 2006	2006/01/20	2006/01/27
09179	Deutsche Bank AG – HSI European Put Warrants Jan 2006	2006/01/20	2006/01/27
09175	Deutsche Bank AG – HSI European Warrants Jan 2006	2006/01/20	2006/01/27
09157	KBC Fin Products Int'l Ltd. – HSI European Put Wts Jan 2006	2006/01/20	2006/01/27
03406	MSDW Asia Sec Products LLC – HSI European Put Wts Jan 2006 A	2006/01/20	2006/01/27
03407	MSDW Asia Sec Products LLC – HSI European Put Wts Jan 2006 B	2006/01/20	2006/01/27
03402	MSDW Asia Sec Products LLC – HSI European Warrants Jan 2006	2006/01/20	2006/01/27
09142	SGA Societe Generale Acceptance N.V. – HSI Eur Put Wt Jan 06	2006/01/20	2006/01/27
09060	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2006 A	2006/01/20	2006/01/27
09138	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2006 B	2006/01/20	2006/01/27
09163	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2006 C	2006/01/20	2006/01/27
09334	Credit Suisse First Boston – CITIC Euro (LR) Wts Jan 2006	2006/01/23	2006/02/01
04932	Deutsche Bank AG – China Mobile European Warrants Jan 2006	2006/01/23	2006/02/01
09161	Macquarie Bank Ltd. – BOCHK European Warrants Jan 2006	2006/01/23	2006/02/01
09181	Macquarie Bank Ltd. – China Life European Warrants Jan 2006	2006/01/23	2006/02/01
09133	Macquarie Bank Ltd. – CK(H) European Put Warrants Jan 2006	2006/01/23	2006/02/01
09129	Macquarie Bank Ltd. – CK(H) European Warrants Jan 2006	2006/01/23	2006/02/01
03475	Macquarie Bank Ltd. – Esprit European Warrants Jan 2006	2006/01/23	2006/02/01
04989	Macquarie Bank Ltd. – HSBC European Put Warrants Jan 2006	2006/01/23	2006/02/01
03498	Macquarie Bank Ltd. – HSBC European Warrants Jan 2006	2006/01/23	2006/02/01
09134	Macquarie Bank Ltd. – Hutchison European Put Wts Jan 2006	2006/01/23	2006/02/01
09130	Macquarie Bank Ltd. – Hutchison European Warrants Jan 2006	2006/01/23	2006/02/01
09168	Macquarie Bank Ltd. – PCCW European Warrants Jan 2006	2006/01/23	2006/02/01
03453	Macquarie Bank Ltd. – PetroChina European Warrants Jan 2006	2006/01/23	2006/02/01
09135	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Jan 2006	2006/01/23	2006/02/01
09131	Macquarie Bank Ltd. – SHK Ppt European Warrants Jan 2006	2006/01/23	2006/02/01
03469	Calyon Fin P (G) Ltd. – Cheung Kong European Wts Feb 2006	2006/01/24	2006/02/02
03493	Deutsche Bank AG – Cheung Kong European Warrants Feb 2006	2006/01/24	2006/02/02
09177	Deutsche Bank AG – China Life European Warrants Feb 2006 B	2006/01/24	2006/02/02
04832	Deutsche Bank AG – China Mobile European Put Wts Feb 2006 B	2006/01/24	2006/02/02
03438	Deutsche Bank AG – China Mobile European Put Wts Feb 2006 D	2006/01/24	2006/02/02
03434	Deutsche Bank AG – China Mobile European Warrants Feb 2006 C	2006/01/24	2006/02/02
09079	Deutsche Bank AG – CNOOC European Warrants Feb 2006	2006/01/24	2006/02/02
03435	Deutsche Bank AG – Hutchison European Warrants Feb 2006	2006/01/24	2006/02/02
04890	Deutsche Bank AG – SHK Ppt European Warrants Feb 2006	2006/01/24	2006/02/02
03487	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2006	2006/01/24	2006/02/02
09056	KBC Fin Products Int'l Ltd. – CK(H) European Wts Feb 2006 A	2006/01/24	2006/02/02
09132	KBC Fin Products Int'l Ltd. – CK(H) European Wts Feb 2006 B	2006/01/24	2006/02/02
03417	KBC Fin Products Int'l Ltd. – HWL European Wts Feb 2006 A	2006/01/24	2006/02/02
03489	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Feb 2006 A	2006/01/24	2006/02/02
09136	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Feb 2006	2006/01/24	2006/02/02
09117	KBC Fin Products Int'l Ltd. – HWL European Wts Feb 2006 B	2006/01/25	2006/02/03
03876	KBC Fin Products Int'l Ltd. – YZ Coal European Wts Feb 2006	2006/01/25	2006/02/03
04652	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2006	2006/01/26	2006/02/06
09044	ABN AMRO Bank N.V. – New World Dev European Wts Feb 2006	2006/01/27	2006/02/07
09230	ABN AMRO Bank N.V. – PetroChina European Warrants Feb 2006	2006/01/27	2006/02/07
09231	ABN AMRO Bank N.V. – Ping An European Warrants Feb 2006	2006/01/27	2006/02/07
03712	Fortis Bank S.A./N.V. – SHK Ppt European Warrants Feb 2006	2006/01/27	2006/02/07
03480	J P Morgan Int'l Der. Ltd. – Esprit European Wts Feb 2006	2006/01/27	2006/02/07
04718	KBC Fin Products Int'l Ltd. – Esprit European Wts Feb 2006	2006/01/27	2006/02/07
09045	ABN AMRO Bank N.V. – SHK Ppt European Warrants Feb 2006	2006/02/01	2006/02/08
09248	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Feb 2006 A	2006/02/02	2006/02/09
09249	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Feb 2006 B	2006/02/02	2006/02/09
04840	Calyon Fin P (G) Ltd. – China Mobile European Wts Feb 2006 A	2006/02/02	2006/02/09
03408	CC Rabobank B.A. – HSBC European Warrants Feb 2006 A	2006/02/02	2006/02/09
03410	CC Rabobank B.A. – Hutchison European Warrants Feb 2006	2006/02/02	2006/02/09
09072	Credit Suisse – MTRC European Warrants Feb 2006	2006/02/02	2006/02/09
04562	Credit Suisse First Boston – China Unicom Euro Wts Feb 2006	2006/02/02	2006/02/09
04564	Credit Suisse First Boston – CNOOC European Wts Feb 2006	2006/02/02	2006/02/09
04673	Credit Suisse First Boston – HSBC European Wts Feb 2006 A	2006/02/02	2006/02/09
03526	Credit Suisse First Boston – HSBC European Wts Feb 2006 B	2006/02/02	2006/02/09
04544	Credit Suisse First Boston – PetroChina Euro Wts Feb 2006	2006/02/02	2006/02/09
04674	Credit Suisse First Boston – SMIC European Warrants Feb 2006	2006/02/02	2006/02/09
04557	Fortis Bank S.A./N.V. – China Mobile European Wts Feb 2006	2006/02/02	2006/02/09
04830	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Feb 2006	2006/02/02	2006/02/09
09283	KBC Fin Products Int'l Ltd. – BOCHK European Wts Feb 2006	2006/02/03	2006/02/10
09282	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Feb 2006 C	2006/02/03	2006/02/10
09293	KBC Fin Products Int'l Ltd. – China Telecom Eur Wts Feb 2006	2006/02/03	2006/02/10
09294	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Feb 2006 B	2006/02/03	2006/02/10
09229	ABN AMRO Bank N.V. – CNOOC European Warrants Feb 2006	2006/02/07	2006/02/14
09329	ABN AMRO Bank N.V. – MTRC European Warrants Feb 2006	2006/02/07	2006/02/14
09333	Calyon Fin P (G) Ltd. – BankComm European Wts Feb 2006 A	2006/02/07	2006/02/14
09335	Calyon Fin P (G) Ltd. – BankComm European Wts Feb 2006 B	2006/02/07	2006/02/14
09062	Deutsche Bank AG – China Life European Warrants Feb 2006 A	2006/02/07	2006/02/14

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09251	Deutsche Bank AG – PetroChina European Warrants Feb 2006	2006/02/07	2006/02/14
09315	J P Morgan Int'l Der. Ltd. – Huaneng Power Euro Wts Feb 2006	2006/02/07	2006/02/14
09188	J P Morgan Int'l Der. Ltd. – New World Dev Euro Wts Feb 2006	2006/02/07	2006/02/14
09308	J P Morgan Int'l Der. Ltd. – Yanzhou Coal Euro Wts Feb 2006	2006/02/07	2006/02/14
09235	KBC Fin Products Int'l Ltd. – CNOOC European Wts Feb 2006 A	2006/02/07	2006/02/14
03909	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Feb 06 A	2006/02/07	2006/02/14
04936	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Feb 06 B	2006/02/07	2006/02/14
09307	SGA Societe Generale Acceptance N.V. – ChMob Eu Put Wt Feb06	2006/02/07	2006/02/14
09093	SGA Societe Generale Acceptance N.V. – CNOOC Eur Wt Feb 06 A	2006/02/07	2006/02/14
09094	SGA Societe Generale Acceptance N.V. – CNOOC Eur Wt Feb 06 B	2006/02/07	2006/02/14
09212	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Feb 06	2006/02/07	2006/02/14
03902	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Feb 06 A	2006/02/07	2006/02/14
03911	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Feb 06 A	2006/02/07	2006/02/14
09095	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Feb 06 B	2006/02/07	2006/02/14
09096	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Feb 06 C	2006/02/07	2006/02/14
09286	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt Feb 2006	2006/02/07	2006/02/14
09215	UBS AG – Cheung Kong European Warrants Feb 2006	2006/02/07	2006/02/14
09217	UBS AG – China Mobile European Warrants Feb 2006	2006/02/07	2006/02/14
09218	UBS AG – HSBC European Warrants Feb 2006	2006/02/07	2006/02/14
09219	UBS AG – Hutchison European Warrants Feb 2006	2006/02/07	2006/02/14
09348	ABN AMRO Bank N.V. – Oil Futures European Put Wts Feb 2006 C	2006/02/08	2006/02/15
09343	ABN AMRO Bank N.V. – Oil Futures European Wts Feb 2006 A	2006/02/08	2006/02/15
09351	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Feb 2006 C	2006/02/09	2006/02/16
09357	Calyon Fin P (G) Ltd. – China Mobile European Wts Feb 2006 B	2006/02/09	2006/02/16
04863	BOCI Asia Ltd. – SHK Ppt European Put Warrants Feb 2006	2006/02/10	2006/02/17
09226	ABN AMRO Bank N.V. – China Life European Warrants Feb 2006	2006/02/13	2006/02/20
09087	ABN AMRO Bank N.V. – China Telecom European Wts Feb 2006	2006/02/13	2006/02/20
09128	ABN AMRO Bank N.V. – Shangri-La European Warrants Feb 2006	2006/02/13	2006/02/20
03478	J P Morgan Int'l Der. Ltd. – China Life Euro Wts Feb 2006	2006/02/13	2006/02/20
09370	Macquarie Bank Ltd. – Sinopec European Warrants Feb 2006 A	2006/02/13	2006/02/20
09328	ABN AMRO Bank N.V. – HSBC European Warrants Feb 2006	2006/02/14	2006/02/21
09356	Calyon Fin P (G) Ltd. – China Life European Wts Feb 2006	2006/02/14	2006/02/21
03405	CC Rabobank B.A. – China Mobile European Warrants Feb 2006	2006/02/14	2006/02/21
03409	CC Rabobank B.A. – HSBC European Warrants Feb 2006 B	2006/02/14	2006/02/21
09148	Deutsche Bank AG – Cheung Kong European Put Wts Feb 2006	2006/02/14	2006/02/21
09189	J P Morgan Int'l Der. Ltd. – PCCW European Warrants Feb 2006	2006/02/14	2006/02/21
09397	J P Morgan Int'l Der. Ltd. – Ping An European Wts Feb 2006	2006/02/14	2006/02/21
09190	J P Morgan Int'l Der. Ltd. – Sino Land Euro Wts Feb 2006	2006/02/14	2006/02/21
09390	KBC Fin Products Int'l Ltd. – CNOOC European Wts Feb 2006 B	2006/02/14	2006/02/21
09382	Macquarie Bank Ltd. – China Mobile European Wts Feb 2006 D	2006/02/14	2006/02/21
09213	SGA Societe Generale Acceptance N.V. – Ch Life Eur Wt Feb 06	2006/02/14	2006/02/21
09209	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 06 C	2006/02/14	2006/02/21
09162	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Feb 2006	2006/02/14	2006/02/21
09208	SGA Societe Generale Acceptance N.V. – HSBC Eur Wt Feb 06 B	2006/02/14	2006/02/21
03931	SGA Societe Generale Acceptance N.V. – Huaneng Eur Wt Feb 06	2006/02/14	2006/02/21
09164	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Feb 2006	2006/02/14	2006/02/21
09392	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2006	2006/02/15	2006/02/22
09255	UBS AG – Bank of China European Warrants Feb 2006	2006/02/15	2006/02/22
09257	UBS AG – Bank of Communications European Warrants Feb 2006	2006/02/15	2006/02/22
09216	UBS AG – China Life Insurance European Warrants Feb 2006	2006/02/15	2006/02/22
09261	UBS AG – CNOOC European Warrants Feb 2006	2006/02/15	2006/02/22
09262	UBS AG – PetroChina European Warrants Feb 2006	2006/02/15	2006/02/22
09350	ABN AMRO Bank N.V. – Oil Futures European Put Wts Feb 2006 D	2006/02/16	2006/02/23
09344	ABN AMRO Bank N.V. – Oil Futures European Wts Feb 2006 B	2006/02/16	2006/02/23
09416	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Feb 2006	2006/02/16	2006/02/23
09418	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Feb 2006	2006/02/16	2006/02/23
03415	ABN AMRO Bank N.V. – Datang Power European Warrants Feb 2006	2006/02/17	2006/02/24
04985	ABN AMRO Bank N.V. – Yanzhou Coal European Wts Feb 2006	2006/02/17	2006/02/24
04983	ABN AMRO Bank N.V. – China Ship Dev European Wts Feb 2006	2006/02/20	2006/02/27
09430	ABN AMRO Bank N.V. – PetroChina European Put Wts Feb 2006 A	2006/02/20	2006/02/27
09431	ABN AMRO Bank N.V. – PetroChina European Put Wts Feb 2006 B	2006/02/20	2006/02/27
09426	ABN AMRO Bank N.V. – Sinopec Corp European Warrants Feb 2006	2006/02/20	2006/02/27
03479	J P Morgan Int'l Der. Ltd. – China Ship Dev Eur Wts Feb 2006	2006/02/20	2006/02/27
04926	ABN AMRO Bank N.V. – CSCL European Warrants Feb 2006	2006/02/21	2006/02/28
04928	ABN AMRO Bank N.V. – SMIC European Warrants Feb 2006	2006/02/21	2006/02/28
09032	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wts Feb 2006	2006/02/21	2006/02/28
09474	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Feb 2006	2006/02/21	2006/02/28
09470	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Feb 2006	2006/02/21	2006/02/28
09448	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Feb 2006	2006/02/21	2006/02/28
09444	BNP Paribas Arbit Issu B.V. – HSI European Warrants Feb 2006	2006/02/21	2006/02/28
04816	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Feb 2006 A	2006/02/21	2006/02/28
09272	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Feb 2006 B	2006/02/21	2006/02/28
09078	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Feb 2006 A	2006/02/21	2006/02/28
09297	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Feb 2006 B	2006/02/21	2006/02/28
09274	BNP Paribas Arbit Issu B.V. – SHK Ppt European Wts Feb 2006	2006/02/21	2006/02/28
09465	Calyon Fin P (G) Ltd. – HSBC European Warrants Feb 2006	2006/02/21	2006/02/28
09466	Calyon Fin P (G) Ltd. – Hutchison European Warrants Feb 2006	2006/02/21	2006/02/28
09346	Deutsche Bank AG – BOC HK European Warrants Feb 2006	2006/02/21	2006/02/28
04997	Deutsche Bank AG – China Mobile European Warrants Feb 2006 A	2006/02/21	2006/02/28
09228	Deutsche Bank AG – HSI European Put Warrants Feb 2006	2006/02/21	2006/02/28
09224	Deutsche Bank AG – HSI European Warrants Feb 2006 A	2006/02/21	2006/02/28
09372	Deutsche Bank AG – HSI European Warrants Feb 2006 B	2006/02/21	2006/02/28
03437	Deutsche Bank AG – SMIC European Warrants Feb 2006	2006/02/21	2006/02/28
09207	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2006 C	2006/02/21	2006/02/28
09355	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2006 D	2006/02/21	2006/02/28
09203	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2006 A	2006/02/21	2006/02/28
09295	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2006 B	2006/02/21	2006/02/28

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
04644	Macquarie Bank Ltd. – BOCHK European Warrants Feb 2006 A	2006/02/21	2006/02/28
09281	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2006	2006/02/21	2006/02/28
09287	Macquarie Bank Ltd. – HSI European Warrants Feb 2006	2006/02/21	2006/02/28
09377	Macquarie Bank Ltd. – HSI European Warrants Feb 2006 B	2006/02/21	2006/02/28
09449	MSDW Asia Sec Products LLC – HSI European Put Wts Feb 2006	2006/02/21	2006/02/28
09445	MSDW Asia Sec Products LLC – HSI European Warrants Feb 2006	2006/02/21	2006/02/28
09253	SGA Societe Generale Acceptance N.V. – BOCOM Eur Wt Feb 2006	2006/02/21	2006/02/28
09239	SGA Societe Generale Acceptance N.V. – Ch Tel Eur Wt Feb 06A	2006/02/21	2006/02/28
09243	SGA Societe Generale Acceptance N.V. – Ch Tel Eur Wt Feb 06B	2006/02/21	2006/02/28
09166	SGA Societe Generale Acceptance N.V. – CK(H) Eu Put Wt Feb06	2006/02/21	2006/02/28
09309	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Feb06	2006/02/21	2006/02/28
09305	SGA Societe Generale Acceptance N.V. – HSCEI Eur Wt Feb 2006	2006/02/21	2006/02/28
09256	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 06D	2006/02/21	2006/02/28
09476	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 06E	2006/02/21	2006/02/28
09252	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2006 A	2006/02/21	2006/02/28
09378	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2006 B	2006/02/21	2006/02/28
09472	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2006 C	2006/02/21	2006/02/28
09244	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Feb 06 D	2006/02/21	2006/02/28
09245	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Feb 06 E	2006/02/21	2006/02/28
09479	ABN AMRO Bank N.V. – Cheung Kong European Put Wts Feb 2006	2006/02/22	2006/03/01
09480	ABN AMRO Bank N.V. – Hutchison European Put Wts Feb 2006	2006/02/22	2006/03/01
09298	Deutsche Bank AG – BankComm European Warrants Feb 2006	2006/02/22	2006/03/01
09394	J P Morgan Int'l Der. Ltd. – CHALCO European Wts Feb 2006	2006/02/22	2006/03/01
09396	J P Morgan Int'l Der. Ltd. – China Tel European Wts Feb 2006	2006/02/22	2006/03/01
09395	J P Morgan Int'l Der. Ltd. – Sinopec Corp Euro Wts Feb 2006	2006/02/22	2006/03/01
09401	Macquarie Bank Ltd. – BOCHK European Warrants Feb 2006 B	2006/02/22	2006/03/01
03477	Macquarie Bank Ltd. – Ch Life European Put Warrants Feb 2006	2006/02/22	2006/03/01
03401	Macquarie Bank Ltd. – Ch Mobile European Put Wts Feb 2006 A	2006/02/22	2006/03/01
09373	Macquarie Bank Ltd. – Ch Mobile European Put Wts Feb 2006 B	2006/02/22	2006/03/01
09437	Macquarie Bank Ltd. – Ch Telecom European Warrants Feb 2006	2006/02/22	2006/03/01
09381	Macquarie Bank Ltd. – CHALCO European Warrants Feb 2006	2006/02/22	2006/03/01
09304	Macquarie Bank Ltd. – Cheung Kong European Put Wts Feb 2006	2006/02/22	2006/03/01
09299	Macquarie Bank Ltd. – Cheung Kong European Wts Feb 2006 A	2006/02/22	2006/03/01
09452	Macquarie Bank Ltd. – Cheung Kong European Wts Feb 2006 B	2006/02/22	2006/03/01
09427	Macquarie Bank Ltd. – China Life European Put Wts Feb 2006 E	2006/02/22	2006/03/01
04950	Macquarie Bank Ltd. – China Life European Wts Feb 2006 A	2006/02/22	2006/03/01
09264	Macquarie Bank Ltd. – China Life European Wts Feb 2006 B	2006/02/22	2006/03/01
09423	Macquarie Bank Ltd. – China Life European Wts Feb 2006 D	2006/02/22	2006/03/01
09454	Macquarie Bank Ltd. – China Life European Wts Feb 2006 F	2006/02/22	2006/03/01
09013	Macquarie Bank Ltd. – China Mobile European Wts Feb 2006 C	2006/02/22	2006/03/01
09238	Macquarie Bank Ltd. – China Unicom European Wts Feb 2006	2006/02/22	2006/03/01
09374	Macquarie Bank Ltd. – CNOOC European Put Warrants Feb 2006	2006/02/22	2006/03/01
09049	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2006 A	2006/02/22	2006/03/01
09082	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2006 B	2006/02/22	2006/03/01
09301	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2006 C	2006/02/22	2006/03/01
03937	Macquarie Bank Ltd. – COSCO Pacific European Wts Feb 2006	2006/02/22	2006/03/01
04837	Macquarie Bank Ltd. – Denway European Warrants Feb 2006	2006/02/22	2006/03/01
09246	Macquarie Bank Ltd. – HSBC European Put Warrants Feb 2006	2006/02/22	2006/03/01
04988	Macquarie Bank Ltd. – HSBC European Warrants Feb 2006 A	2006/02/22	2006/03/01
09014	Macquarie Bank Ltd. – HSBC European Warrants Feb 2006 B	2006/02/22	2006/03/01
09440	Macquarie Bank Ltd. – HWL European Put Warrants Feb 2006	2006/02/22	2006/03/01
09184	Macquarie Bank Ltd. – HWL European Warrants Feb 2006 A	2006/02/22	2006/03/01
09436	Macquarie Bank Ltd. – HWL European Warrants Feb 2006 B	2006/02/22	2006/03/01
09052	Macquarie Bank Ltd. – Lenovo European Warrants Feb 2006 A	2006/02/22	2006/03/01
09383	Macquarie Bank Ltd. – Lenovo European Warrants Feb 2006 B	2006/02/22	2006/03/01
04868	Macquarie Bank Ltd. – Maanshan I&S European Wts Feb 2006 A	2006/02/22	2006/03/01
09384	Macquarie Bank Ltd. – Maanshan I&S European Wts Feb 2006 B	2006/02/22	2006/03/01
03499	Macquarie Bank Ltd. – New World Dev European Wts Feb 2006 A	2006/02/22	2006/03/01
09167	Macquarie Bank Ltd. – New World Dev European Wts Feb 2006 B	2006/02/22	2006/03/01
09101	Macquarie Bank Ltd. – PetroChina European Put Wts Feb 2006	2006/02/22	2006/03/01
03500	Macquarie Bank Ltd. – PetroChina European Wts Feb 2006 A	2006/02/22	2006/03/01
09233	Macquarie Bank Ltd. – PetroChina European Wts Feb 2006 B	2006/02/22	2006/03/01
09367	Macquarie Bank Ltd. – Ping An European Warrants Feb 2006	2006/02/22	2006/03/01
09455	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2006	2006/02/22	2006/03/01
09428	Macquarie Bank Ltd. – Sinopec European Put Warrants Feb 2006	2006/02/22	2006/03/01
09424	Macquarie Bank Ltd. – Sinopec European Warrants Feb 2006 B	2006/02/22	2006/03/01
09053	Macquarie Bank Ltd. – Swire Pac A European Warrants Feb 2006	2006/02/22	2006/03/01
09521	Calyon Fin P (G) Ltd. – CHALCO European Warrants Mar 2006	2006/02/23	2006/03/02
09513	Calyon Fin P (G) Ltd. – PICC European Warrants Mar 2006	2006/02/23	2006/03/02
09523	Calyon Fin P (G) Ltd. – Sinopec Corp European Wts Mar 2006	2006/02/23	2006/03/02
09407	Deutsche Bank AG – HSBC European Warrants Mar 2006 A	2006/02/23	2006/03/02
09473	Deutsche Bank AG – HSBC European Warrants Mar 2006 B	2006/02/23	2006/03/02
09316	KBC Fin Products Int'l Ltd. – BankComm European Wts Mar 2006	2006/02/23	2006/03/02
09156	KBC Fin Products Int'l Ltd. – Ch Mob Euro Put Wts Mar 2006 B	2006/02/23	2006/03/02
09421	KBC Fin Products Int'l Ltd. – Ch Mob Euro Put Wts Mar 2006 D	2006/02/23	2006/03/02
09354	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2006 A	2006/02/23	2006/03/02
09447	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2006 B	2006/02/23	2006/03/02
09419	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2006	2006/02/23	2006/03/02
09451	KBC Fin Products Int'l Ltd. – HWL European Wts Mar 2006 A	2006/02/23	2006/03/02
09422	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Mar 2006	2006/02/23	2006/03/02
09292	KBC Fin Products Int'l Ltd. – Sinopec European Wts Mar 2006	2006/02/23	2006/03/02
09366	Macquarie Bank Ltd. – BankComm European Warrants Mar 2006	2006/02/23	2006/03/02
02201	Macquarie Bank Ltd. – China Resources European Wts Mar 2006	2006/02/23	2006/03/02
09529	Calyon Fin P (G) Ltd. – HSBC European Warrants Mar 2006 B	2006/02/24	2006/03/03
09280	Deutsche Bank AG – Hutchison European Warrants Mar 2006	2006/02/24	2006/03/03
09041	ABN AMRO Bank N.V. – Cheung Kong European Warrants Mar 2006	2006/02/27	2006/03/06
09043	ABN AMRO Bank N.V. – Hutchison European Warrants Mar 2006	2006/02/27	2006/03/06

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09511	Calyon Fin P (G) Ltd. – China Mobile European Wts Mar 2006 B	2006/02/27	2006/03/06
09291	Citigroup Global Mkt H Inc. – HS Bank European Wts Mar 2006	2006/02/27	2006/03/06
09147	Deutsche Bank AG – Cheung Kong European Warrants Mar 2006	2006/02/28	2006/03/07
09402	Deutsche Bank AG – China Life European Warrants Mar 2006	2006/02/28	2006/03/07
09180	Deutsche Bank AG – China Mobile European Put Wts Mar 2006	2006/02/28	2006/03/07
09250	Deutsche Bank AG – CNOOC European Warrants Mar 2006	2006/02/28	2006/03/07
09371	Deutsche Bank AG – Sinopec Corp European Warrants Mar 2006 B	2006/02/28	2006/03/07
04739	Fortis Bank S.A./N.V. – SHK Ppt European Warrants Mar 2006	2006/02/28	2006/03/07
09306	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 06 B	2006/02/28	2006/03/07
09475	SGA Societe Generale Acceptance N.V. – ChMob Eu Put Wt Mar06	2006/02/28	2006/03/07
09536	UBS AG – China Mobile European Put Warrants Mar 2006	2006/02/28	2006/03/07
09331	UBS AG – CNOOC European Warrants Mar 2006	2006/02/28	2006/03/07
09548	Calyon Fin P (G) Ltd. – Cheung Kong European Wts Mar 2006	2006/03/01	2006/03/08
09171	ABN AMRO Bank N.V. – New World Dev European Wts Mar 2006	2006/03/02	2006/03/09
09172	ABN AMRO Bank N.V. – Sino Land European Warrants Mar 2006	2006/03/02	2006/03/09
09353	DBS Bank Ltd. – BankComm European Warrants Mar 2006	2006/03/02	2006/03/09
09358	J P Morgan Int'l Der. Ltd. – BankComm European Wts Mar 2006	2006/03/02	2006/03/09
09359	J P Morgan Int'l Der. Ltd. – BOC HK European Wts Mar 2006	2006/03/02	2006/03/09
09361	J P Morgan Int'l Der. Ltd. – Denway Motors Euro Wts Mar 2006	2006/03/02	2006/03/09
03840	J P Morgan Int'l Der. Ltd. – HSBC European Warrants Mar 2006	2006/03/02	2006/03/09
03486	J P Morgan Int'l Der. Ltd. – Sinopec European Wts Mar 2006	2006/03/02	2006/03/09
09552	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Mar 2006	2006/03/02	2006/03/09
09247	Macquarie Bank Ltd. – China Mobile European Wts Mar 2006 B	2006/03/02	2006/03/09
09325	ABN AMRO Bank N.V. – CHALCO European Warrants Mar 2006	2006/03/03	2006/03/10
09042	ABN AMRO Bank N.V. – Giordano European Warrants Mar 2006	2006/03/03	2006/03/10
04949	BOCI Asia Ltd. – SHK Ppt European Warrants Mar 2006	2006/03/03	2006/03/10
09169	ABN AMRO Bank N.V. – BOC HK European Warrants Mar 2006	2006/03/06	2006/03/13
09327	ABN AMRO Bank N.V. – CNOOC European Warrants Mar 2006	2006/03/06	2006/03/13
09349	Credit Suisse – BOC HK Euro (LR) Put Wts Mar 06	2006/03/06	2006/03/13
09345	Credit Suisse – BOC HK Euro (LR) Wts Mar 2006 A	2006/03/06	2006/03/13
09259	Credit Suisse – Chi Uni Euro (LR) Wts Mar 2006	2006/03/06	2006/03/13
09403	Credit Suisse – China Tel Eur (LR) Put Wt Mar06	2006/03/06	2006/03/13
09398	Credit Suisse – China Tel Euro (LR) Wts Mar 06	2006/03/06	2006/03/13
09339	Credit Suisse – CITIC Euro (LR) Wts Mar 2006	2006/03/06	2006/03/13
04595	Credit Suisse – HSBC European Wts Mar 2006 A	2006/03/06	2006/03/13
09399	Credit Suisse – PetroCh Euro (LR) Wts Mar 06 A	2006/03/06	2006/03/13
09064	Credit Suisse – PetroChina European Warrants Mar 2006 B	2006/03/06	2006/03/13
03818	Credit Suisse – Shun Tak European Wts Mar 2006	2006/03/06	2006/03/13
03611	Credit Suisse – SMIC European Warrants Mar 2006	2006/03/06	2006/03/13
09568	ABN AMRO Bank N.V. – China Shenhua European Wts Mar 2006 A	2006/03/07	2006/03/14
09569	ABN AMRO Bank N.V. – China Shenhua European Wts Mar 2006 B	2006/03/07	2006/03/14
09553	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Mar 2006	2006/03/07	2006/03/14
09576	Calyon Fin P (G) Ltd. – China Shenhua Euro Wts Mar 2006 A	2006/03/07	2006/03/14
09577	Calyon Fin P (G) Ltd. – China Shenhua Euro Wts Mar 2006 B	2006/03/07	2006/03/14
09211	Deutsche Bank AG – PetroChina European Warrants Mar 2006	2006/03/07	2006/03/14
09578	Macquarie Bank Ltd. – China Shenhua European Wts Mar 2006	2006/03/07	2006/03/14
03433	Macquarie Bank Ltd. – Sinopec European Put Warrants Mar 2006	2006/03/07	2006/03/14
09385	Macquarie Bank Ltd. – Yanzhou Coal European Wts Mar 2006	2006/03/07	2006/03/14
09140	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 06 A	2006/03/07	2006/03/14
09471	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 06 C	2006/03/07	2006/03/14
09560	SGA Societe Generale Acceptance N.V. – Shenhua Eur Wt Mar 06	2006/03/07	2006/03/14
09330	UBS AG – Bank of Communications European Warrants Mar 2006	2006/03/07	2006/03/14
09458	UBS AG – Cheung Kong European Warrants Mar 2006	2006/03/07	2006/03/14
09572	UBS AG – China Shenhua European Warrants Mar 2006	2006/03/07	2006/03/14
09460	UBS AG – Hutchison European Warrants Mar 2006	2006/03/07	2006/03/14
09580	BNP Paribas Arbit Issu B.V. – Sh Petrochem Euro Wts Mar 2006	2006/03/08	2006/03/15
09417	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Mar 2006 C	2006/03/08	2006/03/15
09531	HK Bank – China Life European Warrants Mar 2006	2006/03/09	2006/03/16
09204	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Mar 2006 A	2006/03/09	2006/03/16
09363	J P Morgan Int'l Der. Ltd. – Sh Petrochem Euro Wts Mar 2006	2006/03/10	2006/03/17
03481	J P Morgan Int'l Der. Ltd. – SMIC European Warrants Mar 2006	2006/03/10	2006/03/17
09603	KBC Fin Products Int'l Ltd. – HWL European Wts Mar 2006 B	2006/03/10	2006/03/17
09558	J P Morgan Int'l Der. Ltd. – China Shenhua Euro Wts Mar 2006	2006/03/13	2006/03/20
03470	Calyon Fin P (G) Ltd. – China Mobile European Wts Mar 2006 A	2006/03/14	2006/03/21
03471	Calyon Fin P (G) Ltd. – PetroChina European Wts Mar 2006	2006/03/14	2006/03/21
09585	Deutsche Bank AG – China Shenhua European Wts Mar 2006 B	2006/03/14	2006/03/21
09149	Deutsche Bank AG – Hutchison European Put Warrants Mar 2006	2006/03/14	2006/03/21
09443	Deutsche Bank AG – Ping An European Warrants Mar 2006	2006/03/14	2006/03/21
09225	Deutsche Bank AG – Sinopec Corp European Warrants Mar 2006 A	2006/03/14	2006/03/21
09186	J P Morgan Int'l Der. Ltd. – China Netcom Euro Wts Mar 2006	2006/03/14	2006/03/21
09364	SGA Societe Generale Acceptance N.V. – Ch Life Eur Wt Mar 06	2006/03/14	2006/03/21
09446	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Mar 2006	2006/03/17	2006/03/24
09619	Calyon Fin P (G) Ltd. – Solomon European Warrants Mar 2006	2006/03/21	2006/03/28
09176	Deutsche Bank AG – China Mobile European Warrants Mar 2006	2006/03/21	2006/03/28
09195	SGA Societe Generale Acceptance N.V. – Ch Tel Euro Wt Mar 06	2006/03/21	2006/03/28
09201	SGA Societe Generale Acceptance N.V. – SinoL Eur Wt Mar 2006	2006/03/21	2006/03/28
09611	ABN AMRO Bank N.V. – China Life European Warrants Mar 2006	2006/03/22	2006/03/29
09614	ABN AMRO Bank N.V. – PetroChina European Warrants Mar 2006	2006/03/22	2006/03/29
09621	Credit Suisse – BOC HK European Warrants Mar 2006 B	2006/03/22	2006/03/29
03869	Credit Suisse – Cheung Kong Euro Wts Mar 2006	2006/03/22	2006/03/29
03870	Credit Suisse – China Mobile Euro Wts Mar 2006	2006/03/22	2006/03/29
09555	Credit Suisse – China Shenhua European Warrants Mar 2006	2006/03/22	2006/03/29
03871	Credit Suisse – HSBC European Wts Mar 2006 B	2006/03/22	2006/03/29
09459	UBS AG – China Life European Warrants Mar 2006	2006/03/22	2006/03/29
09386	UBS AG – China Mobile European Warrants Mar 2006	2006/03/22	2006/03/29
04841	Calyon Fin P (G) Ltd. – HSBC European Warrants Mar 2006 A	2006/03/23	2006/03/30

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09057	KBC Fin Products Int'l Ltd. – HSBC European Wts Apr 2006 C	2006/03/29	2006/04/06
09563	ABN AMRO Bank N.V. – Cheung Kong European Warrants Apr 2006	2006/03/30	2006/04/07
09570	ABN AMRO Bank N.V. – Hutchison European Warrants Apr 2006	2006/03/30	2006/04/07
09671	Calyon Fin P (G) Ltd. – GOME European Warrants Apr 2006	2006/03/30	2006/04/07
09666	Calyon Fin P (G) Ltd. – OOIL European Warrants Apr 2006	2006/03/30	2006/04/07
09661	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Apr 2006	2006/04/03	2006/04/11
09121	BOCI Asia Ltd. – Kingboard European Warrants Apr 2006	2006/04/03	2006/04/11
09314	DBS Bank Ltd. – Lenovo European Warrants Apr 2006	2006/04/03	2006/04/11
09404	Deutsche Bank AG – China Life European Warrants Apr 2006	2006/04/03	2006/04/11
09588	Deutsche Bank AG – SHK Ppt European Warrants Apr 2006	2006/04/03	2006/04/11
09605	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Wts Apr 2006 B	2006/04/03	2006/04/11
09214	SGA Societe Generale Acceptance N.V. – Sinopec Eur Wt Apr 06	2006/04/03	2006/04/11
09438	Deutsche Bank AG – Cheung Kong European Warrants Apr 2006 B	2006/04/04	2006/04/12
09369	Deutsche Bank AG – China Telecom European Warrants Apr 2006	2006/04/06	2006/04/13
09571	ABN AMRO Bank N.V. – SHK Ppt European Warrants Apr 2006 A	2006/04/07	2006/04/18
09289	ABN AMRO Bank N.V. – BankComm European Warrants Apr 2006	2006/04/10	2006/04/19
09574	CC Rabobank B.A. – China Shenhua European Warrants Apr 2006	2006/04/10	2006/04/19
09656	CC Rabobank B.A. – Swire Pac A European Warrants Apr 2006	2006/04/10	2006/04/19
09688	Credit Suisse – China Mobile European Warrants Apr 2006	2006/04/10	2006/04/19
04834	Credit Suisse – CSCL European Warrants Apr 2006	2006/04/10	2006/04/19
04594	Credit Suisse – HLand European Wts Apr 2006	2006/04/10	2006/04/19
09026	Credit Suisse – HSBC European Warrants Apr 2006	2006/04/10	2006/04/19
09029	Credit Suisse – Hutchison European Warrants Apr 2006	2006/04/10	2006/04/19
04570	Credit Suisse – PetroChina Euro Wts Apr 2006	2006/04/10	2006/04/19
09412	Deutsche Bank AG – BankComm European Warrants Apr 2006	2006/04/10	2006/04/19
09695	Deutsche Bank AG – China Mobile European Warrants Apr 2006	2006/04/10	2006/04/19
09012	Deutsche Bank AG – HSBC European Warrants Apr 2006	2006/04/10	2006/04/19
09442	Deutsche Bank AG – Hutchison European Warrants Apr 2006 B	2006/04/10	2006/04/19
09696	HK Bank – China Mobile European Warrants Apr 2006	2006/04/10	2006/04/19
04945	KBC Fin Products Int'l Ltd. – Esprit European Wts Apr 2006	2006/04/10	2006/04/19
09681	UBS AG – Cheung Kong European Put Warrants Apr 2006	2006/04/10	2006/04/19
09706	UBS AG – China Overseas European Warrants Apr 2006	2006/04/10	2006/04/19
09682	UBS AG – HSBC European Warrants Apr 2006	2006/04/10	2006/04/19
09685	UBS AG – SHK Ppt European Put Warrants Apr 2006	2006/04/10	2006/04/19
09519	UBS AG – SHK Ppt European Warrants Apr 2006	2006/04/10	2006/04/19
09684	UBS AG – Solomon European Warrants Apr 2006	2006/04/10	2006/04/19
09707	UBS AG – Yanzhou Coal European Warrants Apr 2006	2006/04/10	2006/04/19
03458	ABN AMRO Bank N.V. – CNOOC European Warrants Apr 2006	2006/04/11	2006/04/20
09728	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Apr 2006	2006/04/11	2006/04/20
04927	ABN AMRO Bank N.V. – HSBC European Warrants Apr 2006	2006/04/12	2006/04/21
09634	J P Morgan Int'l Der. Ltd. – Cathay Pac Euro Wts Apr 2006	2006/04/13	2006/04/24
09187	J P Morgan Int'l Der. Ltd. – HLand Dev European Wts Apr 2006	2006/04/18	2006/04/25
09600	Deutsche Bank AG – China Mobile European Put Wts Apr 2006	2006/04/19	2006/04/26
09709	Deutsche Bank AG – Hutchison European Warrants Apr 2006 C	2006/04/19	2006/04/26
09537	UBS AG – Hutchison European Put Warrants Apr 2006	2006/04/19	2006/04/26
09336	Deutsche Bank AG – Cheung Kong European Warrants Apr 2006 A	2006/04/20	2006/04/27
09667	SGA Societe Generale Acceptance N.V. – C Mob Eu Put Wt Apr06	2006/04/20	2006/04/27
09663	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2006	2006/04/20	2006/04/27
09718	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Apr 2006	2006/04/20	2006/04/27
09719	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt Apr 2006	2006/04/20	2006/04/27
09771	ABN AMRO Bank N.V. – HSI European Put Warrants Apr 2006	2006/04/21	2006/04/28
09767	ABN AMRO Bank N.V. – HSI European Warrants Apr 2006	2006/04/21	2006/04/28
09747	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Apr 2006	2006/04/21	2006/04/28
09745	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Apr 2006	2006/04/21	2006/04/28
09741	BNP Paribas Arbit Issu B.V. – HSI European Warrants Apr 2006	2006/04/21	2006/04/28
09609	BNP Paribas Arbit Issu B.V. – Hutch Tel Euro Wts Apr 2006	2006/04/21	2006/04/28
09722	Deutsche Bank AG – HSCEI European Warrants Apr 2006	2006/04/21	2006/04/28
09683	Deutsche Bank AG – HSI European Put Warrants Apr 2006 A	2006/04/21	2006/04/28
09708	Deutsche Bank AG – HSI European Put Warrants Apr 2006 B	2006/04/21	2006/04/28
09733	Deutsche Bank AG – HSI European Put Warrants Apr 2006 E	2006/04/21	2006/04/28
09679	Deutsche Bank AG – HSI European Warrants Apr 2006 C	2006/04/21	2006/04/28
09729	Deutsche Bank AG – HSI European Warrants Apr 2006 D	2006/04/21	2006/04/28
09756	Deutsche Bank AG – HSI European Warrants Apr 2006 F	2006/04/21	2006/04/28
09636	J P Morgan Int'l Der. Ltd. – HK Gas European Wts Apr 2006	2006/04/21	2006/04/28
09731	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2006 B	2006/04/21	2006/04/28
09739	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2006 D	2006/04/21	2006/04/28
09727	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2006 A	2006/04/21	2006/04/28
09734	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2006 C	2006/04/21	2006/04/28
09762	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2006 A	2006/04/21	2006/04/28
09763	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2006 B	2006/04/21	2006/04/28
09766	Macquarie Bank Ltd. – HSI European Warrants Apr 2006	2006/04/21	2006/04/28
09761	MSDW Asia Sec Products LLC – HSI European Warrants Apr 2006	2006/04/21	2006/04/28
09721	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 06B	2006/04/21	2006/04/28
09742	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 06D	2006/04/21	2006/04/28
09717	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2006 A	2006/04/21	2006/04/28
09738	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2006 C	2006/04/21	2006/04/28
09743	ABN AMRO Bank N.V. – PetroChina European Warrants Apr 2006	2006/04/24	2006/05/02
09744	ABN AMRO Bank N.V. – SHK Ppt European Warrants Apr 2006 B	2006/04/24	2006/05/02
09515	BOCI Asia Ltd. – China Netcom European Warrants Apr 2006	2006/04/24	2006/05/02
09586	BOCI Asia Ltd. – Foxconn European Warrants Apr 2006	2006/04/24	2006/05/02
09191	CC Rabobank B.A. – Cheung Kong European Warrants Apr 2006	2006/04/24	2006/05/02
09723	Credit Suisse – BOC HK European Warrants Apr 2006	2006/04/24	2006/05/02
09623	Credit Suisse – China Life European Warrants Apr 2006 A	2006/04/24	2006/05/02
09624	Credit Suisse – China Life European Warrants Apr 2006 B	2006/04/24	2006/05/02
09724	Credit Suisse – China Life European Warrants Apr 2006 C	2006/04/24	2006/05/02
09626	Credit Suisse – CNOOC European Warrants Apr 2006	2006/04/24	2006/05/02
09726	Credit Suisse – Yanzhou Coal European Warrants Apr 2006	2006/04/24	2006/05/02

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09318	Macquarie Bank Ltd. – Henderson Land European Wts Apr 2006	2006/04/24	2006/05/02
09074	Macquarie Bank Ltd. – HSBC European Warrants Apr 2006 A	2006/04/24	2006/05/02
09393	Macquarie Bank Ltd. – HSBC European Warrants Apr 2006 B	2006/04/24	2006/05/02
09408	Macquarie Bank Ltd. – HSBC European Warrants Apr 2006 C	2006/04/24	2006/05/02
09648	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2006	2006/04/24	2006/05/02
09081	Macquarie Bank Ltd. – Sinopec European Warrants Apr 2006	2006/04/24	2006/05/02
09352	MSDW Asia Sec Products LLC – HSBC European Put Wts Apr 2006	2006/04/24	2006/05/02
09347	MSDW Asia Sec Products LLC – HSBC European Warrants Apr 2006	2006/04/24	2006/05/02
04905	Calyon Fin P (G) Ltd. – China Mobile European Wts May 2006 A	2006/04/25	2006/05/03
03839	Calyon Fin P (G) Ltd. – Ping An European Warrants May 2006	2006/04/25	2006/05/03
09435	Calyon Fin P (G) Ltd. – SHK Ppt European Warrants May 2006	2006/04/25	2006/05/03
09711	Deutsche Bank AG – SHK Ppt European Warrants May 2006 B	2006/04/25	2006/05/03
09365	KBC Fin Products Int'l Ltd. – BankComm European Wts May 2006	2006/04/25	2006/05/03
09450	KBC Fin Products Int'l Ltd. – Ch Telecom Euro Wts May 2006	2006/04/25	2006/05/03
09651	KBC Fin Products Int'l Ltd. – China Life Euro Wts May 2006	2006/04/25	2006/05/03
09686	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2006 A	2006/04/25	2006/05/03
09206	KBC Fin Products Int'l Ltd. – Huaneng European Wts May 2006	2006/04/25	2006/05/03
09787	Macquarie Bank Ltd. – SHK Ppt European Warrants May 2006	2006/04/25	2006/05/03
03455	Macquarie Bank Ltd. – SMIC European Warrants May 2006 A	2006/04/25	2006/05/03
09139	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt May 2006	2006/04/25	2006/05/03
09775	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt May 06 B	2006/04/25	2006/05/03
09526	SGA Societe Generale Acceptance N.V. – CNOOC Eur Wt May 2006	2006/04/25	2006/05/03
09774	SGA Societe Generale Acceptance N.V. – HSBC Euro Wt May 06 B	2006/04/25	2006/05/03
09527	SGA Societe Generale Acceptance N.V. – Huaneng Eur Wt May 06	2006/04/25	2006/05/03
09528	SGA Societe Generale Acceptance N.V. – SHK P Eu Put Wt May06	2006/04/25	2006/05/03
09791	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt May 06 B	2006/04/25	2006/05/03
09525	SGA Societe Generale Acceptance N.V. – Sinopec Eur Wt May 06	2006/04/25	2006/05/03
04963	Deutsche Bank AG – HSBC European Warrants May 2006	2006/04/26	2006/05/04
09703	ABN AMRO Bank N.V. – HSBC European Warrants May 2006 A	2006/04/27	2006/05/08
09714	MSDW Asia Sec Products LLC – CLP European Warrants May 2006	2006/04/27	2006/05/08
09776	Credit Suisse – BOC HK European Warrants May 2006	2006/04/28	2006/05/09
09779	Credit Suisse – HSBC European Warrants May 2006 B	2006/04/28	2006/05/09
09108	Credit Suisse – PetroChina European Put Warrants May 2006	2006/04/28	2006/05/09
09439	Deutsche Bank AG – China Mobile European Warrants May 2006 C	2006/04/28	2006/05/09
03644	Fortis Bank S.A./N.V. – China Mobile European Wts May 2006	2006/04/28	2006/05/09
09812	KBC Fin Products Int'l Ltd. – BOCHK European Wts May 2006	2006/04/28	2006/05/09
09798	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2006 B	2006/04/28	2006/05/09
04952	Macquarie Bank Ltd. – Esprit European Warrants May 2006	2006/04/28	2006/05/09
09520	UBS AG – SHK Ppt European Warrants May 2006	2006/04/28	2006/05/09
09429	ABN AMRO Bank N.V. – China Telecom European Wts May 2006	2006/05/03	2006/05/11
09467	Calyon Fin P (G) Ltd. – PetroChina European Wts May 2006 A	2006/05/03	2006/05/11
09391	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts May 2006	2006/05/03	2006/05/11
09517	BOCI Asia Ltd. – China Ship Dev European Warrants May 2006	2006/05/08	2006/05/15
09694	BOCI Asia Ltd. – Techtronic European Warrants May 2006	2006/05/08	2006/05/15
09592	BOCI Asia Ltd. – Tracker Fund European Warrants May 2006	2006/05/08	2006/05/15
04923	ABN AMRO Bank N.V. – Esprit European Warrants May 2006	2006/05/09	2006/05/16
03460	ABN AMRO Bank N.V. – SMIC European Warrants May 2006	2006/05/09	2006/05/16
09608	Calyon Fin P (G) Ltd. – PetroChina European Wts May 2006 B	2006/05/09	2006/05/16
09829	Deutsche Bank AG – China Life European Warrants May 2006	2006/05/09	2006/05/16
09865	Goldman Sachs SP (Asia) – Huaneng European Warrants May 2006	2006/05/09	2006/05/16
09869	Goldman Sachs SP (Asia) – SHK Ppt European Warrants May 2006	2006/05/09	2006/05/16
09778	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt May 06	2006/05/09	2006/05/16
09573	UBS AG – China Shenhua European Warrants May 2006	2006/05/09	2006/05/16
09388	UBS AG – China Telecom European Warrants May 2006	2006/05/09	2006/05/16
09538	UBS AG – PetroChina European Put Warrants May 2006	2006/05/09	2006/05/16
09387	UBS AG – Sinopec European Warrants May 2006	2006/05/09	2006/05/16
09288	ABN AMRO Bank N.V. – BankComm European Warrants May 2006	2006/05/10	2006/05/17
09887	ABN AMRO Bank N.V. – HSBC European Warrants May 2006 B	2006/05/10	2006/05/17
04965	ABN AMRO Bank N.V. – Sinopec European Warrants May 2006	2006/05/10	2006/05/17
09338	Deutsche Bank AG – SHK Ppt European Warrants May 2006 A	2006/05/10	2006/05/17
04968	ABN AMRO Bank N.V. – Sino Land European Warrants May 2006	2006/05/11	2006/05/18
09654	CC Rabobank B.A. – Henderson Land European Wts May 2006	2006/05/12	2006/05/19
09193	CC Rabobank B.A. – PetroChina European Warrants May 2006	2006/05/12	2006/05/19
03452	CC Rabobank B.A. – SHK Ppt European Warrants May 2006	2006/05/12	2006/05/19
09689	Credit Suisse – Henderson Land European Warrants May 2006	2006/05/12	2006/05/19
09027	Credit Suisse – HSBC European Warrants May 2006 A	2006/05/12	2006/05/19
09030	Credit Suisse – PetroChina European Warrants May 2006	2006/05/12	2006/05/19
09720	Deutsche Bank AG – China Telecom European Warrants May 2006	2006/05/16	2006/05/23
09831	Deutsche Bank AG – SHK Ppt European Put Warrants May 2006	2006/05/16	2006/05/23
09822	Goldman Sachs SP (Asia) – China Life European Wts May 2006	2006/05/16	2006/05/23
09860	Goldman Sachs SP (Asia) – China Mobile European Wts May 2006	2006/05/16	2006/05/23
09864	Goldman Sachs SP (Asia) – HSBC European Warrants May 2006	2006/05/16	2006/05/23
09868	Goldman Sachs SP (Asia) – PetroChina European Wts May 2006	2006/05/16	2006/05/23
09907	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts May 2006	2006/05/16	2006/05/23
09910	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts May 2006	2006/05/16	2006/05/23
09664	SGA Societe Generale Acceptance N.V. – AUD/USD Eur Wt May 06	2006/05/16	2006/05/23
09735	SGA Societe Generale Acceptance N.V. – Ch Life Eur Wt May 06	2006/05/16	2006/05/23
09594	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt May 06 A	2006/05/16	2006/05/23
09665	SGA Societe Generale Acceptance N.V. – EUR/USD Eur Wt May 06	2006/05/16	2006/05/23
09596	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2006 A	2006/05/16	2006/05/23
09737	SGA Societe Generale Acceptance N.V. – PetCh Euro Wts May 06	2006/05/16	2006/05/23
09597	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt May 06 A	2006/05/16	2006/05/23
09883	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt May 06 C	2006/05/16	2006/05/23
09668	SGA Societe Generale Acceptance N.V. – AUD/USD Eu Put Wt May06	2006/05/16	2006/05/23
09670	SGA Societe Generale Acceptance N.V. – EUR/USD Eu Put Wt May06	2006/05/16	2006/05/23
09781	UBS AG – Cheung Kong European Warrants May 2006	2006/05/16	2006/05/23
09782	UBS AG – China Mobile European Warrants May 2006	2006/05/16	2006/05/23

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
02181	SGA Societe Generale Acceptance N.V. – C Mob Eu Put Wt Jun06	2006/06/20	2006/06/27
09952	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 06 C	2006/06/20	2006/06/27
09951	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Jun 06 B	2006/06/20	2006/06/27
09929	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jun 06	2006/06/20	2006/06/27
02185	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt Jun 2006	2006/06/20	2006/06/27
02134	UBS AG – Cheung Kong European Warrants Jun 2006	2006/06/20	2006/06/27
02147	UBS AG – HWL European Warrants Jun 2006	2006/06/20	2006/06/27
02149	UBS AG – SHK Ppt European Warrants Jun 2006	2006/06/20	2006/06/27
09068	Credit Suisse – BOC HK European Warrants Jun 2006 A	2006/06/21	2006/06/28
09462	Credit Suisse – CHALCO European Wts Jun 2006 A	2006/06/21	2006/06/28
09069	Credit Suisse – China Life European Warrants Jun 2006	2006/06/21	2006/06/28
09564	Credit Suisse – China Res Ent Euro Wts Aug 2005	2006/06/21	2006/06/28
09070	Credit Suisse – CNOOC European Warrants Jun 2006	2006/06/21	2006/06/28
09073	Credit Suisse – MTRC European Warrants Jun 2006	2006/06/21	2006/06/28
09973	SGA Societe Generale Acceptance N.V. – CCB Eur Put Wt Jun 06	2006/06/21	2006/06/28
02211	ABN AMRO Bank N.V. – Hutchison European Warrants Jun 2006	2006/06/22	2006/06/29
02206	ABN AMRO Bank N.V. – Sinopec European Warrants Jun 2006	2006/06/22	2006/06/29
09021	CC Rabobank B.A. – BOC HK European Warrants Jun 2006	2006/06/22	2006/06/29
09023	CC Rabobank B.A. – China Telecom European Warrants Jun 2006	2006/06/22	2006/06/29
04870	Credit Suisse – CHALCO European Wts Jun 2006 B	2006/06/22	2006/06/29
04871	Credit Suisse – Cheung Kong Euro Wts Jun 2006	2006/06/22	2006/06/29
09983	Credit Suisse – China Construct Bank European Wts Jun 2006 A	2006/06/22	2006/06/29
09984	Credit Suisse – China Construct Bank European Wts Jun 2006 B	2006/06/22	2006/06/29
04873	Credit Suisse – Guoco European Wts Jun 2006	2006/06/22	2006/06/29
03971	Credit Suisse – HSBC European Warrants Jun 2006	2006/06/22	2006/06/29
09598	Credit Suisse – HSCEI European Put Warrants Jun 2006	2006/06/22	2006/06/29
04872	Credit Suisse – Hutchison European Wts Jun 2006	2006/06/22	2006/06/29
09031	Credit Suisse – PetroChina European Warrants Jun 2006 A	2006/06/22	2006/06/29
03819	Credit Suisse – Shun Tak European Wts Jun 2006	2006/06/22	2006/06/29
09103	Credit Suisse – Sinopec Corp European Warrants Jun 2006	2006/06/22	2006/06/29
09912	Deutsche Bank AG – Hutchison European Put Warrants Jun 2006	2006/06/22	2006/06/29
09836	J P Morgan Int'l Der. Ltd. – Ping An European Wts Jun 2006	2006/06/22	2006/06/29
02213	ABN AMRO Bank N.V. – HSI European Put Warrants Jun 2006	2006/06/23	2006/06/30
02209	ABN AMRO Bank N.V. – HSI European Warrants Jun 2006	2006/06/23	2006/06/30
09891	BNP Paribas Arbit Issu B.V. – BOC HK European Wts Jun 2006	2006/06/23	2006/06/30
09793	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2006	2006/06/23	2006/06/30
02182	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Jun 2006	2006/06/23	2006/06/30
02178	BNP Paribas Arbit Issu B.V. – HSI European Warrants Jun 2006	2006/06/23	2006/06/30
09928	Deutsche Bank AG – HSI European Put Warrants Jun 2006	2006/06/23	2006/06/30
09924	Deutsche Bank AG – HSI European Warrants Jun 2006 A	2006/06/23	2006/06/30
09959	Deutsche Bank AG – HSI European Warrants Jun 2006 B	2006/06/23	2006/06/30
09866	Goldman Sachs SP (Asia) – HSCEI European Put Wts Jun 2006	2006/06/23	2006/06/30
09862	Goldman Sachs SP (Asia) – HSCEI European Warrants Jun 2006	2006/06/23	2006/06/30
09867	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2006 B	2006/06/23	2006/06/30
09943	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2006 D	2006/06/23	2006/06/30
09863	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2006 A	2006/06/23	2006/06/30
09939	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2006 C	2006/06/23	2006/06/30
02225	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2006 E	2006/06/23	2006/06/30
02191	KBC Fin Products Int'l Ltd. – HSI European Put Wts Jun 2006	2006/06/23	2006/06/30
09908	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2006 A	2006/06/23	2006/06/30
02161	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2006 B	2006/06/23	2006/06/30
02162	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2006 C	2006/06/23	2006/06/30
09764	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2006 A	2006/06/23	2006/06/30
09765	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2006 B	2006/06/23	2006/06/30
02208	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2006 D	2006/06/23	2006/06/30
02224	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2006 F	2006/06/23	2006/06/30
02204	Macquarie Bank Ltd. – HSI European Warrants Jun 2006 C	2006/06/23	2006/06/30
02219	Macquarie Bank Ltd. – HSI European Warrants Jun 2006 E	2006/06/23	2006/06/30
09715	MSDW Asia Sec Products LLC – HSI European Put Wts Jun 2006 A	2006/06/23	2006/06/30
09716	MSDW Asia Sec Products LLC – HSI European Put Wts Jun 2006 B	2006/06/23	2006/06/30
02183	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 06C	2006/06/23	2006/06/30
02184	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 06D	2006/06/23	2006/06/30
02179	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2006 A	2006/06/23	2006/06/30
02180	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2006 B	2006/06/23	2006/06/30
02145	UBS AG – HSI European Put Warrants Jun 2006	2006/06/23	2006/06/30
02141	UBS AG – HSI European Warrants Jun 2006	2006/06/23	2006/06/30
09702	ABN AMRO Bank N.V. – Gold European Put Warrants Jun 2006 A	2006/06/26	2006/07/03
02133	ABN AMRO Bank N.V. – Gold European Put Warrants Jun 2006 B	2006/06/26	2006/07/03
09698	ABN AMRO Bank N.V. – Gold European Warrants Jun 2006	2006/06/26	2006/07/03
09713	BOCI Asia Ltd. – Hutchison European Warrants Jun 2006	2006/06/26	2006/07/03
09750	Citigroup Global Mkt H Inc. – BankComm European Wts Jun 2006	2006/06/26	2006/07/03
09751	Citigroup Global Mkt H Inc. – BOC HK European Wts Jun 2006	2006/06/26	2006/07/03
09752	Citigroup Global Mkt H Inc. – HSBC European Wts Jun 2006	2006/06/26	2006/07/03
09753	Citigroup Global Mkt H Inc. – Huaneng European Wts Jun 2006	2006/06/26	2006/07/03
09754	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Jun 2006	2006/06/26	2006/07/03
09970	J P Morgan Int'l Der. Ltd. – PCCW European Warrants Jun 2006	2006/06/26	2006/07/03
03730	Macquarie Bank Ltd. – Ch Merchants European Wts Jun 2006	2006/06/26	2006/07/03
02113	Macquarie Bank Ltd. – CHALCO European Warrants Jun 2006	2006/06/26	2006/07/03
02205	Macquarie Bank Ltd. – China Life European Warrants Jun 2006	2006/06/26	2006/07/03
09143	Macquarie Bank Ltd. – China Mobile European Wts Jun 2006 A	2006/06/26	2006/07/03
09758	Macquarie Bank Ltd. – China Mobile European Wts Jun 2006 B	2006/06/26	2006/07/03
02117	Macquarie Bank Ltd. – China Mobile European Wts Jun 2006 C	2006/06/26	2006/07/03
09097	Macquarie Bank Ltd. – CK(H) European Warrants Jun 2006	2006/06/26	2006/07/03
09266	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2006	2006/06/26	2006/07/03
09267	Macquarie Bank Ltd. – Denway European Warrants Jun 2006 A	2006/06/26	2006/07/03
09851	Macquarie Bank Ltd. – Denway European Warrants Jun 2006 B	2006/06/26	2006/07/03
09220	Macquarie Bank Ltd. – HKEx European Warrants Jun 2006	2006/06/26	2006/07/03

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09144	Macquarie Bank Ltd. – HLand European Warrants Jun 2006	2006/06/26	2006/07/03
09660	Macquarie Bank Ltd. – HSBC European Warrants Jun 2006 A	2006/06/26	2006/07/03
09760	Macquarie Bank Ltd. – HSBC European Warrants Jun 2006 B	2006/06/26	2006/07/03
09853	Macquarie Bank Ltd. – Huaneng European Warrants Jun 2006	2006/06/26	2006/07/03
09098	Macquarie Bank Ltd. – Hutchison European Warrants Jun 2006	2006/06/26	2006/07/03
02119	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jun 2006	2006/06/26	2006/07/03
09773	Macquarie Bank Ltd. – PCCW European Warrants Jun 2006	2006/06/26	2006/07/03
09083	Macquarie Bank Ltd. – PetroChina European Warrants Jun 2006	2006/06/26	2006/07/03
09102	Macquarie Bank Ltd. – SH Petrochemical European Wts Jun 2006	2006/06/26	2006/07/03
09099	Macquarie Bank Ltd. – SHK Ppt European Warrants Jun 2006	2006/06/26	2006/07/03
04987	Macquarie Bank Ltd. – Sinopec European Warrants Jun 2006	2006/06/26	2006/07/03
09146	Macquarie Bank Ltd. – Swire Pac A European Warrants Jun 2006	2006/06/26	2006/07/03
09917	BOCI Asia Ltd. – Johnson Electric European Warrants Jul 2006	2006/06/27	2006/07/04
09543	Calyon Fin P (G) Ltd. – China Life European Wts Jul 2006	2006/06/27	2006/07/04
09549	Calyon Fin P (G) Ltd. – HSBC European Warrants Jul 2006 A	2006/06/27	2006/07/04
09768	Calyon Fin P (G) Ltd. – HSBC European Warrants Jul 2006 B	2006/06/27	2006/07/04
09530	Calyon Fin P (G) Ltd. – Yanzhou Coal European Wts Jul 2006	2006/06/27	2006/07/04
09310	DBS Bank Ltd. – BOC HK European Warrants Jul 2006	2006/06/27	2006/07/04
09313	DBS Bank Ltd. – Hutchison European Warrants Jul 2006	2006/06/27	2006/07/04
09415	Deutsche Bank AG – BankComm European Warrants Jul 2006 A	2006/06/27	2006/07/04
02215	Deutsche Bank AG – Cheung Kong European Warrants Jul 2006	2006/06/27	2006/07/04
02228	Deutsche Bank AG – HSBC European Warrants Jul 2006 B	2006/06/27	2006/07/04
02218	Deutsche Bank AG – PetroChina European Put Warrants Jul 2006	2006/06/27	2006/07/04
02220	Goldman Sachs SP (Asia) – China Life European Wts Jul 2006	2006/06/27	2006/07/04
02227	Goldman Sachs SP (Asia) – PetroChina European Wts Jul 2006	2006/06/27	2006/07/04
02189	KBC Fin Products Int'l Ltd. – Ch Mob Eur Put Wts Jul 2006 A	2006/06/27	2006/07/04
09006	KBC Fin Products Int'l Ltd. – CK(H) European Wts Jul 2006 A	2006/06/27	2006/07/04
09813	KBC Fin Products Int'l Ltd. – CK(H) European Wts Jul 2006 B	2006/06/27	2006/07/04
09806	KBC Fin Products Int'l Ltd. – HSBC European Wts Jul 2006 A	2006/06/27	2006/07/04
09909	KBC Fin Products Int'l Ltd. – HSBC European Wts Jul 2006 B	2006/06/27	2006/07/04
09816	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jul 2006 A	2006/06/27	2006/07/04
09850	Macquarie Bank Ltd. – China Ship Dev European Wts Jul 2006	2006/06/27	2006/07/04
02231	SGA Societe Generale Acceptance N.V. – BOCm Eur Wt Jul 06 A	2006/06/27	2006/07/04
09971	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2006 A	2006/06/27	2006/07/04
02155	KBC Fin Products Int'l Ltd. – CK(H) European Wts Jul 2006 C	2006/06/28	2006/07/05
02159	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jul 2006 A	2006/06/28	2006/07/05
02192	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jul 2006 A	2006/06/28	2006/07/05
02157	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jul 2006 B	2006/06/28	2006/07/05
09535	SGA Societe Generale Acceptance N.V. – HSBC Eur Wt Jul 06 A	2006/06/28	2006/07/05
02245	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2006	2006/06/29	2006/07/06
02210	ABN AMRO Bank N.V. – Cheung Kong European Warrants Jul 2006	2006/06/30	2006/07/07
02239	ABN AMRO Bank N.V. – CNOOC European Warrants Jul 2006	2006/06/30	2006/07/07
02238	ABN AMRO Bank N.V. – BankComm European Warrants Jul 2006 A	2006/07/04	2006/07/11
09888	ABN AMRO Bank N.V. – EUR/USD European Put Warrants Jul 2006	2006/07/04	2006/07/11
09884	ABN AMRO Bank N.V. – EUR/USD European Warrants Jul 2006	2006/07/04	2006/07/11
09936	BOCI Asia Ltd. – Foxconn European Warrants Jul 2006	2006/07/04	2006/07/11
02237	Goldman Sachs SP (Asia) – Cheung Kong Euro Put Wts Jul 2006	2006/07/04	2006/07/11
02233	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Jul 2006 A	2006/07/04	2006/07/11
02234	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Jul 2006 B	2006/07/04	2006/07/11
02254	HK Bank – China Life European Warrants Jul 2006	2006/07/04	2006/07/11
02262	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jul 2006 B	2006/07/04	2006/07/11
02263	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jul 2006 B	2006/07/04	2006/07/11
09643	Macquarie Bank Ltd. – Shun Tak European Warrants Jul 2006	2006/07/04	2006/07/11
02250	SGA Societe Generale Acceptance N.V. – CNOOC Eur Wt Jul 06 A	2006/07/04	2006/07/11
02249	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Jul 06B	2006/07/04	2006/07/11
02274	KBC Fin Products Int'l Ltd. – CCB European Wts Jul 2006 A	2006/07/05	2006/07/12
02255	SGA Societe Generale Acceptance N.V. – BOCm Eur Wt Jul 06 B	2006/07/05	2006/07/12
02256	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Jul 2006	2006/07/05	2006/07/12
09120	BOCI Asia Ltd. – Hutchison European Warrants Jul 2006	2006/07/07	2006/07/14
09276	Credit Suisse – China Life European Warrants Jul 2006	2006/07/07	2006/07/14
09278	Credit Suisse – Huaneng Power European Warrants Jul 2006	2006/07/07	2006/07/14
09279	Credit Suisse – PetroChina European Put Warrants Jul 2006	2006/07/07	2006/07/14
09275	Credit Suisse – PetroChina European Warrants Jul 2006 B	2006/07/07	2006/07/14
09277	Credit Suisse – Sinopec Corp European Warrants Jul 2006	2006/07/07	2006/07/14
09612	ABN AMRO Bank N.V. – China Mobile European Warrants Jul 2006	2006/07/10	2006/07/17
09712	BOCI Asia Ltd. – Cheung Kong European Warrants Jul 2006	2006/07/10	2006/07/17
02284	Fortis Bank S.A./N.V. – Sinopec Corp European Wts Jul 2006	2006/07/10	2006/07/17
02270	Fortis Bank S.A./N.V. – Swire Pac A European Wts Jul 2006	2006/07/10	2006/07/17
09700	HK Bank – China Mobile European Put Warrants Jul 2006	2006/07/10	2006/07/17
09610	HK Bank – CNOOC European Warrants Jul 2006	2006/07/10	2006/07/17
02273	KBC Fin Products Int'l Ltd. – BankComm European Wts Jul 2006	2006/07/10	2006/07/17
02174	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Jul 2006	2006/07/10	2006/07/17
02188	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2006 A	2006/07/10	2006/07/17
02292	ABN AMRO Bank N.V. – BankComm European Warrants Jul 2006 B	2006/07/11	2006/07/18
09886	ABN AMRO Bank N.V. – China Telecom European Wts Jul 2006	2006/07/11	2006/07/18
09981	ABN AMRO Bank N.V. – Yanzhou Coal European Warrants Jul 2006	2006/07/11	2006/07/18
02202	Deutsche Bank AG – China Life European Warrants Jul 2006 A	2006/07/11	2006/07/18
02246	Deutsche Bank AG – China Life European Warrants Jul 2006 B	2006/07/11	2006/07/18
02214	Deutsche Bank AG – PetroChina European Warrants Jul 2006 A	2006/07/11	2006/07/18
02222	Goldman Sachs SP (Asia) – China Mobile European Wts Jul 2006	2006/07/11	2006/07/18
02226	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2006	2006/07/11	2006/07/18
02283	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Jul 2006	2006/07/11	2006/07/18
02279	Goldman Sachs SP (Asia) – Hutchison European Wts Jul 2006	2006/07/11	2006/07/18
04454	KBC Fin Products Int'l Ltd. – Ch Telecom Eur Wts Jul 2006	2006/07/11	2006/07/18
04455	KBC Fin Products Int'l Ltd. – HWL European Warrants Jul 2006	2006/07/11	2006/07/18
03448	CC Rabobank B.A. – HSBC European Warrants Jul 2006 A	2006/07/12	2006/07/19
09194	CC Rabobank B.A. – SHK Ppt European Warrants Jul 2006	2006/07/12	2006/07/19

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09974	Fortis Bank S.A./N.V. – CHALCO European Warrants Jul 2006	2006/07/12	2006/07/19
04443	Deutsche Bank AG – BankComm European Warrants Jul 2006 B	2006/07/13	2006/07/20
04490	KBC Fin Products Int'l Ltd. – BOCHK European Wts Jul 2006	2006/07/13	2006/07/20
04491	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Jul 2006	2006/07/13	2006/07/20
04492	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jul 2006	2006/07/13	2006/07/20
04503	KBC Fin Products Int'l Ltd. – CCB European Wts Jul 2006 B	2006/07/14	2006/07/21
04508	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jul 2006 C	2006/07/14	2006/07/21
04838	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jul 2006 A	2006/07/14	2006/07/21
04509	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Jul 2006	2006/07/18	2006/07/25
04533	Calyon Fin P (G) Ltd. – Link REIT European Warrants Jul 2006	2006/07/18	2006/07/25
09687	Deutsche Bank AG – HSBC European Warrants Jul 2006 A	2006/07/18	2006/07/25
02223	Goldman Sachs SP (Asia) – Sinopec European Warrants Jul 2006	2006/07/18	2006/07/25
04452	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2006 B	2006/07/18	2006/07/25
04453	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jul 2006 C	2006/07/18	2006/07/25
09855	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jul 2006 B	2006/07/18	2006/07/25
02195	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Jul 2006	2006/07/18	2006/07/25
02196	SGA Societe Generale Acceptance N.V. – Ch Life Eur Wt Jul 06	2006/07/18	2006/07/25
09873	SGA Societe Generale Acceptance N.V. – HSBC Eur Wt Jul 06 B	2006/07/18	2006/07/25
04475	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Jul 2006	2006/07/18	2006/07/25
04499	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt Jul 2006	2006/07/18	2006/07/25
02136	UBS AG – China Mobile European Warrants Jul 2006	2006/07/18	2006/07/25
02148	UBS AG – PetroChina European Warrants Jul 2006	2006/07/18	2006/07/25
04545	BNP Paribas Arbit Issu B.V. – China Mobile Euro Wts Jul 2006	2006/07/19	2006/07/26
04547	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Jul 2006	2006/07/19	2006/07/26
04542	Deutsche Bank AG – PetroChina European Warrants Jul 2006 B	2006/07/19	2006/07/26
09965	J P Morgan Int'l Der. Ltd. – COSCO Pac European Wts Jul 2006	2006/07/19	2006/07/26
04548	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2006 B	2006/07/19	2006/07/26
04549	SGA Societe Generale Acceptance N.V. – Ch Tel Eu Wt Jul 2006	2006/07/19	2006/07/26
04550	SGA Societe Generale Acceptance N.V. – CNOOC Eur Wt Jul 06 B	2006/07/19	2006/07/26
04483	ABN AMRO Bank N.V. – China Life European Warrants Jul 2006	2006/07/21	2006/07/28
02295	ABN AMRO Bank N.V. – Hutchison European Warrants Jul 2006	2006/07/21	2006/07/28
04487	ABN AMRO Bank N.V. – SHK Ppt European Warrants Jul 2006	2006/07/21	2006/07/28
04521	ABN AMRO Bank N.V. – Sinopec Corp European Warrants Jul 2006	2006/07/21	2006/07/28
04457	KBC Fin Products Int'l Ltd. – Ch Mob Eur Put Wt Jul 2006 B	2006/07/21	2006/07/28
04555	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jul 2006 D	2006/07/21	2006/07/28
04554	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jul 2006 D	2006/07/21	2006/07/28
04486	ABN AMRO Bank N.V. – HSI European Put Warrants Jul 2006	2006/07/24	2006/07/31
04481	ABN AMRO Bank N.V. – HSI European Warrants Jul 2006	2006/07/24	2006/07/31
04522	ABN AMRO Bank N.V. – PetroChina European Warrants Jul 2006	2006/07/24	2006/07/31
02167	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Jul 2006	2006/07/24	2006/07/31
02163	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Jul 2006	2006/07/24	2006/07/31
04450	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Jul 2006	2006/07/24	2006/07/31
04446	BNP Paribas Arbit Issu B.V. – HSI European Warrants Jul 2006	2006/07/24	2006/07/31
04528	Calyon Fin P (G) Ltd. – HSI European Put Warrants Jul 2006 E	2006/07/24	2006/07/31
04529	Calyon Fin P (G) Ltd. – HSI European Put Warrants Jul 2006 F	2006/07/24	2006/07/31
04524	Calyon Fin P (G) Ltd. – HSI European Warrants Jul 2006 A	2006/07/24	2006/07/31
04525	Calyon Fin P (G) Ltd. – HSI European Warrants Jul 2006 B	2006/07/24	2006/07/31
04526	Calyon Fin P (G) Ltd. – HSI European Warrants Jul 2006 C	2006/07/24	2006/07/31
04527	Calyon Fin P (G) Ltd. – HSI European Warrants Jul 2006 D	2006/07/24	2006/07/31
09268	CC Rabobank B.A. – BankComm European Warrants Jul 2006	2006/07/24	2006/07/31
03482	CC Rabobank B.A. – Cheung Kong European Warrants Jul 2006	2006/07/24	2006/07/31
09222	CC Rabobank B.A. – China Mobile European Warrants Jul 2006	2006/07/24	2006/07/31
09469	CC Rabobank B.A. – HSBC European Warrants Jul 2006 B	2006/07/24	2006/07/31
02282	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jul 2006	2006/07/24	2006/07/31
03421	Credit Suisse – China Mobile Euro Wts Jul 2006	2006/07/24	2006/07/31
09625	Credit Suisse – China Tel European Warrants Jul 2006	2006/07/24	2006/07/31
03998	Credit Suisse – HSBC European Wts Jul 2006 A	2006/07/24	2006/07/31
03424	Credit Suisse – HSBC European Wts Jul 2006 B	2006/07/24	2006/07/31
03999	Credit Suisse – PetroChina Euro Wts Jul 2006 A	2006/07/24	2006/07/31
04701	Credit Suisse – Sh Petrochem Euro Wts Jul 2006	2006/07/24	2006/07/31
04540	Deutsche Bank AG – Cheung Kong European Put Wts Jul 2006	2006/07/24	2006/07/31
04493	Deutsche Bank AG – HSI European Put Warrants Jul 2006	2006/07/24	2006/07/31
02116	Deutsche Bank AG – HSI European Warrants Jul 2006	2006/07/24	2006/07/31
04541	Deutsche Bank AG – Hutchison European Put Warrants Jul 2006	2006/07/24	2006/07/31
09839	Fortis Bank S.A./N.V. – Cathay Pacific European Wts Jul 2006	2006/07/24	2006/07/31
09833	J P Morgan Int'l Der. Ltd. – Datang Power Euro Wts Jul 2006	2006/07/24	2006/07/31
09834	J P Morgan Int'l Der. Ltd. – Denway Motors Euro Wts Jul 2006	2006/07/24	2006/07/31
02277	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jul 2006 A	2006/07/24	2006/07/31
02296	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jul 2006 B	2006/07/24	2006/07/31
02297	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jul 2006 C	2006/07/24	2006/07/31
02298	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jul 2006 D	2006/07/24	2006/07/31
02272	KBC Fin Products Int'l Ltd. – HSCEI European Wts Jul 2006	2006/07/24	2006/07/31
02299	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jul 2006 A	2006/07/24	2006/07/31
04441	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jul 2006 B	2006/07/24	2006/07/31
04442	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jul 2006 C	2006/07/24	2006/07/31
04506	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2006 D	2006/07/24	2006/07/31
04507	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2006 E	2006/07/24	2006/07/31
04471	Macquarie Bank Ltd. – HSI European Warrants Jul 2006	2006/07/24	2006/07/31
04543	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Jul06	2006/07/24	2006/07/31
04539	SGA Societe Generale Acceptance N.V. – HSCEI Eur Wt Jul 2006	2006/07/24	2006/07/31
02264	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 06D	2006/07/24	2006/07/31
04476	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 06E	2006/07/24	2006/07/31
04496	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 06F	2006/07/24	2006/07/31
02260	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2006 A	2006/07/24	2006/07/31
02287	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2006 B	2006/07/24	2006/07/31
04472	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2006 C	2006/07/24	2006/07/31
04517	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2006 G	2006/07/24	2006/07/31

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
02146	UBS AG – HSI European Put Warrants Jul 2006	2006/07/24	2006/07/31
02142	UBS AG – HSI European Warrants Jul 2006	2006/07/24	2006/07/31
09937	BOCI Asia Ltd. – HK Electric European Warrants Jul 2006	2006/07/25	2006/08/01
02106	Calyon Fin P (G) Ltd. – CC Bank European Warrants Jul 2006	2006/07/25	2006/08/01
09933	Calyon Fin P (G) Ltd. – PetroChina European Wts Jul 2006	2006/07/25	2006/08/01
09114	Macquarie Bank Ltd. – BOCHK European Warrants Jul 2006 A	2006/07/25	2006/08/01
04558	Macquarie Bank Ltd. – BOCHK European Warrants Jul 2006 B	2006/07/25	2006/08/01
04448	Macquarie Bank Ltd. – BOCom European Warrants Jul 2006	2006/07/25	2006/08/01
04458	Macquarie Bank Ltd. – CHALCO European Warrants Jul 2006	2006/07/25	2006/08/01
04459	Macquarie Bank Ltd. – Cheung Kong European Warrants Jul 2006	2006/07/25	2006/08/01
02267	Macquarie Bank Ltd. – China Life European Wts Jul 2006 A	2006/07/25	2006/08/01
04449	Macquarie Bank Ltd. – China Life European Wts Jul 2006 B	2006/07/25	2006/08/01
04460	Macquarie Bank Ltd. – China Mobile European Wts Jul 2006	2006/07/25	2006/08/01
09770	Macquarie Bank Ltd. – China Telecom European Wts Jul 2006	2006/07/25	2006/08/01
04462	Macquarie Bank Ltd. – China Unicom European Wts Jul 2006	2006/07/25	2006/08/01
04463	Macquarie Bank Ltd. – HKEx European Warrants Jul 2006	2006/07/25	2006/08/01
04480	Macquarie Bank Ltd. – HSBC European Put Warrants Jul 2006	2006/07/25	2006/08/01
09115	Macquarie Bank Ltd. – Hutchison European Warrants Jul 2006	2006/07/25	2006/08/01
04465	Macquarie Bank Ltd. – PetroChina European Warrants Jul 2006	2006/07/25	2006/08/01
04469	Macquarie Bank Ltd. – SHK Ppt European Warrants Jul 2006	2006/07/25	2006/08/01
09265	Macquarie Bank Ltd. – Sinopec European Warrants Jul 2006 A	2006/07/25	2006/08/01
04502	Macquarie Bank Ltd. – Sinopec European Warrants Jul 2006 B	2006/07/25	2006/08/01
02257	SGA Societe Generale Acceptance N.V. – Hua P Eur Wt Jul 2006	2006/07/25	2006/08/01
02200	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Jul 2006	2006/07/25	2006/08/01
02197	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Jul 06A	2006/07/25	2006/08/01
09258	UBS AG – Bank of Communications European Warrants Jul 2006	2006/07/25	2006/08/01
04576	Deutsche Bank AG – China Life European Warrants Aug 2006 A	2006/07/26	2006/08/02
04488	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Aug 2006 A	2006/07/26	2006/08/02
04451	KBC Fin Products Int'l Ltd. – China Mobile Euro Wts Aug 2006	2006/07/26	2006/08/02
02160	KBC Fin Products Int'l Ltd. – CNOOC European Wts Aug 2006 A	2006/07/26	2006/08/02
02158	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2006 A	2006/07/26	2006/08/02
02293	ABN AMRO Bank N.V. – CC Bank European Warrants Aug 2006 A	2006/07/27	2006/08/03
04582	ABN AMRO Bank N.V. – Hutchison European Warrants Aug 2006	2006/07/27	2006/08/03
09954	BOCI Asia Ltd. – Henderson Land European Warrants Aug 2006	2006/07/28	2006/08/04
09819	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Wts Aug 2006 A	2006/07/28	2006/08/04
02278	HK Bank – HKEx European Warrants Aug 2006	2006/07/31	2006/08/07
09989	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Aug 2006	2006/08/01	2006/08/08
02280	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2006	2006/08/01	2006/08/08
04579	Macquarie Bank Ltd. – CNOOC European Warrants Aug 2006	2006/08/01	2006/08/08
04473	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Aug 2006	2006/08/01	2006/08/08
02248	SGA Societe Generale Acceptance N.V. – C Life Eu Wt Aug 06 B	2006/08/01	2006/08/08
09927	SGA Societe Generale Acceptance N.V. – Ch Tel Eur Wt Aug 06	2006/08/01	2006/08/08
04589	ABN AMRO Bank N.V. – Cheung Kong European Put Wts Aug 2006	2006/08/02	2006/08/09
09613	ABN AMRO Bank N.V. – China Mobile European Put Wts Aug 2006	2006/08/02	2006/08/09
04590	ABN AMRO Bank N.V. – Hutchison European Put Wts Aug 2006	2006/08/02	2006/08/09
04599	ABN AMRO Bank N.V. – Sinopec Corp European Warrants Aug 2006	2006/08/02	2006/08/09
09858	CC Rabobank B.A. – Hutchison European Put Warrants Aug 2006	2006/08/02	2006/08/09
09319	Credit Suisse – BankComm European Warrants Aug 2006	2006/08/02	2006/08/09
09321	Credit Suisse – BOC HK European Warrants Aug 2006	2006/08/02	2006/08/09
09581	Credit Suisse – Cheung Kong European Warrants Aug 2006 B	2006/08/02	2006/08/09
03525	Credit Suisse – CITIC Pac European Wts Aug 2006	2006/08/02	2006/08/09
09322	Credit Suisse – CNOOC European Warrants Aug 2006	2006/08/02	2006/08/09
09323	Credit Suisse – HSBC European Put Warrants Aug 2006	2006/08/02	2006/08/09
09584	Credit Suisse – Hutchison European Warrants Aug 2006 B	2006/08/02	2006/08/09
04676	Credit Suisse – SMIC European Warrants Aug 2006	2006/08/02	2006/08/09
04456	KBC Fin Products Int'l Ltd. – CCB Euro Put Wts Aug 2006 A	2006/08/02	2006/08/09
04616	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Aug 2006	2006/08/02	2006/08/09
04617	KBC Fin Products Int'l Ltd. – CNOOC European Wts Aug 2006 B	2006/08/02	2006/08/09
04614	KBC Fin Products Int'l Ltd. – HWL European Put Wts Aug 2006	2006/08/02	2006/08/09
04605	Macquarie Bank Ltd. – PetroChina European Put Wts Aug 2006	2006/08/02	2006/08/09
04601	Macquarie Bank Ltd. – PetroChina European Warrants Aug 2006	2006/08/02	2006/08/09
04553	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Aug 2006	2006/08/02	2006/08/09
02112	UBS AG – CC Bank European Put Warrants Aug 2006	2006/08/02	2006/08/09
04619	KBC Fin Products Int'l Ltd. – HWL European Warrants Aug 2006	2006/08/03	2006/08/10
04588	ABN AMRO Bank N.V. – CNOOC European Warrants Aug 2006	2006/08/04	2006/08/11
02268	Fortis Bank S.A./N.V. – HKEx European Warrants Aug 2006	2006/08/04	2006/08/11
02269	Fortis Bank S.A./N.V. – PetroChina European Wts Aug 2006	2006/08/04	2006/08/11
04636	ABN AMRO Bank N.V. – PetroChina European Warrants Aug 2006	2006/08/07	2006/08/14
09118	BOCI Asia Ltd. – Cheung Kong European Warrants Aug 2006	2006/08/07	2006/08/14
09821	Goldman Sachs SP (Asia) – CHALCO European Warrants Aug 2006	2006/08/08	2006/08/15
04511	J P Morgan Int'l Der. Ltd. – Ch Ship Dev Euro Wts Aug 2006	2006/08/08	2006/08/15
04513	J P Morgan Int'l Der. Ltd. – JX Copper Euro Wts Aug 2006 B	2006/08/08	2006/08/15
02108	KBC Fin Products Int'l Ltd. – CCB European Wts Aug 2006 C	2006/08/08	2006/08/15
04640	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2006 A	2006/08/08	2006/08/15
04620	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Aug 2006	2006/08/08	2006/08/15
04642	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Wts Aug 2006 B	2006/08/08	2006/08/15
04606	Macquarie Bank Ltd. – CNOOC European Put Warrants Aug 2006	2006/08/08	2006/08/15
04580	Macquarie Bank Ltd. – Jiangxi Copper European Wts Aug 2006	2006/08/08	2006/08/15
04607	UBS AG – Bank of Communications European Warrants Aug 2006	2006/08/08	2006/08/15
04609	UBS AG – China Life European Warrants Aug 2006 B	2006/08/08	2006/08/15
04611	UBS AG – CNOOC European Warrants Aug 2006 B	2006/08/08	2006/08/15
04612	UBS AG – PetroChina European Warrants Aug 2006	2006/08/08	2006/08/15
04610	UBS AG – Sinopec European Warrants Aug 2006 B	2006/08/08	2006/08/15
04639	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Aug 2006 B	2006/08/10	2006/08/17
04654	KBC Fin Products Int'l Ltd. – CCB Euro Put Wts Aug 2006 B	2006/08/10	2006/08/17
09980	ABN AMRO Bank N.V. – Jiangxi Copper European Wts Aug 2006	2006/08/11	2006/08/18
09478	ABN AMRO Bank N.V. – Air China European Warrants Aug 2006	2006/08/14	2006/08/21

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
02207	ABN AMRO Bank N.V. – China Telecom European Wts Aug 2006	2006/08/14	2006/08/21
09516	BOCI Asia Ltd. – Sinopec Corp European Warrants Aug 2006	2006/08/14	2006/08/21
03450	CC Rabobank B.A. – Hutchison European Warrants Aug 2006	2006/08/14	2006/08/21
09859	CC Rabobank B.A. – PetroChina European Put Wts Aug 2006	2006/08/14	2006/08/21
03451	CC Rabobank B.A. – PetroChina European Warrants Aug 2006	2006/08/14	2006/08/21
09413	Credit Suisse – Cheung Kong European Put Warrants Aug 2006	2006/08/14	2006/08/21
09409	Credit Suisse – Cheung Kong European Warrants Aug 2006 A	2006/08/14	2006/08/21
09151	Credit Suisse – China Life European Warrants Aug 2006	2006/08/14	2006/08/21
09669	Credit Suisse – Denway Euro (AR) Wts Aug 2006	2006/08/14	2006/08/21
04977	Credit Suisse – DJIA European Put Wts Aug 2006	2006/08/14	2006/08/21
09153	Credit Suisse – Huaneng Power European Warrants Aug 2006	2006/08/14	2006/08/21
09414	Credit Suisse – Hutchison European Put Warrants Aug 2006	2006/08/14	2006/08/21
09410	Credit Suisse – Hutchison European Warrants Aug 2006 A	2006/08/14	2006/08/21
09154	Credit Suisse – PetroChina European Warrants Aug 2006 B	2006/08/14	2006/08/21
09152	Credit Suisse – Sinopec Corp European Warrants Aug 2006	2006/08/14	2006/08/21
04677	Deutsche Bank AG – CC Bank European Put Warrants Aug 2006	2006/08/14	2006/08/21
04643	Deutsche Bank AG – Hutchison European Warrants Aug 2006 B	2006/08/14	2006/08/21
09848	Fortis Bank S.A./N.V. – Cheung Kong Euro Put Wts Aug 2006	2006/08/14	2006/08/21
04625	Macquarie Bank Ltd. – China Life European Put Wts Aug 2006	2006/08/14	2006/08/21
02229	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2006	2006/08/15	2006/08/22
02230	BNP Paribas Arbit Issu B.V. – SHK Ppt European Wts Aug 2006	2006/08/15	2006/08/22
02216	Deutsche Bank AG – Hutchison European Warrants Aug 2006 A	2006/08/15	2006/08/22
04687	Fortis Bank S.A./N.V. – Ping An European Warrants Aug 2006	2006/08/15	2006/08/22
09946	Goldman Sachs SP (Asia) – China Mobile European Wts Aug 2006	2006/08/15	2006/08/22
09947	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2006	2006/08/15	2006/08/22
09948	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Aug 2006	2006/08/15	2006/08/22
04693	Macquarie Bank Ltd. – Link REIT European Warrants Aug 2006 B	2006/08/15	2006/08/22
04604	Macquarie Bank Ltd. – Sinopec European Put Warrants Aug 2006	2006/08/15	2006/08/22
09926	SGA Societe Generale Acceptance N.V. – C Life Eu Wt Aug 06 A	2006/08/15	2006/08/22
02232	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2006 A	2006/08/15	2006/08/22
04698	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2006 B	2006/08/15	2006/08/22
04700	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Aug 06	2006/08/15	2006/08/22
09461	UBS AG – PICC Property European Warrants Aug 2006	2006/08/15	2006/08/22
09464	UBS AG – Ping An European Warrants Aug 2006	2006/08/15	2006/08/22
04689	ABN AMRO Bank N.V. – CC Bank European Warrants Aug 2006 B	2006/08/16	2006/08/23
09935	BOCI Asia Ltd. – CLP Holdings European Warrants Aug 2006	2006/08/16	2006/08/23
04727	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2006 B	2006/08/16	2006/08/23
04728	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2006 B	2006/08/16	2006/08/23
04711	SGA Societe Generale Acceptance N.V. – BOCOM Eu Wt Aug 06 C	2006/08/16	2006/08/23
04712	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Aug 2006	2006/08/16	2006/08/23
04713	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Aug 2006	2006/08/16	2006/08/23
04591	ABN AMRO Bank N.V. – Oil Futures European Put Wts Aug 2006	2006/08/17	2006/08/24
04587	ABN AMRO Bank N.V. – Oil Futures European Warrants Aug 2006	2006/08/17	2006/08/24
09953	BOCI Asia Ltd. – China Resources European Warrants Aug 2006	2006/08/17	2006/08/24
04753	KBC Fin Products Int'l Ltd. – BankComm Euro Put Wts Aug 2006	2006/08/17	2006/08/24
04523	ABN AMRO Bank N.V. – Link REIT European Warrants Aug 2006	2006/08/21	2006/08/28
02290	BOCI Asia Ltd. – Foxconn European Warrants Aug 2006	2006/08/21	2006/08/28
09518	BOCI Asia Ltd. – PetroChina European Warrants Aug 2006	2006/08/21	2006/08/28
04744	HK Bank – PetroChina European Warrants Aug 2006	2006/08/21	2006/08/28
04560	J P Morgan Int'l Der. Ltd. – CHALCO European Wts Aug 2006	2006/08/21	2006/08/28
09968	J P Morgan Int'l Der. Ltd. – Johnson Elect Euro Wts Aug 2006	2006/08/21	2006/08/28
02126	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Aug 2006	2006/08/21	2006/08/28
02241	ABN AMRO Bank N.V. – HSBC European Warrants Aug 2006 B	2006/08/22	2006/08/29
09556	Credit Suisse – China Shenhua European Warrants Aug 2006	2006/08/22	2006/08/29
03959	Credit Suisse – China Telecom Euro Wts Aug 2006	2006/08/22	2006/08/29
03960	Credit Suisse – HSBC European Warrants Aug 2006	2006/08/22	2006/08/29
03961	Credit Suisse – PetroChina Euro Wts Aug 2006 A	2006/08/22	2006/08/29
04678	Deutsche Bank AG – China Life European Put Warrants Aug 2006	2006/08/22	2006/08/29
04650	Deutsche Bank AG – China Life European Warrants Aug 2006 B	2006/08/22	2006/08/29
04769	Goldman Sachs SP (Asia) – Bank of Comm Euro Put Wts Aug 2006	2006/08/22	2006/08/29
04765	Goldman Sachs SP (Asia) – Bank of Comm European Wts Aug 2006	2006/08/22	2006/08/29
04767	Goldman Sachs SP (Asia) – CC Bank European Warrants Aug 2006	2006/08/22	2006/08/29
04770	Goldman Sachs SP (Asia) – China Life European Wts Aug 2006	2006/08/22	2006/08/29
02103	Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2006	2006/08/22	2006/08/29
04532	Goldman Sachs SP (Asia) – Link REIT European Wts Aug 2006	2006/08/22	2006/08/29
09835	J P Morgan Int'l Der. Ltd. – Huaneng Power Euro Wts Aug 2006	2006/08/22	2006/08/29
04514	J P Morgan Int'l Der. Ltd. – Lenovo European Wts Aug 2006	2006/08/22	2006/08/29
04772	KBC Fin Products Int'l Ltd. – CCB European Wts Aug 2006 D	2006/08/22	2006/08/29
04600	Macquarie Bank Ltd. – Sinopec European Warrants Aug 2006	2006/08/22	2006/08/29
09254	SGA Societe Generale Acceptance N.V. – BOCOM Eur Wt Aug 06 A	2006/08/22	2006/08/29
02285	SGA Societe Generale Acceptance N.V. – BOCOM Eur Wt Aug 06 B	2006/08/22	2006/08/29
04495	SGA Societe Generale Acceptance N.V. – C Life Eu Wt Aug 06 C	2006/08/22	2006/08/29
04497	SGA Societe Generale Acceptance N.V. – HSBC Euro Wt Aug 2006	2006/08/22	2006/08/29
04714	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 2006	2006/08/22	2006/08/29
02165	UBS AG – BOC HK European Warrants Aug 2006	2006/08/22	2006/08/29
02135	UBS AG – China Life European Warrants Aug 2006 A	2006/08/22	2006/08/29
04669	UBS AG – China Mobile European Put Warrants Aug 2006	2006/08/22	2006/08/29
04665	UBS AG – China Mobile European Warrants Aug 2006	2006/08/22	2006/08/29
02138	UBS AG – China Telecom European Warrants Aug 2006	2006/08/22	2006/08/29
02139	UBS AG – CNOOC European Warrants Aug 2006 A	2006/08/22	2006/08/29
04668	UBS AG – Foxconn European Warrants Aug 2006	2006/08/22	2006/08/29
02143	UBS AG – HSBC European Warrants Aug 2006	2006/08/22	2006/08/29
02137	UBS AG – Sinopec European Warrants Aug 2006 A	2006/08/22	2006/08/29
02240	ABN AMRO Bank N.V. – HSBC European Warrants Aug 2006 A	2006/08/23	2006/08/30
09960	BOCI Asia Ltd. – CC Bank European Warrants Aug 2006	2006/08/23	2006/08/30
09599	Credit Suisse – HSCEI European Put Warrants Aug 2006	2006/08/23	2006/08/30
04602	ABN AMRO Bank N.V. – HSI European Put Warrants Aug 2006	2006/08/24	2006/08/31

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
04598	ABN AMRO Bank N.V. – HSI European Warrants Aug 2006	2006/08/24	2006/08/31
02193	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Aug 2006 A	2006/08/24	2006/08/31
04628	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Aug 2006 B	2006/08/24	2006/08/31
02259	BNP Paribas Arbit Issu B.V. – BOC HK European Wts Aug 2006	2006/08/24	2006/08/31
02194	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2006 B	2006/08/24	2006/08/31
04781	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2006 C	2006/08/24	2006/08/31
09962	BNP Paribas Arbit Issu B.V. – CC Bank European Wts Aug 2006A	2006/08/24	2006/08/31
04771	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wts Aug 2006	2006/08/24	2006/08/31
09342	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2006 A	2006/08/24	2006/08/31
04629	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2006 B	2006/08/24	2006/08/31
04484	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Aug 2006	2006/08/24	2006/08/31
04479	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Aug 2006	2006/08/24	2006/08/31
04672	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Aug 2006	2006/08/24	2006/08/31
04630	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Aug 2006	2006/08/24	2006/08/31
04653	Deutsche Bank AG – HSCEI European Put Warrants Aug 2006	2006/08/24	2006/08/31
04648	Deutsche Bank AG – HSCEI European Warrants Aug 2006	2006/08/24	2006/08/31
04498	Deutsche Bank AG – HSI European Put Warrants Aug 2006	2006/08/24	2006/08/31
04445	Deutsche Bank AG – HSI European Warrants Aug 2006 A	2006/08/24	2006/08/31
04494	Deutsche Bank AG – HSI European Warrants Aug 2006 B	2006/08/24	2006/08/31
04535	Goldman Sachs SP (Asia) – HSI European Put Warrants Aug 2006	2006/08/24	2006/08/31
04531	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2006	2006/08/24	2006/08/31
09550	HK Bank – BOC HK European Warrants Aug 2006	2006/08/24	2006/08/31
09967	J P Morgan Int'l Der. Ltd. – JX Copper Euro Wts Aug 2006 A	2006/08/24	2006/08/31
04800	KBC Fin Products Int'l Ltd. – HSI European Put Wts Aug 2006	2006/08/24	2006/08/31
04796	KBC Fin Products Int'l Ltd. – HSI European Warrants Aug 2006	2006/08/24	2006/08/31
04638	Macquarie Bank Ltd. – CHALCO European Warrants Aug 2006	2006/08/24	2006/08/31
09642	Macquarie Bank Ltd. – Lenovo European Warrants Aug 2006	2006/08/24	2006/08/31
04559	Macquarie Bank Ltd. – Link REIT European Warrants Aug 2006 A	2006/08/24	2006/08/31
04768	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 06B	2006/08/24	2006/08/31
04787	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 06D	2006/08/24	2006/08/31
04764	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2006 A	2006/08/24	2006/08/31
04783	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2006 C	2006/08/24	2006/08/31
02144	UBS AG – HSCEI European Put Warrants Aug 2006	2006/08/24	2006/08/31
02140	UBS AG – HSCEI European Warrants Aug 2006 A	2006/08/24	2006/08/31
04670	UBS AG – HSCEI European Warrants Aug 2006 B	2006/08/24	2006/08/31
04671	UBS AG – HSCEI European Warrants Aug 2006 C	2006/08/24	2006/08/31
09007	KBC Fin Products Int'l Ltd. – HSCEI European Wts Aug 2006	2006/08/25	2006/09/01
04603	Macquarie Bank Ltd. – BankComm European Warrants Aug 2006	2006/08/25	2006/09/01
04623	Macquarie Bank Ltd. – CCB European Put Warrants Aug 2006	2006/08/25	2006/09/01
04694	Macquarie Bank Ltd. – CCB European Warrants Aug 2006	2006/08/25	2006/09/01
04791	Macquarie Bank Ltd. – Ch Life European Warrants Aug 2006 C	2006/08/25	2006/09/01
04621	Macquarie Bank Ltd. – China Life European Wts Aug 2006 A	2006/08/25	2006/09/01
04647	Macquarie Bank Ltd. – China Life European Wts Aug 2006 B	2006/08/25	2006/09/01
09852	Macquarie Bank Ltd. – HLand European Warrants Aug 2006	2006/08/25	2006/09/01
04691	Macquarie Bank Ltd. – HS Bank European Warrants Aug 2006	2006/08/25	2006/09/01
04624	Macquarie Bank Ltd. – HSBC European Warrants Aug 2006	2006/08/25	2006/09/01
04811	Deutsche Bank AG – HSBC European Put Warrants Sep 2006	2006/08/28	2006/09/04
04681	Fortis Bank S.A./N.V. – China Netcom European Wts Sep 2006	2006/08/28	2006/09/04
09317	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Sep 2006 A	2006/08/28	2006/09/04
04695	KBC Fin Products Int'l Ltd. – CCB European Wts Sep 2006 B	2006/08/28	2006/09/04
09796	KBC Fin Products Int'l Ltd. – Ch Telecom Euro Wts Sep 2006 A	2006/08/28	2006/09/04
04825	KBC Fin Products Int'l Ltd. – China Life Euro Wts Sep 2006 B	2006/08/28	2006/09/04
04827	KBC Fin Products Int'l Ltd. – China Life Euro Wts Sep 2006 C	2006/08/28	2006/09/04
09817	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2006 B	2006/08/28	2006/09/04
04680	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2006 D	2006/08/28	2006/09/04
09982	MSDW Asia Sec Products LLC – CCB European Warrants Sep 2006	2006/08/28	2006/09/04
04720	ABN AMRO Bank N.V. – Sinopec Corp European Wts Sep 2006 C	2006/08/29	2006/09/05
09916	Calyon Fin P (G) Ltd. – HSBC European Warrants Sep 2006 A	2006/08/29	2006/09/05
02203	Deutsche Bank AG – China Life European Warrants Sep 2006 A	2006/08/29	2006/09/05
02151	Goldman Sachs SP (Asia) – BankComm European Wts Sep 2006	2006/08/29	2006/09/05
02153	Goldman Sachs SP (Asia) – CC Bank European Warrants Sep 2006	2006/08/29	2006/09/05
09522	Macquarie Bank Ltd. – Air China European Warrants Sep 2006	2006/08/29	2006/09/05
04699	SGA Societe Generale Acceptance N.V. – Ch Life Eu Wt Sep 06	2006/08/29	2006/09/05
09979	ABN AMRO Bank N.V. – CC Bank European Warrants Sep 2006 A	2006/08/30	2006/09/06
04679	KBC Fin Products Int'l Ltd. – CCB European Wts Sep 2006 A	2006/08/30	2006/09/06
02261	KBC Fin Products Int'l Ltd. – China Life Euro Wts Sep 2006 A	2006/08/30	2006/09/06
04828	KBC Fin Products Int'l Ltd. – Ch Telecom Euro Wts Sep 2006 C	2006/08/31	2006/09/07
02176	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2006 C	2006/08/31	2006/09/07
04882	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2006 G	2006/08/31	2006/09/07
04750	KBC Fin Products Int'l Ltd. – Sinopec Euro Put Wts Sep 2006	2006/08/31	2006/09/07
04946	ABN AMRO Bank N.V. – HSBC European Warrants Sep 2006 B	2006/09/01	2006/09/08
09938	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Sep 2006	2006/09/01	2006/09/08
09934	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2006 A	2006/09/01	2006/09/08
02253	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2006 B	2006/09/01	2006/09/08
04824	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Sep 2006 C	2006/09/01	2006/09/08
04719	ABN AMRO Bank N.V. – Bank of Comm European Warrants Sep 2006	2006/09/04	2006/09/11
04646	BOCI Asia Ltd. – Foxconn European Warrants Sep 2006	2006/09/04	2006/09/11
09022	CC Rabobank B.A. – China Life European Warrants Sep 2006	2006/09/04	2006/09/11
09857	CC Rabobank B.A. – HSBC European Warrants Sep 2006 B	2006/09/04	2006/09/11
09985	Credit Suisse – China Construct Bank European Wts Sep 2006	2006/09/04	2006/09/11
03694	Fortis Bank S.A./N.V. – HSBC European Warrants Sep 2006	2006/09/04	2006/09/11
02102	Goldman Sachs SP (Asia) – Nikkei 225 Euro Put Wts Sep 2006	2006/09/04	2006/09/11
04898	Goldman Sachs SP (Asia) – Nikkei 225 European Wts Sep 2006 B	2006/09/04	2006/09/11
09987	Goldman Sachs SP (Asia) – Nikkei 225 Idx Euro Wts Sep 2006 A	2006/09/04	2006/09/11
04504	SGA Societe Generale Acceptance N.V. – N 225 Eu Put Wt Sep06	2006/09/04	2006/09/11
04500	SGA Societe Generale Acceptance N.V. – N 225 Eur Wt Sep 2006	2006/09/04	2006/09/11
04485	ABN AMRO Bank N.V. – HSBC European Warrants Sep 2006 A	2006/09/05	2006/09/12

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
04958	Calyon Fin P (G) Ltd. – CC Bank European Warrants Sep 2006	2006/09/05	2006/09/12
04955	Calyon Fin P (G) Ltd. – HSBC European Warrants Sep 2006 B	2006/09/05	2006/09/12
04844	Deutsche Bank AG – BankComm European Warrants Sep 2006 A	2006/09/05	2006/09/12
04810	Deutsche Bank AG – China Mobile European Put Wts Sep 2006	2006/09/05	2006/09/12
02266	Macquarie Bank Ltd. – CCB European Warrants Sep 2006	2006/09/05	2006/09/12
04690	Macquarie Bank Ltd. – China Mobile European Put Wts Sep 2006	2006/09/05	2006/09/12
04723	ABN AMRO Bank N.V. – Bank of Comm European Put Wts Sep 2006	2006/09/06	2006/09/13
04717	ABN AMRO Bank N.V. – BOC HK European Warrants Sep 2006	2006/09/06	2006/09/13
09512	Calyon Fin P (G) Ltd. – Foxconn European (AR) Wts Sep 2006	2006/09/06	2006/09/13
02265	Deutsche Bank AG – CC Bank European Warrants Sep 2006	2006/09/06	2006/09/13
04797	Deutsche Bank AG – China Life European Warrants Sep 2006 B	2006/09/06	2006/09/13
04749	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Sep 2006 B	2006/09/06	2006/09/13
04794	KBC Fin Products Int'l Ltd. – CCB European Wts Sep 2006 C	2006/09/06	2006/09/13
04856	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2006 E	2006/09/06	2006/09/13
04857	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2006 F	2006/09/06	2006/09/13
09227	ABN AMRO Bank N.V. – Sinopec Corp European Wts Sep 2006 B	2006/09/07	2006/09/14
03413	ABN AMRO Bank N.V. – Sinopec European Warrants Sep 2006 A	2006/09/07	2006/09/14
04884	Goldman Sachs SP (Asia) – KOSPI 200 European Wts Sep 2006	2006/09/08	2006/09/15
04662	KBC Fin Products Int'l Ltd. – Huaneng European Wts Sep 2006	2006/09/08	2006/09/15
04715	BOCI Asia Ltd. – ASM Pac European Warrants Sep 2006	2006/09/11	2006/09/18
04851	J P Morgan Int'l Der. Ltd. – BankComm European Wts Sep 2006	2006/09/11	2006/09/18
03538	KBC Fin Products Int'l Ltd. – Ch Life Euro Put Wts Sep 2006	2006/09/11	2006/09/18
04641	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Put Wt Sep 2006	2006/09/11	2006/09/18
03540	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Sep 2006	2006/09/11	2006/09/18
04489	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2006 A	2006/09/11	2006/09/18
03543	KBC Fin Products Int'l Ltd. – HWL European Put Wts Sep 2006	2006/09/11	2006/09/18
04518	SGA Societe Generale Acceptance N.V. – HSBC Eur Wt Sep 06 A	2006/09/11	2006/09/18
04516	SGA Societe Generale Acceptance N.V. – KOSPI 200 Eu Wt Sep06	2006/09/11	2006/09/18
04520	SGA Societe Generale Acceptance N.V. – KS200 Eu Put Wt Sep06	2006/09/11	2006/09/18
04721	ABN AMRO Bank N.V. – CC Bank European Warrants Sep 2006 D	2006/09/12	2006/09/19
04635	ABN AMRO Bank N.V. – China Telecom European Wts Sep 2006	2006/09/12	2006/09/19
04959	Calyon Fin P (G) Ltd. – China Mobile European Wts Sep 2006	2006/09/12	2006/09/19
09532	Calyon Fin P (G) Ltd. – Silver Grant Euro (AR) Wts Sep 2006	2006/09/12	2006/09/19
09655	CC Rabobank B.A. – HSBC European Put Warrants Sep 2006	2006/09/12	2006/09/19
04659	Credit Suisse – China Mobile European Warrants Sep 2006	2006/09/12	2006/09/19
03553	Deutsche Bank AG – China Mobile European Warrants Sep 2006 B	2006/09/12	2006/09/19
04799	Deutsche Bank AG – HSBC European Warrants Sep 2006 A	2006/09/12	2006/09/19
03505	Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2006	2006/09/12	2006/09/19
02172	KBC Fin Products Int'l Ltd. – BOC HK European Wts Sep 2006 A	2006/09/12	2006/09/19
02175	KBC Fin Products Int'l Ltd. – Ch Telecom Euro Wts Sep 2006 B	2006/09/12	2006/09/19
03552	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Sep 2006	2006/09/12	2006/09/19
04782	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep 2006	2006/09/12	2006/09/19
03519	SGA Societe Generale Acceptance N.V. – CK(H) Eu Put Wt Sep06	2006/09/12	2006/09/19
04763	KBC Fin Products Int'l Ltd. – HK Elec European Wts Sep 2006	2006/09/14	2006/09/21
03559	SGA Societe Generale Acceptance N.V. – HSBC Eur Wt Sep 06 C	2006/09/14	2006/09/21
03561	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Sep 2006	2006/09/14	2006/09/21
04634	ABN AMRO Bank N.V. – China Mobile European Put Wts Sep 2006	2006/09/15	2006/09/22
03412	ABN AMRO Bank N.V. – China Mobile European Wts Sep 2006 A	2006/09/15	2006/09/22
03416	ABN AMRO Bank N.V. – Maanshan Iron European Wts Sep 2006	2006/09/15	2006/09/22
03568	KBC Fin Products Int'l Ltd. – HWL European Warrants Sep 2006	2006/09/15	2006/09/22
04724	ABN AMRO Bank N.V. – Sinopec Corp European Put Wts Sep 2006	2006/09/18	2006/09/25
03484	CC Rabobank B.A. – HSBC European Warrants Sep 2006 A	2006/09/18	2006/09/25
04859	J P Morgan Int'l Der. Ltd. – Canon European Wts Sep 2006	2006/09/18	2006/09/25
04860	J P Morgan Int'l Der. Ltd. – Hitachi European Wts Sep 2006	2006/09/18	2006/09/25
04861	J P Morgan Int'l Der. Ltd. – Nippon Steel Euro Wts Sep 2006	2006/09/18	2006/09/25
02128	ABN AMRO Bank N.V. – CC Bank European Warrants Sep 2006 B	2006/09/19	2006/09/26
03485	CC Rabobank B.A. – SHK Ppt European Warrants Sep 2006	2006/09/19	2006/09/26
04845	Deutsche Bank AG – BankComm European Warrants Sep 2006 B	2006/09/19	2006/09/26
04798	Deutsche Bank AG – China Mobile European Warrants Sep 2006 A	2006/09/19	2006/09/26
03564	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Sep 2006	2006/09/19	2006/09/26
09963	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2006	2006/09/19	2006/09/26
04551	Macquarie Bank Ltd. – HSBC European Warrants Sep 2006 A	2006/09/19	2006/09/26
03537	Macquarie Bank Ltd. – SH Petrochemical European Wts Sep 2006	2006/09/19	2006/09/26
04786	SGA Societe Generale Acceptance N.V. – C Mob Eu Put Wt Sep06	2006/09/19	2006/09/26
02199	SGA Societe Generale Acceptance N.V. – HSBC Eur Wt Sep 06 B	2006/09/19	2006/09/26
02258	SGA Societe Generale Acceptance N.V. – Hua P Eur Wt Sep 2006	2006/09/19	2006/09/26
04819	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Sep 2006	2006/09/19	2006/09/26
03562	SGA Societe Generale Acceptance N.V. – SHK P Eu Put Wt Sep06	2006/09/19	2006/09/26
04664	UBS AG – CC Bank European Warrants Sep 2006	2006/09/19	2006/09/26
09617	UBS AG – Hang Seng Bank European Warrants Sep 2006	2006/09/19	2006/09/26
04979	ABN AMRO Bank N.V. – CC Bank European Warrants Sep 2006 E	2006/09/20	2006/09/27
03997	Calyon Fin P (G) Ltd. – Hutchison European Warrants Sep 2006	2006/09/20	2006/09/27
03563	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Sep 06	2006/09/20	2006/09/27
03589	KBC Fin Products Int'l Ltd. – BOC HK European Wts Sep 2006 B	2006/09/21	2006/09/28
03591	KBC Fin Products Int'l Ltd. – Ch Tel Euro Put Wts Sep 2006	2006/09/21	2006/09/28
09326	ABN AMRO Bank N.V. – China Mobile European Wts Sep 2006 B	2006/09/22	2006/09/29
03535	ABN AMRO Bank N.V. – HSI European Put Warrants Sep 2006	2006/09/22	2006/09/29
03531	ABN AMRO Bank N.V. – HSI European Warrants Sep 2006	2006/09/22	2006/09/29
04710	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Sep 2006 B	2006/09/22	2006/09/29
04852	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Sep 2006	2006/09/22	2006/09/29
04848	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Sep 2006	2006/09/22	2006/09/29
04934	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Sep 2006	2006/09/22	2006/09/29
04900	BNP Paribas Arbit Issu B.V. – HSI European Warrants Sep 2006	2006/09/22	2006/09/29
09273	BNP Paribas Arbit Issu B.V. – PetroCh Euro Put Wts Sep 2006	2006/09/22	2006/09/29
09957	Credit Suisse – China Merchants European Warrants Sep 2006	2006/09/22	2006/09/29
09583	Credit Suisse – CNOOC European Warrants Sep 2006	2006/09/22	2006/09/29
03973	Credit Suisse – HSBC European Warrants Sep 2006	2006/09/22	2006/09/29

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
03974	Credit Suisse – PetroChina Euro Wts Sep 2006	2006/09/22	2006/09/29
09582	Credit Suisse – Sinopec Corp European Warrants Sep 2006	2006/09/22	2006/09/29
04878	Deutsche Bank AG – Cheung Kong European Warrants Sep 2006	2006/09/22	2006/09/29
03530	Deutsche Bank AG – HSCEI European Put Warrants Sep 2006	2006/09/22	2006/09/29
04697	Deutsche Bank AG – HSI European Put Warrants Sep 2006 A	2006/09/22	2006/09/29
03575	Deutsche Bank AG – HSI European Put Warrants Sep 2006 B	2006/09/22	2006/09/29
03571	Deutsche Bank AG – HSI European Warrants Sep 2006	2006/09/22	2006/09/29
04896	Goldman Sachs SP (Asia) – HSCEI European Put Wts Sep 2006	2006/09/22	2006/09/29
09944	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2006 C	2006/09/22	2006/09/29
09945	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2006 D	2006/09/22	2006/09/29
04897	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2006 E	2006/09/22	2006/09/29
09940	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2006 A	2006/09/22	2006/09/29
09941	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2006 B	2006/09/22	2006/09/29
03555	J P Morgan Int'l Der. Ltd. – Cathay Pac Euro Wts Sep 2006	2006/09/22	2006/09/29
04512	J P Morgan Int'l Der. Ltd. – HKEx European Warrants Sep 2006	2006/09/22	2006/09/29
03557	J P Morgan Int'l Der. Ltd. – PetroChina Euro Wts Sep 2006	2006/09/22	2006/09/29
04613	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Sep 2006 C	2006/09/22	2006/09/29
04842	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Sep 2006 D	2006/09/22	2006/09/29
03541	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Sep 2006 E	2006/09/22	2006/09/29
03542	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Sep 2006 F	2006/09/22	2006/09/29
04618	KBC Fin Products Int'l Ltd. – HSCEI European Wts Sep 2006 A	2006/09/22	2006/09/29
04729	KBC Fin Products Int'l Ltd. – HSCEI European Wts Sep 2006 B	2006/09/22	2006/09/29
03554	KBC Fin Products Int'l Ltd. – HSI European Put Wts Sep 2006	2006/09/22	2006/09/29
03550	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2006 A	2006/09/22	2006/09/29
03551	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2006 B	2006/09/22	2006/09/29
03592	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2006 C	2006/09/22	2006/09/29
03534	Macquarie Bank Ltd. – CHALCO European Warrants Sep 2006	2006/09/22	2006/09/29
09815	Macquarie Bank Ltd. – HSCEI European Put Warrants Sep 2006	2006/09/22	2006/09/29
04474	Macquarie Bank Ltd. – HSCEI European Put Warrants Sep 2006 D	2006/09/22	2006/09/29
04843	Macquarie Bank Ltd. – HSCEI European Put Warrants Sep 2006 E	2006/09/22	2006/09/29
09811	Macquarie Bank Ltd. – HSCEI European Warrants Sep 2006	2006/09/22	2006/09/29
04470	Macquarie Bank Ltd. – HSCEI European Warrants Sep 2006 C	2006/09/22	2006/09/29
04626	Macquarie Bank Ltd. – HSCEI European Warrants Sep 2006 E	2006/09/22	2006/09/29
04820	Macquarie Bank Ltd. – HSCEI European Warrants Sep 2006 F	2006/09/22	2006/09/29
03547	Macquarie Bank Ltd. – HSI European Put Warrants Sep 2006 D	2006/09/22	2006/09/29
03548	Macquarie Bank Ltd. – HSI European Put Warrants Sep 2006 E	2006/09/22	2006/09/29
03549	Macquarie Bank Ltd. – HSI European Put Warrants Sep 2006 F	2006/09/22	2006/09/29
03544	Macquarie Bank Ltd. – HSI European Warrants Sep 2006 A	2006/09/22	2006/09/29
03545	Macquarie Bank Ltd. – HSI European Warrants Sep 2006 B	2006/09/22	2006/09/29
03546	Macquarie Bank Ltd. – HSI European Warrants Sep 2006 C	2006/09/22	2006/09/29
04709	Macquarie Bank Ltd. – Swire Pac A European Warrants Sep 2006	2006/09/22	2006/09/29
04552	MSDW Asia Sec Products LLC – HSI European Put Wts Sep 2006	2006/09/22	2006/09/29
03570	SGA Societe Generale Acceptance N.V. – CCB Euro Wts Sep 2006	2006/09/22	2006/09/29
03573	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Sep06	2006/09/22	2006/09/29
03569	SGA Societe Generale Acceptance N.V. – HSCEI Eur Wt Sep 2006	2006/09/22	2006/09/29
03522	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep 06A	2006/09/22	2006/09/29
03600	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep 06B	2006/09/22	2006/09/29
03518	SGA Societe Generale Acceptance N.V. – HSI Euro Wts Sep 2006	2006/09/22	2006/09/29
04592	ABN AMRO Bank N.V. – CC Bank European Put Warrants Sep 2006	2006/09/25	2006/10/03
02252	ABN AMRO Bank N.V. – CC Bank European Warrants Sep 2006 C	2006/09/25	2006/10/03
04637	ABN AMRO Bank N.V. – Gold European Put Warrants Sep 2006	2006/09/25	2006/10/03
02271	ABN AMRO Bank N.V. – Gold European Warrants Sep 2006	2006/09/25	2006/10/03
04447	BNP Paribas Arbit Issu B.V. – CC Bank European Wts Sep 2006A	2006/09/25	2006/10/03
04546	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Sep 2006	2006/09/25	2006/10/03
02291	BOCI Asia Ltd. – HK Gas European Warrants Sep 2006	2006/09/25	2006/10/03
04956	Calyon Fin P (G) Ltd. – HSBC European Warrants Sep 2006 C	2006/09/25	2006/10/03
03515	Citigroup Global Mkt H Inc. – CC Bank European Wts Sep 2006	2006/09/25	2006/10/03
03516	Citigroup Global Mkt H Inc. – HS Bank European Wts Sep 2006	2006/09/25	2006/10/03
03517	Citigroup Global Mkt H Inc. – Swire Pac A Euro Wts Sep 2006	2006/09/25	2006/10/03
04627	DBS Bank Ltd. – Lenovo European Warrants Sep 2006	2006/09/25	2006/10/03
04858	Deutsche Bank AG – HSBC European Warrants Sep 2006 B	2006/09/25	2006/10/03
04730	HK Bank – China Life European Warrants Sep 2006	2006/09/25	2006/10/03
04849	J P Morgan Int'l Der. Ltd. – BOC HK European Wts Sep 2006	2006/09/25	2006/10/03
04585	J P Morgan Int'l Der. Ltd. – CITIC Pacific Euro Wts Sep 2006	2006/09/25	2006/10/03
04806	KBC Fin Products Int'l Ltd. – HKEx European Wts Oct 2006 A	2006/09/25	2006/10/03
09046	Macquarie Bank Ltd. – Bank of EA European Warrants Sep 2006	2006/09/25	2006/10/03
04975	Macquarie Bank Ltd. – BankComm European Put Wts Sep 2006	2006/09/25	2006/10/03
04725	Macquarie Bank Ltd. – BankComm European Warrants Sep 2006	2006/09/25	2006/10/03
04978	Macquarie Bank Ltd. – CCB European Put Warrants Sep 2006	2006/09/25	2006/10/03
03558	Macquarie Bank Ltd. – China Mobile European Wts Sep 2006	2006/09/25	2006/10/03
02243	Macquarie Bank Ltd. – CNOOC European Put Warrants Sep 2006	2006/09/25	2006/10/03
03476	Macquarie Bank Ltd. – HKEx European Warrants Sep 2006 A	2006/09/25	2006/10/03
02114	Macquarie Bank Ltd. – HKEx European Warrants Sep 2006 B	2006/09/25	2006/10/03
04877	Macquarie Bank Ltd. – HSBC European Warrants Sep 2006 B	2006/09/25	2006/10/03
04464	Macquarie Bank Ltd. – PCCW European Warrants Sep 2006	2006/09/25	2006/10/03
02244	Macquarie Bank Ltd. – PetroChina European Put Wts Sep 2006	2006/09/25	2006/10/03
09234	Macquarie Bank Ltd. – PetroChina European Warrants Sep 2006	2006/09/25	2006/10/03
09949	Macquarie Bank Ltd. – PICC European Warrants Sep 2006	2006/09/25	2006/10/03
04755	Macquarie Bank Ltd. – Ping An European Warrants Sep 2006	2006/09/25	2006/10/03
03594	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Sep 2006	2006/09/25	2006/10/03
02242	Macquarie Bank Ltd. – Sinopec European Put Warrants Sep 2006	2006/09/25	2006/10/03
03619	ABN AMRO Bank N.V. – China Mobile European Put Wts Oct 2006B	2006/09/26	2006/10/04
03532	ABN AMRO Bank N.V. – Hutchison European Warrants Oct 2006 B	2006/09/26	2006/10/04
04556	Deutsche Bank AG – CC Bank European Warrants Oct 2006 A	2006/09/26	2006/10/04
04850	Deutsche Bank AG – China Life European Put Warrants Oct 2006	2006/09/26	2006/10/04
04846	Deutsche Bank AG – China Life European Warrants Oct 2006 B	2006/09/26	2006/10/04
03584	Goldman Sachs SP (Asia) – CC Bank European Warrants Oct 2006	2006/09/26	2006/10/04

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Code	Warrant	Last trading date	Delisted date
03587	Goldman Sachs SP (Asia) – HSBC European Put Wts Oct 2006	2006/09/26	2006/10/04
03583	Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2006	2006/09/26	2006/10/04
04631	J P Morgan Int'l Der. Ltd. – BankComm Euro Wts Oct 2006 B	2006/09/26	2006/10/04
04571	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2006 A	2006/09/26	2006/10/04
03835	KBC Fin Products Int'l Ltd. – BOCHK European Wts Oct 2006 A	2006/09/26	2006/10/04
02275	KBC Fin Products Int'l Ltd. – CCB European Wts Oct 2006 A	2006/09/26	2006/10/04
04773	KBC Fin Products Int'l Ltd. – CCB European Wts Oct 2006 C	2006/09/26	2006/10/04
03622	KBC Fin Products Int'l Ltd. – CK(H) European Wts Oct 2006	2006/09/26	2006/10/04
04883	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2006	2006/09/26	2006/10/04
04708	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Oct 2006 C	2006/09/26	2006/10/04
03536	Macquarie Bank Ltd. – JX Copper European Warrants Oct 2006	2006/09/26	2006/10/04
03599	SGA Societe Generale Acceptance N.V. – Ch Life Eu Wt Oct 06A	2006/09/26	2006/10/04
02251	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct 06 A	2006/09/26	2006/10/04
03560	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Oct 06A	2006/09/26	2006/10/04
04722	ABN AMRO Bank N.V. – Hutchison European Warrants Oct 2006 A	2006/09/27	2006/10/05
03623	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Oct 2006 A	2006/09/27	2006/10/05
04751	KBC Fin Products Int'l Ltd. – HWL European Warrants Oct 2006	2006/09/27	2006/10/05
03617	ABN AMRO Bank N.V. – BankComm European Warrants Oct 2006	2006/09/28	2006/10/06
03620	ABN AMRO Bank N.V. – Hutchison European Warrants Oct 2006 C	2006/09/29	2006/10/09
02131	BOCI Asia Ltd. – China Mobile European Warrants Oct 2006	2006/09/29	2006/10/09
03574	Deutsche Bank AG – Hutchison European Warrants Oct 2006 A	2006/09/29	2006/10/09
04731	Fortis Bank S.A./N.V. – CKI European Warrants Oct 2006	2006/09/29	2006/10/09
04737	Fortis Bank S.A./N.V. – HK Electric European Wts Oct 2006	2006/09/29	2006/10/09
03636	KBC Fin Products Int'l Ltd. – Ch Life Euro Put Wts Oct 2006	2006/09/29	2006/10/09
03628	KBC Fin Products Int'l Ltd. – Ping An European Wts Oct 2006	2006/09/29	2006/10/09
03631	Calyon Fin P (G) Ltd. – China Mobile European Wts Oct 2006	2006/10/03	2006/10/10
03632	Calyon Fin P (G) Ltd. – PetroChina European Wts Oct 2006 B	2006/10/03	2006/10/10
02235	Goldman Sachs SP (Asia) – Cheung Kong European Wts Oct 2006	2006/10/03	2006/10/10
03647	Goldman Sachs SP (Asia) – China Mobile European Wts Oct 2006	2006/10/03	2006/10/10
02281	Goldman Sachs SP (Asia) – Hutchison European Wts Oct 2006	2006/10/03	2006/10/10
04817	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2006 B	2006/10/03	2006/10/10
02294	ABN AMRO Bank N.V. – CC Bank European Warrants Oct 2006 A	2006/10/04	2006/10/11
04657	Deutsche Bank AG – BankComm European Warrants Oct 2006	2006/10/04	2006/10/11
04583	J P Morgan Int'l Der. Ltd. – BOC HK European Wts Oct 2006	2006/10/04	2006/10/11
03539	KBC Fin Products Int'l Ltd. – Sinopec Euro Put Wts Oct 2006	2006/10/04	2006/10/11
03654	SGA Societe Generale Acceptance N.V. – BOCOM Eur Wt Oct 2006	2006/10/04	2006/10/11
03656	SGA Societe Generale Acceptance N.V. – HWL Eu Put Wt Oct 06	2006/10/04	2006/10/11
03675	SGA Societe Generale Acceptance N.V. – NWD Euro Wts Oct 2006	2006/10/04	2006/10/11
04692	ABN AMRO Bank N.V. – CC Bank European Put Warrants Oct 2006	2006/10/09	2006/10/16
02122	ABN AMRO Bank N.V. – China Telecom European Wts Oct 2006	2006/10/09	2006/10/16
02247	Deutsche Bank AG – China Life European Warrants Oct 2006 A	2006/10/09	2006/10/16
09746	HK Bank – HKEx European Warrants Oct 2006	2006/10/09	2006/10/16
03590	KBC Fin Products Int'l Ltd. – BOC HK European Wts Oct 2006 B	2006/10/09	2006/10/16
03687	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Oct 2006 B	2006/10/09	2006/10/16
03689	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Oct 2006	2006/10/09	2006/10/16
03618	ABN AMRO Bank N.V. – Cheung Kong European Warrants Oct 2006	2006/10/10	2006/10/17
03638	Deutsche Bank AG – China Mobile European Warrants Oct 2006 A	2006/10/10	2006/10/17
04683	Fortis Bank S.A./N.V. – Denway Motors European Wts Oct 2006	2006/10/10	2006/10/17
03680	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2006	2006/10/10	2006/10/17
03566	HK Bank – CC Bank European Warrants Oct 2006	2006/10/10	2006/10/17
04505	KBC Fin Products Int'l Ltd. – CCB European Wts Oct 2006 B	2006/10/10	2006/10/17
04829	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Oct 2006	2006/10/10	2006/10/17
03637	KBC Fin Products Int'l Ltd. – HKEx European Wts Oct 2006 B	2006/10/10	2006/10/17
03593	Macquarie Bank Ltd. – HWL European Warrants Oct 2006	2006/10/10	2006/10/17
09950	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Oct 06 A	2006/10/10	2006/10/17
03598	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Oct 06 A	2006/10/10	2006/10/17
09972	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2006 A	2006/10/10	2006/10/17
02198	SGA Societe Generale Acceptance N.V. – Ch Tel Eur Wt Oct 06	2006/10/10	2006/10/17
04818	SGA Societe Generale Acceptance N.V. – HSBC Euro Wt Oct 2006	2006/10/10	2006/10/17
04608	UBS AG – CC Bank European Warrants Oct 2006	2006/10/10	2006/10/17
04584	J P Morgan Int'l Der. Ltd. – Sinopec Corp Euro Wts Oct 2006	2006/10/11	2006/10/18
04960	Calyon Fin P (G) Ltd. – Hutchison European Warrants Oct 2006	2006/10/12	2006/10/19
03650	CC Rabobank B.A. – China Life European Warrants Oct 2006	2006/10/12	2006/10/19
04536	CC Rabobank B.A. – Hutchison European Warrants Oct 2006	2006/10/12	2006/10/19
04775	Credit Suisse – China Mobile Euro Wts Oct 2006	2006/10/12	2006/10/19
04777	Credit Suisse – Guoco European Wts Oct 2006	2006/10/12	2006/10/19
04778	Credit Suisse – HSBC European Warrants Oct 2006	2006/10/12	2006/10/19
04660	Credit Suisse – Hutchison European Warrants Oct 2006	2006/10/12	2006/10/19
04779	Credit Suisse – PetroChina Euro Wts Oct 2006 A	2006/10/12	2006/10/19
04661	Credit Suisse – PetroChina European Warrants Oct 2006 C	2006/10/12	2006/10/19
03697	KBC Fin Products Int'l Ltd. – China Mobile Euro Wts Oct 2006	2006/10/12	2006/10/19
04688	ABN AMRO Bank N.V. – CC Bank European Warrants Oct 2006 B	2006/10/16	2006/10/23
09433	BOCI Asia Ltd. – MTRC European Warrants Oct 2006	2006/10/16	2006/10/23
03634	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wts Oct 2006	2006/10/16	2006/10/23
03612	Citigroup Global Mkt H Inc. – China Mobile Euro Wts Oct 2006	2006/10/16	2006/10/23
03635	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Oct 2006	2006/10/16	2006/10/23
03615	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Oct 2006	2006/10/16	2006/10/23
03616	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Oct 2006	2006/10/16	2006/10/23
04706	Deutsche Bank AG – CC Bank European Warrants Oct 2006 B	2006/10/16	2006/10/23
03556	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2006 B	2006/10/16	2006/10/23
09196	SGA Societe Generale Acceptance N.V. – MTRC Euro Wt Oct 2006	2006/10/16	2006/10/23
03727	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Oct 2006 D	2006/10/17	2006/10/24
03723	ABN AMRO Bank N.V. – China Mobile European Wts Oct 2006 C	2006/10/17	2006/10/24
03725	ABN AMRO Bank N.V. – PetroChina European Warrants Oct 2006 A	2006/10/17	2006/10/24
09918	BOCI Asia Ltd. – Li & Fung European Warrants Oct 2006	2006/10/17	2006/10/24
03699	Deutsche Bank AG – China Life European Warrants Oct 2006 C	2006/10/17	2006/10/24
03642	Deutsche Bank AG – China Mobile European Put Wts Oct 2006 C	2006/10/17	2006/10/24

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
03714	Deutsche Bank AG – China Mobile European Warrants Oct 2006 B	2006/10/17	2006/10/24
03688	KBC Fin Products Int'l Ltd. – HKEx European Wts Oct 2006 C	2006/10/17	2006/10/24
03719	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Oct 06 B	2006/10/17	2006/10/24
03602	SGA Societe Generale Acceptance N.V. – C Mob Eu Put Wt Oct06	2006/10/17	2006/10/24
03674	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Oct 06 B	2006/10/17	2006/10/24
03655	SGA Societe Generale Acceptance N.V. – C Tel Eu Put Wt Oct06	2006/10/17	2006/10/24
03520	SGA Societe Generale Acceptance N.V. – CCB Eu Wt Oct 2006 C	2006/10/17	2006/10/24
03721	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2006 D	2006/10/17	2006/10/24
03673	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Oct 2006	2006/10/17	2006/10/24
04704	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct 06 B	2006/10/17	2006/10/24
03678	SGA Societe Generale Acceptance N.V. – Ping An Eur Wt Oct 06	2006/10/17	2006/10/24
03677	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Oct 06B	2006/10/17	2006/10/24
04667	UBS AG – China Telecom European Warrants Oct 2006	2006/10/17	2006/10/24
09783	UBS AG – Hong Kong Gas European Warrants Oct 2006	2006/10/17	2006/10/24
04586	J P Morgan Int'l Der. Ltd. – CNOOC European Wts Oct 2006	2006/10/18	2006/10/25
03738	KBC Fin Products Int'l Ltd. – BOCHK Euro Put Wts Oct 2006	2006/10/18	2006/10/25
03739	KBC Fin Products Int'l Ltd. – CCB European Put Wts Oct 2006	2006/10/18	2006/10/25
03759	ABN AMRO Bank N.V. – PetroChina European Put Wts Oct 2006	2006/10/19	2006/10/26
03755	ABN AMRO Bank N.V. – PetroChina European Warrants Oct 2006 B	2006/10/19	2006/10/26
03760	KBC Fin Products Int'l Ltd. – Huaneng European Wts Oct 2006	2006/10/19	2006/10/26
03761	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Oct 2006 D	2006/10/19	2006/10/26
09699	ABN AMRO Bank N.V. – China Travel European Warrants Oct 2006	2006/10/20	2006/10/27
03771	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Oct 2006 E	2006/10/20	2006/10/27
03750	KBC Fin Products Int'l Ltd. – SHK P Euro Put Wts Oct 2006	2006/10/20	2006/10/27
04823	ABN AMRO Bank N.V. – China Mobile European Wts Oct 2006 A	2006/10/23	2006/10/31
03770	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Oct 2006	2006/10/23	2006/10/31
03773	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Oct 2006	2006/10/23	2006/10/31
03769	BNP Paribas Arbit Issu B.V. – HSI European Warrants Oct 2006	2006/10/23	2006/10/31
09432	BOCI Asia Ltd. – Cheung Kong European Warrants Oct 2006	2006/10/23	2006/10/31
04478	BOCI Asia Ltd. – Shanghai Ind European Warrants Oct 2006	2006/10/23	2006/10/31
09066	Credit Suisse – PetroChina European Warrants Oct 2006 B	2006/10/23	2006/10/31
09063	Credit Suisse – Sinopec Corp European Warrants Oct 2006	2006/10/23	2006/10/31
09067	Credit Suisse – Swire Pac A European Warrants Oct 2006	2006/10/23	2006/10/31
03665	Deutsche Bank AG – HSI European Put Warrants Oct 2006 B	2006/10/23	2006/10/31
03702	Deutsche Bank AG – HSI European Put Warrants Oct 2006 D	2006/10/23	2006/10/31
03659	Deutsche Bank AG – HSI European Warrants Oct 2006 A	2006/10/23	2006/10/31
03698	Deutsche Bank AG – HSI European Warrants Oct 2006 C	2006/10/23	2006/10/31
03791	Deutsche Bank AG – HSI European Warrants Oct 2006 E	2006/10/23	2006/10/31
03757	Fortis Bank S.A./N.V. – HKEx European Put Warrants Oct 2006	2006/10/23	2006/10/31
03753	Fortis Bank S.A./N.V. – HKEx European Warrants Oct 2006	2006/10/23	2006/10/31
03710	Goldman Sachs SP (Asia) – HSI European Put Warrants Oct 2006	2006/10/23	2006/10/31
03706	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2006	2006/10/23	2006/10/31
03565	HK Bank – China Life European Warrants Oct 2006	2006/10/23	2006/10/31
03662	J P Morgan Int'l Der. Ltd. – Ch Overseas Euro Wts Oct 2006	2006/10/23	2006/10/31
03663	J P Morgan Int'l Der. Ltd. – Ch Res Ent Euro Wts Oct 2006	2006/10/23	2006/10/31
03667	J P Morgan Int'l Der. Ltd. – JX Copper European Wts Oct 2006	2006/10/23	2006/10/31
03669	J P Morgan Int'l Der. Ltd. – Ping An European Wts Oct 2006	2006/10/23	2006/10/31
03690	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Oct 2006	2006/10/23	2006/10/31
03684	KBC Fin Products Int'l Ltd. – HSCEI European Wts Oct 2006	2006/10/23	2006/10/31
03691	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Oct 2006 C	2006/10/23	2006/10/31
03743	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Oct 2006 D	2006/10/23	2006/10/31
03744	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Oct 2006 E	2006/10/23	2006/10/31
03685	KBC Fin Products Int'l Ltd. – HSI European Wts Oct 2006 A	2006/10/23	2006/10/31
03686	KBC Fin Products Int'l Ltd. – HSI European Wts Oct 2006 B	2006/10/23	2006/10/31
03758	KBC Fin Products Int'l Ltd. – HSI European Wts Oct 2006 F	2006/10/23	2006/10/31
03747	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Oct 06 A	2006/10/23	2006/10/31
03748	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Oct 06 B	2006/10/23	2006/10/31
03666	Macquarie Bank Ltd. – HSI European Put Warrants Oct 2006 B	2006/10/23	2006/10/31
03696	Macquarie Bank Ltd. – HSI European Put Warrants Oct 2006 D	2006/10/23	2006/10/31
03720	Macquarie Bank Ltd. – HSI European Put Warrants Oct 2006 F	2006/10/23	2006/10/31
03660	Macquarie Bank Ltd. – HSI European Warrants Oct 2006 A	2006/10/23	2006/10/31
03692	Macquarie Bank Ltd. – HSI European Warrants Oct 2006 C	2006/10/23	2006/10/31
03716	Macquarie Bank Ltd. – HSI European Warrants Oct 2006 E	2006/10/23	2006/10/31
03766	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 06B	2006/10/23	2006/10/31
03796	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 06D	2006/10/23	2006/10/31
03762	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2006 A	2006/10/23	2006/10/31
03792	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2006 C	2006/10/23	2006/10/31
04947	Calyon Fin P (G) Ltd. – HSBC European Warrants Oct 2006	2006/10/24	2006/11/01
04974	Calyon Fin P (G) Ltd. – PetroChina European Wts Oct 2006 A	2006/10/24	2006/11/01
03715	Deutsche Bank AG – BankComm European Put Warrants Oct 2006	2006/10/24	2006/11/01
03764	Deutsche Bank AG – BOC HK European Warrants Oct 2006	2006/10/24	2006/11/01
03572	Deutsche Bank AG – Cheung Kong European Warrants Oct 2006	2006/10/24	2006/11/01
03718	Deutsche Bank AG – China Mobile European Put Wts Oct 2006 D	2006/10/24	2006/11/01
03625	Deutsche Bank AG – Hutchison European Warrants Oct 2006 B	2006/10/24	2006/11/01
03701	Deutsche Bank AG – PetroChina European Warrants Oct 2006	2006/10/24	2006/11/01
02217	Deutsche Bank AG – SHK Ppt European Warrants Oct 2006	2006/10/24	2006/11/01
04561	J P Morgan Int'l Der. Ltd. – Bank Comm Euro Wts Oct 2006 A	2006/10/24	2006/11/01
03809	KBC Fin Products Int'l Ltd. – SHK P European Wts Oct 2006	2006/10/24	2006/11/01
04622	Macquarie Bank Ltd. – BankComm European Put Wts Oct 2006	2006/10/24	2006/11/01
03670	Macquarie Bank Ltd. – BOCHK European Warrants Oct 2006 A	2006/10/24	2006/11/01
03703	Macquarie Bank Ltd. – BOCHK European Warrants Oct 2006 B	2006/10/24	2006/11/01
04726	Macquarie Bank Ltd. – CCB European Warrants Oct 2006 A	2006/10/24	2006/11/01
03652	Macquarie Bank Ltd. – CCB European Warrants Oct 2006 B	2006/10/24	2006/11/01
03603	Macquarie Bank Ltd. – Ch Life European Warrants Oct 2006	2006/10/24	2006/11/01
03653	Macquarie Bank Ltd. – Ch Mobile European Put Wts Oct 2006 A	2006/10/24	2006/11/01
03784	Macquarie Bank Ltd. – Ch Mobile European Put Wts Oct 2006 B	2006/10/24	2006/11/01
03693	Macquarie Bank Ltd. – China Mobile European Wts Oct 2006	2006/10/24	2006/11/01

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
03780	Macquarie Bank Ltd. – CITIC Pac European Warrants Oct 2006	2006/10/24	2006/11/01
03504	Macquarie Bank Ltd. – Esprit European Warrants Oct 2006	2006/10/24	2006/11/01
03633	Macquarie Bank Ltd. – HKEx European Warrants Oct 2006 A	2006/10/24	2006/11/01
03704	Macquarie Bank Ltd. – HKEx European Warrants Oct 2006 B	2006/10/24	2006/11/01
03729	Macquarie Bank Ltd. – MTRC European Warrants Oct 2006	2006/10/24	2006/11/01
03781	Macquarie Bank Ltd. – PetroChina European Warrants Oct 2006	2006/10/24	2006/11/01
03782	Macquarie Bank Ltd. – Ping An European Warrants Oct 2006	2006/10/24	2006/11/01
04468	Macquarie Bank Ltd. – SH Petrochemical European Wts Oct 2006	2006/10/24	2006/11/01
03705	Macquarie Bank Ltd. – SPA European Warrants Oct 2006	2006/10/24	2006/11/01
03763	Macquarie Bank Ltd. – YZ Coal European Warrants Oct 2006	2006/10/24	2006/11/01
03793	SGA Societe Generale Acceptance N.V. – Ch Life Eu Wt Oct 06B	2006/10/24	2006/11/01
03722	SGA Societe Generale Acceptance N.V. – HKEx Euro Wt Oct 2006	2006/10/24	2006/11/01
04578	KBC Fin Products Int'l Ltd. – CCB European Wts Nov 2006 A	2006/10/25	2006/11/02
04696	KBC Fin Products Int'l Ltd. – CCB European Wts Nov 2006 B	2006/10/25	2006/11/02
03740	KBC Fin Products Int'l Ltd. – CCB European Wts Nov 2006 C	2006/10/25	2006/11/02
03595	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Nov 2006 A	2006/10/25	2006/11/02
03756	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Nov 2006 B	2006/10/25	2006/11/02
03717	KBC Fin Products Int'l Ltd. – HKEx European Wts Nov 2006 B	2006/10/25	2006/11/02
03808	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Nov 2006	2006/10/25	2006/11/02
03596	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Nov 2006 A	2006/10/25	2006/11/02
03807	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Nov 2006 B	2006/10/25	2006/11/02
03585	ABN AMRO Bank N.V. – BankComm European Warrants Nov 2006	2006/10/26	2006/11/03
03586	ABN AMRO Bank N.V. – China Mobile European Warrants Nov 2006	2006/10/26	2006/11/03
03588	ABN AMRO Bank N.V. – Sinopec Corp European Wts Nov 2006 A	2006/10/26	2006/11/03
03641	KBC Fin Products Int'l Ltd. – BOCHK European Wts Nov 2006 A	2006/10/26	2006/11/03
03752	HK Bank – HKEx European Warrants Nov 2006	2006/10/27	2006/11/06
03640	HK Bank – Jiangxi Copper European Warrants Nov 2006	2006/10/27	2006/11/06
03731	J P Morgan Int'l Der. Ltd. – CITIC Pac European Wts Nov 2006	2006/10/27	2006/11/06
03733	J P Morgan Int'l Der. Ltd. – Denway European Wts Nov 2006	2006/10/27	2006/11/06
03735	J P Morgan Int'l Der. Ltd. – HKEx European Warrants Nov 2006	2006/10/27	2006/11/06
03736	J P Morgan Int'l Der. Ltd. – MTRC European Warrants Nov 2006	2006/10/27	2006/11/06
03741	KBC Fin Products Int'l Ltd. – Ch Life Euro Put Wt Nov 2006 C	2006/10/27	2006/11/06
03806	KBC Fin Products Int'l Ltd. – CK(H) European Wts Nov 2006 A	2006/10/27	2006/11/06
03804	Deutsche Bank AG – Cheung Kong European Warrants Nov 2006 B	2006/10/31	2006/11/07
03820	Goldman Sachs SP (Asia) – Cheung Kong European Wts Nov 2006	2006/10/31	2006/11/07
03821	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Nov 2006	2006/10/31	2006/11/07
03814	Goldman Sachs SP (Asia) – China Mobile European Wts Nov 2006	2006/10/31	2006/11/07
03822	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Nov 2006	2006/10/31	2006/11/07
09090	KBC Fin Products Int'l Ltd. – HKEx European Wts Nov 2006 A	2006/10/31	2006/11/07
04760	CC Rabobank B.A. – CC Bank European Warrants Nov 2006	2006/11/02	2006/11/09
04901	Credit Suisse – BankComm European Warrants Nov 2006 B	2006/11/02	2006/11/09
09843	Credit Suisse – China Telecom European Warrants Nov 2006 B	2006/11/02	2006/11/09
09675	Credit Suisse – HSBC European Warrants Nov 2006	2006/11/02	2006/11/09
09106	Credit Suisse – PetroChina European Warrants Nov 2006 A	2006/11/02	2006/11/09
09677	Credit Suisse – SMIC European Warrants Nov 2006	2006/11/02	2006/11/09
03841	KBC Fin Products Int'l Ltd. – CK(H) European Wts Nov 2006 B	2006/11/03	2006/11/10
03842	KBC Fin Products Int'l Ltd. – HWL European Wts Nov 2006 A	2006/11/03	2006/11/10
04645	BOCI Asia Ltd. – China Life European Warrants Nov 2006	2006/11/06	2006/11/13
09434	BOCI Asia Ltd. – Wharf European Warrants Nov 2006	2006/11/06	2006/11/13
03708	HK Bank – CC Bank European Put Warrants Nov 2006	2006/11/06	2006/11/13
03567	HK Bank – CC Bank European Warrants Nov 2006	2006/11/06	2006/11/13
03798	J P Morgan Int'l Der. Ltd. – Ch Overseas Euro Wts Nov 2006	2006/11/06	2006/11/13
03802	J P Morgan Int'l Der. Ltd. – PICC European Warrants Nov 2006	2006/11/06	2006/11/13
03803	J P Morgan Int'l Der. Ltd. – YZ Coal European Wts Nov 2006	2006/11/06	2006/11/13
03745	KBC Fin Products Int'l Ltd. – HKEx European Wts Nov 2006 C	2006/11/06	2006/11/13
03836	ABN AMRO Bank N.V. – PetroChina European Warrants Nov 2006 B	2006/11/07	2006/11/14
03790	ABN AMRO Bank N.V. – Sinopec Corp European Wts Nov 2006 B	2006/11/07	2006/11/14
03848	Deutsche Bank AG – BankComm European Warrants Nov 2006	2006/11/07	2006/11/14
03850	Deutsche Bank AG – HSBC European Warrants Nov 2006 B	2006/11/07	2006/11/14
03805	Deutsche Bank AG – Hutchison European Warrants Nov 2006 A	2006/11/07	2006/11/14
03768	Deutsche Bank AG – PetroChina European Warrants Nov 2006 A	2006/11/07	2006/11/14
04686	Fortis Bank S.A./N.V. – Hutchison European Warrants Nov 2006	2006/11/07	2006/11/14
04510	J P Morgan Int'l Der. Ltd. – CSCL European Warrants Nov 2006	2006/11/07	2006/11/14
04572	J P Morgan Int'l Der. Ltd. – Link REIT Euro Wts Nov 2006 A	2006/11/07	2006/11/14
03742	KBC Fin Products Int'l Ltd. – Ch Mob Euro Put Wts Nov 2006 C	2006/11/07	2006/11/14
03853	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2006 B	2006/11/07	2006/11/14
03658	Macquarie Bank Ltd. – JX Copper European Warrants Nov 2006	2006/11/07	2006/11/14
09854	Macquarie Bank Ltd. – Maanshan I&S European Wts Nov 2006	2006/11/07	2006/11/14
03859	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Nov 2006	2006/11/07	2006/11/14
03831	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2006 B	2006/11/07	2006/11/14
03860	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Nov 2006	2006/11/07	2006/11/14
03863	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Nov 2006	2006/11/07	2006/11/14
03832	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2006 A	2006/11/07	2006/11/14
04519	SGA Societe Generale Acceptance N.V. – Link REIT Eu Wt Nov06	2006/11/07	2006/11/14
03833	SGA Societe Generale Acceptance N.V. – PetCh Eu Wt Nov 06 A	2006/11/07	2006/11/14
03834	SGA Societe Generale Acceptance N.V. – SHKP Eu Wt Nov 2006 A	2006/11/07	2006/11/14
03676	SGA Societe Generale Acceptance N.V.-C Mob Eu Put Wt Nov 06A	2006/11/07	2006/11/14
03812	ABN AMRO Bank N.V. – Hutchison European Warrants Nov 2006	2006/11/08	2006/11/15
03843	KBC Fin Products Int'l Ltd. – SHK P European Wts Nov 2006	2006/11/09	2006/11/16
02212	ABN AMRO Bank N.V. – New World Dev European Wts Nov 2006	2006/11/10	2006/11/17
04684	Fortis Bank S.A./N.V. – HKEx European Warrants Nov 2006	2006/11/10	2006/11/17
03799	J P Morgan Int'l Der. Ltd. – China Shenhua Euro Wts Nov 2006	2006/11/10	2006/11/17
03801	J P Morgan Int'l Der. Ltd. – Link REIT Euro Wts Nov 2006 B	2006/11/10	2006/11/17
03889	KBC Fin Products Int'l Ltd. – Ch Life Euro Wts Nov 2006 A	2006/11/10	2006/11/17
03890	KBC Fin Products Int'l Ltd. – Ch Life Euro Wts Nov 2006 B	2006/11/10	2006/11/17
04575	ABN AMRO Bank N.V. – CC Bank European Warrants Nov 2006	2006/11/13	2006/11/20
03789	ABN AMRO Bank N.V. – PetroChina European Warrants Nov 2006 A	2006/11/13	2006/11/20

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
04716	BOCI Asia Ltd. – HK Gas European Warrants Nov 2006	2006/11/13	2006/11/20
04741	KBC Fin Products Int'l Ltd. – BOCHK European Wts Nov 2006 B	2006/11/13	2006/11/20
03502	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2006 A	2006/11/13	2006/11/20
03874	ABN AMRO Bank N.V. – HSBC European Warrants Nov 2006 B	2006/11/14	2006/11/21
03876	ABN AMRO Bank N.V. – Oil Futures European Put Wts Nov 2006	2006/11/14	2006/11/21
03868	ABN AMRO Bank N.V. – Oil Futures European Warrants Nov 2006	2006/11/14	2006/11/21
03875	ABN AMRO Bank N.V. – PetroChina European Warrants Nov 2006 C	2006/11/14	2006/11/21
04707	Deutsche Bank AG – CC Bank European Warrants Nov 2006 B	2006/11/14	2006/11/21
03765	Deutsche Bank AG – Sinopec Corp European Warrants Nov 2006	2006/11/14	2006/11/21
04837	KBC Fin Products Int'l Ltd. – Ch Life Euro Put Wt Nov 2006 D	2006/11/14	2006/11/21
04839	KBC Fin Products Int'l Ltd. – Ch Mob Euro Put Wts Nov 2006 D	2006/11/14	2006/11/21
04840	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Nov 2006 C	2006/11/14	2006/11/21
04862	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Nov 2006 D	2006/11/14	2006/11/21
03884	KBC Fin Products Int'l Ltd. – HWL European Wts Nov 2006 B	2006/11/14	2006/11/21
04801	SGA Societe Generale Acceptance N.V. – BOCComm Eu Wt Nov 2006	2006/11/14	2006/11/21
03892	SGA Societe Generale Acceptance N.V. – CCB Eu Put Wt Nov 06	2006/11/14	2006/11/21
03862	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2006 C	2006/11/14	2006/11/21
03795	SGA Societe Generale Acceptance N.V. – Ch Tel Eu Wt Nov 2006	2006/11/14	2006/11/21
04867	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2006 B	2006/11/14	2006/11/21
04803	SGA Societe Generale Acceptance N.V. – PetCh Eu Wt Nov 06 B	2006/11/14	2006/11/21
04804	SGA Societe Generale Acceptance N.V. – SHKP Eu Wt Nov 2006 B	2006/11/14	2006/11/21
03794	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Nov 06	2006/11/14	2006/11/21
04649	Deutsche Bank AG – CC Bank European Warrants Nov 2006 A	2006/11/15	2006/11/22
03797	J P Morgan Int'l Der. Ltd. – Ch Merchants Euro Wts Nov 2006	2006/11/15	2006/11/22
04869	ABN AMRO Bank N.V. – Ch Telecom European Warrants Nov 2006	2006/11/16	2006/11/23
04889	ABN AMRO Bank N.V. – HSBC European Warrants Nov 2006 C	2006/11/16	2006/11/23
04853	J P Morgan Int'l Der. Ltd. – Ch Ship Dev Euro Wts Nov 2006	2006/11/16	2006/11/23
04893	KBC Fin Products Int'l Ltd. – BOCCom Euro Put Wts Nov 2006	2006/11/16	2006/11/23
04908	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Nov 2006	2006/11/16	2006/11/23
03800	J P Morgan Int'l Der. Ltd. – COSCO Pac European Wts Nov 2006	2006/11/17	2006/11/24
04918	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2006 E	2006/11/17	2006/11/24
04785	BOCI Asia Ltd. – Denway Motors European Warrants Nov 2006	2006/11/20	2006/11/27
04569	Fortis Bank S.A./N.V. – PetroChina European Wts Nov 2006	2006/11/20	2006/11/27
04673	Fortis Bank S.A./N.V. – SHK Ppt European Warrants Nov 2006	2006/11/20	2006/11/27
04929	KBC Fin Products Int'l Ltd. – HKEx European Wts Nov 2006 D	2006/11/20	2006/11/27
04895	KBC Fin Products Int'l Ltd. – Sinopec Euro Put Wts Nov 2006	2006/11/20	2006/11/27
03787	ABN AMRO Bank N.V. – HSBC European Warrants Nov 2006 A	2006/11/21	2006/11/28
04943	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Put Wt Nov 2006	2006/11/21	2006/11/28
04944	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Nov 2006 B	2006/11/21	2006/11/28
04915	BNP Paribas Arbit Issu B.V. – China Mobile Euro Wts Nov 2006	2006/11/21	2006/11/28
03624	Deutsche Bank AG – Cheung Kong European Warrants Nov 2006 A	2006/11/21	2006/11/28
04567	Deutsche Bank AG – China Life European Put Warrants Nov 2006	2006/11/21	2006/11/28
03858	Deutsche Bank AG – Hutchison European Warrants Nov 2006 B	2006/11/21	2006/11/28
04565	Deutsche Bank AG – PetroChina European Warrants Nov 2006 B	2006/11/21	2006/11/28
04892	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2006	2006/11/21	2006/11/28
04981	KBC Fin Products Int'l Ltd. – Ch Telecom Euro Wts Nov 2006	2006/11/21	2006/11/28
04702	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Nov 2006	2006/11/21	2006/11/28
02286	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2006 A	2006/11/21	2006/11/28
04739	SGA Societe Generale Acceptance N.V. – C Mob Eu Put Wt Nov 06B	2006/11/21	2006/11/28
03813	ABN AMRO Bank N.V. – Sinopec Corp European Wts Nov 2006 C	2006/11/22	2006/11/29
09269	CC Rabobank B.A. – BankComm European Warrants Nov 2006	2006/11/22	2006/11/29
09468	CC Rabobank B.A. – China Mobile European Warrants Nov 2006	2006/11/22	2006/11/29
03681	CC Rabobank B.A. – HKEx European Warrants Nov 2006	2006/11/22	2006/11/29
04762	CC Rabobank B.A. – Hutchison European Warrants Nov 2006	2006/11/22	2006/11/29
09320	Credit Suisse – BankComm European Warrants Nov 2006 A	2006/11/22	2006/11/29
09986	Credit Suisse – China Construct Bank European Wts Nov 2006	2006/11/22	2006/11/29
09725	Credit Suisse – China Telecom European Warrants Nov 2006 A	2006/11/22	2006/11/29
09107	Credit Suisse – PetroChina European Warrants Nov 2006 B	2006/11/22	2006/11/29
09105	Credit Suisse – Sinopec Corp European Warrants Nov 2006	2006/11/22	2006/11/29
02111	UBS AG – CC Bank European Warrants Nov 2006	2006/11/22	2006/11/29
03621	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Nov 2006 A	2006/11/23	2006/11/30
03861	Deutsche Bank AG – HSCEI European Put Warrants Nov 2006	2006/11/23	2006/11/30
03857	Deutsche Bank AG – HSCEI European Warrants Nov 2006	2006/11/23	2006/11/30
03851	Deutsche Bank AG – HSI European Put Warrants Nov 2006	2006/11/23	2006/11/30
03847	Deutsche Bank AG – HSI European Warrants Nov 2006	2006/11/23	2006/11/30
03872	Goldman Sachs SP (Asia) – HSI European Put Warrants Nov 2006	2006/11/23	2006/11/30
03867	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2006	2006/11/23	2006/11/30
03732	J P Morgan Int'l Der. Ltd. – Datang Power Euro Wts Nov 2006	2006/11/23	2006/11/30
03734	J P Morgan Int'l Der. Ltd. – HK Gas European Wts Nov 2006	2006/11/23	2006/11/30
04998	KBC Fin Products Int'l Ltd. – CNOOC European Wts Nov 2006	2006/11/23	2006/11/30
03885	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Nov 2006	2006/11/23	2006/11/30
04743	KBC Fin Products Int'l Ltd. – HSCEI European Wts Nov 2006	2006/11/23	2006/11/30
03852	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 A	2006/11/23	2006/11/30
03865	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 B	2006/11/23	2006/11/30
03866	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 C	2006/11/23	2006/11/30
04921	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 D	2006/11/23	2006/11/30
04922	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 E	2006/11/23	2006/11/30
03844	KBC Fin Products Int'l Ltd. – HSI European Wts Nov 2006 F	2006/11/23	2006/11/30
04983	KBC Fin Products Int'l Ltd. – HSI European Wts Nov 2006 G	2006/11/23	2006/11/30
04941	Macquarie Bank Ltd. – CCB European Warrants Nov 2006 B	2006/11/23	2006/11/30
04931	Macquarie Bank Ltd. – China Life European Wts Nov 2006 A	2006/11/23	2006/11/30
04933	Macquarie Bank Ltd. – China Life European Wts Nov 2006 C	2006/11/23	2006/11/30
03877	Macquarie Bank Ltd. – CK(H) European Warrants Nov 2006 A	2006/11/23	2006/11/30
09002	Macquarie Bank Ltd. – CK(H) European Warrants Nov 2006 B	2006/11/23	2006/11/30
03828	Macquarie Bank Ltd. – Denway European Warrants Nov 2006	2006/11/23	2006/11/30
04836	Macquarie Bank Ltd. – HKEx European Warrants Nov 2006 A	2006/11/23	2006/11/30
04942	Macquarie Bank Ltd. – HKEx European Warrants Nov 2006 B	2006/11/23	2006/11/30

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
04970	Macquarie Bank Ltd. – HSBC European Warrants Nov 2006 B	2006/11/23	2006/11/30
03829	Macquarie Bank Ltd. – HSI European Put Warrants Nov 2006	2006/11/23	2006/11/30
03823	Macquarie Bank Ltd. – HSI European Warrants Nov 2006	2006/11/23	2006/11/30
03879	Macquarie Bank Ltd. – HWL European Warrants Nov 2006	2006/11/23	2006/11/30
09003	Macquarie Bank Ltd. – PetroChina European Put Wts Nov 2006 B	2006/11/23	2006/11/30
04949	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Nov06C	2006/11/23	2006/11/30
04950	SGA Societe Generale Acceptance N.V. – CNOOC Eur Wt Nov 2006	2006/11/23	2006/11/30
04703	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Nov 06 A	2006/11/23	2006/11/30
04738	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Nov 06 B	2006/11/23	2006/11/30
03895	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 06B	2006/11/23	2006/11/30
04913	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 06D	2006/11/23	2006/11/30
09020	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 06G	2006/11/23	2006/11/30
03891	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2006 A	2006/11/23	2006/11/30
04909	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2006 C	2006/11/23	2006/11/30
04951	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2006 E	2006/11/23	2006/11/30
09016	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2006 F	2006/11/23	2006/11/30
04740	SGA Societe Generale Acceptance N.V.-HSCEI Eu Put Wt Nov 06C	2006/11/23	2006/11/30
04742	SGA Societe Generale Acceptance N.V.-HSCEI Eu Put Wt Nov 06D	2006/11/23	2006/11/30
04835	UBS AG – HSI European Put Warrants Nov 2006	2006/11/23	2006/11/30
04815	UBS AG – HSI European Warrants Nov 2006	2006/11/23	2006/11/30
09037	Deutsche Bank AG – HSBC European Warrants Nov 2006 A	2006/11/24	2006/12/01
04752	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Nov 2006	2006/11/24	2006/12/01
09039	Macquarie Bank Ltd. – BOCOM European Warrants Nov 2006	2006/11/24	2006/12/01
04597	Macquarie Bank Ltd. – CCB European Warrants Nov 2006 A	2006/11/24	2006/12/01
04932	Macquarie Bank Ltd. – China Life European Wts Nov 2006 B	2006/11/24	2006/12/01
04924	Macquarie Bank Ltd. – China Mobile European Wts Nov 2006	2006/11/24	2006/12/01
03825	Macquarie Bank Ltd. – CK(H) European Put Warrants Nov 2006	2006/11/24	2006/12/01
09010	Macquarie Bank Ltd. – CNOOC European Warrants Nov 2006	2006/11/24	2006/12/01
03785	Macquarie Bank Ltd. – HKEx European Put Warrants Nov 2006	2006/11/24	2006/12/01
03849	Macquarie Bank Ltd. – HSBC European Warrants Nov 2006	2006/11/24	2006/12/01
03845	Macquarie Bank Ltd. – HSBC European Warrants Nov 2006 A	2006/11/24	2006/12/01
03826	Macquarie Bank Ltd. – HWL European Put Warrants Nov 2006	2006/11/24	2006/12/01
03856	Macquarie Bank Ltd. – PetroChina European Put Wts Nov 2006 A	2006/11/24	2006/12/01
09011	Macquarie Bank Ltd. – PetroChina European Warrants Nov 2006	2006/11/24	2006/12/01
04891	Macquarie Bank Ltd. – Sinopec European Warrants Nov 2006	2006/11/24	2006/12/01
04467	Macquarie Bank Ltd. – Wharf European Warrants Nov 2006	2006/11/24	2006/12/01
09846	J P Morgan Int'l Der. Ltd. – China Unicom Euro Wts Dec 2006	2006/11/27	2006/12/04
04894	KBC Fin Products Int'l Ltd. – CCB European Put Wts Dec 2006	2006/11/27	2006/12/04
03888	KBC Fin Products Int'l Ltd. – CCB European Warrants Dec 2006	2006/11/27	2006/12/04
04926	KBC Fin Products Int'l Ltd. – Ch Life European Wts Dec 2006	2006/11/27	2006/12/04
03627	KBC Fin Products Int'l Ltd. – China Netcom Euro Wts Dec 2006	2006/11/27	2006/12/04
02190	KBC Fin Products Int'l Ltd. – China Telecom Eur Wts Dec 2006	2006/11/27	2006/12/04
03883	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2006 C	2006/11/27	2006/12/04
04920	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2006 D	2006/11/27	2006/12/04
03711	KBC Fin Products Int'l Ltd. – MTRC European Wts Dec 2006	2006/11/27	2006/12/04
04863	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Dec 2006 A	2006/11/27	2006/12/04
03749	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Dec 2006	2006/11/27	2006/12/04
02276	KBC Fin Products Int'l Ltd. – Ping An European Wts Dec 2006	2006/11/27	2006/12/04
04997	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Dec 2006 B	2006/11/27	2006/12/04
03837	ABN AMRO Bank N.V. – Sinopec Corp European Warrants Dec 2006	2006/11/28	2006/12/05
04563	Deutsche Bank AG – China Life European Warrants Dec 2006 A	2006/11/28	2006/12/05
04985	Deutsche Bank AG – China Life European Warrants Dec 2006 B	2006/11/28	2006/12/05
09094	Goldman Sachs SP (Asia) – CC Bank European Warrants Dec 2006	2006/11/28	2006/12/05
09096	Goldman Sachs SP (Asia) – China Mobile European Wts Dec 2006	2006/11/28	2006/12/05
09124	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2006	2006/11/28	2006/12/05
03626	HK Bank – China Life European Warrants Dec 2006	2006/11/28	2006/12/05
03521	MSDW Asia Sec Products LLC – China Life Euro Wts Dec 2006	2006/11/28	2006/12/05
09042	SGA Societe Generale Acceptance N.V. – C Life Eu Wt Dec 06 B	2006/11/28	2006/12/05
09053	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Dec 2006	2006/11/28	2006/12/05
04807	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Dec06D	2006/11/28	2006/12/05
09072	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Dec06E	2006/11/28	2006/12/05
04802	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2006 B	2006/11/28	2006/12/05
09043	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2006 C	2006/11/28	2006/12/05
09077	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Dec 2006	2006/11/28	2006/12/05
09044	SGA Societe Generale Acceptance N.V. – MTRC Euro Wt Dec 2006	2006/11/28	2006/12/05
09071	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Dec 06A	2006/11/28	2006/12/05
09050	SGA Societe Generale Acceptance N.V.-C Life Eu Put Wt Dec 06	2006/11/28	2006/12/05
03668	J P Morgan Int'l Der. Ltd. – New World Dev Euro Wts Dec 2006	2006/11/29	2006/12/06
09132	KBC Fin Products Int'l Ltd. – BOCOM European Wts Dec 2006 B	2006/11/29	2006/12/06
03746	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Dec 2006	2006/11/29	2006/12/06
04735	Fortis Bank S.A./N.V. – HKEx European Warrants Dec 2006	2006/11/30	2006/12/07
03778	HK Bank – CNOOC European Warrants Dec 2006	2006/11/30	2006/12/07
09575	KBC Fin Products Int'l Ltd. – China Shenhua Eur Wts Dec 2006	2006/11/30	2006/12/07
09134	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Dec 2006	2006/11/30	2006/12/07
09135	SGA Societe Generale Acceptance N.V. – C Tel Eu Wt Dec 06 B	2006/11/30	2006/12/07
09136	SGA Societe Generale Acceptance N.V. – CNOOC Eur Wt Dec 2006	2006/11/30	2006/12/07
02129	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Dec 2006	2006/12/01	2006/12/08
02125	Deutsche Bank AG – Nikkei 225 Index European Wts Dec 2006	2006/12/01	2006/12/08
03776	J P Morgan Int'l Der. Ltd. – N225 Idx Euro Put Wts Dec 2006	2006/12/01	2006/12/08
03772	J P Morgan Int'l Der. Ltd. – N225 Index Euro Wts Dec 2006	2006/12/01	2006/12/08
03881	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2006 A	2006/12/01	2006/12/08
04916	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2006 B	2006/12/04	2006/12/11
02109	CC Rabobank B.A. – CC Bank European Warrants Dec 2006	2006/12/04	2006/12/11
09856	CC Rabobank B.A. – Cheung Kong European Put Wts Dec 2006	2006/12/04	2006/12/11
04935	Credit Suisse – CC Bank European Warrants Dec 2006	2006/12/04	2006/12/11
04658	Credit Suisse – Cheung Kong European Warrants Dec 2006	2006/12/04	2006/12/11
09842	Credit Suisse – China Life European Warrants Dec 2006 A	2006/12/04	2006/12/11

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09676	Credit Suisse – HSBC European Warrants Dec 2006	2006/12/04	2006/12/11
09845	Credit Suisse – Huaneng Power European Warrants Dec 2006	2006/12/04	2006/12/11
09210	Deutsche Bank AG – BOC HK European Warrants Dec 2006 A	2006/12/04	2006/12/11
03709	HK Bank – CC Bank European Put Warrants Dec 2006	2006/12/04	2006/12/11
09142	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Dec 2006	2006/12/04	2006/12/11
03672	SGA Societe Generale Acceptance N.V. – Ch Mer Eur Wts Dec 06	2006/12/04	2006/12/11
03873	ABN AMRO Bank N.V. – CC Bank European Warrants Dec 2006	2006/12/05	2006/12/12
09079	BNP Paribas Arbit Issu B.V. – BankComm European Wts Dec 2006	2006/12/05	2006/12/12
09080	BNP Paribas Arbit Issu B.V. – CC Bank European Wts Dec 2006	2006/12/05	2006/12/12
03810	Citigroup Global Mkt H Inc. – HSBC European Wts Dec 2006	2006/12/05	2006/12/12
04954	Deutsche Bank AG – BOC HK European Warrants Dec 2006 B	2006/12/05	2006/12/12
04564	Deutsche Bank AG – Sinopec Corp European Warrants Dec 2006	2006/12/05	2006/12/12
04993	KBC Fin Products Int'l Ltd. – Ch Mob European Wts Dec 2006	2006/12/05	2006/12/12
04930	KBC Fin Products Int'l Ltd. – HWL European Wts Dec 2006 A	2006/12/05	2006/12/12
04973	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Dec 2006 A	2006/12/05	2006/12/12
09137	SGA Societe Generale Acceptance N.V. – BOCHK Eu Put Wt Dec06	2006/12/05	2006/12/12
03893	SGA Societe Generale Acceptance N.V. – C Life Eu Wt Dec 06 A	2006/12/05	2006/12/12
04866	SGA Societe Generale Acceptance N.V. – C Tel Eu Wt Dec 06 A	2006/12/05	2006/12/12
03864	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2006 A	2006/12/05	2006/12/12
09138	SGA Societe Generale Acceptance N.V.-CP&CC Eu Put Wt Dec 06D	2006/12/05	2006/12/12
02164	UBS AG – CHALCO European Warrants Dec 2006	2006/12/05	2006/12/12
09014	UBS AG – China Life European Warrants Dec 2006	2006/12/05	2006/12/12
04833	UBS AG – China Mobile European Put Warrants Dec 2006	2006/12/05	2006/12/12
04814	UBS AG – China Mobile European Warrants Dec 2006	2006/12/05	2006/12/12
09704	UBS AG – China Netcom European Warrants Dec 2006	2006/12/05	2006/12/12
02166	UBS AG – Esprit European Warrants Dec 2006	2006/12/05	2006/12/12
02168	UBS AG – Jiangxi Copper European Warrants Dec 2006	2006/12/05	2006/12/12
02170	UBS AG – Swire Pac A European Warrants Dec 2006	2006/12/05	2006/12/12
02171	UBS AG – Yanzhou Coal European Warrants Dec 2006	2006/12/05	2006/12/12
09025	CC Rabobank B.A. – Hutchison European Warrants Dec 2006	2006/12/06	2006/12/13
04988	ABN AMRO Bank N.V. – BankComm European Warrants Dec 2006 B	2006/12/07	2006/12/14
04581	Deutsche Bank AG – KOSPI 200 European Put Warrants Dec 2006	2006/12/08	2006/12/15
04577	Deutsche Bank AG – KOSPI 200 European Warrants Dec 2006	2006/12/08	2006/12/15
09130	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2006	2006/12/11	2006/12/18
04917	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2006 C	2006/12/11	2006/12/18
09129	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Dec 2006	2006/12/11	2006/12/18
04788	BOCI Asia Ltd. – MTR European Warrants Dec 2006	2006/12/11	2006/12/18
04847	BOCI Asia Ltd. – PCCW European Warrants Dec 2006	2006/12/11	2006/12/18
04655	Deutsche Bank AG – DJIA European Put Warrants Dec 2006	2006/12/11	2006/12/18
04651	Deutsche Bank AG – DJIA European Warrants Dec 2006	2006/12/11	2006/12/18
03737	KBC Fin Products Int'l Ltd. – BOCom Euro Put Wts Dec 2006 C	2006/12/11	2006/12/18
04992	KBC Fin Products Int'l Ltd. – BOCom European Wts Dec 2006 A	2006/12/11	2006/12/18
09182	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Dec 2006	2006/12/11	2006/12/18
03882	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2006 B	2006/12/11	2006/12/18
09188	KBC Fin Products Int'l Ltd. – HWL Euro Put Wts Dec 2006 C	2006/12/11	2006/12/18
09133	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Dec 2006 B	2006/12/11	2006/12/18
04999	KBC Fin Products Int'l Ltd. – SHK P European Wts Dec 2006 A	2006/12/11	2006/12/18
03679	SGA Societe Generale Acceptance N.V. – CP Air Eur Wts Dec 06	2006/12/11	2006/12/18
03786	ABN AMRO Bank N.V. – Cheung Kong European Warrants Dec 2006	2006/12/12	2006/12/19
04888	ABN AMRO Bank N.V. – HSBC European Warrants Dec 2006	2006/12/12	2006/12/19
03788	ABN AMRO Bank N.V. – HWL European Warrants Dec 2006 A	2006/12/12	2006/12/19
04887	ABN AMRO Bank N.V. – HWL European Warrants Dec 2006 B	2006/12/12	2006/12/19
09218	BNP Paribas Arbit Issu B.V. – BOC HK Euro Put Wts Dec 2006	2006/12/12	2006/12/19
09128	BNP Paribas Arbit Issu B.V. – BOC HK European Wts Dec 2006	2006/12/12	2006/12/19
09149	BNP Paribas Arbit Issu B.V. – PetroCh Euro Put Wts Dec 2006	2006/12/12	2006/12/19
04961	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Dec 2006	2006/12/12	2006/12/19
09150	BNP Paribas Arbit Issu B.V. – Ping An European Wts Dec 2006	2006/12/12	2006/12/19
03649	CC Rabobank B.A. – BankComm European Warrants Dec 2006	2006/12/12	2006/12/19
04759	CC Rabobank B.A. – BOC HK European Warrants Dec 2006	2006/12/12	2006/12/19
04534	CC Rabobank B.A. – HSBC European Warrants Dec 2006	2006/12/12	2006/12/19
03524	Credit Suisse – China Life European Warrants Dec 2006 B	2006/12/12	2006/12/19
03816	Credit Suisse – China Res European Wts Dec 2006	2006/12/12	2006/12/19
09844	Credit Suisse – China Telecom European Warrants Dec 2006	2006/12/12	2006/12/19
03580	Credit Suisse – Henderson Land Dev European Wts Dec 2006	2006/12/12	2006/12/19
04938	Credit Suisse – Sinopec European Warrants Dec 2006	2006/12/12	2006/12/19
03767	Deutsche Bank AG – China Telecom European Warrants Dec 2006	2006/12/12	2006/12/19
09975	Fortis Bank S.A./N.V. – CC Bank European Warrants Dec 2006	2006/12/12	2006/12/19
09977	Fortis Bank S.A./N.V. – HK Electric European Wts Dec 2006	2006/12/12	2006/12/19
09207	KBC Fin Products Int'l Ltd. – HWL Euro Put Wts Dec 2006 D	2006/12/12	2006/12/19
09197	SGA Societe Generale Acceptance N.V. – NWD Euro Wts Dec 2006	2006/12/12	2006/12/19
09078	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 06 A	2006/12/12	2006/12/19
04910	SGA Societe Generale Acceptance N.V. – USD/YEN Eu Wt Dec 06	2006/12/12	2006/12/19
04914	SGA Societe Generale Acceptance N.V.-USD/YEN Eu Put Wt Dec06	2006/12/12	2006/12/19
02169	UBS AG – Melco European Warrants Dec 2006	2006/12/12	2006/12/19
09244	ABN AMRO Bank N.V. – PetroChina European Wts Dec 2006 A	2006/12/14	2006/12/21
09554	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Dec 2006	2006/12/14	2006/12/21
03614	Citigroup Global Mkt H Inc. – China Tel Euro Wts Dec 2006	2006/12/14	2006/12/21
09178	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Dec 2006	2006/12/14	2006/12/21
03751	KBC Fin Products Int'l Ltd. – SHK P Euro Put Wts Dec 2006	2006/12/14	2006/12/21
09209	KBC Fin Products Int'l Ltd. – SHK P European Wts Dec 2006 B	2006/12/14	2006/12/21
09180	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Dec 2006 C	2006/12/14	2006/12/21
04925	Macquarie Bank Ltd. – Link REIT European Warrants Dec 2006	2006/12/14	2006/12/21
09236	SGA Societe Generale Acceptance N.V. – BOCom Eu Put Wt Dec06	2006/12/14	2006/12/21
09229	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Dec 2006	2006/12/14	2006/12/21
09237	SGA Societe Generale Acceptance N.V. – CCB Eu Put Wt Dec 06	2006/12/14	2006/12/21
09240	SGA Societe Generale Acceptance N.V. – PetCh Eu Put Wt Dec06	2006/12/14	2006/12/21
09232	SGA Societe Generale Acceptance N.V. – PetCh Eu Wt Dec 06 A	2006/12/14	2006/12/21

List of expired derivative warrants, 2006 (continued)

Code	Warrant	Last trading date	Delisted date
09233	SGA Societe Generale Acceptance N.V. – PetCh Eu Wt Dec 06 B	2006/12/14	2006/12/21
09241	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 06 B	2006/12/14	2006/12/21
09230	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Dec 06B	2006/12/14	2006/12/21
09231	SGA Societe Generale Acceptance N.V. – Sinopec Eu Wt Dec 06C	2006/12/14	2006/12/21
09238	SGA Societe Generale Acceptance N.V.-CP&CC Eu Put Wt Dec 06E	2006/12/14	2006/12/21
04986	ABN AMRO Bank N.V. – BankComm European Warrants Dec 2006 A	2006/12/15	2006/12/22
03970	BNP Paribas Arbit Issu B.V. – HSBC Euro Straddle Wts Dec 06	2006/12/15	2006/12/22
03774	HK Bank – China Mobile European Warrants Dec 2006	2006/12/15	2006/12/22
09208	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Dec 2006 C	2006/12/15	2006/12/22
09177	ABN AMRO Bank N.V. – PetroChina European Put Wts Dec 2006	2006/12/18	2006/12/27
09245	ABN AMRO Bank N.V. – PetroChina European Wts Dec 2006 B	2006/12/18	2006/12/27
09183	KBC Fin Products Int'l Ltd. – HWL European Wts Dec 2006 B	2006/12/18	2006/12/27
03629	Calyon Fin P (G) Ltd. – China Life European Wts Dec 2006	2006/12/19	2006/12/28
04682	Fortis Bank S.A./N.V. – China Resources Euro Wts Dec 2006	2006/12/19	2006/12/28
09203	KBC Fin Products Int'l Ltd. – BOCCom Euro Put Wts Dec 2006 D	2006/12/19	2006/12/28
04890	Macquarie Bank Ltd. – Sinopec European Warrants Dec 2006 A	2006/12/19	2006/12/28
02289	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2006 A	2006/12/20	2006/12/29
09131	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Dec 2006	2006/12/20	2006/12/29
09127	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2006	2006/12/20	2006/12/29
09084	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Dec 2006A	2006/12/20	2006/12/29
09223	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Dec 2006B	2006/12/20	2006/12/29
09217	BNP Paribas Arbit Issu B.V. – HSI European Warrants Dec 2006	2006/12/20	2006/12/29
03728	BNP Paribas Arbit Issu B.V. – MTR Corp European Wts Dec 2006	2006/12/20	2006/12/29
04809	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Euro Wts Dec 2006	2006/12/20	2006/12/29
09958	Credit Suisse – China Merchants European Warrants Dec 2006	2006/12/20	2006/12/29
03422	Credit Suisse – China Mobile Euro Wts Dec 2006	2006/12/20	2006/12/29
04980	Credit Suisse – DJIA European Put Wts Dec 2006	2006/12/20	2006/12/29
03975	Credit Suisse – PetroChina Euro Wts Dec 2006	2006/12/20	2006/12/29
03962	Credit Suisse – Weiqiao European Wts Dec 2006	2006/12/20	2006/12/29
09018	Deutsche Bank AG – HSI European Put Warrants Dec 2006 A	2006/12/20	2006/12/29
09251	Deutsche Bank AG – HSI European Put Warrants Dec 2006 B	2006/12/20	2006/12/29
09200	Deutsche Bank AG – HSI European Warrants Dec 2006	2006/12/20	2006/12/29
09019	Deutsche Bank AG – Hutchison European Put Warrants Dec 2006	2006/12/20	2006/12/29
09126	Goldman Sachs SP (Asia) – HSI European Put Warrants Dec 2006	2006/12/20	2006/12/29
09122	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2006	2006/12/20	2006/12/29
04573	J P Morgan Int'l Der. Ltd. – Maanshan Iron Euro Wts Dec 2006	2006/12/20	2006/12/29
09211	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Dec 2006 C	2006/12/20	2006/12/29
09212	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Dec 2006 D	2006/12/20	2006/12/29
09213	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Dec 2006 E	2006/12/20	2006/12/29
09201	KBC Fin Products Int'l Ltd. – HSCEI European Wts Dec 2006 A	2006/12/20	2006/12/29
09202	KBC Fin Products Int'l Ltd. – HSCEI European Wts Dec 2006 B	2006/12/20	2006/12/29
09299	KBC Fin Products Int'l Ltd. – HSCEI European Wts Dec 2006 F	2006/12/20	2006/12/29
09303	KBC Fin Products Int'l Ltd. – HSCEI European Wts Dec 2006 G	2006/12/20	2006/12/29
09184	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2006 A	2006/12/20	2006/12/29
09185	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2006 B	2006/12/20	2006/12/29
09186	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2006 C	2006/12/20	2006/12/29
09052	KBC Fin Products Int'l Ltd. – HSI European Warrants Dec 2006	2006/12/20	2006/12/29
09333	Macquarie Bank Ltd. – CCB European Put Warrants Dec 2006	2006/12/20	2006/12/29
09332	Macquarie Bank Ltd. – CK(H) European Warrants Dec 2006	2006/12/20	2006/12/29
09088	Macquarie Bank Ltd. – Denway European Warrants Dec 2006	2006/12/20	2006/12/29
09093	Macquarie Bank Ltd. – HLand European Warrants Dec 2006 B	2006/12/20	2006/12/29
09141	Macquarie Bank Ltd. – HSBC European Warrants Dec 2006 B	2006/12/20	2006/12/29
03880	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2006 A	2006/12/20	2006/12/29
09261	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2006 D	2006/12/20	2006/12/29
09343	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2006 F	2006/12/20	2006/12/29
03887	Macquarie Bank Ltd. – HSCEI European Warrants Dec 2006 B	2006/12/20	2006/12/29
09256	Macquarie Bank Ltd. – HSCEI European Warrants Dec 2006 C	2006/12/20	2006/12/29
09331	Macquarie Bank Ltd. – HSCEI European Warrants Dec 2006 E	2006/12/20	2006/12/29
09045	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2006 A	2006/12/20	2006/12/29
09147	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2006 B	2006/12/20	2006/12/29
09260	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2006 F	2006/12/20	2006/12/29
09334	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2006 H	2006/12/20	2006/12/29
09335	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2006 I	2006/12/20	2006/12/29
09041	Macquarie Bank Ltd. – HSI European Warrants Dec 2006 C	2006/12/20	2006/12/29
09155	Macquarie Bank Ltd. – HSI European Warrants Dec 2006 D	2006/12/20	2006/12/29
09255	Macquarie Bank Ltd. – HSI European Warrants Dec 2006 E	2006/12/20	2006/12/29
09330	Macquarie Bank Ltd. – HSI European Warrants Dec 2006 G	2006/12/20	2006/12/29
09344	Macquarie Bank Ltd. – Hutchison European Warrants Dec 2006	2006/12/20	2006/12/29
09198	Macquarie Bank Ltd. – SHK P European Warrants Dec 2006 C	2006/12/20	2006/12/29
09040	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2006 B	2006/12/20	2006/12/29
09086	Macquarie Bank Ltd. – Sinopec European Warrants Dec 2006 B	2006/12/20	2006/12/29
09293	Macquarie Bank Ltd. – Sinopec European Warrants Dec 2006 C	2006/12/20	2006/12/29
09172	Macquarie Bank Ltd. – Wharf European Warrants Dec 2006	2006/12/20	2006/12/29
03824	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Dec 2006	2006/12/20	2006/12/29
09219	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 06C	2006/12/20	2006/12/29
09284	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 06E	2006/12/20	2006/12/29
09215	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2006 A	2006/12/20	2006/12/29
09216	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2006 B	2006/12/20	2006/12/29
09280	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2006 D	2006/12/20	2006/12/29
09246	UBS AG – HSI European Put Warrants Dec 2006	2006/12/20	2006/12/29
09242	UBS AG – HSI European Warrants Dec 2006	2006/12/20	2006/12/29

Market value for warrants, 2002 – 2006

Year/Month	Equity warrants		Derivative warrants		Total		
	Number	HK\$mil	Number	HK\$mil	Number	HK\$mil	
2002	67	1,081.99	347	18,298.73	414	19,380.72	
2003	45	1,316.40	530	78,691.90	575	80,008.30	
2004	32	2,316.95	863	84,257.32	895	86,574.27	
2005	27	1,156.84	1304	98,490.16	1331	99,646.99	
2006	27	3,991.91	1959	456,071.92	1986	460,063.84	
2006	Jan	28	1,648.32	1381	165,291.66	1409	166,939.98
	Feb	27	1,773.93	1391	187,178.70	1418	188,952.64
	Mar	26	1,884.97	1366	168,304.43	1392	170,189.40
	Apr	26	2,015.45	1428	203,719.84	1454	205,735.29
	May	26	1,620.42	1475	178,552.31	1501	180,172.73
	Jun	29	1,862.10	1481	158,960.38	1510	160,822.48
	Jul	31	2,429.72	1508	149,722.00	1539	152,151.72
	Aug	29	2,291.50	1505	134,656.48	1534	136,947.98
	Sep	28	2,779.41	1606	137,208.61	1634	139,988.02
	Oct	29	3,118.50	1644	159,258.78	1673	162,377.28
	Nov	27	3,716.63	1881	264,510.17	1908	268,226.81
	Dec	27	3,991.91	1959	456,071.92	1986	460,063.84

Month-end or year-end figures.

Warrant trading, 2002 – 2006

Year/Month	Equity warrants		Derivative warrants		Total		
	HK\$mil	% of market total	HK\$mil	% of market total	HK\$mil	% of market total	
2002	1,745.15	0.11	112,776.98	7.05	114,522.13	7.16	
2003	1,156.99	0.05	264,012.20	10.37	265,169.20	10.42	
2004	2,920.69	0.07	524,475.81	13.28	527,396.50	13.36	
2005	2,283.25	0.05	856,606.63	19.04	858,889.88	19.09	
2006	2,711.69	0.03	1,790,059.46	21.48	1,792,771.15	21.52	
2006	Jan	137.06	0.02	102,427.46	17.79	102,564.52	17.81
	Feb	229.88	0.04	135,811.27	20.82	136,041.15	20.85
	Mar	160.33	0.02	138,721.61	20.05	138,881.94	20.07
	Apr	207.13	0.03	95,727.05	14.94	95,934.18	14.97
	May	117.39	0.02	141,978.21	20.02	142,095.60	20.04
	Jun	44.91	0.01	137,198.53	21.12	137,243.44	21.12
	Jul	152.59	0.03	123,706.63	25.47	123,859.22	25.50
	Aug	424.61	0.08	150,226.53	26.70	150,651.14	26.78
	Sep	514.58	0.08	165,358.04	25.46	165,872.62	25.54
	Oct	269.16	0.04	179,477.14	24.14	179,746.30	24.17
	Nov	261.26	0.02	238,604.13	22.13	238,865.40	22.16
	Dec	192.78	0.02	180,822.86	20.24	181,015.64	20.26

Newly listed callable bull/bear contracts

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD)/Strike level per 10 units	Call price (HKD)/Call level per 10 units	Expiry date	No. of issue (units)	Listing date
06200	ABN AMRO Bank N.V.	HSI R Bull CBBC Nov 2006 A	0.3000	13,500 per 10,000 units	14,000 per 10,000 units	2006/11/29	500,000,000	2006/06/12
06204	ABN AMRO Bank N.V.	HSI R Bear CBBC Nov 2006 B	0.2820	18,000 per 7,000 units	17,500 per 7,000 units	2006/11/29	350,000,000	2006/06/12
06201	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2006 A	0.3200	14,500 per 5,000 units	15,000 per 5,000 units	2006/12/28	32,000,000	2006/06/12
06205	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2006 B	0.2700	17,500 per 5,000 units	17,000 per 5,000 units	2006/12/28	38,000,000	2006/06/12
06202	SGA Societe Generale Acceptance N.V.	HSBC R Bull CBBC Dec 2006 A	4.8500	91.0000	95.0000	2006/12/12	50,000,000	2006/06/12
06203	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC Dec 2006 A	2.7250	45.0000	49.0000	2006/12/12	20,000,000	2006/06/12
06901	UBS AG	HSBC N Bull CBBC Dec 2006 A	2.0220	118.0000	118.0000	2006/12/12	50,000,000	2006/06/12
06902	UBS AG	HWL N Bull CBBC Dec 2006 A	1.2800	58.8000	58.8000	2006/12/12	50,000,000	2006/06/19
06206	Deutsche Bank AG	HSI R Bull CBBC Dec 2006 A	0.2500	14,200 per 5,000 units	14,600 per 5,000 units	2006/12/28	50,000,000	2006/06/22
06207	ABN AMRO Bank N.V.	HSI R Bull CBBC Dec 2006 A	0.2580	13,800 per 9,000 units	14,300 per 9,000 units	2006/12/28	300,000,000	2006/06/27
06211	ABN AMRO Bank N.V.	HSI R Bear CBBC Dec 2006 B	0.2520	17,800 per 9,000 units	17,300 per 9,000 units	2006/12/28	300,000,000	2006/06/27
06208	Deutsche Bank AG	HSBC R Bull CBBC Jan 2007 A	1.8600	118.0000	120.0000	2007/01/08	50,000,000	2006/07/04
06209	Deutsche Bank AG	HSI R Bear CBBC Jan 2007 A	0.2900	17,600 per 5,000 units	17,200 per 5,000 units	2007/01/30	50,000,000	2006/07/10
06210	UBS AG	HSI R Bull CBBC Dec 2006 A	0.3600	14,800 per 5,000 units	15,100 per 5,000 units	2006/12/28	100,000,000	2006/07/12
06212	UBS AG	HSCEI R Bull CBBC Dec 2006 A	0.2800	5,500 per 5,000 units	5,800 per 5,000 units	2006/12/28	100,000,000	2006/07/12
06214	UBS AG	HSI R Bear CBBC Dec 2006 B	0.3050	17,800 per 5,000 units	17,500 per 5,000 units	2006/12/28	100,000,000	2006/07/12
06213	ABN AMRO Bank N.V.	HSCEI R Bull CBBC Dec 2006 A	0.2500	4,500 per 10,000 units	4,800 per 10,000 units	2006/12/28	300,000,000	2006/07/18
06217	ABN AMRO Bank N.V.	HSCEI R Bear CBBC Dec 2006 B	0.2710	9,000 per 8,000 units	8,500 per 8,000 units	2006/12/28	300,000,000	2006/07/18
06215	Deutsche Bank AG	HSCEI R Bull CBBC Jan 2007 A	0.2800	5,300 per 5,000 units	5,600 per 5,000 units	2007/01/30	50,000,000	2006/07/25
06216	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2006 C	0.2800	19,000 per 7,000 units	18,500 per 7,000 units	2006/12/28	50,000,000	2006/08/03
06219	ABN AMRO Bank N.V.	HSI R Bull CBBC Jan 2007 A	0.4250	14,500 per 7,000 units	15,000 per 7,000 units	2007/01/30	300,000,000	2006/08/08
06223	ABN AMRO Bank N.V.	HSI R Bear CBBC Jan 2007 B	0.2650	18,500 per 6,000 units	18,000 per 6,000 units	2007/01/30	300,000,000	2006/08/08
06218	Deutsche Bank AG	HSI R Bull CBBC Feb 2007 A	0.3400	15,600 per 5,000 units	16,000 per 5,000 units	2007/02/27	50,000,000	2006/08/08
06222	Deutsche Bank AG	HSI R Bear CBBC Feb 2007 B	0.2700	18,200 per 5,000 units	17,800 per 5,000 units	2007/02/27	50,000,000	2006/08/08
06220	UBS AG	HSI R Bull CBBC Feb 2007 A	0.2500	16,100 per 6,000 units	16,400 per 6,000 units	2007/02/27	100,000,000	2006/08/21
06224	UBS AG	HSI R Bear CBBC Feb 2007 B	0.2500	18,600 per 6,000 units	18,300 per 6,000 units	2007/02/27	100,000,000	2006/08/21
06221	UBS AG	HSCEI R Bear CBBC Feb 2007 A	0.2500	8,200 per 5,000 units	7,900 per 5,000 units	2007/02/27	100,000,000	2006/08/21
06222 #	Deutsche Bank AG	HSI R Bear CBBC Feb 2007 B	0.1690	18,200 per 5,000 units	17,800 per 5,000 units	2007/02/27	100,000,000	2006/08/22
06225	Deutsche Bank AG	HSI R Bull CBBC Feb 2007 C	0.2700	16,400 per 5,000 units	16,800 per 5,000 units	2007/02/27	100,000,000	2006/08/24
06229	Deutsche Bank AG	HSI R Bear CBBC Feb 2007 D	0.2500	18,600 per 5,000 units	18,200 per 5,000 units	2007/02/27	100,000,000	2006/08/24
06227	ABN AMRO Bank N.V.	HSI R Bear CBBC Jan 2007 C	0.2500	19,150 per 10,000 units	18,650 per 10,000 units	2007/01/30	300,000,000	2006/08/25
06226	ABN AMRO Bank N.V.	HSI R Bear CBBC Mar 2007 A	0.2500	18,850 per 10,000 units	18,350 per 10,000 units	2007/03/29	300,000,000	2006/08/25
06228	Deutsche Bank AG	HSCEI R Bear CBBC Mar 2007 A	0.2500	8,000 per 5,000 units	7,700 per 5,000 units	2007/03/29	50,000,000	2006/08/31
06231	ABN AMRO Bank N.V.	HSCEI R Bear CBBC Jan 2007 A	0.2600	8,200 per 5,000 units	7,700 per 5,000 units	2007/01/30	150,000,000	2006/09/01
06230	ABN AMRO Bank N.V.	HSI R Bull CBBC Feb 2007 A	0.2500	15,800 per 7,000 units	16,300 per 7,000 units	2007/02/27	150,000,000	2006/09/01
06234	ABN AMRO Bank N.V.	HSI R Bear CBBC Feb 2007 B	0.2630	18,200 per 5,000 units	17,700 per 5,000 units	2007/02/27	150,000,000	2006/09/01
06232	UBS AG	HSCEI R Bull CBBC Mar 2007 A	0.2500	5,800 per 5,000 units	6,100 per 5,000 units	2007/03/29	100,000,000	2006/09/04
06233	UBS AG	HSI R Bear CBBC Mar 2007 A	0.2500	18,200 per 6,000 units	17,900 per 6,000 units	2007/03/29	100,000,000	2006/09/04
06222 #	Deutsche Bank AG	HSI R Bear CBBC Feb 2007 B	0.2370	18,200 per 5,000 units	17,800 per 5,000 units	2007/02/27	200,000,000	2006/09/04
06235	Deutsche Bank AG	HSI R Bull CBBC Mar 2007 A	0.2500	16,100 per 5,000 units	16,500 per 5,000 units	2007/03/29	100,000,000	2006/09/19
06236	UBS AG	HSI R Bull CBBC Mar 2007 B	0.2500	16,300 per 5,000 units	16,600 per 5,000 units	2007/03/29	100,000,000	2006/09/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD)/Strike level per 10 units	Call price (HKD)/Call level per 10 units	Expiry date	No. of issue (units)	Listing date
06237	Deutsche Bank AG	HSI R Bull CBBC Mar 2007 B	0.2500	16,600 per 5,000 units	17,000 per 5,000 units	2007/03/29	100,000,000	2006/09/28
06224 #	UBS AG	HSI R Bear CBBC Feb 2007 B	0.1870	18,600 per 6,000 units	18,300 per 6,000 units	2007/02/27	200,000,000	2006/10/09
06229 #	Deutsche Bank AG	HSI R Bear CBBC Feb 2007 D	0.1560	18,600 per 5,000 units	18,200 per 5,000 units	2007/02/27	200,000,000	2006/10/11
06238	Deutsche Bank AG	HSI R Bull CBBC Apr 2007 A	0.2600	1,700 per 5,000 units	17,400 per 5,000 units	2007/04/27	200,000,000	2006/10/12
06242	Deutsche Bank AG	HSI R Bear CBBC Apr 2007 B	0.2500	18,900 per 5,000 units	18,500 per 5,000 units	2007/04/27	200,000,000	2006/10/12
06239	UBS AG	HSI R Bear CBBC Apr 2007 A	0.2500	18,800 per 5,000 units	18,500 per 5,000 units	2007/04/27	200,000,000	2006/10/12
06240	UBS AG	HSI R Bear CBBC Apr 2007 B	0.2700	19,100 per 5,000 units	18,800 per 5,000 units	2007/04/27	200,000,000	2006/10/12
06241	ABN AMRO Bank N.V.	HSI R Bear CBBC May 2007 A	0.2590	19,200 per 5,000 units	18,700 per 5,000 units	2007/05/30	150,000,000	2006/10/13
06243	UBS AG	HSI R Bull CBBC Apr 2007 C	0.2500	16,900 per 5,000 units	17,200 per 5,000 units	2007/04/27	200,000,000	2006/10/17
06216 #	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2006 C	0.1540	19,000 per 7,000 units	18,500 per 7,000 units	2006/12/28	100,000,000	2006/10/23
06224 #	UBS AG	HSI R Bear CBBC Feb 2007 B	0.0970	18,600 per 6,000 units	18,300 per 6,000 units	2007/02/27	200,000,000	2006/10/26
06244	Deutsche Bank AG	HSI R Bear CBBC May 2007 A	0.2600	19,200 per 5,000 units	18,800 per 5,000 units	2007/05/30	100,000,000	2006/11/03
06245	UBS AG	HSI R Bear CBBC May 2007 A	0.2500	19,500 per 5,000 units	19,100 per 5,000 units	2007/05/30	200,000,000	2006/11/03
06246	ABN AMRO Bank N.V.	HSI R Bear CBBC Apr 2007 A	0.2500	19,600 per 5,000 units	19,100 per 5,000 units	2007/04/27	150,000,000	2006/11/06
06248	Deutsche Bank AG	HSI R Bull CBBC May 2007 B	0.2800	17,400 per 5,000 units	17,800 per 5,000 units	2007/05/30	200,000,000	2006/11/09
06252	Deutsche Bank AG	HSI R Bear CBBC May 2007 C	0.2500	19,400 per 5,000 units	19,000 per 5,000 units	2007/05/30	200,000,000	2006/11/09
06249	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2007 A	0.2500	19,700 per 4,500 units	19,200 per 4,500 units	2007/05/30	100,000,000	2006/11/09
06247	UBS AG	HSI R Bear CBBC May 2007 B	0.2750	19,800 per 5,000 units	19,400 per 5,000 units	2007/05/30	200,000,000	2006/11/09
06250	ABN AMRO Bank N.V.	HSI R Bear CBBC Apr 2007 B	0.2600	20,000 per 5,000 units	19,500 per 5,000 units	2007/04/27	150,000,000	2006/11/10
06251	ABN AMRO Bank N.V.	HSI R Bear CBBC Apr 2007 C	0.2730	20,350 per 6,000 units	19,850 per 6,000 units	2007/04/27	150,000,000	2006/11/10
06253	UBS AG	HSI R Bear CBBC May 2007 C	0.3000	20,100 per 5,000 units	19,700 per 5,000 units	2007/05/30	200,000,000	2006/11/10
06255	Deutsche Bank AG	HSI R Bear CBBC May 2007 D	0.2500	19,600 per 5,000 units	19,200 per 5,000 units	2007/05/30	100,000,000	2006/11/13
06256	Deutsche Bank AG	HSI R Bear CBBC May 2007 E	0.2700	19,800 per 5,000 units	19,400 per 5,000 units	2007/05/30	100,000,000	2006/11/13
06254	UBS AG	HSI R Bull CBBC May 2007 D	0.3000	17,500 per 5,000 units	18,000 per 5,000 units	2007/05/30	200,000,000	2006/11/13
06258	UBS AG	HSI R Bear CBBC May 2007 E	0.3500	20,400 per 5,000 units	20,000 per 5,000 units	2007/05/30	200,000,000	2006/11/13
06260	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2007 B	0.3900	17,500 per 5,000 units	18,000 per 5,000 units	2007/05/30	100,000,000	2006/11/14
06257	UBS AG	HSI R Bull CBBC May 2007 F	0.4400	17,000 per 5,000 units	17,500 per 5,000 units	2007/05/30	200,000,000	2006/11/14
06259	UBS AG	HSI R Bull CBBC May 2007 G	0.4000	17,200 per 5,000 units	17,700 per 5,000 units	2007/05/30	200,000,000	2006/11/14
06261	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2007 C	0.3900	20,500 per 5,000 units	20,000 per 5,000 units	2007/05/30	100,000,000	2006/11/15
06262	UBS AG	HSCEI R Bear CBBC May 2007 A	0.2500	8,700 per 5,000 units	8,400 per 5,000 units	2007/05/30	150,000,000	2006/11/16
06263	UBS AG	HSCEI R Bear CBBC May 2007 B	0.2500	9,100 per 5,000 units	8,800 per 5,000 units	2007/05/30	150,000,000	2006/11/16
06265	ABN AMRO Bank N.V.	HSCEI R Bear CBBC Jul 2007 A	0.2872	9,500 per 5,000 units	9,000 per 5,000 units	2007/07/30	100,000,000	2006/11/21
06264	ABN AMRO Bank N.V.	HSI R Bear CBBC Jul 2007 A	0.2500	20,600 per 10,000 units	20,100 per 10,000 units	2007/07/30	100,000,000	2006/11/21
06266	Deutsche Bank AG	HSCEI R Bull CBBC May 2007 A	0.3000	6,700 per 5,000 units	7,000 per 5,000 units	2007/05/30	100,000,000	2006/11/21
06270	Deutsche Bank AG	HSCEI R Bear CBBC May 2007 B	0.2500	9,100 per 5,000 units	8,800 per 5,000 units	2007/05/30	100,000,000	2006/11/21
06267	Deutsche Bank AG	HSI R Bear CBBC May 2007 F	0.2600	20,200 per 6,000 units	19,800 per 6,000 units	2007/05/30	200,000,000	2006/11/24
06268	UBS AG	HSCEI R Bear CBBC Jun 2007 A	0.2500	9,600 per 5,000 units	9,200 per 5,000 units	2007/06/28	150,000,000	2006/11/29
06269	UBS AG	HSI R Bear CBBC Jun 2007 A	0.3000	20,700 per 5,000 units	20,300 per 5,000 units	2007/06/28	200,000,000	2006/11/30
06272	Deutsche Bank AG	HSCEI R Bear CBBC Jun 2007 A	0.2500	9,600 per 5,000 units	9,300 per 5,000 units	2007/06/28	100,000,000	2006/12/01
06271	Deutsche Bank AG	HSI R Bear CBBC Jun 2007 A	0.3000	20,600 per 6,000 units	20,200 per 6,000 units	2007/06/28	200,000,000	2006/12/01
06273	ABN AMRO Bank N.V.	HSCEI R Bear CBBC Jul 2007 B	0.2890	9,700 per 5,000 units	9,200 per 5,000 units	2007/07/30	100,000,000	2006/12/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD)/Strike level per 10 units	Call price (HKD)/Call level per 10 units	Expiry date	No. of issue (units)	Listing date
06274	Deutsche Bank AG	HSI R Bear CBBC Jun 2007 B	0.2500	19,800 per 6,000 units	19,400 per 6,000 units	2007/06/28	200,000,000	2006/12/07
06276	ABN AMRO Bank N.V.	HSCEI R Bull CBBC Jul 2007 C	0.2500	7,000 per 8,000 units	7,500 per 8,000 units	2007/07/30	100,000,000	2006/12/12
06275	ABN AMRO Bank N.V.	HSI R Bull CBBC Jul 2007 B	0.2500	17,100 per 10,000 units	17,600 per 10,000 units	2007/07/30	100,000,000	2006/12/12
06277	UBS AG	HSI R Bear CBBC Jun 2007 B	0.2500	19,900 per 5,000 units	19,500 per 5,000 units	2007/06/28	200,000,000	2006/12/12
06278	UBS AG	HSCEI R Bear CBBC Jun 2007 B	0.2500	9,900 per 5,000 units	9,500 per 5,000 units	2007/06/28	150,000,000	2006/12/13
06280	ABN AMRO Bank N.V.	HSCEI R Bear CBBC Jul 2007 D	0.2520	10,500 per 5,000 units	10,000 per 5,000 units	2007/07/30	100,000,000	2006/12/27
06279	UBS AG	HSCEI R Bear CBBC Jun 2007 C	0.2500	10,200 per 5,000 units	9,800 per 5,000 units	2007/06/28	150,000,000	2006/12/27

Further issue

List of expired CBBC, 2006

Code	CBBC	Last trading date	Delisted date
06205	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2006 B	2006/07/28	2006/07/31
06211	ABN AMRO Bank N.V. – HSI R Bear CBBC Dec 2006 B	2006/08/09	2006/08/10
06209	Deutsche Bank AG – HSI R Bear CBBC Jan 2007 A	2006/08/09	2006/08/10
06204	ABN AMRO Bank N.V. – HSI R Bear CBBC Nov 2006 B	2006/08/17	2006/08/18
06214	UBS AG – HSI R Bear CBBC Dec 2006 B	2006/08/17	2006/08/18
06234	ABN AMRO Bank N.V. – HSI R Bear CBBC Feb 2007 B	2006/10/05	2006/10/06
06222	Deutsche Bank AG – HSI R Bear CBBC Feb 2007 B	2006/10/05	2006/10/06
06233	UBS AG – HSI R Bear CBBC Mar 2007 A	2006/10/05	2006/10/06
06223	ABN AMRO Bank N.V. – HSI R Bear CBBC Jan 2007 B	2006/10/13	2006/10/16
06226	ABN AMRO Bank N.V. – HSI R Bear CBBC Mar 2007 A	2006/10/26	2006/10/27
06229	Deutsche Bank AG – HSI R Bear CBBC Feb 2007 D	2006/10/26	2006/10/27
06224	UBS AG – HSI R Bear CBBC Feb 2007 B	2006/10/26	2006/10/27
06227	ABN AMRO Bank N.V. – HSI R Bear CBBC Jan 2007 C	2006/11/02	2006/11/03
06241	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2007 A	2006/11/02	2006/11/03
06242	Deutsche Bank AG – HSI R Bear CBBC Apr 2007 B	2006/11/02	2006/11/03
06216	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2006 C	2006/11/02	2006/11/03
06239	UBS AG – HSI R Bear CBBC Apr 2007 A	2006/11/02	2006/11/03
06231	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jan 2007 A	2006/11/06	2006/11/07
06228	Deutsche Bank AG – HSCEI R Bear CBBC Mar 2007 A	2006/11/06	2006/11/07
06244	Deutsche Bank AG – HSI R Bear CBBC May 2007 A	2006/11/06	2006/11/07
06240	UBS AG – HSI R Bear CBBC Apr 2007 B	2006/11/06	2006/11/07
06246	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2007 A	2006/11/07	2006/11/08
06245	UBS AG – HSI R Bear CBBC May 2007 A	2006/11/07	2006/11/08
06221	UBS AG – HSCEI R Bear CBBC Feb 2007 A	2006/11/09	2006/11/10
06252	Deutsche Bank AG – HSI R Bear CBBC May 2007 C	2006/11/15	2006/11/16
06255	Deutsche Bank AG – HSI R Bear CBBC May 2007 D	2006/11/16	2006/11/17
06249	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2007 A	2006/11/16	2006/11/17
06217	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Dec 2006 B	2006/11/22	2006/11/23
06262	UBS AG – HSCEI R Bear CBBC May 2007 A	2006/11/22	2006/11/23
06256	Deutsche Bank AG – HSI R Bear CBBC May 2007 E	2006/11/23	2006/11/24
06247	UBS AG – HSI R Bear CBBC May 2007 B	2006/11/23	2006/11/24
06200	ABN AMRO Bank N.V. – HSI R Bull CBBC Nov 2006 A	2006/11/28	2006/11/30
06270	Deutsche Bank AG – HSCEI R Bear CBBC May 2007 B	2006/12/06	2006/12/07
06263	UBS AG – HSCEI R Bear CBBC May 2007 B	2006/12/06	2006/12/07
06203	SGA Societe Generale Acceptance N.V.– HWL R Bull CBBC Dec06A	2006/12/11	2006/12/13
06202	SGA Societe Generale Acceptance N.V.-HSBC R Bull CBBC Dec06A	2006/12/11	2006/12/13

List of expired CBBC, 2006 (continued)

Code	CBBC	Last trading date	Delisted date
06901	UBS AG – HSBC N Bull CBBC Dec 2006 A	2006/12/11	2006/12/13
06902	UBS AG – HWL N Bull CBBC Dec 2006 A	2006/12/11	2006/12/13
06265	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jul 2007 A	2006/12/15	2006/12/18
06273	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jul 2007 B	2006/12/18	2006/12/19
06268	UBS AG – HSCEI R Bear CBBC Jun 2007 A	2006/12/18	2006/12/19
06272	Deutsche Bank AG – HSCEI R Bear CBBC Jun 2007 A	2006/12/20	2006/12/21
06278	UBS AG – HSCEI R Bear CBBC Jun 2007 B	2006/12/21	2006/12/22
06280	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jul 2007 D	2006/12/27	2006/12/28
06250	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2007 B	2006/12/27	2006/12/28
06274	Deutsche Bank AG – HSI R Bear CBBC Jun 2007 B	2006/12/27	2006/12/28
06279	UBS AG – HSCEI R Bear CBBC Jun 2007 C	2006/12/27	2006/12/28
06277	UBS AG – HSI R Bear CBBC Jun 2007 B	2006/12/27	2006/12/28
06253	UBS AG – HSI R Bear CBBC May 2007 C	2006/12/27	2006/12/28
06213	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Dec 2006 A	2006/12/27	2006/12/29
06251	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2007 C	2006/12/28	2006/12/29
06207	ABN AMRO Bank N.V. – HSI R Bull CBBC Dec 2006 A	2006/12/27	2006/12/29
06267	Deutsche Bank AG – HSI R Bear CBBC May 2007 F	2006/12/28	2006/12/29
06206	Deutsche Bank AG – HSI R Bull CBBC Dec 2006 A	2006/12/27	2006/12/29
06261	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2007 C	2006/12/28	2006/12/29
06201	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2006 A	2006/12/27	2006/12/29
06212	UBS AG – HSCEI R Bull CBBC Dec 2006 A	2006/12/27	2006/12/29
06258	UBS AG – HSI R Bear CBBC May 2007 E	2006/12/28	2006/12/29
06210	UBS AG – HSI R Bull CBBC Dec 2006 A	2006/12/27	2006/12/29

Market and turnover value for CBBC, 2006

Year / Month	Number*	Market value (HK\$mil)*	Turnover value (HK\$mil)
2006	24	1,782.10	11,335.32
2006 Jun	11	928.23	204.22
Jul	18	1,319.98	1,313.93
Aug	27	1,793.49	1,749.36
Sep	35	2,001.51	2,633.65
Oct	34	2,246.16	1,667.04
Nov	41	2,810.60	2,288.85
Dec	24	1,782.10	1,478.28

Note: CBBC commenced trading on 12 June 2006

* month-end or year-end figures

Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
02533	Lee & Man Paper Manufacturing Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/01/13	2006/01/16
02534	The Hongkong and Shanghai Banking Corporation Ltd	5.25% Republic of Korea Credit Linked Notes 2010	100.9500	2010/12/11	2006/01/26
04147	Hong Kong Monetary Authority, The	3.80% Exchange Fund Notes 2008	99.5400 99.5300	2008/02/13	2006/02/14
04148	Hong Kong Monetary Authority, The	4.13% Exchange Fund Notes 2013	99.6100	2013/02/22	2006/02/23
02538	Shenzhen International Holdings Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/02/24	2006/02/27
02541	Penerbangan Malaysia Berhad	5.625% Guaranteed Notes 2016	99.8640	2016/03/15	2006/03/17
04149	Hong Kong Monetary Authority, The	4.23% Exchange Fund Notes 2011	99.8800	2011/03/21	2006/03/21
02542	Greatest Joy Investments Ltd	Zero Coupon Guaranteed Convertible Bonds 2011	100.0000	2011/03/29	2006/03/30
02543	Swire Pacific MTN Financing Ltd.	4.93% Guaranteed Notes 2013	100.0000	2013/03/30	2006/03/31
02544	Swire Pacific MTN Financing Ltd.	5.625% Guaranteed Notes 2016	99.5470	2016/03/30	2006/03/31
02545	Fubon Bank (Hong Kong) Ltd.	Floating Rate Notes 2009	100.0000	2009/04/06	2006/04/06
02548	The Hongkong and Shanghai Banking Corporation Ltd	5.00% Republic of Korea Credit Linked Notes 2011	100.3236	2011/03/11	2006/04/24
02546	Shanghai Real Estate Ltd.	8.625% Guaranteed Notes 2013	100.0000	2013/04/24	2006/04/25
02549	Fubon Bank (Hong Kong) Ltd.	6.125% Subordinated Notes 2016	99.9400	2016/04/26	2006/04/26
02550	The Hongkong and Shanghai Banking Corporation Ltd	11.00% Republic of Indonesia Credit Linked Notes 2014 A	99.1255	2014/10/15	2006/05/02
02558	The Hongkong and Shanghai Banking Corporation Ltd	11.00% Republic of Indonesia Credit Linked Notes 2014 B	99.1255	2014/10/15	2006/05/02
02559	Chaoda Modern Agriculture (Holdings) Ltd.	Zero Coupon Guaranteed Secured Convertible Bonds 2011	100.0000	2011/05/08	2006/05/09
02560	Fong's Industries Co. Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/05/10	2006/05/11
04150	Hong Kong Monetary Authority, The	4.30% Exchange Fund Notes 2008	100.2500 100.2000	2008/05/15	2006/05/16
02561	Hengan International Group Co. Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/05/16	2006/05/17
04151	Hong Kong Monetary Authority, The	4.74% Exchange Fund Notes 2013	100.0300	2013/05/24	2006/05/25
02562	China Gas Holdings Ltd.	1% convertible Bonds 2010	100.0000	2010/06/29	2006/06/01
04152	Hong Kong Monetary Authority, The	4.83% Exchange Fund Notes 2016	100.4100	2016/06/07	2006/06/08
04153	Hong Kong Monetary Authority, The	4.57% Exchange Fund Notes 2011	99.8000	2011/06/13	2006/06/13
02528	Neo-China Group (Holdings) Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/06/12	2006/06/13
02551	Hang Seng Bank Ltd.	Floating Rate Subordinated Notes 2016	99.8690	2006/07/06	2006/07/06
01501	The Link Finance (Cayman) 2006 Ltd.	5.12% Guaranteed Notes 2009	100.0000	2009/08/04	2006/08/07
01502	The Link Finance (Cayman) 2006 Ltd.	5.00% Guaranteed Notes 2008	100.0000	2008/08/04	2006/08/07
01503	The Link Finance (Cayman) 2006 Ltd.	Floating Rate Guaranteed Notes 2008	100.0000	2008/08/04	2006/08/07
02552	Sinochem Hong Kong Holdings Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/08/07	2006/08/08
02553	Shui On Construction and Materials Ltd.	Zero Coupon Convertible Bonds 2009	100.0000	2009/07/31	2006/08/09
04154	Hong Kong Monetary Authority, The	4.35% Exchange Fund Notes 2008	100.5200	2008/08/14	2006/08/15
04155	Hong Kong Monetary Authority, The	4.40% Exchange Fund Notes 2013	101.0700	2013/08/22	2006/08/23
04156	Hong Kong Monetary Authority, The	3.97% Exchange Fund Notes 2011	99.9200	2011/09/19	2006/09/19
04128 #	Hong Kong Monetary Authority, The	3.18% Exchange Fund Notes 2009	98.0300	2009/09/28	2006/09/19
01504	Rafflesia Capital Ltd.	1.25% Exchangeable Trust Certificates 2011	100.0000	2011/10/04	2006/10/05
01505	Golden Eagle Retail Group Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/10/23	2006/10/23
02544 #	Swire Pacific MTN Financing Ltd.	5.625% Guaranteed Notes 2016	100.4790	2016/03/30	2006/11/08
01506	FU JI Food and Catering Services Holdings Ltd.	Zero Coupon Convertible Bonds 2009	100.0000	2009/11/09	2006/11/10
04157	Hong Kong Monetary Authority, The	3.80% Exchange Fund Notes 2008	100.2300 100.2000	2008/11/17	2006/11/16

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
01507	Yue Yuen Industrial (Holdings) Ltd.	Zero Coupon Conv. Bonds 2011	100.0000	2011/11/17	2006/11/20
04158	Hong Kong Monetary Authority, The	3.83% Exchange Fund Notes 2013	100.2100	2013/11/22	2006/11/23
04159	Hong Kong Monetary Authority, The	3.78% Exchange Fund Notes 2016	100.7600	2016/12/07	2006/12/07
01508	First Harvest Ltd.	Zero Coupon Convertible Bonds 2011	100.0000	2011/12/07	2006/12/08
01509	CITIC Ka Wah Bank Ltd.	Floating Rate Subordinated Notes 2011	100.0000	2011/12/12	2006/12/12
01510	Liu Chong Hing Bank Ltd.	Floating Rate Subordinated Notes 2016	100.0000	2016/12/16	2006/12/18
04160	Hong Kong Monetary Authority, The	3.63% Exchange Fund Notes 2011	99.3200	2011/12/19	2006/12/19
01511	Treasure Source Ltd.	2% Guaranteed Convertible Bonds 2011	100.0000	2011/05/23	2006/12/27

Further issue

Withdrawal of listed debt securities

Code	Issuer	Particulars	Last trading date	Delisted date
01486	Province of Ontario, The	Redemption of its issue of 7.5% Bonds due 2006	2006/01/16	2006/01/20
02573	Henson International Finance Ltd.	Redemption of its issue of 1% Guaranteed Convertible Notes due 2006	2006/02/06	2006/02/10
04119	Hong Kong Monetary Authority	Redemption of its issue of 1.14% Exchange Fund Notes due 2006	2006/02/08	2006/02/13
01499	Export-Import Bank of Korea, The	Redemption of its issue of 6.375% Bonds due 2006	2006/02/10	2006/02/16
01515	Province of Ontario, The	Redemption of its issue of 6% Bonds due 2006	2006/02/16	2006/02/22
01496	Nissho Iwai HK (Cayman) Ltd. – F	Redemption of its issue of Reverse Dual Currency Notes due 2006	2006/02/17	2006/02/23
02560	HSBC Markets (Bahamas) Ltd. – A	Redemption of its issue of Zero Coupon Notes due 2006	2006/02/28	2006/03/06
02561	HSBC Markets (Bahamas) Ltd. – B			
02562	HSBC Markets (Bahamas) Ltd. – C			
02528	Hutchison Whampoa Finance (CI) Ltd.	Redemption of its issue of 5.5% Guaranteed Bonds due 2006	2006/03/13	2006/03/17
04079	Hong Kong Monetary Authority	Redemption of its issue of 5.20% Exchange Fund Notes due 2006	2006/03/15	2006/03/20
04122	Hong Kong Monetary Authority	Redemption of its issue of 1.76% Exchange Fund Notes due 2006	2006/05/10	2006/05/15
01522	Korea Development Bank, The	Redemption of its issue of 7.25% Bonds due 2006	2006/05/10	2006/05/16
01602	Nissho Iwai HK (Cayman) Ltd. – M	Redemption of its issue of Reverse Dual Currency Notes due 2006	2006/05/12	2006/05/17
02551	People's Republic of China – 10	Redemption of its issue of 5.25% Bonds due 2006	2006/05/19	2006/05/24
02552	People's Republic of China – 100			
02553	People's Republic of China – 1000			
04082	Hong Kong Monetary Authority	Redemption of its issue of 5.43% Exchange Fund Notes due 2006	2006/06/08	2006/06/12
02590	Tomson Group Ltd.	Early redemption of its issue of Zero Coupon Convertible Bonds due 2009	2006/06/20	2006/06/26
01542	Philippine Long Distance Telephone Co.	Redemption of its issue of 9.25% Notes due 2006	2006/06/27	2006/07/03
01548	People's Republic of China	Redemption of its issue of 7.75% Bonds due 2006	2006/06/30	2006/07/06
01551	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of Indexed Interest Notes due 2006	2006/07/12	2006/07/18
01553	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of 4.05% Reverse Dual Currency Notes due 2006	2006/07/13	2006/07/19
01552	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of 4.25% Reverse Dual Currency Notes due 2006	2006/07/13	2006/07/19
02584	The Govt of the HKSAR of the PRC	Redemption of its issue of 2.13% Retail Bonds due 2006	2006/07/19	2006/07/24
02579	Shanghai Real Estate Ltd.	Early redemption of its issue of Zero Coupon Convertible Bonds due 2009	2006/07/28	2006/08/03
04126	Hong Kong Monetary Authority	Redemption of its issue of 2.46% Exchange Fund Notes due 2006	2006/08/09	2006/08/14
02512	China Res. C. Fin. Ltd.	Early redemption of its issue of Zero Coupon Guaranteed Convertible Bonds due 2010	2006/07/13	2006/08/24
04086	Hong Kong Monetary Authority	Redemption of its issue of 4.88% Exchange Fund Notes due 2006	2006/09/14	2006/09/18
04049	Hong Kong Monetary Authority	Redemption of its issue of 7.37% Exchange Fund Notes due 2006	2006/10/25	2006/10/31
04129	Hong Kong Monetary Authority	Redemption of its issue of 1.32% Exchange Fund Notes due 2006	2006/11/10	2006/11/15
02599	Larsen & Toubro Limited	Early redemption of its issue of 1.25% Convertible Bonds due 2009	2006/11/28	2006/12/02
02557	P.T. Bank Mandiri (Persero)	Redemption of its issue of Floating Rate Notes due 2006	2006/12/08	2006/12/14
04090	Hong Kong Monetary Authority	Redemption of its issue of 5.20% Exchange Fund Notes due 2006	2006/12/13	2006/12/18

Debt securities trading by type of issue, 2002 – 2006

HK\$mil

Year/month	No. of issues	Bank	Corporation	State			Total
				State	corporation	Supranational	
2002	165	0.00	0.00	1.07	24.43	0.00	25.49
2003	152	0.00	0.00	0.00	18.10	0.00	18.10
2004	161	0.00	0.00	14.65	26.89	0.00	41.54
2005	166	0.00	0.00	4.50	6.00	0.00	10.50
2006	180	0.00	0.00	1.90	4.35	0.00	6.25
2006 Jan	167	0.00	0.00	0.10	0.05	0.00	0.15
Feb	165	0.00	0.00	0.15	0.00	0.00	0.15
Mar	165	0.00	0.00	0.15	0.24	0.00	0.39
Apr	169	0.00	0.00	0.29	0.05	0.00	0.34
May	172	0.00	0.00	0.54	0.19	0.00	0.73
Jun	174	0.00	0.00	0.15	0.15	0.00	0.30
Jul	169	0.00	0.00	0.10	0.39	0.00	0.49
Aug	173	0.00	0.00	0.05	0.44	0.00	0.49
Sep	173	0.00	0.00	0.10	1.48	0.00	1.58
Oct	174	0.00	0.00	0.00	0.44	0.00	0.44
Nov	177	0.00	0.00	0.19	0.24	0.00	0.43
Dec	180	0.00	0.00	0.10	0.68	0.00	0.77

Market value of listed debt securities as at the end of 2006

End of 2006

Major group	Nominal amount		
	No. of issuers	No. of issues	(HK\$mil)
Bank	4	19	31,319.44
Corporation	28	55	123,634.46
State	8	86	199,278.19
State corporation	7	18	72,438.14
Supranational	2	2	8,800.00
Total	49	180	435,470.23

Listed debt securities, 2002 – 2006

Country	2002		2003		2004		2005		2006	
	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)
Hong Kong	75	81,554.47	75	96,994.47	101	158,465.52	111	178,714.26	138	199,413.65
PRC	12	43,132.58	14	54,909.55	16	72,613.05	17	86,653.05	15	80,611.04
Foreign	70	353,090.20	56	263,955.90	41	201,532.06	36	171,832.69	25	146,645.53
Supranational	8	38,660.00	7	23,060.00	3	14,650.00	2	8,800.00	2	8,800.00
Total	165	516,437.26	152	438,919.92	161	447,260.63	166	445,999.99	180	435,470.23

Newly listed unit trusts and mutual funds

Code	Unit trust / mutual fund	Fund manager	Issue price (HK\$)	Amount raised (HK\$mil)	Listing date
02778	Champion Real Estate Investment Trust	Eagle Asset Management (CP) Ltd.	5.100	6,294.521	2006/05/24
02836	iShares BSE SENSEX India Tracker	Barclays Global Investors North Asia Ltd.	n.a.	n.a.	2006/11/02
00435	Sunlight Real Estate Investment Trust	Henderson Sunlight Asset Management Ltd.	2.600	2,717.963	2006/12/21

Withdrawal of listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Last trading date	Delisted date
00509	LG China Fund Ltd.	2006/06/30	2006/07/06

Individual performance on unit trusts and mutual funds

Code	Name	Closing price (HK\$) 2006	Closing price (HK\$) 2005	Change (%)	Turnover value (HK\$mil)	Market value (HK\$mil)
02823	A50CHINATRACKER	114.8	44.30	159.14	36,912.95	15,268.40
02819	ABF HK IDX ETF	100.85	99.75	1.10	109.26	2,238.47
02821	ABF PAIF	US\$110.20	US\$100.45	9.71	US\$63.70	11,020.88
02778	CHAMPION REIT	3.76	n.a.	–	7,316.75	10,312.59
02801	CHINA TRACKER	52.85	29.90	76.76	2,343.70	2,261.98
00405	GZI REIT	3.07	3.45	-11.01	4,392.16	3,070.00
02838	HS FXI C25 ETF	165.8	92.00	80.22	149.55	187.00
02828	HS H-SHARE ETF	104	52.95	96.41	3,072.74	1,905.13
02833	HS HSI ETF	200.2	149.00	34.36	1,311.94	13,859.52
00509	LG CHINA FUND	n.a.	8.90	–	0.96	–
00823	LINK REIT	16	14.70	8.84	31,965.58	34,199.26
02301	NEW ERA PRC F	n.a.	n.a.	–	–	–
00808	PROSPERITY REIT	1.69	2.45	-31.02	2,519.11	2,114.74
02836	SENSEXINDIA ETF	14.24	n.a.	–	223.05	170.88
00435	SUNLIGHT REIT	2.26	n.a.	–	1,247.52	3,360.65
02800	TRACKER FUND	20.10	15.00	34.00	13,695.08	26,572.05
Total					105,260.35	126,541.55

Notes: Stock transactions in foreign currency are excluded from the total turnover value.
n.a. Closing price is not available.

Market value for unit trusts and mutual funds, 2002 – 2006

Year/Month	Exchange Traded Funds (ETF)		Real Estate Investment Trust (REIT)		Others		Total		
	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)	
2002	2	25,012.41			7	324.69	9	25,337.10	
2003	3	30,497.99			5	418.27	8	30,916.25	
2004	5	40,605.16			5	383.74	10	40,988.90	
2005	8	54,134.38	3	37,935.43	2	11.70	13	92,081.51	
2006	9	73,484.31	5	53,057.24	1	–	15	126,541.55	
2006	Jan	8	57,315.90	3	38,632.85	2	14.46	13	95,963.21
	Feb	8	59,927.43	3	42,827.33	2	15.91	13	102,770.67
	Mar	8	60,248.19	3	41,968.29	2	16.50	13	102,232.97
	Apr	8	63,321.38	3	42,447.09	2	17.15	13	105,785.63
	May	8	62,461.67	4	49,948.05	2	19.19	14	112,428.91
	Jun	8	63,150.09	4	48,986.55	2	19.19	14	112,155.84
	Jul	8	66,107.46	4	50,387.60	1	–	13	116,495.06
	Aug	8	68,479.54	4	50,837.39	1	–	13	119,316.92
	Sep	8	69,999.80	4	50,956.33	1	–	13	120,956.12
	Oct	8	63,244.96	4	50,138.51	1	–	13	113,383.47
	Nov	9	66,717.92	4	50,211.56	1	–	14	116,929.48
	Dec	9	73,484.31	5	53,057.24	1	–	15	126,541.55

Note: The first REIT – The Link Real Estate Investment Trust – was listed on the 2005/11/25.

Trading value for unit trusts and mutual funds, 2002 – 2006

Year/Month	No. of trading days	Exchange Traded Funds (ETF)		Real Estate Investment Trust (REIT)		Others		Total	
		Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)
2002	247	12,826.87	51.93			0.71	0.00	12,827.59	51.93
2003	248	13,311.16	53.67			0.09	0.00	13,311.25	53.67
2004	249	23,478.64	94.29			2.37	0.01	23,481.01	94.30
2005	247	20,695.95	83.79	30,907.66	125.13	0.36	0.00	51,603.97	208.92
2006	247	57,818.27	234.08	47,441.13	192.07	0.96	0.00	105,260.35	426.16
2006	Jan	19	5,966.34	314.02	5,477.95	288.31	0.91	11,445.20	602.38
	Feb	20	4,273.62	213.68	5,573.48	278.67	–	9,847.10	492.35
	Mar	23	3,339.89	145.21	3,841.09	167.00	0.05	7,181.03	312.22
	Apr	17	4,010.51	235.91	2,867.51	168.68	–	6,878.03	404.59
	May	20	5,507.09	275.35	6,899.89	344.99	–	12,406.99	620.35
	Jun	22	6,627.34	301.24	4,897.86	222.63	–	11,525.20	523.87
	Jul	21	4,487.38	213.68	2,715.04	129.29	–	7,202.41	342.97
	Aug	23	4,010.66	174.38	3,153.60	137.11	–	7,164.25	311.49
	Sep	21	2,534.70	120.70	2,760.46	131.45	–	5,295.17	252.15
	Oct	20	3,816.49	190.82	2,005.69	100.28	–	5,822.18	291.11
	Nov	22	5,672.45	257.84	3,627.48	164.89	–	9,299.93	422.72
	Dec	19	7,571.79	398.52	3,621.07	190.58	–	11,192.86	589.10

Note: 1. The first REIT – The Link Real Estate Investment Trust – was listed on the 2005/11/25.
 2. Transaction in foreign currencies are excluded from the total turnover value.

Trading value and volume for iShares, 2002 – 2006

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals		
			Total	Average daily	Total	Average daily	Total	Average daily	
2002	2	247	80,343,978	325,279	881,400	3,568	179	1	
2003	2	248	31,120,978	125,488	374,443	1,510	88	0	
2004	2	249	19,493,994	78,289	184,850	742	40	0	
2005	2	247	6,142,461	24,868	64,000	259	13	0	
2006	2	247	219,086	887	2,150	9	3	0	
2006	Jan	2	19	204,360	10,756	2,000	105	1	0
	Feb	2	20	-	-	-	-	-	-
	Mar	2	23	-	-	-	-	-	-
	Apr	2	17	9,984	587	100	6	1	0
	May	2	20	-	-	-	-	-	-
	Jun	2	22	-	-	-	-	-	-
	Jul	2	21	4,742	226	50	2	1	0
	Aug	2	23	-	-	-	-	-	-
	Sep	2	21	-	-	-	-	-	-
	Oct	2	20	-	-	-	-	-	-
	Nov	2	22	-	-	-	-	-	-
	Dec	2	19	-	-	-	-	-	-

Note: The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Market value and trading for Equity Linked Instrument, 2002 – 2006

Year / Month		Number	Market Value (HK\$mil)	Turnover (HK\$mil)
2002		11	413.51	333.77
2003		7	292.10	480.23
2004		9	279.20	244.27
2005		-	-	1.02
2006		-	-	-
2006	Jan	-	-	-
	Feb	-	-	-
	Mar	-	-	-
	Apr	-	-	-
	May	-	-	-
	Jun	-	-	-
	Jul	-	-	-
	Aug	-	-	-
	Sep	-	-	-
	Oct	-	-	-
	Nov	-	-	-
	Dec	-	-	-

Note : 1. Trading for equity linked instrument commenced on 5 August 2002
 2. Month-end or year-end figures for number and market value

Stock trading value, 1970 – 2006

HK\$mil

Year	Whole year value	No. of trading days	Average daily	High day (*)		Low day (*)	
				Value	Date	Value	Date
1970	6,056.13	294	20.60	56.69	03/10	6.15	06/09
1971	14,806.21	276	53.65	211.39	09/20	9.12	03/01
1972	42,907.54	247	173.71	659.34	11/10	21.48	02/02
1973	48,880.44	245	199.51	704.69	02/08	35.39	08/29
1974	11,436.70	245	46.68	219.33	06/17	10.91	12/05
1975	10,359.91	246	42.11	167.46	03/06	9.00	01/06
1976	13,183.31	248	53.16	223.42	02/26	9.72	07/26
1977	6,132.43	246	24.93	67.47	04/18	8.54	10/10
1978	27,445.86	244	112.48	410.91	08/18	9.39	02/10
1979	25,632.21	246	104.20	407.69	09/26	18.39	01/02
1980	95,670.54	246	388.90	1,424.95	11/03	47.58	04/09
1981	105,970.90	244	434.31	991.92	06/12	132.90	10/26
1982	46,221.25	247	187.13	2,064.18	04/26	68.68	12/23
1983	37,166.26	247	150.47	589.22	07/15	40.37	01/04
1984	48,808.70	248	196.81	1,264.27	01/13	38.50	01/03
1985	75,821.03	247	306.97	3,308.08	02/01	116.04	12/23
1986	123,128.35	247	498.50	1,721.13	10/08	124.62	02/05
1987	371,406.46	246	1,509.78	5,407.55	10/02	401.58	04/21
1988	199,480.68	248	804.36	1,889.48	06/15	230.00	09/19
1989	299,146.70	246	1,216.04	3,673.60	05/23	290.01	07/18
1990	288,714.90	248	1,164.17	3,280.82	08/07	338.36	12/28
1991	334,103.92	248	1,347.19	3,389.14	07/05	241.35	01/02
1992	700,577.50	250	2,802.31	6,420.47	05/25	965.50	01/03
1993	1,222,675.13	249	4,910.34	12,909.44	12/10	1,019.78	01/04
1994	1,137,414.00	248	4,586.35	17,204.66	01/07	1,313.55	07/04
1995	826,800.60	247	3,347.37	9,924.41	05/12	1,385.27	05/01
1996	1,412,242.38	249	5,671.66	13,803.84	12/06	2,734.90	05/27
1997	3,788,959.79	245	15,465.14	46,096.84	08/29	3,253.55	12/29
1998	1,701,112.01	247	6,887.09	79,002.61	08/28	1,221.90	12/28
1999	1,915,940.58	247	7,756.84	17,387.59	11/16	2,100.34	02/22
2000	3,047,565.32	247	12,338.32	35,746.19	02/15	4,565.80	12/27
2001	1,950,086.74	243	8,025.05	17,752.03	04/19	2,906.48	12/27
2002	1,599,074.57	247	6,473.99	12,982.41	05/02	2,734.31	01/02
2003	2,545,675.94	248	10,264.82	34,464.21	12/16	2,136.95	01/02
2004	3,948,350.84	249	15,856.83	38,758.84	01/13	7,378.09	08/17
2005	4,498,095.11	247	18,210.91	34,617.04	03/02	9,835.58	05/24
2006	8,332,633.24	247	33,735.36	76,008.14	10/27	16,451.20	06/19

Records since 1970

High year : 2006

High day : 1998/08/28

Low year : 1970

Low day : 1970/06/09

(*) Only full trading days are included for the records.
Market turnover values since 1993 were adjusted for late reported and rejected sales.
Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

Key statistics for listed stocks (1976 – 2006)

Year	No. of listed companies	No. of listed securities	Equities funds raised* (HK\$mil)	Total issued capital (HK\$mil)	Market capitalisation (HK\$mil)
1976	295	319	n.a.	23,258	56,675
1977	284	315	n.a.	23,427	51,278
1978	265	298	n.a.	24,916	65,939
1979	262	298	n.a.	26,853	112,809
1980	262	309	n.a.	33,080	209,753
1981	269	335	n.a.	59,274	232,331
1982	273	342	n.a.	63,561	131,640
1983	277	351	n.a.	67,941	142,094
1984	278	348	n.a.	69,945	184,642
1985	279	340	n.a.	72,235	269,511
1986	253	335	14,707	73,106	419,281
1987	276	412	48,082	90,713	419,612
1988	304	479	18,506	106,214	580,378
1989	298	479	20,345	103,404	605,010
1990	299	520	21,233	108,808	650,410
1991	357	597	39,457	117,547	949,172
1992	413	749	105,113	136,111	1,332,184
1993	477	891	90,005	164,062	2,975,379
1994	529	1,006	51,861	177,568	2,085,182
1995	542	1,033	39,202	180,423	2,348,310
1996	583	1,272	100,018	202,861	3,475,965
1997	658	1,533	247,577	236,716	3,202,630
1998	680	1,246	38,257	238,612	2,661,713
1999	701	1,205	148,120	225,577	4,727,527
2000	736	1,294	451,281	283,356	4,795,150
2001	756	1,075	58,593	321,071	3,885,342
2002	812	1,416	101,413	378,586	3,559,099
2003	852	1,598	209,116	400,365	5,477,670
2004	892	1,971	276,521	431,927	6,629,177
2005	934	2,448	298,657	704,903	8,113,333
2006	975	3,184	516,012	892,349	13,248,821

Year-end figures

n.a. Not available

* Equities funds raised prior to 1990 do not include share option scheme.

Highest turnover records

Main Board

Year		
Rank	Value (HK\$mil)	Year
1	8,332,633.24	2006
2	4,498,095.11	2005
3	3,948,350.84	2004
4	3,788,959.79	1997
5	3,047,565.32	2000
6	2,545,675.94	2003
7	1,950,086.74	2001
8	1,915,940.58	1999
9	1,701,112.01	1998
10	1,599,074.57	2002

Quarter		
Rank	Value (HK\$mil)	Quarter/Year
1	2,714,945.45	4/2006
2	1,999,670.40	2/2006
3	1,920,248.71	1/2006
4	1,697,768.69	3/2006
5	1,471,214.00	3/1997
6	1,313,745.31	3/2005
7	1,209,701.59	1/2004
8	1,154,710.90	4/2005
9	1,132,787.80	1/2000
10	1,130,507.90	4/2004

Month		
Rank	Value (HK\$mil)	Month/Year
1	1,078,084.00	11/2006
2	893,294.69	12/2006
3	743,566.76	10/2006
4	709,091.21	05/2006
5	692,010.97	03/2006
6	652,329.30	02/2006
7	649,760.46	06/2006
8	649,435.57	09/2006
9	640,818.73	04/2006
10	637,007.44	08/1997

Day		
Rank	Value (HK\$mil)	Date
1	79,002.61	1998/08/28
2	76,008.14	2006/10/27
3	63,229.56	2006/12/15
4	58,868.92	2006/11/28
5	58,309.20	2006/12/06
6	58,026.21	2006/11/14
7	57,660.40	2006/11/13
8	57,537.55	2006/11/16
9	57,028.02	2006/04/28
10	54,945.15	2006/11/15

Whole Securities Market

Year		
Rank	Value (HK\$mil)	Year
1	8,376,311.12	2006
2	4,520,431.80	2005
3	3,974,111.69	2004
4	3,788,959.79	1997
5	3,131,852.45	2000
6	2,583,829.45	2003
7	1,989,503.57	2001
8	1,919,545.32	1999
9	1,701,112.01	1998
10	1,643,053.74	2002

Quarter		
Rank	Value (HK\$mil)	Quarter/Year
1	2,725,738.89	4/2006
2	2,011,134.72	2/2006
3	1,932,921.72	1/2006
4	1,706,515.79	3/2006
5	1,471,214.00	3/1997
6	1,321,219.90	3/2005
7	1,223,693.71	1/2004
8	1,171,020.72	1/2000
9	1,160,119.61	4/2005
10	1,135,047.93	4/2004

Month		
Rank	Value (HK\$mil)	Month/Year
1	1,082,095.01	11/2006
2	896,629.86	12/2006
3	747,014.02	10/2006
4	713,748.58	05/2006
5	696,905.19	03/2006
6	656,350.82	02/2006
7	653,119.61	09/2006
8	652,737.06	06/2006
9	644,649.07	04/2006
10	637,007.44	08/1997

Day		
Rank	Value (HK\$mil)	Date
1	79,002.61	1998/08/28
2	76,163.01	2006/10/27
3	63,415.28	2006/12/15
4	59,060.97	2006/11/28
5	58,478.53	2006/12/06
6	58,256.30	2006/11/14
7	57,858.93	2006/11/13
8	57,721.67	2006/11/16
9	57,212.88	2006/04/28
10	55,184.58	2006/11/15

Note: Market turnover values since January 1993 were adjusted for late reported and rejected sales.

10 largest day-to-day fluctuations in stock price indices

S&P/HKEx LargeCap Index, 1998-2006

28 February 2003 = 10000

Rank	% up	Date	Closing Index
1	15.04	1998/02/02	11001.95
2	9.95	1998/10/16	10078.68
3	9.20	1998/09/07	8013.39
4	8.75	1998/08/14	7133.91
5	7.47	1998/10/09	8773.41
6	7.23	1998/01/13	9049.60
7	6.83	1998/06/18	8403.59
8	6.65	1998/06/17	7866.63
9	6.40	1998/01/19	9893.26
10	6.20	1998/01/14	9610.31

Rank	% down	Date	Closing Index
1	9.82	1998/01/12	8439.81
2	8.87	2001/09/12	10522.63
3	8.70	2000/04/17	15693.12
4	7.53	1998/08/31	7089.75
5	6.91	2000/01/05	16613.10
6	6.53	1998/01/15	8983.10
7	6.03	1998/01/07	10042.69
8	5.87	1998/06/15	7264.84
9	5.57	1998/06/10	7762.51
10	5.24	1998/05/27	8987.71

Rank	Points up	Date	Closing Index
1	1438.53	1998/02/02	11001.95
2	912.04	1998/10/16	10078.68
3	765.81	2000/09/25	16396.71
4	762.30	2000/02/11	18825.68
5	675.00	1998/09/07	8013.39
6	664.23	2001/01/04	16270.49
7	657.65	2000/05/31	15386.52
8	657.09	2000/03/06	18866.54
9	650.18	2000/03/17	18158.66
10	646.06	2000/02/24	18083.97

Rank	Points down	Date	Closing Index
1	1495.17	2000/04/17	15693.12
2	1232.23	2000/01/05	16613.10
3	1024.21	2001/09/12	10522.63
4	918.85	1998/01/12	8439.81
5	795.34	2000/01/06	15817.76
6	777.68	28/11/2006	21951.44
7	728.90	2000/03/13	18332.33
8	695.28	2000/09/18	16575.09
9	644.67	1998/01/07	10042.69
10	641.98	2006/05/22	18735.32

Hang Seng Index 1970-2006

31 July 1964 = 100

Rank	% up	Date	Closing index
1	18.82	1997/10/29	10765.30
2	14.41	1973/02/09	1449.91
3	14.33	1998/02/02	10578.60
4	13.36	1974/11/27	185.17
5	13.20	1973/02/02	1098.86
6	13.20	1973/04/11	926.45
7	12.35	1975/01/27	209.62
8	11.71	1973/07/18	602.34
9	10.69	1975/03/27	279.38
10	10.48	1973/02/08	1267.26

Rank	% down	Date	Closing index
1	33.33	1987/10/26	2241.69
2	21.75	1989/06/05	2093.61
3	13.70	1997/10/28	9059.89
4	13.31	1973/03/26	1229.28
5	11.48	1973/04/10	818.39
6	11.43	1972/11/13	672.62
7	11.12	1987/10/19	3362.39
8	10.78	1989/05/22	2806.57
9	10.41	1997/10/23	10426.30
10	9.69	1981/10/05	1113.77

Rank	Points up	Date	Closing index
1	1705.41	1997/10/29	10765.30
2	1326.24	1998/02/02	10578.60
3	978.66	1997/09/03	14713.99
4	816.07	2000/09/25	15428.95
5	806.59	1998/10/16	9777.01
6	723.99	2000/03/17	17082.99
7	722.96	2000/05/31	14713.86
8	718.04	1997/10/24	11144.34
9	681.87	2000/02/24	17058.66
10	652.44	1999/07/02	14184.58

Rank	Points down	Date	Closing index
1	1438.31	1997/10/28	9059.89
2	1380.39	2000/04/17	14762.37
3	1226.10	2000/01/05	15846.72
4	1211.47	1997/10/23	10426.30
5	1120.70	1987/10/26	2241.69
6	923.74	2001/09/12	9493.62
7	820.34	1996/03/11	10397.45
8	793.43	1994/01/06	11374.50
9	773.58	1998/01/12	8121.06
10	765.33	1997/10/22	11637.77

World stock market indices (2002 – 2006)

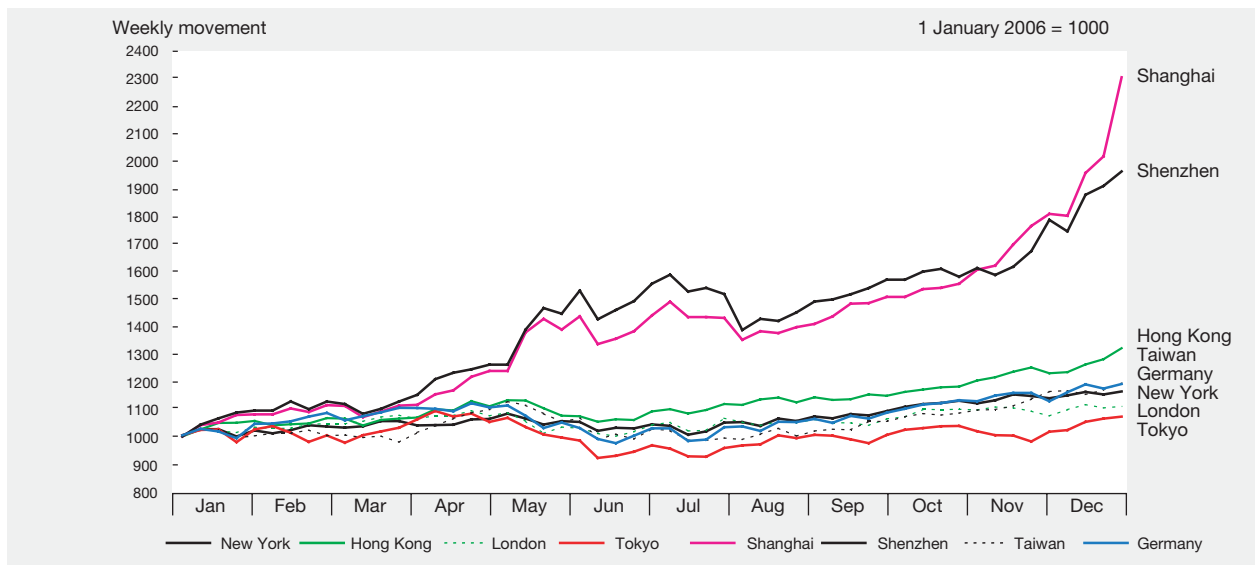
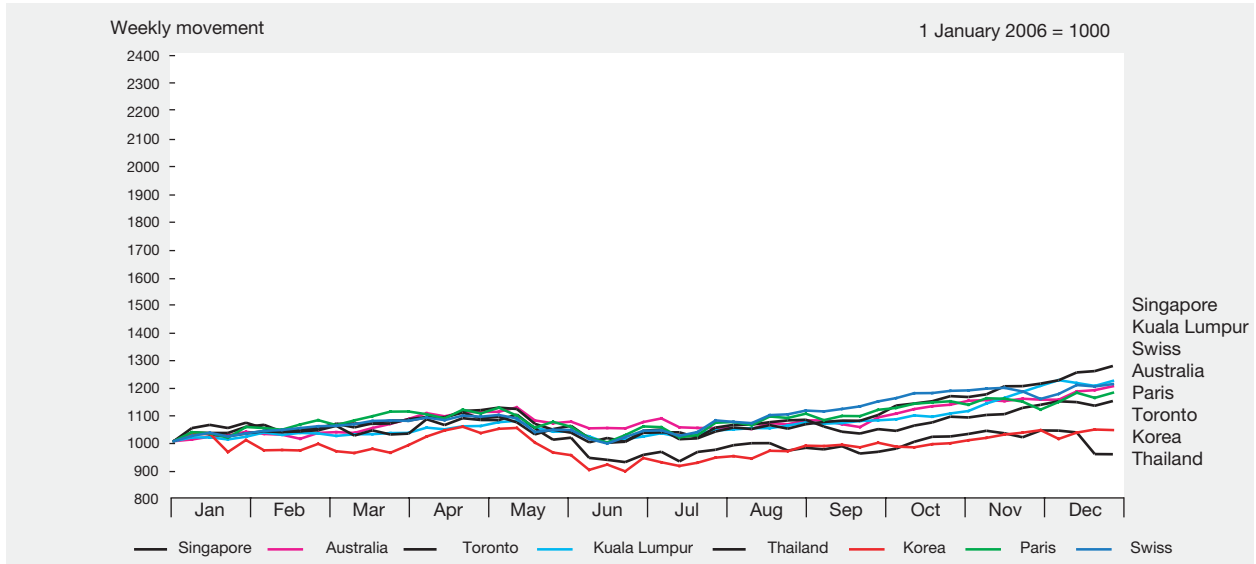
	AUSTRALIA	GERMANY	HONG KONG	KOREA	KUALA LUMPUR	LONDON	NEW YORK	PARIS
Year/ Month	AI Ordinaries Index	DAX Capital Value Index	Hang Seng Index	Composite Stock Index	Composite Index	FTSE 100 Index	DJIA Index	CAC 40 Index
2002	2975.50	2141.78	9321.29	627.55	646.32	3940.40	8341.63	3063.91
2003	3306.00	2857.84	12575.94	810.71	793.94	4476.90	10453.92	3557.90
2004	4053.10	3004.65	14230.14	895.92	907.43	4814.30	10783.01	3821.16
2005	4708.80	3719.79	14876.43	1379.37	899.79	5618.76	10717.50	4715.23
2006	5644.30	4429.01	19964.72	1434.46	1096.24	6220.80	12463.15	5541.76
2006								
Jan	4880.20	3893.64	15753.14	1399.83	914.01	5779.84	10864.86	4947.99
Feb	4878.40	3977.27	15918.48	1371.59	928.94	5875.86	10993.41	5000.45
Mar	5087.20	4096.80	15805.04	1359.60	926.63	5964.57	11109.32	5220.85
Apr	5207.00	4104.55	16661.30	1419.73	949.23	6023.14	11367.14	5188.40
May	4972.30	3783.09	15857.89	1317.70	927.78	5652.02	11168.31	4930.18
Jun	5034.00	3815.63	16267.62	1295.15	914.69	5833.42	11150.22	4965.96
Jul	4957.10	3814.74	16971.34	1297.82	935.85	5928.30	11185.68	5009.42
Aug	5079.80	3939.31	17392.27	1352.74	958.12	5906.10	11381.15	5165.04
Sep	5113.00	4031.16	17543.05	1371.41	967.55	5960.80	11679.07	5250.01
Oct	5352.90	4201.59	18324.35	1364.55	988.30	6129.20	12080.73	5348.73
Nov	5461.60	4272.50	18960.48	1432.21	1080.66	6048.80	12221.93	5327.64
Dec	5644.30	4429.01	19964.72	1434.46	1096.24	6220.80	12463.15	5541.76

Note : Month-end or year-end figures.

	SHANGHAI	SHENZHEN	SINGAPORE	SWITZERLAND	TAIWAN	THAILAND	TOKYO	TORONTO
Year/ Month	A-Share Index	A-Share Index	Straits Times Index	SPI General Index	Taipei Weighted Stock Index	Bangkok SET Index	Nikkei 225 Index	Composite Index
2002	1419.12	409.95	1341.03	3245.50	4452.45	356.48	8578.95	6614.54
2003	1569.13	393.47	1764.52	3961.58	5890.69	772.15	10676.64	8220.89
2004	1330.19	328.69	2066.14	4234.56	6139.69	668.10	11488.76	9246.65
2005	1220.93	290.06	2347.34	5742.41	6548.34	713.73	16111.43	11272.26
2006	2815.13	569.58	2985.83	6929.18	7823.72	679.84	17225.83	12908.39
2006								
Jan	1318.91	317.36	2412.08	5928.95	6532.18	762.63	16649.82	11945.64
Feb	1362.54	325.98	2481.96	6012.26	6561.63	744.05	16205.43	11688.34
Mar	1361.33	333.94	2533.40	6163.39	6613.97	733.25	17059.66	12110.61
Apr	1511.71	365.77	2610.71	6251.53	7171.77	768.29	16906.23	12204.17
May	1724.34	433.14	2383.87	5924.57	6846.95	709.43	15467.33	11744.52
Jun	1758.06	451.21	2435.39	5955.64	6704.41	678.13	15505.18	11612.87
Jul	1695.15	422.35	2445.43	6175.15	6454.58	691.49	15456.81	11830.96
Aug	1743.71	438.56	2482.39	6357.87	6611.77	690.90	16140.76	12073.75
Sep	1840.31	455.45	2568.86	6567.56	6883.05	686.10	16127.58	11761.27
Oct	1931.17	462.75	2701.75	6700.40	7021.32	722.46	16399.39	12344.59
Nov	2206.09	514.07	2838.53	6663.75	7567.72	739.06	16274.33	12752.38
Dec	2815.13	569.58	2985.83	6929.18	7823.72	679.84	17225.83	12908.39

Note : Month-end or year-end figures.

World stock market indices (2006)



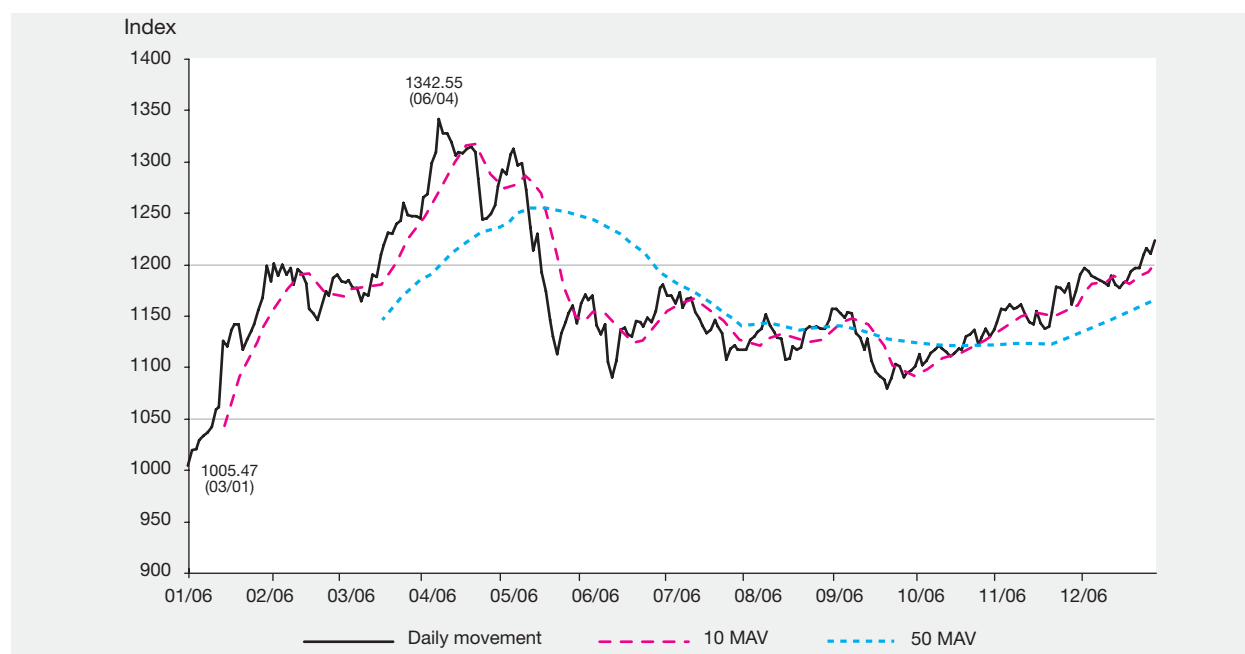
S&P/HKEx GEM Index, daily closing 2006

28 February 2003 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	1168.56	1185.20	–	–	1143.57	–	1118.47	1158.00	–	1144.81	1197.44
2	–	1199.54	1184.18	–	1277.51	1163.33	–	1127.52	–	–	1157.62	–
3	1005.47	1185.20	1186.22	1299.80	1293.42	–	1182.21	1131.58	–	1114.32	1157.41	–
4	1020.38	–	–	1310.66	1288.91	–	1170.74	1135.01	1153.70	1102.88	–	1194.97
5	1021.88	–	–	–	–	1171.66	1171.16	–	1149.24	1107.02	–	1190.02
6	1030.47	1202.26	1178.83	1342.55	–	1166.43	1163.02	–	1154.73	1115.59	1162.73	1188.39
7	–	1190.86	1178.56	1328.74	–	1170.68	1174.59	1138.63	1154.21	–	1157.54	1186.15
8	–	1200.76	1165.18	–	1308.48	1141.58	–	1153.03	1134.56	–	1159.56	1183.35
9	1034.53	1191.55	1172.79	–	1313.94	1133.61	–	1141.87	–	1118.46	1162.14	–
10	1037.51	1198.24	1170.50	1328.63	1298.07	–	1158.89	1135.79	–	1122.85	1152.55	–
11	1042.72	–	–	1320.23	1299.67	–	1167.36	1130.04	1130.19	1119.36	–	1180.70
12	1059.99	–	–	1307.65	1274.34	1143.04	1168.71	–	1118.49	1116.47	–	1190.07
13	1062.08	1182.23	1191.67	1311.12	–	1106.66	1154.34	–	1128.70	1111.69	1145.24	1181.32
14	–	1196.29	1189.15	–	–	1091.40	1148.64	1129.21	1107.64	–	1143.49	1178.78
15	–	1192.02	1210.59	–	1237.21	1107.62	–	1108.55	1096.45	–	1155.57	1184.30
16	1126.47	1182.43	1220.70	–	1214.97	1138.03	–	1109.74	–	1115.10	1144.59	–
17	1121.85	1158.37	1231.85	–	1231.10	–	1142.15	1121.33	–	1118.87	1138.79	–
18	1137.99	–	–	1309.53	1193.96	–	1134.13	1118.05	1092.37	1118.31	–	1185.41
19	1143.09	–	–	1313.63	1175.22	1140.22	1137.34	–	1088.81	1130.84	–	1194.78
20	1142.60	1153.47	1231.19	1316.18	–	1132.89	1147.02	–	1080.59	1133.82	1141.30	1198.33
21	–	1147.77	1240.35	1310.39	–	1131.20	1140.37	1120.93	1090.59	–	1154.45	1197.84
22	–	1160.93	1244.46	–	1146.84	1146.74	–	1137.23	1104.00	–	1179.77	1210.99
23	1117.86	1175.66	1261.76	–	1130.97	1144.72	–	1141.11	–	1137.67	1178.21	–
24	1127.85	1171.10	1249.37	1285.11	1113.46	–	1133.93	1140.27	–	1122.65	1173.79	–
25	1137.07	–	–	1245.42	1134.86	–	1108.90	1140.82	1102.03	1129.97	–	–
26	1143.17	–	–	1246.39	1144.66	1141.38	1119.20	–	1091.30	1138.76	–	–
27	1156.51	1188.15	1248.05	1250.19	–	1149.90	1122.19	–	1095.75	1131.30	1182.46	1216.73
28	–	1191.29	1248.38	1259.26	–	1145.44	1117.81	1138.49	1097.56	–	1162.87	1211.36
29	–	–	1245.79	–	1153.59	1156.12	–	1139.02	1102.56	–	1174.89	1224.67
30	–	–	1266.51	–	1161.52	1178.32	–	1147.47	–	–	1191.45	–
31	–	–	1269.46	–	–	–	1118.11	1158.06	–	1137.19	–	–
High	1156.51	1202.26	1269.46	1342.55	1313.94	1178.32	1182.21	1158.06	1158.00	1138.76	1191.45	1224.67
Low	1005.47	1147.77	1165.18	1245.42	1113.46	1091.40	1108.90	1108.55	1080.59	1102.88	1138.79	1178.78
Avg	1087.87	1181.83	1216.12	1299.15	1219.64	1142.93	1146.71	1133.14	1115.78	1122.16	1160.06	1194.51

Note : S&P/HKEx GEM Index is compiled by Standard & Poors

S&P/HKEx GEM Index, daily movement 2006



Number of securities by type on GEM, 2002 – 2006

Type	Year				
	2002	2003	2004	2005	2006
Ordinary shares	166	185	204	201	198
Preference shares	0	0	0	0	0
Warrants	4	2	1	0	1
– Equity warrants	4	2	1	0	1
– Derivative warrants	0	0	0	0	0
Total	170	187	205	201	199

Number of newly listed securities on GEM, 2002 – 2006

Type	Year				
	2002	2003	2004	2005	2006
Ordinary shares	57	27	21	10	6
Preference shares	0	0	0	0	0
Warrants	2	0	0	0	1
– Equity warrants	2	0	0	0	1
– Derivative warrants	0	0	0	0	0
Total	59	27	21	10	7

Number of delisted securities from GEM, 2002 – 2006

Type	Year				
	2002	2003	2004	2005	2006
Ordinary shares	2	8	2	13	9
Preference shares	0	0	0	0	0
Warrants	1	2	1	1	0
– Equity warrants	1	2	1	1	0
– Derivative warrants	0	0	0	0	0
Total	3	10	3	14	9

Market capitalisation of GEM

HK\$mil

	1999*	2000	2001	2002	2003	2004	2005	2006
Jan		11,424.51	70,205.95	66,432.55	54,151.56	76,874.71	64,614.32	74,759.73
Feb		16,686.12	67,200.96	68,515.75	53,834.61	80,897.42	66,599.16	78,909.99
Mar		86,726.35	60,919.82	69,082.23	51,356.92	78,986.43	65,332.72	84,214.72
Apr		63,660.99	64,005.25	69,956.10	51,591.86	73,624.80	64,595.87	87,849.63
May		49,447.81	72,745.81	70,423.43	61,791.47	74,529.03	63,788.49	82,491.02
Jun		56,112.30	69,939.65	60,520.52	60,965.10	72,670.33	65,376.11	82,030.68
Jul		87,526.75	64,421.57	67,448.56	64,729.12	71,793.06	64,032.16	75,623.95
Aug		92,814.85	54,415.38	63,077.44	69,674.34	61,627.85	67,867.69	79,207.69
Sep		79,852.67	46,350.22	53,398.08	67,986.89	62,163.75	72,282.93	77,829.34
Oct		71,407.71	49,631.98	51,096.73	70,039.39	63,261.08	70,484.68	78,195.67
Nov	5,373.53	67,353.33	55,382.71	53,569.05	69,687.87	67,511.58	72,427.89	84,232.85
Dec	7,236.84	67,290.06	60,964.09	52,220.06	70,177.23	66,716.07	66,603.74	88,885.43

Note: Month-end figures

* The GEM commenced trading on 25 November 1999.

50 leading companies in market capitalisation on GEM

End of 2006

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of Equity total
1	08222	CK Life Sciences Int'l., (Holdings) Inc.	9,611,072,400	0.720	6,919.97	7.79
2	08282	TOM Online Inc.	4,259,628,528	1.540	6,559.83	7.38
3	08002	Phoenix Satellite Television Holdings Ltd.	4,941,286,000	1.320	6,522.50	7.34
4	08279	MegalInfo Holdings Ltd.	2,999,120,000	1.720	5,158.49	5.80
5	08180	Golden Meditech Co. Ltd.	1,516,367,455	3.310	5,019.18	5.65
6	08277	Wumart Stores, Inc. – H Shares	506,568,000	6.880	3,485.19	3.92
7	08161	China LotSynergy Holdings Ltd.	1,772,582,000	1.770	3,137.47	3.53
8	08276	Century Sunshine Ecological Technology Holdings Ltd.	2,047,025,000	1.480	3,029.60	3.41
9	08212	Aptus Holdings Ltd.	1,668,441,428	1.620	2,702.88	3.04
10	08199	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	317,400,000	8.280	2,628.07	2.96
11	08117	China Primary Resources Holdings Ltd.	6,812,467,200	0.355	2,418.43	2.72
12	08008	Sunevision Holdings Ltd.	2,031,483,833	1.070	2,173.69	2.45
13	08052	Convenience Retail Asia Ltd.	676,662,000	3.110	2,104.42	2.37
14	08006	China.com Inc.	4,379,256,978	0.450	1,970.67	2.22
15	08206	Chinainfo Holdings Ltd.	641,086,761	2.710	1,737.35	1.95
16	08011	Kanstar Environmental Paper Products Holdings Ltd.	4,800,000,000	0.335	1,608.00	1.81
17	08201	China Fire Safety Enterprise Group Holdings Ltd.	2,855,000,000	0.510	1,456.05	1.64
18	08216	Chinasoft International Ltd.	755,620,755	1.730	1,307.22	1.47
19	08138	Town Health International Holdings Co. Ltd.	5,202,901,908	0.234	1,217.48	1.37
20	08088	Techpacific Capital Ltd.	3,068,831,256	0.370	1,135.47	1.28
21	08245	Beijing Jingkelong Co. Ltd. – H Shares	151,800,000	6.110	927.50	1.04
22	08061	AcrossAsia Ltd.	5,064,615,385	0.180	911.63	1.03
23	08069	Tong Ren Tang Technologies Co. Ltd. – H Shares	72,800,000	12.420	904.18	1.02
24	08292	HC International, Inc.	478,565,873	1.800	861.42	0.97
25	08118	International Entertainment Corporation	235,831,447	3.650	860.78	0.97
26	08261	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	246,276,000	2.860	704.35	0.79
27	08241	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	167,570,000	3.700	620.01	0.70
28	08122	CASH Financial Services Group Ltd.	1,382,051,448	0.430	594.28	0.67
29	08259	Yantai North Andre Juice Co. Ltd. – H Shares	670,080,000	0.840	562.87	0.63
30	08005	Yuxing InfoTech Holdings Ltd.	400,000,000	1.370	548.00	0.62
31	08156	China Vanguard Group Ltd.	936,079,210	0.530	496.12	0.56
32	08196	Launch Tech Co. Ltd. – H Shares	228,000,000	2.150	490.20	0.55
33	08099	Zhengzhou Gas Co. Ltd. – H Shares	550,660,000	0.860	473.57	0.53
34	08070	Zhongyu Gas Holdings Ltd.	1,325,185,542	0.295	390.93	0.44
35	08287	Nanjing Sample Technology Co. Ltd. – H Shares	20,400,000	18.800	383.52	0.43
36	08293	Jinheng Automotive Safety Technology Holdings Ltd.	385,560,000	0.990	381.70	0.43
37	08090	EVI Education Asia Ltd.	8,300,000,000	0.045	373.50	0.42
38	08208	Changmao Biochemical Engineering Co. Ltd. – H Shares	183,700,000	1.980	363.73	0.41
39	08317	Finet Group Ltd.	523,395,000	0.690	361.14	0.41
40	08108	FAVA International Holdings Ltd.	85,280,000	4.140	353.06	0.40
41	08262	A – S China Plumbing Products Ltd.	151,034,000	2.330	351.91	0.40
42	08075	Rojam Entertainment Holdings Ltd.	1,926,114,403	0.180	346.70	0.39
43	08230	Shenzhen Dongjiang Environmental Co. Ltd. – H Shares	177,900,000	1.880	334.45	0.38
44	08068	New Universe International Group Ltd.	1,191,680,000	0.280	333.67	0.38
45	08280	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H shs	202,400,000	1.630	329.91	0.37
46	08073	Recruit Holdings Ltd.	274,800,000	1.170	321.52	0.36
47	08290	Tianjin Tianlian Public Utilities Co. Ltd. – H Shares	330,000,000	0.950	313.50	0.35
48	08141	Inspur International Ltd.	514,300,000	0.600	308.58	0.35
49	08101	Value Convergence Holdings Ltd.	253,337,679	1.180	298.94	0.34
50	08029	Galileo Capital Group Ltd.	960,000,000	0.310	297.60	0.33
		Total			77,091.19	86.73
		Equity total			88,885.43	100.00

Trading value and volume for GEM, 2002 – 2006

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2002	247	43,979.17	178.05	62,909.51	254.69	1,043,613	4,225	
2003	248	38,153.51	153.84	51,034.01	205.78	823,626	3,321	
2004	249	25,760.85	103.46	38,841.86	155.99	612,417	2,460	
2005	247	22,336.69	90.43	46,750.30	189.27	551,943	2,235	
2006	247	43,677.88	176.83	49,877.40	201.93	944,735	3,825	
2006	Jan	19	3,757.25	197.75	3,071.81	161.67	52,058	2,740
	Feb	20	4,021.53	201.08	3,839.45	191.97	74,624	3,731
	Mar	23	4,894.23	212.79	4,626.53	201.15	95,222	4,140
	Apr	17	3,830.36	225.32	3,885.93	228.58	84,471	4,969
	May	20	4,657.37	232.87	5,507.51	275.38	80,065	4,003
	Jun	22	2,976.60	135.30	3,736.90	169.86	66,121	3,006
	Jul	21	2,379.13	113.29	2,948.77	140.42	53,605	2,553
	Aug	23	2,683.92	116.69	4,112.98	178.83	76,063	3,307
	Sep	21	3,684.05	175.43	4,866.93	231.76	104,620	4,982
	Oct	20	3,447.27	172.36	3,842.28	192.11	82,166	4,108
	Nov	22	4,011.01	182.32	5,482.87	249.22	100,824	4,583
	Dec	19	3,335.17	175.54	3,955.46	208.18	74,896	3,942

Notes: Stock transactions in foreign currencies are excluded from the total turnover in value.

20 most active GEM stocks (in dollars)

Rank	Stock	Turnover (HK\$mil)	% of equity total
1	CHINALOTSYNERGY	3,881.62	8.89
2	WUMART	2,912.17	6.67
3	JINGKELONG	2,743.46	6.28
4	TECHPACIFIC CAP	2,670.50	6.11
5	TIANYE WATER	1,886.46	4.32
6	TOM ONLINE	1,834.55	4.20
7	SUNSHINE-NEW	1,647.30	3.77
8	TOWN HEALTH	1,497.29	3.43
9	GOLDEN MEDITECH	1,432.55	3.28
10	PHOENIX TV	1,427.44	3.27
11	CKLIFE SCIENCES	1,420.89	3.25
12	CHINA VANGUARD	1,218.75	2.79
13	WEIGAO GROUP	1,073.79	2.46
14	CHINAINFO	965.82	2.21
15	TONG REN TANG	915.81	2.10
16	CHINA FIRE	894.13	2.05
17	KANSTAR ENPAPER	823.75	1.89
18	ZHENGZHOU GAS	814.06	1.86
19	KO YO GROUP	732.25	1.68
20	ANDRE JUICE	676.50	1.55
	Total	31,469.10	72.05
	Equity total	43,677.58	100.00

20 most active GEM stocks (in shares)

Rank	Stock	Turnover (mil of shares)	% of equity total
1	TOWN HEALTH	6,243.42	12.52
2	TECHPACIFIC CAP	3,487.55	6.99
3	KANSTAR ENPAPER	2,080.87	4.17
4	CHINALOTSYNERGY	1,823.74	3.66
5	CKLIFE SCIENCES	1,765.64	3.54
6	CORE HEALTHCARE	1,193.56	2.39
7	GALILEO	1,162.82	2.33
8	CHINA FIRE	1,137.73	2.28
9	PHOENIX TV	1,129.33	2.26
10	Q9 TECHNOLOGY	1,063.61	2.13
11	TOM ONLINE	1,046.42	2.10
12	KO YO GROUP	1,022.14	2.05
13	ANDRE JUICE	985.66	1.98
14	ZHENGZHOU GAS	946.05	1.90
15	TIANYE WATER	883.77	1.77
16	CASH FIN SER GP	840.04	1.68
17	CHINA.COM	839.67	1.68
18	MEGAINFO	766.59	1.54
19	GOLIFE CONCEPTS	704.73	1.41
20	XTEAM SOFTWARE	678.56	1.36
	Total	29,801.87	59.76
	Equity total	49,872.43	100.00

20 GEM Stocks with largest price changes (increase)

Rank	Stock	Closing price (HK\$)		% up
		2005	2006	
1	MEGAINFO	0.012 A	1.720	14233.33
2	FAVA INT'L	0.064 A	4.140	6368.75
3	PROACTIVE TECH	0.042	0.950	2161.90
4	CHINAINFO	0.255	2.710	962.75
5	CHINA P RES	0.035 A	0.355	914.29
6	DETEAM CO LTD	0.096	0.810	743.75
7	GALILEO	0.039	0.310	694.87
8	EVOC	0.143 A	0.990	592.31
9	SYSCAN TECH	0.039 A	0.220	464.10
10	TAI SHING	0.165	0.900	445.45
11	PROSTEN TECH	0.078	0.420	438.46
12	Q9 TECHNOLOGY	0.025 A	0.128	412.00
13	WEIGAO GROUP	1.700	8.280	387.06
14	SH DONGHUA	0.315 A	1.430	353.97
15	YUXING INFOTECH	0.310	1.370	341.94
16	SYS SOLUTIONS	0.055	0.230	318.18
17	BM INTELLIGENCE	0.022	0.089	304.55
18	DONGJIANG ENV	0.500	1.880	276.00
19	T S TELECOM	0.045	0.165	266.67
20	SAMPLE TECH	5.550	18.800	238.74

(A) Adjusted

20 GEM Stocks with largest price changes (decrease)

Rank	Stock	Closing price (HK\$)		% down
		2005	2006	
1	CCCTV GROUP	1.150	0.240	79.13
2	CHINA PHOTAR	0.520	0.160	69.23
3	SHANGHAI QINGPU	0.485	0.190	60.82
4	SAU SAN TONG	0.270	0.113	58.15
5	NAS HOLDINGS	0.930	0.415	55.38
6	JESSICA	0.255	0.120	52.94
7	G CHINA TECH	0.077 A	0.038	50.65
8	CAPITAL	0.200	0.100	50.00
9	LONGLIFE GROUP	0.330	0.165	50.00
10	ROJAM	0.350	0.180	48.57
11	EPRO	0.140 A	0.075	46.43
12	FIRST MOBILE	0.213	0.115	46.01
13	XTEAM SOFTWARE	0.063	0.036	42.86
14	CHINA VANGUARD	0.920 A	0.530	42.39
15	TECHPACIFIC CAP	0.630	0.370	41.27
16	CHINALOTSNERGY	2.875	1.770	38.43
17	MP LOGISTICS	0.130	0.081	37.69
18	MILKYWAY IMAGE	0.030	0.019	36.67
19	NANDASOFT	0.185	0.120	35.14
20	YIDONG ELEC	0.290	0.190	34.48

(A) Adjusted

Market capitalisation and trading value of GEM listed companies by incorporation place

Country	No. of listed companies	Market capitalisation * (HK\$mil)	% of equity total	End of 2006	
				Turnover (HK\$mil)	% of equity total
Bermuda	27	12,050.59	13.56	5,491	12.57
Cayman Islands	120	61,168.86	68.82	22,922	52.48
Hong Kong	5	713.94	0.80	404	0.92
PRC	46	14,952.03	16.82	14,860	34.02
Total	198	88,885.43	100.00	43,677.58	100.00

* Foreign companies and stock suspended over 1 year are excluded.

Statistics on Mainland enterprises H shares for GEM, 2002 – 2006

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2002	20	5,380.87	8.55	3,897.80	8.86	2,393.01	4.58
2003	28	4,973.95	9.77	4,652.71	12.20	5,063.25	7.21
2004	37	6,404.37	16.50	7,195.46	27.93	6,376.35	9.56
2005	40	3,723.12	7.97	4,154.00	18.60	6,420.65	9.64
2006	46	7,393.77	14.83	14,860.02	34.02	14,952.03	16.82
2006	Jan	407.99	13.28	466.82	12.42	7,359.79	9.84
	Feb	631.40	16.44	1,105.56	27.49	9,850.63	12.48
	Mar	1,064.55	23.01	1,644.81	33.61	10,833.59	12.86
	Apr	661.96	17.03	1,497.82	39.10	11,074.66	12.61
	May	643.53	11.68	1,129.22	24.25	10,852.98	13.16
	Jun	545.75	14.60	1,038.90	34.90	11,568.77	14.10
	Jul	422.59	14.33	828.43	34.82	11,470.13	15.17
	Aug	593.31	14.43	804.49	29.97	11,921.46	15.05
	Sep	557.47	11.45	1,793.02	48.67	12,749.56	16.38
	Oct	582.15	15.15	1,886.10	54.71	13,541.99	17.32
	Nov	713.50	13.02	1,389.09	34.63	14,083.15	16.72
	Dec	569.59	14.40	1,275.75	38.25	14,952.03	16.82

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on red chips stocks for GEM, 2002 – 2006

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2002	1	175.30	0.28	328.89	0.75	830.80	1.59
2003	–	206.24	0.41	387.65	1.02	–	0.00
2004	3	127.24	0.33	28.43	0.11	727.56	1.09
2005	3	698.77	1.50	242.67	1.09	836.23	1.26
2006	4	1,266.49	2.54	634.64	1.45	790.31	0.89
2006	Jan	35.77	1.16	6.50	0.17	975.53	1.30
	Feb	78.74	2.05	42.88	1.07	1,026.77	1.30
	Mar	142.52	3.08	85.42	1.75	1,111.25	1.32
	Apr	253.55	6.52	265.98	6.94	1,283.00	1.46
	May	163.78	2.97	134.86	2.90	1,012.50	1.23
	Jun	65.27	1.75	23.59	0.79	1,006.42	1.23
	Jul	154.91	5.25	14.35	0.60	1,284.49	1.70
	Aug	72.15	1.75	13.55	0.50	1,125.77	1.42
	Sep	70.59	1.45	7.90	0.21	1,117.58	1.44
	Oct	47.87	1.25	4.67	0.14	1,139.81	1.46
	Nov	88.88	1.62	8.98	0.22	756.55	0.90
	Dec	92.45	2.34	25.95	0.78	790.31	0.89

Cash dividend payout by GEM listed companies, 2002 – 2006

Year/Month	No. of companies declared	No. of companies paid	Total dividend payout (HK\$mil)
2002	162	27	448.18
2003	184	35	437.90
2004	200	44	391.57
2005	205	44	693.75
2006	189	38	1,900.22
2006	Jan	4	–
	Feb	60	8.46
	Mar	115	3.25
	Apr	9	15.03
	May	130	148.58
	Jun	46	124.00
	Jul	10	22.54
	Aug	160	58.82
	Sep	17	15.99
	Oct	12	25.09
	Nov	170	1,433.44
	Dec	9	45.03

Newly listed companies on GEM

Ordinary companies

Code	Company	Listing method/ Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
08217	CMA Logistics Co., Ltd. – H Shares	Offer for placing Offer for sale Logistics Services	50,000,000 5,000,000	2.700	148.50	2006/02/23 –	Anglo Chinese Corporate Finance, Ltd.
08247	Biosino Bio-Technology and Science Incorporation – H Shares	Offer for placing Offer for sale Pharmaceutical	30,000,000 3,000,000	2.000	66.00	2006/02/27 –	SBI Crosby Ltd. Partners Capital International Ltd.
08280	Xinjiang Tianye Water Saving Irrigation System Co. Ltd. – H Shares	Offer for placing Irrigation System	202,400,000	1.180	238.83	2006/02/28 –	Sun Hung Kai International Ltd.
08331	Zhejiang Shibao Co. Ltd. – H Shares	Offer for placing Automotive Parts and Components	86,714,000	1.500	130.07	2006/05/16 –	Anglo Chinese Corporate Finance Ltd.
08245	Beijing Jingkelong Co. Ltd. – H Shares	Offer for subscription Offer for sale Offer for placing Distribution	66,000,000 12,000,000 73,800,000	4.500	683.10	2006/09/25 547.00	DBS Asia Capital Ltd.
08241	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	Offer for placing Oil well pipes & other specialized seamless pipes	167,570,000	3.000	502.71	2006/12/01 –	Cazenove Asia Ltd.
				Total	1,769.21		

Withdrawal of listed companies from GEM

Code	Company	Last trading date	Delisted date
08260 #	Pan Sino International Holdings Ltd.	2006/02/28	2006/03/01
08020	Wanasports Holdings Ltd.	2003/06/24	2006/03/20
08030	DigiTel Group Ltd.	2002/06/28	2006/03/31
08072	Media Partners International Holdings Inc.	2005/12/06	2006/04/06
08263	Superdata Software Holdings Ltd.	2006/01/20	2006/05/18
08289 #	Enric Energy Equipment Holdings Ltd.	2006/07/19	2006/07/20
08036	M Channel Corporation Ltd.	2005/04/28	2006/08/11
08031	Kinetana International Biotech Pharma Ltd.	2005/04/18	2006/09/01
08177	Sino Stride Technology (Holdings) Ltd.	2006/04/03	2006/11/07

Transfer to list on main board

Company name changes – GEM

Code	Former name	New name	Effective date	Adoption date
08167	B&S Entertainment Holdings Ltd.	Big Media Group Ltd.	2006/01/17	2006/03/20
08017	CyberM International (Holdings) Ltd.	Long Success International (Holdings) Ltd.	2006/03/10	2006/03/30
08065	Innovis Holdings Ltd.	Sino Haijing Holdings Ltd.	2006/04/19	2006/05/15
08123	Stockmartnet Holdings Ltd.	International Financial Network Holdings Ltd.	2006/03/09	2006/05/23
08228	Vertex Communications & Technology Group Ltd.	Vertex Group Ltd.	2006/07/20	2006/07/20
08156	B & B Group Holdings Ltd.	China Vanguard Group Ltd.	2006/07/19	2006/07/26
08141	Lang Chao International Ltd. *	Inspur International Ltd.	2006/06/27	2006/08/07
08270	Shine Software (Holdings) Ltd.	China Leason Investment Group Co. Ltd.	2006/07/25	2006/08/11
08143	Grandy Corporation	Hua Xia Healthcare Holdings Ltd.	2006/08/03	2006/08/28
08112	Angels Technology Co. Ltd.	DeTeam Co. Ltd.	2006/09/11	2006/09/25
08047	IA International Holdings Ltd.	Union Bridge Holdings Ltd.	2006/08/10	2006/09/27
08172	Satellite Devices Corporation	Golife Concepts Holdings Ltd.	2006/08/30	2006/11/01
08292	HC International, Inc. #	HC International, Inc.	2006/11/06	2006/11/06
08117	China Advance Holdings Ltd.	China Primary Resources Holdings Ltd.	2006/10/03	2006/11/13
08108	Co-winner Enterprise Ltd.	FAVA International Holdings Ltd.	2006/11/02	2006/11/24
08250	Plasmagene Biosciences Ltd.	Core Healthcare Investment Holdings Ltd.	2006/11/06	2006/12/21

* Change in English name only

Change in Chinese name only

Privatisations by major shareholder on GEM

Code	Company	Particulars	Last trading date	Delisted date
08072	Media Partners International Holdings Inc.	Cash offer HK\$1.141 for each share	2005/12/06	2006/04/06
08177	Sino Stride Technology (Holdings) Ltd.	Cash offer HK\$0.17 for each share	2006/04/03	2006/11/07

Companies under suspension on GEM as at the end of 2006

Code	Stock	Last closing price		Date of
		(HK\$)	(Date)	suspension
08176	Blu Spa Holdings Ltd.	0.012	(2003/05/26)	2003/05/27
08067	Changchun Da Xing Pharmaceutical Co. Ltd. – H Shares	0.182	(2005/07/27)	2005/07/28
08135	Chengdu Top Sci-Tech Co. Ltd. – H Shares	0.280	(2004/04/16)	2004/04/19
08016	China Data Broadcasting Holdings Ltd.	1.440	(2004/12/24)	2004/12/28
08109	Creative Energy Solutions Holdings Ltd.	0.116	(2005/09/29)	2005/09/30
08057	Datasys Technology Holdings Ltd.	0.150	(2004/05/14)	2004/05/17
08150	Fast Systems Technology (Holdings) Ltd.	0.015	(2006/03/31)	2006/04/03
08056	Greencool Technology Holdings Ltd.	0.380	(2005/07/29)	2005/08/01
08041	Intcera High Tech Group Ltd.	0.086	(2003/10/03)	2003/10/06
08165	Jian ePayment Systems Ltd.	1.200	(2004/07/05)	2004/07/06
08039	Loulan Holdings Ltd.	0.170	(2005/01/26)	2005/01/27
08100	M Dream Inworld Ltd.	0.011	(2005/10/03)	2005/10/04
08188	Mudan Automobile Shares Co. Ltd. – H Shares	0.345	(2005/03/29)	2005/03/29
08236	Powerleader Science & Technology Co. Ltd. – H Shares	0.405	(2006/03/15)	2006/03/16
08253	Sanmenxia Tianyuan Aluminum Co. Ltd. – H Shares	0.148	(2006/09/20)	2006/09/21
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	0.098	(2005/03/30)	2005/03/31
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. – H Shares	0.190	(2006/12/20)	2006/12/21
08010	SMI Publishing Group Ltd.	0.015	(2005/04/28)	2005/04/28
08182	Sys Solutions Holdings Ltd.	0.230	(2006/12/20)	2006/12/21
08063	Trasy Gold Ex Ltd.	0.013	(2003/06/09)	2003/06/10
08229	Tungda Innovative Lighting Holdings Ltd.	0.180	(2004/07/28)	2004/07/29
08035	Wah Sang Gas Holdings Ltd.	0.640	(2004/04/02)	2004/04/06
08277	Wumart Stores, Inc. – H Shares	6.880	(2006/11/10)	2006/11/13

Takeovers and mergers on GEM

Code	Company	Proposed date
		Offer closing date
08263	Superdata Software Holdings Ltd Voluntary conditional offer was made by Profit Eagle Ltd. at a price of 1 Profit Eagle Ltd. share or HK\$1.90 for each share and 1 Profit Eagle Ltd. option or HK\$1.90 less exercise price for each option	2005/12/15
		2006/01/20
08112	Angels Technology Co Ltd (Name changed to DeTeam Co. Ltd.) Mandatory conditional general offer was made by Lucky Team International Ltd at a price of HK\$0.06327 for each share and HK\$0.001 for each option	2006/01/27
		2006/02/17
08177	Sino Stride Technology (Holdings) Ltd Voluntary conditional share exchange offer was made by Made Connection Ltd. at a price of 2 Shougang Concord Technology Holdings Ltd shares for every 3 shares	2006/02/20
		2006/04/03
08270	Shine Software (Holdings) Ltd. (Name changed to China Leason Investment Group Co. Ltd.) Mandatory unconditional cash offer was made by Jumbo Lane Investments Ltd. at a price of HK\$0.021142 for each share and HK\$0.0001 for each option	2006/04/25
		2006/05/16
08279	MegalInfo Holdings Ltd. Mandatory unconditional cash offer was made by Maxprofit Global Inc. at a price of HK\$0.0318 for each share	2006/06/27
		2006/07/18
08029	Galileo Capital Group Ltd. Mandatory unconditional cash offer was made by New Brilliant Investments Ltd. at a price of HK\$0.025 for each share	2006/07/18
		2006/08/08
08173	Panorama International Holdings Ltd. Mandatory unconditional cash offer was made by Nice Hill Investments Ltd. at a price of HK\$0.04 for each share, HK\$0.007 for each Pool A option and HK\$0.001 for each Pool B option	2006/09/26
		2006/10/17
08239	MP Logistics International Holdings Ltd. Mandatory unconditional cash offer was made by Tolmen Star Ltd. at a price of HK\$0.01 for each share	2006/11/23
		2006/12/14
08182	Sys Solutions Holdings Ltd. Mandatory unconditional cash offer was made by Colpo Mercantile Inc. at a price of HK\$0.060579 for each share	2006/11/29
		2006/12/20

Share subdivisions and share consolidations on GEM

Code	Stock	Particulars	Old par value (HK\$)	New par value (HK\$)	Effective date
08086	ePRO Ltd.	Consolidation 10 into 1	0.0100	0.1000	2006/01/03
08202	Inno-Tech Holdings Ltd.	Consolidation 2 into 1	0.0100	0.0200	2006/02/07
08175	KanHan Technologies Group Ltd.	Consolidation 5 into 1	0.0100	0.0500	2006/03/01
08192	Global Solution Engineering Ltd.	Consolidation 8 into 1	0.0100	0.0800	2006/04/28
08055	ProSticks International Holdings Ltd.	Consolidation 10 into 1	0.0100	0.1000	2006/05/17
08108	FAVA International Holdings Ltd. (Formerly: Co-winner Enterprise Ltd.)	Consolidation 2 into 1	0.0100	0.0200	2006/05/18
08081	Computech Holdings Ltd.	Consolidation 5 into 1	0.0100	0.0500	2006/06/02
08172	Golife Concepts Holdings Ltd. (Formerly: Satellite Devices Corporation)	Consolidation 5 into 1	0.1000	0.0100 (1)	2006/06/23
08009	iMerchants Ltd.	Consolidation 10 into 1	0.1000	1.0000	2006/07/28
08117	China Primary Resources Holdings Ltd. (Formerly: China Advance Holdings Ltd.)	Split 1 into 20	0.0250	0.00125	2006/08/01
08279	MegaInfo Holdings Ltd.	Split 1 into 5	0.0100	0.0020	2006/10/24
08277	Wumart Stores, Inc. - H Shares	Split 1 into 4	RMB 1.0000	RMB 0.2500	2006/10/26
08276	Century Sunshine Ecological Technology Holdings Ltd.	Split 1 into 5	0.1000	0.0200	2006/12/04
08068	New Universe International Group Ltd.	Consolidation 10 into 1	0.0500	0.0100 (2)	2006/12/19

Notes :

- (1) Capital reduced by HK\$0.49 of par from HK\$0.50 to HK\$0.01
- (2) Capital reduced by HK\$0.49 of par from HK\$0.50 to HK\$0.01

Bonus issues/bonus warrants on GEM

Code	Stock	Particulars	Ex-date
08251	Shanghai Donghua Petrochemical Co. Ltd. – H Shares	1 for 1	2006/04/12
08285	Shenzhen EVOG Intelligent Technology Co. Ltd. – H Shares	12 for 10	2006/04/26
08233	CIG Yangtze Ports PLC	1 for 10	2006/04/27
08156	China Vanguard Group Ltd.	1 for 2	2006/10/16
08156	China Vanguard Group Ltd. (Formerly: B & B Group Holdings Ltd.)	1 2008 wt for 5	2006/10/16

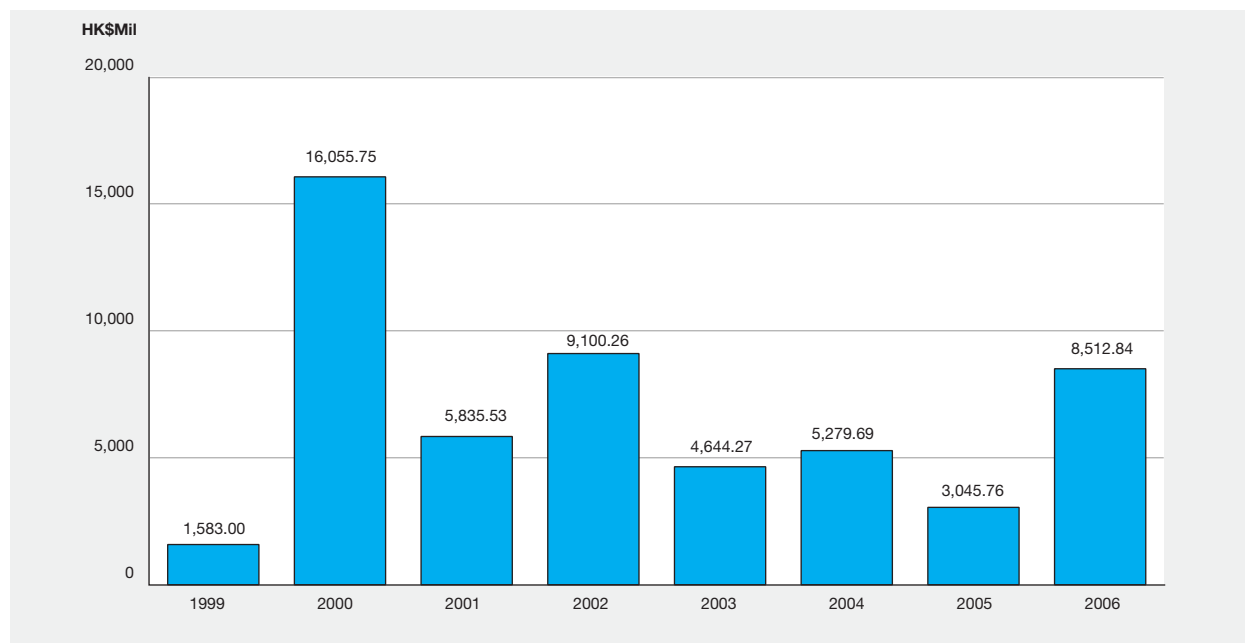
Rights issues/open offers on GEM

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
08086	ePRO Ltd.	Open offer 1 for 2 @\$0.13 (after consolidation 10 into 1)	10.41	2005/12/23 2006/01/18
08065	Sino Haijing Holdings Ltd.	Rts 1 for 2 @\$0.02	3.75	2006/01/12 2006/02/03
08175	KanHan Technologies Group Ltd.	Rts 3 for 1 @\$0.057	25.22	2006/03/03 2006/03/27
08143	Hua Xia Healthcare Holdings Ltd.	Rts 1 for 2 @\$0.06	10.35	2006/03/21 2006/04/11
08222	CK Life Sciences Int'l., (Holdings) Inc.	Rts 1 for 2 @\$0.65	2,082.40	2006/04/06 2006/05/04
08136	FX Creations International Holdings Ltd.	Open offer 1 for 2 @\$0.06	14.96	2006/06/12 2006/07/04
08083	SYSCAN Technology Holdings Ltd.	Open offer 3 for 1 @\$0.03	9.21	2006/06/13 2006/07/05
08172	Golife Concepts Holdings Ltd.	Open offer 3 for 1 @\$0.065	25.68	2006/06/26 2006/07/18
08032	GreaterChina Technology Group Ltd.	Open offer 3 for 2 @\$0.015	18.31	2006/08/24 2006/09/18
08129	Q9 Technology Holdings Ltd.	Rts 1 for 2 @\$0.039	24.30	2006/10/25 2006/11/16
Total			2,224.59	

Placings on GEM

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
08122	CASH Financial Services Group Ltd.	275,000,000	0.4000	110.00	2006/01/10
08196	Launch Tech Co. Ltd. - H Shares	38,000,000	1.7000	64.60	2006/01/11
08156	B & B Group Holdings Ltd. (Name changed to China Vanguard Group Ltd.)	68,000,000	2.1500	146.20	2006/01/26
08161	China LotSynergy Holdings Ltd.	180,000,000	3.6750	661.50	2006/01/27
08091	Universal Technologies Holdings Ltd.	69,000,000	0.1750	12.08	2006/02/10
08117	China Advance Holdings Ltd. (Name changed to China Primary Resources Holdings Ltd.)	47,308,800	0.3150	14.90	2006/02/16
08277	Wumart Stores, Inc. - H Shares	21,100,000	21.4000	451.54	2006/02/17
08128	IIN International Ltd.	85,000,000	0.0780	6.63	2006/02/23
08192	Global Solution Engineering Ltd.	115,200,000	0.0165	1.90	2006/03/02
08200	Sau San Tong Holdings Ltd.	10,000,000	0.4000	4.00	2006/03/08
08259	Yantai North Andre Juice Co. Ltd. - H Shares	111,580,000	0.7000	78.11	2006/03/09
08112	Angels Technology Co. Ltd. (Name changed to DeTeam Co. Ltd.)	40,800,000	0.1000	4.08	2006/03/17
08108	Co-winner Enterprise Ltd. (Name changed to FAVA International Holdings Ltd.)	28,420,000	0.0640	1.82	2006/03/20
08202	Inno-Tech Holdings Ltd.	30,000,000	0.2000	6.00	2006/03/24
08201	China Fire Safety Enterprise Group Holdings Ltd.	469,000,000	0.5770	270.61	2006/04/03
08228	Vertex Communications & Technology Group Ltd. (Name changed to Vertex Group Ltd.)	83,590,000	0.4850	40.54	2006/04/21
08081	Computech Holdings Ltd.	44,010,000	0.0500	2.20	2006/04/24
08163	Tradeeasy Holdings Ltd.	550,000,000	0.0400	22.00	2006/04/25
08180	Golden Meditech Co. Ltd.	252,824,000	2.4000	606.78	2006/04/26
08042	Ko Yo Ecological Agrotech (Group) Ltd.	84,000,000	0.7800	65.52	2006/05/17
08055	ProSticks International Holdings Ltd.	80,000,000	0.1000	8.00	2006/05/17
08171	QUASAR Communication Technology Holdings Ltd.	81,200,000	0.0900	7.31	2006/05/24
08075	Rojam Entertainment Holdings Ltd.	371,430,000	0.3150	117.00	2006/05/25
08117	China Advance Holdings Ltd. (Name changed to China Primary Resources Holdings Ltd.)	43,145,626	1.5000	64.72	2006/05/26
08206	Chinainfo Holdings Ltd.	97,230,000	1.5000	145.85	2006/05/26
08026	Prosten Technology Holdings Ltd.	51,125,000	0.1400	7.16	2006/05/26
08079	B.A.L. Holdings Ltd.	42,000,000	0.4200	17.64	2006/05/29
08112	Angels Technology Co. Ltd. (Name changed to DeTeam Co. Ltd.)	48,960,000	0.2230	10.92	2006/06/01
08190	Golding Soft Ltd.	200,000,000	0.0138	2.76	2006/06/05
08117	China Advance Holdings Ltd. (Name changed to China Primary Resources Holdings Ltd.)	13,624,934	1.5000	20.44	2006/06/10
08003	T S Telecom Technologies Ltd.	56,400,000	0.1050	5.92	2006/06/12
08047	IA International Holdings Ltd. (Name changed to Union Bridge Holdings Ltd.)	60,000,000	0.3000	18.00	2006/06/16
08317	Finet Group Ltd.	24,200,000	0.8500	20.57	2006/07/13
08029	Galileo Capital Group Ltd.	160,000,000	0.0700	11.20	2006/09/13
08202	Inno-Tech Holdings Ltd.	28,000,000	0.1600	4.48	2006/09/22
08065	Sino Haijing Holdings Ltd.	112,500,000	0.0480	5.40	2006/10/06
08171	QUASAR Communication Technology Holdings Ltd.	39,000,000	0.1000	3.90	2006/11/01
08279	MegalInfo Holdings Ltd.	210,520,000	0.2600	54.74	2006/11/09
08239	MP Logistics International Holdings Ltd.	1,200,000,000	0.0100	12.00	2006/11/21
08091	Universal Technologies Holdings Ltd.	130,600,000	0.1450	18.94	2006/11/23
08089	Proactive Technology Holdings Ltd.	46,400,000	0.2410	11.18	2006/12/04
08202	Inno-Tech Holdings Ltd.	67,000,000	0.1600	10.72	2006/12/15
08130	Milkyway Image Holdings Ltd.	161,000,000	0.0220	3.54	2006/12/24
08026	Prosten Technology Holdings Ltd.	30,000,000	0.3400	10.20	2006/12/29
Total				3,163.57	

Equity funds raised on GEM, 1999 – 2006



Funds raised by classification for GEM, 1999 – 2006

		HK\$ mil							
Type	Listing method	1999	2000	2001	2002	2003	2004	2005	2006
Equities	Offer for subscription	231.04	817.28	90.92	1,415.28	93.44	391.64	12.66	297.00
	Offer for sale	220.38	249.38	370.32	457.38	108.64	61.07	22.10	73.50
	Offer for placing	1,131.57	13,748.16	3,654.58	5,138.00	1,873.21	2,241.42	630.68	1,398.71
	Placing	–	508.66	274.19	1,216.57	1,512.16	1,650.81	1,646.28	3,163.57
	Rights issue	–	–	153.83	154.90	220.70	191.11	58.22	2,146.02
	Open offer	–	–	–	–	128.47	5.96	16.47	78.57
	Consideration issue	–	682.43	1,245.48	638.87	664.93	656.66	537.42	1,199.87
	Warrants exercised	–	–	28.20	0.00	0.14	0.06	7.62	2.24
	Share option scheme	–	49.84	18.02	79.25	42.57	80.98	114.31	153.36
Equities funds raised		1,583.00	16,055.75	5,835.53	9,100.26	4,644.27	5,279.69	3,045.76	8,512.84
Derivative warrants – premium		–	–	–	–	–	–	–	–
Equity warrants – premium		–	–	–	6.25	–	–	–	–

Funds raised by Mainland enterprises H shares for GEM, 1999 – 2006

HK\$mil

Type	Listing method	1999	2000	2001	2002	2003	2004	2005	2006
Equities	Offer for subscription	–	–	8.70	–	80.32	22.36	5.46	297.00
	Offer for sale	–	–	42.89	39.59	15.47	32.17	3.89	73.50
	Offer for placing	–	644.18	712.40	1,020.02	1,122.12	639.07	166.13	1,398.71
	Placing	–	–	–	113.00	204.00	459.33	272.90	594.25
	Rights issue	–	–	–	–	–	–	–	–
	Open offer	–	–	–	–	–	–	–	–
	Consideration issue	–	–	–	–	–	–	–	–
	Warrants exercised	–	–	–	–	–	–	–	–
	Share option scheme	–	–	–	–	–	–	–	–
Equities funds raised		–	644.18	763.99	1,172.60	1,421.91	1,152.93	448.37	2,363.46

Funds raised by red chip stocks for GEM, 1999 – 2006

HK\$mil

Type	Listing method	1999	2000	2001	2002	2003	2004	2005	2006
Equities	Offer for subscription	70.35	–	–	–	–	–	–	–
	Offer for sale	172.45	–	–	–	–	–	–	–
	Offer for placing	161.44	–	–	–	–	92.00	–	–
	Placing	–	–	–	–	–	–	18.72	–
	Rights issue	–	–	–	–	–	–	–	–
	Open offer	–	–	–	–	–	–	–	–
	Consideration issue	–	–	–	–	–	–	20.81	–
	Warrants exercised	–	–	–	–	–	–	–	–
	Share option scheme	–	–	–	–	0.68	–	–	6.90
Equities funds raised		404.24	–	–	–	0.68	92.00	39.53	6.90

Newly listed equity warrants on GEM

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$ mil)	Listing date
08357	China Vanguard Group Ltd. Warrants 2008	1.330	2006/11/03 – 2008/11/02	165,998,046	2006/11/03

Market value and trading for warrants on GEM, 2002 – 2006

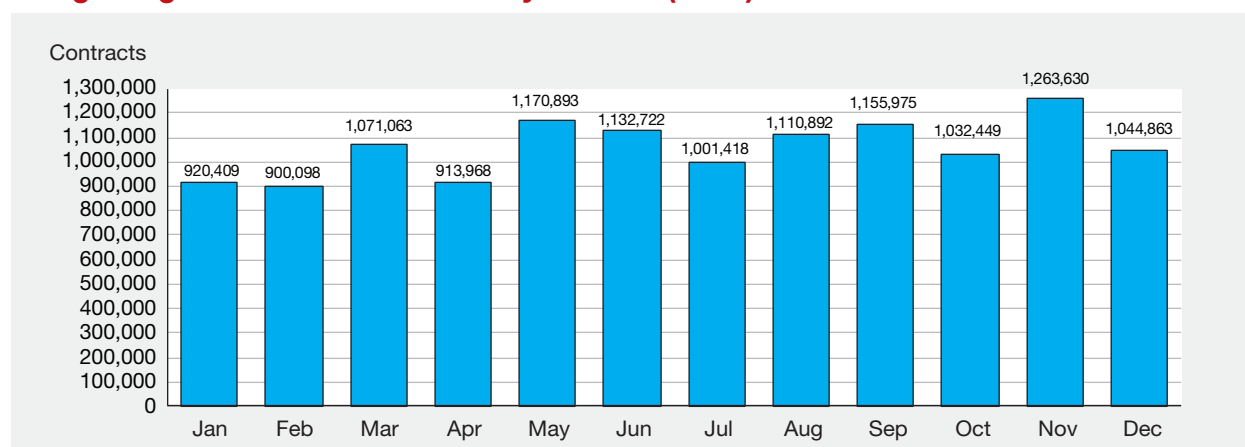
Year/Month	Number	Turnover value HK\$mil	Market value HK\$mil
2002	4	1.82	19.46
2003	2	7.49	14.56
2004	1	0.49	3.12
2005	0	0.30	0.00
2006	1	0.31	10.61
2006 Jan	–	–	–
Feb	–	–	–
Mar	–	–	–
Apr	–	–	–
May	–	–	–
Jun	–	–	–
Jul	–	–	–
Aug	–	–	–
Sep	–	–	–
Oct	–	–	–
Nov	1	0.24	21.22
Dec	1	0.07	10.61

Month-end or year-end figures.

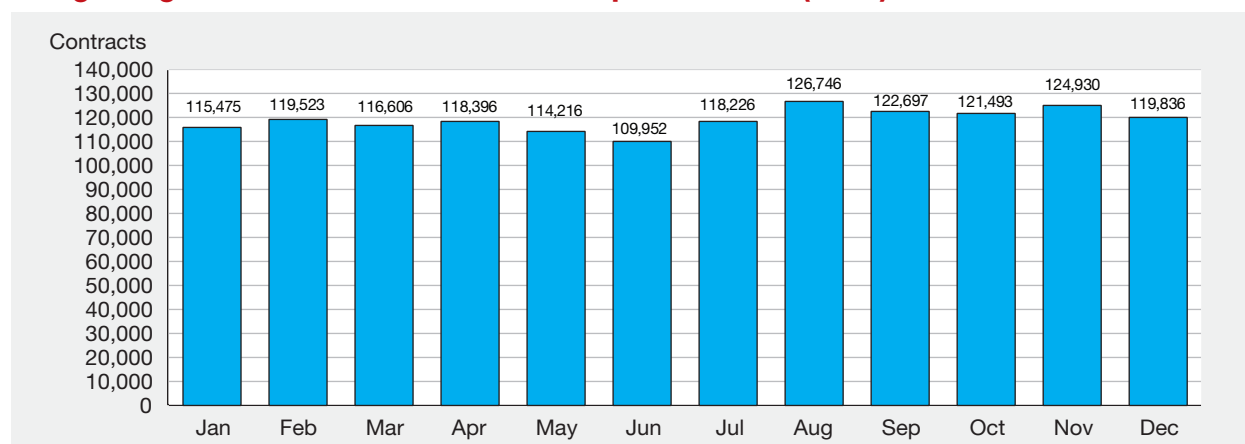
Hang Seng Index futures – trading statistics 2002 – 2006

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2002	245.0	4,802,422	19,602	48,469	
2003	246.5	6,800,360	27,588	91,941	
2004	247.0	8,601,559	34,824	125,860	
2005	246.5	9,910,565	40,205	98,211	
2006	247.0	12,718,380	51,491	119,836	
2006	Jan	19.0	920,409	48,443	115,475
	Feb	20.0	900,098	45,005	119,523
	Mar	23.0	1,071,063	46,568	116,606
	Apr	17.0	913,968	53,763	118,396
	May	20.0	1,170,893	58,545	114,216
	Jun	22.0	1,132,722	51,487	109,952
	Jul	21.0	1,001,418	47,687	118,226
	Aug	23.0	1,110,892	48,300	126,746
	Sep	21.0	1,155,975	55,046	122,697
	Oct	20.0	1,032,449	51,622	121,493
	Nov	22.0	1,263,630	57,438	124,930
	Dec	19.0	1,044,863	54,993	119,836
2006	Highest (date)		191,025 (26/09)		190,316 (28/11)

Hang Seng Index futures – monthly volume (2006)



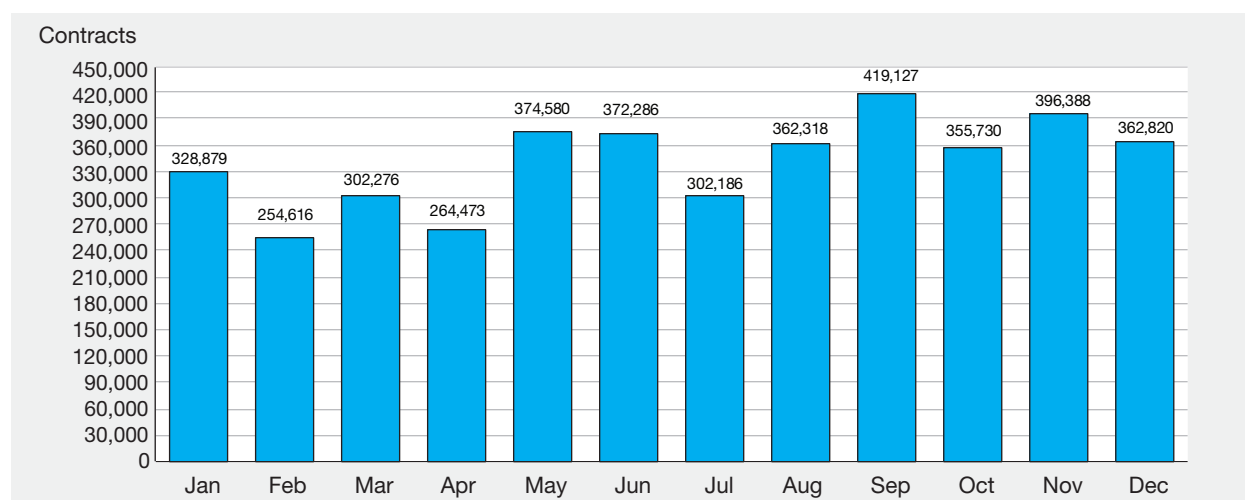
Hang Seng Index futures – month-end open interest (2006)



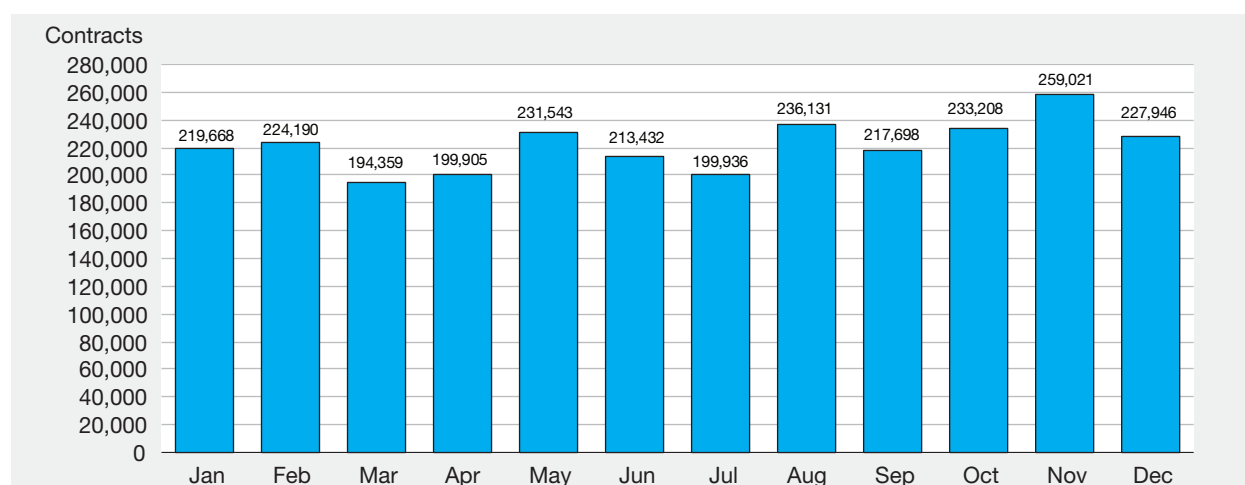
Hang Seng Index options – trading statistics 2002 – 2006

Year/Month	No. of trading days	Volume (contracts)			Average daily	Period end open interest (contracts)
		Call	Put	Total		
2002	245.0	570,377	500,054	1,070,431	4,369	66,813
2003	246.5	984,209	1,134,583	2,118,792	8,596	72,469
2004	247.0	913,095	1,115,973	2,029,068	8,215	76,444
2005	246.5	1,306,331	1,765,491	3,071,822	12,462	192,069
2006	247.0	1,710,781	2,384,898	4,095,679	16,582	227,946
2006 Jan	19.0	153,292	175,587	328,879	17,309	219,668
2006 Feb	20.0	119,936	134,680	254,616	12,731	224,190
2006 Mar	23.0	137,300	164,976	302,276	13,142	194,359
2006 Apr	17.0	112,319	152,154	264,473	15,557	199,905
2006 May	20.0	157,258	217,322	374,580	18,729	231,543
2006 Jun	22.0	169,868	202,418	372,286	16,922	213,432
2006 Jul	21.0	121,098	181,088	302,186	14,390	199,936
2006 Aug	23.0	141,317	221,001	362,318	15,753	236,131
2006 Sep	21.0	169,600	249,527	419,127	19,958	217,698
2006 Oct	20.0	124,819	230,911	355,730	17,787	233,208
2006 Nov	22.0	144,750	251,638	396,388	18,018	259,021
2006 Dec	19.0	159,224	203,596	362,820	19,096	227,946
2006 Highest (date)				38,856 (28/12)		368,175 (27/12)

Hang Seng Index options – monthly volume (2006)



Hang Seng Index options – month-end open interest (2006)



Mini-Hang Seng Index futures – trading statistics 2002 – 2006

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2002	245.0	1,107,964	4,522	2,473
2003	246.5	1,248,295	5,064	1,905
2004	247.0	1,457,681	5,902	2,044
2005	246.5	1,501,342	6,091	3,081
2006	247.0	2,140,242	8,665	5,974
2006 Jan	19.0	132,792	6,989	2,375
Feb	20.0	139,038	6,952	3,105
Mar	23.0	162,105	7,048	3,462
Apr	17.0	136,981	8,058	2,711
May	20.0	192,171	9,609	2,742
Jun	22.0	197,661	8,985	3,174
Jul	21.0	176,926	8,425	4,445
Aug	23.0	191,153	8,311	5,068
Sep	21.0	199,219	9,487	5,117
Oct	20.0	182,941	9,147	7,352
Nov	22.0	242,834	11,038	5,711
Dec	19.0	186,421	9,812	5,974
2006 Highest (date)		19,531 (28/11)		10,238 (06/11)

Mini-Hang Seng Index options – trading statistics 2002 – 2006

Year/Month	No. of trading days	Volume (contracts)				Period end
		Call	Put	Total volume	Average daily volume	open interest (contracts)
2002*	29.0	3,232	2,944	6,176	213	768
2003	246.5	16,574	15,557	32,131	130	330
2004	247.0	13,895	12,987	26,882	109	613
2005	246.5	15,096	15,499	30,595	124	555
2006	247.0	28,301	25,155	53,456	216	781
2006 Jan	19.0	1,940	1,688	3,628	191	798
Feb	20.0	1,680	1,472	3,152	158	767
Mar	23.0	1,440	1,460	2,900	126	470
Apr	17.0	1,312	1,560	2,872	169	418
May	20.0	1,883	1,660	3,543	177	542
Jun	22.0	2,626	2,515	5,141	234	1,024
Jul	21.0	2,415	2,234	4,649	221	835
Aug	23.0	2,658	2,615	5,273	229	1,073
Sep	21.0	3,774	2,489	6,263	298	1,083
Oct	20.0	2,796	2,067	4,863	243	891
Nov	22.0	3,843	3,413	7,256	330	649
Dec	19.0	1,934	1,982	3,916	206	781
2006 Highest (date)				792 (24/11)		3,715 (24/11)

* Trading in Mini-Hang Seng Index options commenced on 18 November 2002

H-shares Index futures – trading statistics 2003 – 2006

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2003*	15.0	47,941	3,196	6,299
2004	247.0	1,743,700	7,060	22,418
2005	246.5	1,978,673	8,027	35,125
2006	247.0	4,880,470	19,759	59,345
2006 Jan	19.0	306,045	16,108	46,506
Feb	20.0	371,133	18,557	48,400
Mar	23.0	403,842	17,558	46,564
Apr	17.0	358,739	21,102	50,430
May	20.0	492,192	24,610	52,176
Jun	22.0	488,540	22,206	50,145
Jul	21.0	390,043	18,573	56,805
Aug	23.0	352,603	15,331	56,176
Sep	21.0	340,935	16,235	56,752
Oct	20.0	339,384	16,969	61,486
Nov	22.0	505,146	22,961	67,572
Dec	19.0	531,868	27,993	59,345
2006 Highest (date)		77,773 (28/11)		90,823 (22/12)

* Trading in H-shares Index futures commenced on 8 December 2003

H-shares Index options – trading statistics 2004 – 2006

Year/Month	No. of trading days	Volume (contracts)			Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume		
2004*	137.5	35,997	41,761	77,758	566	9,265
2005	246.5	114,346	143,079	257,425	1,044	32,599
2006	247.0	327,843	430,404	758,247	3,070	74,903
2006 Jan	19.0	16,972	17,340	34,312	1,806	45,293
Feb	20.0	17,386	18,088	35,474	1,774	50,407
Mar	23.0	22,493	24,877	47,370	2,060	40,230
Apr	17.0	13,830	24,773	38,603	2,271	47,445
May	20.0	38,070	50,656	88,726	4,436	83,203
Jun	22.0	47,179	47,703	94,882	4,313	68,200
Jul	21.0	20,564	53,942	74,506	3,548	68,945
Aug	23.0	23,118	29,683	52,801	2,296	67,451
Sep	21.0	23,666	21,532	45,198	2,152	34,717
Oct	20.0	19,705	31,430	51,135	2,557	57,397
Nov	22.0	42,156	60,418	102,574	4,662	98,561
Dec	19.0	42,704	49,962	92,666	4,877	74,903
2006 Highest (date)				14,204 (14/07)		134,024 (27/12)

* Trading in H-shares Index options commenced on 14 June 2004

FTSE/Xinhua China 25 Index futures – trading statistics 2005 – 2006

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2005 *	155.0	2,882	19	48
2006	247.0	8,154	33	107
2006 Jan	19.0	314	17	42
Feb	20.0	549	27	61
Mar	23.0	450	20	44
Apr	17.0	366	22	159
May	20.0	944	47	80
Jun	22.0	881	40	135
Jul	21.0	1,067	51	89
Aug	23.0	1,098	48	88
Sep	21.0	598	28	40
Oct	20.0	387	19	85
Nov	22.0	580	26	55
Dec	19.0	920	48	107
2006 Highest (date)		214 (16/05)		488 (29/05)

* Trading in FTSE/Xinhua China 25 Index futures commenced on 23 May 2005

FTSE/Xinhua China 25 Index options – trading statistics 2005 – 2006

Year/Month	No. of trading days	Volume (contracts)			Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume		
2005*	155.0	3,411	3,975	7,386	48	431
2006	247.0	4,088	3,793	7,881	32	358
2006 Jan	19.0	854	1,130	1,984	104	650
Feb	20.0	712	506	1,218	61	463
Mar	23.0	472	593	1,065	46	255
Apr	17.0	331	433	764	45	338
May	20.0	185	344	529	26	238
Jun	22.0	206	176	382	17	145
Jul	21.0	299	194	493	23	323
Aug	23.0	281	115	396	17	520
Sep	21.0	290	10	300	14	437
Oct	20.0	30	65	95	5	215
Nov	22.0	110	167	277	13	152
Dec	19.0	318	60	378	20	358
2006 Highest (date)				258 (09/01)		1,072 (24/02)

* Trading in FTSE/Xinhua China 25 Index options commenced on 23 May 2005

Three-month HIBOR futures – trading statistics, 2002 – 2006

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2002 *	245.0	280,257	1,144	13,806
2003	246.5	47,799	194	4,485
2004	247.0	58,307	236	6,570
2005	246.5	24,935	101	1,472
2006	247.0	13,888	56	1,532
2006 Jan	19.0	2,100	111	2,270
Feb	20.0	2,172	109	3,025
Mar	23.0	1,707	74	1,951
Apr	17.0	179	11	2,043
May	20.0	1,339	67	2,226
Jun	22.0	1,171	53	1,476
Jul	21.0	447	21	1,672
Aug	23.0	1,648	72	2,248
Sep	21.0	712	34	1,104
Oct	20.0	942	47	1,470
Nov	22.0	724	33	1,761
Dec	19.0	747	39	1,532
2006 Highest (date)		380 (22/08)		3,197 (07/03)

* The size of Three-month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

One-month HIBOR futures – trading statistics, 2002 – 2006

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2002 *	245.0	970	4	20
2003	246.5	310	1	85
2004	247.0	733	3	30
2005	246.5	246	1	5
2006	247.0	155	1	0
2006 Jan	19.0	15	1	0
Feb	20.0	25	1	5
Mar	23.0	0	0	0
Apr	17.0	15	1	15
May	20.0	0	0	5
Jun	22.0	15	1	5
Jul	21.0	20	1	15
Aug	23.0	40	2	15
Sep	21.0	5	0	15
Oct	20.0	20	1	5
Nov	22.0	0	0	5
Dec	19.0	0	0	0
2006 Highest (date)		15 (23/10)		30 (09/08)

* The size of One-month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

Three-Year Exchange Fund Note futures – trading statistics, 2002 – 2006

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2002	245.0	3,673	15	0	
2003	246.5	2,012	8	200	
2004	247.0	2,225	9	0	
2005	246.5	1,250	5	0	
2006	247.0	0	0	0	
2006	Jan	19.0	0	0	0
	Feb	20.0	0	0	0
	Mar	23.0	0	0	0
	Apr	17.0	0	0	0
	May	20.0	0	0	0
	Jun	22.0	0	0	0
	Jul	21.0	0	0	0
	Aug	23.0	0	0	0
	Sep	21.0	0	0	0
	Oct	20.0	0	0	0
	Nov	22.0	0	0	0
	Dec	19.0	0	0	0
2006	Highest (date)	-	-	-	-

Stock futures contracts as at the end of 2006

Underlying Stock	Launch Date	Contract Multiplier
Aluminum Corporation of China Ltd.	2004/06/14	2,000
Bank of China Ltd. *	2006/07/03	1,000
Bank of Communications Co., Ltd.	2005/11/07	1,000
Bank of East Asia, Ltd., The	2001/01/08	200
BOC Hong Kong (Holdings) Ltd.	2003/03/17	500
Cathay Pacific Airways Ltd.	2001/08/27	1,000
Cheung Kong (Holdings) Ltd.	1995/09/25	1,000
Cheung Kong Infrastructure Holdings Ltd.	2001/08/27	1,000
China Construction Bank Corporation	2005/12/19	1,000
China Merchants Bank Co., Ltd. *	2006/10/27	500
China Life Insurance Company Ltd.	2004/06/14	1,000
China Mobile Ltd.	1998/06/05	500
China Netcom Group Corporation (Hong Kong) Ltd.	2005/11/07	500
China Petroleum & Chemical Corporation	2002/07/22	2,000
China Telecom Corporation Ltd.	2004/06/14	2,000
China Unicom Ltd.	2001/08/27	2,000
CITIC Pacific Ltd.	1997/05/23	1,000
CLP Holdings Ltd.	1997/10/17	500
CNOOC Ltd.	2002/07/22	1,000
Denway Motors Ltd.	2005/07/11	2,000
Esprit Holdings Ltd.	2005/07/11	500
Hang Seng Bank Ltd.	1997/09/19	100
Henderson Land Development Co. Ltd.	1997/09/19	1,000
Hongkong Electric Holdings Ltd.	1998/03/18	500
Hong Kong Exchanges and Clearing Ltd.	2001/08/27	500
Hong Kong and China Gas Co. Ltd., The	2001/08/27	1,000
HSBC Holdings Plc.	1995/03/31	400
Huaneng Power International, Inc.	2002/07/22	2,000
Hutchison Whampoa Ltd.	1997/09/19	1,000
Industrial and Commercial Bank of China Ltd. *	2006/10/27	1,000
Johnson Electric Holdings Ltd.	2001/08/27	500
Lenovo Group Ltd.	2001/01/08	2,000
Li & Fung Ltd.	2001/08/27	2,000
MTR Corporation Ltd.	2001/08/27	500
New World Development Co. Ltd.	1997/10/17	1,000
PCCW Ltd.	2000/07/24	1,000
PetroChina Co. Ltd.	2001/08/27	2,000
Ping An Insurance (Group) Co. of China, Ltd.	2005/11/07	500
Sun Hung Kai Properties Ltd.	1997/09/19	1,000
Swire Pacific Ltd. "A"	1997/05/23	500
Wharf (Holdings) Ltd., The	1997/10/17	1,000

* New stock futures contracts launched in 2006

Stock futures contract volume and open interest, 2002 – 2006

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2002	245.0	21,006	86	405
2003	246.5	18,654	76	1,020
2004	247.0	17,274	70	1,821
2005	246.5	13,069	53	1,750
2006	247.0	102,010	413	4,260
2006	Jan	3,770	198	3,718
	Feb	3,144	157	3,279
	Mar	3,192	139	2,013
	Apr	4,549	268	3,327
	May	6,733	337	4,258
	Jun	6,355	289	2,559
	Jul	11,521	549	4,171
	Aug	15,387	669	4,632
	Sep	9,022	430	3,163
	Oct	8,922	446	3,448
	Nov	18,070	821	5,203
	Dec	11,345	597	4,260
2006	Highest (date)	2,011 (28/11)		6,320 (28/12)

Stock futures – trading statistics by underlying stock, 2006

Underlying Stock	Contracts			
	Volume		Period end open Interest	
	Total	% of Total	Total	% of Total
Aluminum Corporation of China Ltd.	1,559	1.53	0	0.00
Bank of China Ltd. *	612	0.60	15	0.35
Bank of Communications Co., Ltd.	461	0.45	22	0.52
Bank of East Asia, Ltd., The	114	0.11	60	1.41
BOC Hong Kong (Holdings) Ltd.	474	0.46	20	0.47
Cathay Pacific Airways Ltd.	13	0.01	7	0.16
Cheung Kong (Holdings) Ltd.	7,511	7.36	494	11.60
Cheung Kong Infrastructure Holdings Ltd.	52	0.05	0	0.00
China Construction Bank Corporation	1,560	1.53	9	0.21
China Merchants Bank Co., Ltd. **	0	0.00	0	0.00
China Life Insurance Company Ltd.	2,512	2.46	210	4.93
China Merchants Holdings (International) Co. Ltd. #	12	0.01	–	–
China Mobile Ltd.	30,465	29.86	886	20.80
China Netcom Group Corporation (Hong Kong) Ltd.	106	0.10	0	0.00
China Petroleum & Chemical Corporation	651	0.64	3	0.07
China Resources Enterprise, Ltd. ##	87	0.09	–	–
China Telecom Corporation Ltd.	137	0.13	0	0.00
China Unicom Ltd.	96	0.09	2	0.05
CITIC Pacific Ltd.	488	0.48	40	0.94
CLP Holdings Ltd.	130	0.13	0	0.00
CNOOC Ltd.	624	0.61	590	13.85
COSCO Pacific Ltd. ##	242	0.24	–	–
Denway Motors Ltd.	77	0.08	0	0.00
Esprit Holdings Ltd.	1,057	1.04	2	0.05
Hang Seng Bank Ltd.	14	0.01	0	0.00
Henderson Land Development Co. Ltd.	872	0.85	2	0.05
Hongkong Electric Holdings Ltd.	2	0.00	0	0.00
Hong Kong Exchanges and Clearing Ltd.	42	0.04	2	0.05
Hong Kong and China Gas Co. Ltd., The	511	0.50	152	3.57
HSBC Holdings Plc.	28,901	28.33	769	18.05
Huaneng Power International, Inc.	335	0.33	0	0.00
Hutchison Whampoa Ltd.	9,837	9.64	335	7.86
Industrial and Commercial Bank of China Ltd. **	0	0.00	0	0.00
Johnson Electric Holdings Ltd.	25	0.02	0	0.00
Lenovo Group Ltd.	50	0.05	1	0.02
Li & Fung Ltd.	115	0.11	1	0.02
MTR Corporation Ltd.	1,755	1.72	40	0.94
New World Development Co. Ltd.	494	0.48	0	0.00
PCCW Ltd.	4	0.00	0	0.00
PetroChina Co. Ltd.	3,293	3.23	203	4.77
Ping An Insurance (Group) Co. of China, Ltd.	372	0.36	22	0.52
Shanghai Industrials Holdings Ltd., The #	40	0.04	–	–
Sun Hung Kai Properties Ltd.	5,928	5.81	373	8.76
Swire Pacific Ltd. "A"	298	0.29	0	0.00
Wharf (Holdings) Ltd., The	82	0.08	0	0.00

* Trading in Bank of China futures commenced on 3 July 2006

** Trading in China Merchants Bank futures and Industrial & Commercial Bank of China futures commenced on 27 October 2006

Trading in China Merchants Holdings futures and Shanghai Industrials futures suspended with effect from 31 March 2006

Trading in China Resources futures and COSCO Pacific futures suspended with effect from 29 December 2006

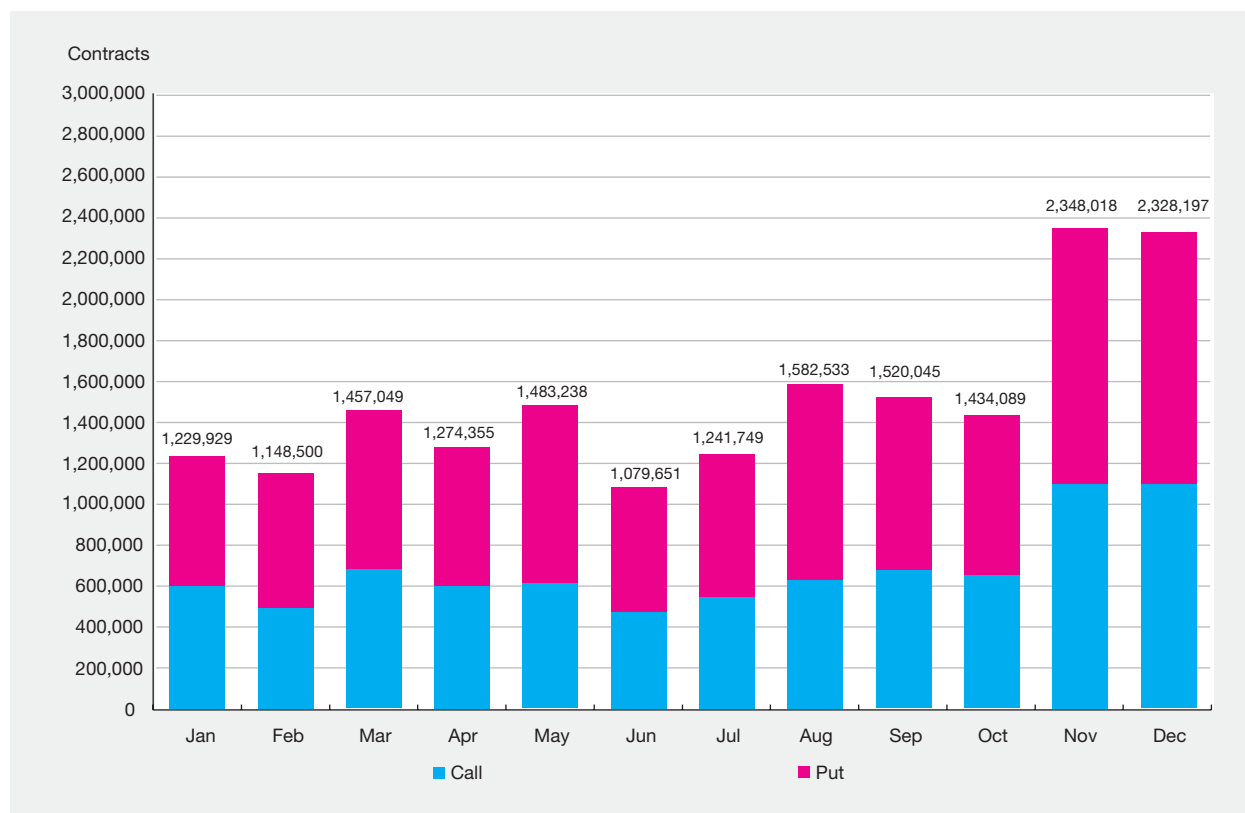
Stock options classes as at the end of 2006

Class	Code	Launch date	Lot size (shares)
Aluminum Corporation of China Limited	ALC	2004/06/14	2000
Bank of China Limited *	BCL	2006/07/03	1000
Bank of Communications Co., Ltd.	BCM	2005/11/07	1000
The Bank of East Asia, Limited	BEA	2001/01/08	200
BOC Hong Kong (Holdings) Limited	BOC	2003/03/17	500
China Construction Bank Corporation	CCB	2005/12/19	1000
China Mobile Limited	CHT	1998/05/15	500
China Unicom Limited	CHU	2001/08/27	2000
CITIC Pacific Limited	CIT	1995/10/23	1000
Cheung Kong (Holdings) Limited	CKH	1995/09/25	1000
Cheung Kong Infrastructure Holdings Limited	CKI	2001/08/27	1000
China Life Insurance Company Limited	CLI	2004/06/14	1000
CLP Holdings Limited	CLP	1995/12/18	500
China Merchants Bank Co., Ltd. **	CMB	2006/10/27	500
CNOOC Limited	CNC	2002/07/22	1000
China Netcom Group Corporation (Hong Kong) Limited	CNM	2005/11/07	500
Cathay Pacific Airways Limited	CPA	2001/08/27	1000
China Petroleum & Chemical Corporation	CPC	2002/07/22	2000
China Telecom Corporation Limited	CTC	2004/06/14	2000
Denway Motors Limited	DWM	2005/07/11	2000
Esprit Holdings Limited	ESP	2005/07/11	500
Hongkong Electric Holdings Limited	HEH	1998/02/16	500
Hong Kong Exchanges and Clearing Limited	HEX	2001/08/27	500
HSBC Holdings plc	HKB	1995/09/08	400
The Hong Kong and China Gas Company Limited	HKG	2001/08/27	1000
Henderson Land Development Company Limited	HLD	1995/12/18	1000
Huaneng Power International, Inc.	HNP	2002/07/22	2000
Hang Seng Bank Limited	HSB	1996/12/09	100
Hutchison Whampoa Limited	HWL	1995/12/18	1000
Industrial and Commercial Bank of China Limited **	ICB	2006/10/27	1000
Johnson Electric Holdings Limited	JSE	2001/08/27	500
Lenovo Group Limited	LEH	2001/01/08	2000
Li & Fung Limited	LIF	2001/08/27	2000
MTR Corporation Limited	MTR	2001/08/27	500
New World Development Company Limited	NWD	1996/08/05	1000
Ping An Insurance (Group) Company of China, Ltd.	PAI	2005/11/07	500
PCCW Limited	PCC	2000/06/26	1000
PetroChina Company Limited	PEC	2001/08/27	2000
Sun Hung Kai Properties Limited	SHK	1995/12/18	1000
Swire Pacific Limited 'A'	SWA	1995/10/09	500
Tracker Fund of Hong Kong	TRF	2000/03/27	500
The Wharf (Holdings) Limited	WHL	1996/08/05	1000

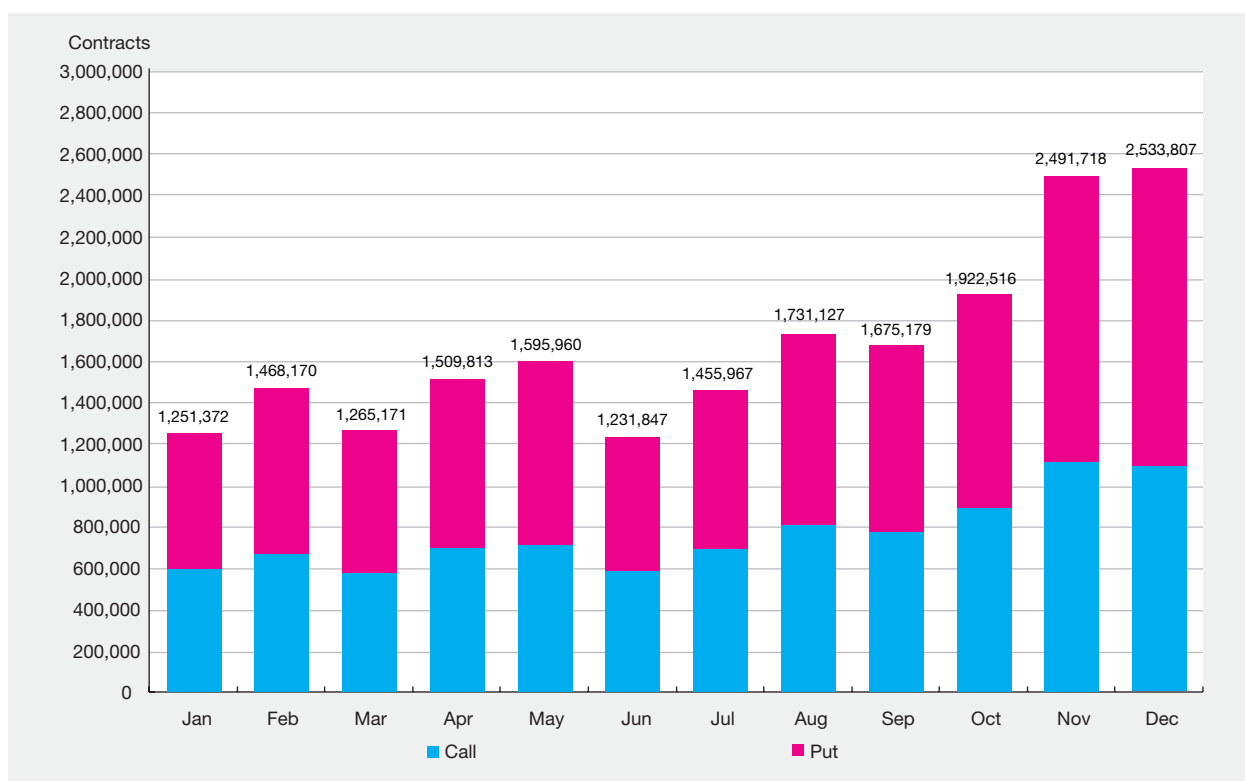
* Trading in Bank of China futures commenced on 3 Jul 2006

** Trading in China Merchants Bank and Industrial and Commercial Bank futures commenced on 27 Oct 2006

Monthly stock options contract volume, 2006



Month-end stock options open interest, 2006



Record high of futures and options products (up to 29 December 2006)

Products	Daily Volume		Open Interest	
	Contracts	Date	Contracts	Date
Hang Seng Index Futures	191,025	2006/09/26	190,316	2006/11/28
Mini Hang Seng Index Futures	19,531	2006/11/28	10,238	2006/11/06
H-shares Index Futures	77,773	2006/11/28	90,823	2006/12/22
FTSE/Xinhua China 25 Index Futures	369	2005/05/23	488	2006/05/29
Hang Seng Index Options	38,856	2006/12/28	368,175	2006/12/27
Mini Hang Seng Index Options	792	2006/11/24	3,715	2006/11/24
H-shares Index Options	14,204	2006/07/14	134,024	2006/12/27
FTSE/Xinhua China 25 Index Options	258	2006/01/09	1,607	2005/08/29
Stock Futures	2,011	2006/11/28	6,320	2006/12/28
Stock Options	348,390	2006/12/28	3,284,461	2006/12/27
3-Month HIBOR Futures ¹	10,514	2002/04/04	80,964	2001/11/15
1-Month HIBOR Futures ²	800	2001/01/09	3,250	2001/04/12
3-Year Exchange Fund Note Futures	250	2003/12/12	981	2002/02/18

1 The size of 3-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

2 The size of 1-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

Year/month	No. of CCASS trades	% of Exchange trades	No. of shares (mil)	% of market turnover	Value of trades (HK\$ mil)	% of market turnover
HKD						
2002	83,143	99.99	6,526	99.87	6,652	99.74
2003	116,142	100.00	9,716	99.99	10,418	99.85
2004	149,572	100.00	16,159	100.00	15,960	99.99
2005	149,617	100.00	23,400	100.00	18,301	100.00
2006	259,417	100.00	38,386	100.00	33,912	100.00
2006 Jan	236,824	100.00	31,071	100.00	30,509	100.00
Feb	253,189	100.00	36,771	100.00	32,818	100.00
Mar	244,710	100.00	35,163	100.00	30,300	100.00
Apr	290,520	100.00	33,536	100.00	37,921	100.00
May	257,846	100.00	36,151	100.00	35,688	100.00
Jun	210,975	100.00	35,340	100.00	29,670	100.00
Jul	179,480	100.00	31,286	100.00	23,243	100.00
Aug	189,477	100.00	35,876	100.00	24,578	100.00
Sep	234,707	100.00	43,774	100.00	31,101	100.00
Oct	282,821	100.00	46,055	100.00	37,351	100.00
Nov	361,531	100.00	51,467	100.00	49,186	100.00
Dec	393,736	100.00	43,236	100.00	47,191	100.00
			(Thousand)	(US\$ Thousand)		
USD						
2002	1	66.03	4	68.48	42	70.74
2003	0	62.08	2	63.91	16	66.55
2004	0	25.76	1	26.84	10	27.97
2005	1	43.39	1	36.86	48	45.02
2006	2	55.39	2	50.59	258	65.63
2006 Jan	2	60.94	2	53.27	203	64.52
Feb	1	24.04	1	20.97	68	26.25
Mar	1	6.21	0	3.99	47	17.64
Apr	1	35.53	1	26.48	150	49.64
May	1	65.00	2	59.16	200	77.76
Jun	1	66.11	0	58.09	30	72.89
Jul	2	46.96	2	39.53	184	58.13
Aug	2	71.97	2	69.96	248	86.50
Sep	2	72.35	3	63.49	299	84.62
Oct	2	57.82	2	55.68	239	74.65
Nov	2	85.78	2	81.16	209	98.39
Dec	4	89.47	12	90.55	1315	94.59

Average daily settlement instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

Year/month	Number of SIs	SIs by shares (mil)	SIs by value (HK\$ mil)
HKD			
2002	15,888	7,387	18,604
2003	19,585	9,128	25,148
2004	23,778	11,760	38,002
2005	25,353	14,061	46,206
2006	36,259	21,698	87,144
2006 Jan	33,005	17,307	71,013
Feb	35,242	20,209	76,657
Mar	34,445	20,193	74,350
Apr	40,793	22,932	94,418
May	38,290	22,577	98,619
Jun	33,383	19,729	82,031
Jul	29,916	15,457	59,745
Aug	29,956	16,236	57,979
Sep	34,534	19,731	78,213
Oct	37,647	29,508	107,908
Nov	44,244	31,263	127,738
Dec	45,758	26,117	123,739
		(Thousand)	(US\$ Thousand)
USD			
2002	1	8	101
2003	1	3	36
2004	0	2	20
2005	1	3	287
2006	2	16	1,662
2006 Jan	2	58	5,950
Feb	1	9	945
Mar	2	27	2,769
Apr	2	12	1,290
May	1	13	1,412
Jun	1	8	792
Jul	2	35	3,688
Aug	3	5	503
Sep	5	8	803
Oct	2	0	48
Nov	2	1	55
Dec	4	19	2,066

Average daily investor settlement instructions (ISIs) settled in CCASS (one sided ISIs are counted)

Year/month	Number of ISIs	ISIs by shares (mil)	ISIs by Value (HK\$ mil)
HKD			
2002	360	88	268
2003	449	79	86
2004	413	82	119
2005	349	71	100
2006	586	77	220
2006 Jan	504	54	126
Feb	460	54	150
Mar	520	58	128
Apr	656	91	345
May	596	88	188
Jun	505	61	143
Jul	405	51	127
Aug	599	73	143
Sep	581	78	249
Oct	611	85	222
Nov	752	167	640
Dec	878	67	189
		(Thousand)	(US\$ Thousand)
USD			
2002	0	0	0
2003	0	0	0
2004	0	0	0
2005	0	0	0
2006	0	0	36
2006 Jan	0	0	0
Feb	0	0	0
Mar	0	0	0
Apr	0	0	0
May	0	0	5
Jun	0	0	3
Jul	0	0	25
Aug	0	0	6
Sep	0	0	13
Oct	1	2	170
Nov	0	2	175
Dec	0	0	29

Average daily settlement efficiency of CNS stock positions

Year/month	S day	Settlement Efficiency (%)	S + 1 day
HKD			
2002	99.65		99.96
2003	99.69		99.97
2004	99.70		99.98
2005	99.78		99.98
2006	99.72		99.97
2006 Jan	99.74		99.98
Feb	99.74		99.98
Mar	99.74		99.97
Apr	99.67		99.97
May	99.61		99.97
Jun	99.73		99.97
Jul	99.83		99.98
Aug	99.83		99.98
Sep	99.78		99.98
Oct	99.75		99.98
Nov	99.62		99.96
Dec	99.61		99.97
USD			
2002	98.47		99.23
2003	98.41		100.00
2004	100.00		100.00
2005	100.00		100.00
2006	100.00		100.00
2006 Jan	100.00		100.00
Feb	100.00		100.00
Mar	100.00		100.00
Apr	100.00		100.00
May	100.00		100.00
Jun	100.00		100.00
Jul	100.00		100.00
Aug	100.00		100.00
Sep	100.00		100.00
Oct	100.00		100.00
Nov	100.00		100.00
Dec	100.00		100.00

Average daily netting efficiency of CNS trades – in stock positions and share quantity

Year/month	No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (mil)	Netting efficiency (%)
HKD				
2002	24,461	84.79	7,031	42.77
2003	29,342	86.61	8,058	56.63
2004	33,462	88.32	11,070	64.60
2005	32,297	88.73	11,271	75.20
2006	43,423	91.10	14,440	80.46
2006 Jan	43,931	90.41	14,347	76.33
Feb	46,335	90.60	15,324	78.73
Mar	45,428	90.41	14,950	78.17
Apr	50,849	91.00	16,957	73.94
May	45,287	90.93	14,845	78.87
Jun	36,394	90.83	12,103	82.68
Jul	35,832	89.72	10,714	82.40
Aug	35,843	90.26	10,743	84.73
Sep	39,513	91.21	12,627	85.37
Oct	42,744	92.14	15,689	82.63
Nov	51,200	92.68	19,052	80.92
Dec	50,547	93.27	16,961	78.58
		(%)	(Thousand)	(%)
USD				
2002	1	9.44	5	4.39
2003	1	2.48	2	0.00
2004	0	0.55	0	0.00
2005	1	9.22	1	0.60
2006	2	16.91	3	2.27
2006 Jan	2	20.54	4	0.00
Feb	1	2.63	1	0.00
Mar	1	3.26	1	9.52
Apr	2	9.90	2	0.07
May	2	14.06	3	7.30
Jun	1	1.67	1	0.00
Jul	2	16.40	3	5.56
Aug	2	27.43	4	0.00
Sep	2	31.25	5	0.00
Oct	1	24.75	2	2.17
Nov	2	11.76	1	0.00
Dec	3	40.06	21	0.00

Average daily netting efficiency of CNS trades – in money obligations

Year/month	Money obligations arising from novated contracts (HK\$mil)	Money obligations after netting (HK\$mil)	Funds netting efficiency (%)
HKD			
2002	12,278	2,984	75.70
2003	19,341	3,866	80.01
2004	29,909	5,488	81.65
2005	34,054	5,801	82.96
2006	62,606	9,350	85.06
2006 Jan	56,966	10,315	82.16
Feb	61,326	8,803	85.66
Mar	56,663	8,408	85.26
Apr	67,813	9,185	86.39
May	65,523	10,244	84.46
Jun	54,744	9,159	83.61
Jul	43,547	7,103	84.00
Aug	45,979	7,100	84.61
Sep	56,808	8,189	85.59
Oct	68,536	10,497	85.51
Nov	89,590	11,808	86.82
Dec	88,273	11,971	86.54
	(US\$ Thousand)	(US\$ Thousand)	(%)
USD			
2002	74	56	24.25
2003	26	26	0.00
2004	6	6	0.00
2005	87	84	3.17
2006	439	413	6.01
2006 Jan	405	405	0.26
Feb	64	64	0.00
Mar	93	92	0.82
Apr	257	257	0.07
May	400	281	5.85
Jun	55	55	0.00
Jul	344	344	0.00
Aug	456	456	0.00
Sep	525	514	2.09
Oct	248	244	1.87
Nov	74	70	1.77
Dec	2,546	2,353	9.17

Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

Year/month	No. of transactions	No. of shares (mil)	Value of transactions (HK\$mil)
HKD			
2002	1,330	983	829
2003	1,769	877	892
2004	1,673	1,140	1,700
2005	1,433	992	1,567
2006	2,859	1,545	3,505
2006 Jan	3,124	763	1,655
Feb	2,022	659	1,189
Mar	2,784	1,004	2,002
Apr	3,493	1,823	3,688
May	2,714	1,953	3,385
Jun	2,332	2,277	6,065
Jul	1,532	1,149	2,625
Aug	1,707	868	1,472
Sep	2,189	939	2,684
Oct	3,541	2,956	8,028
Nov	3,733	2,061	4,728
Dec	5,631	2,235	4,776
		(Thousand)	(US\$ Thousand)
USD			
2002	-	-	-
2003	-	-	-
2004	-	-	-
2005	0	4	451
2006	-	-	-
2006 Jan	-	-	-
Feb	-	-	-
Mar	-	-	-
Apr	-	-	-
May	-	-	-
Jun	-	-	-
Jul	-	-	-
Aug	-	-	-
Sep	-	-	-
Oct	-	-	-
Nov	-	-	-
Dec	-	-	-

* No transaction in US dollars handled for the years from 2002 to 2004 and 2006

Securities under CCASS custody (balances at the end of each month)

Year/month	No. of shares (mil)	% of issued shares of admitted securities	Market value (HK\$mil)	% of market capitalisation of admitted securities
HKD				
2002	952,954	54.58	1,116,658	29.62
2003	1,012,847	57.86	1,915,542	32.91
2004	1,217,707	60.71	2,464,953	34.46
2005	1,309,856	55.55	3,026,856	34.60
2006	1,657,621	58.79	5,754,895	40.23
2006 Jan	1,322,669	56.03	3,381,599	35.58
Feb	1,323,162	56.04	3,496,750	35.45
Mar	1,313,448	55.34	3,607,062	35.79
Apr	1,348,547	56.60	3,830,958	36.65
May	1,380,196	57.68	3,662,341	37.06
Jun	1,430,482	57.36	3,798,957	36.09
Jul	1,438,312	56.58	3,893,431	36.21
Aug	1,448,146	56.25	3,962,403	36.13
Sep	1,478,190	57.00	4,100,290	36.46
Oct	1,525,523	56.22	4,438,665	36.75
Nov	1,601,220	58.51	4,990,870	38.59
Dec	1,657,621	58.79	5,754,895	40.23
	(Thousand)		(US\$ Thousand)	
USD				
2002	-	-	-	-
2003	-	-	-	-
2004	-	-	-	-
2005	10,976	99.47	1,102,509	95.19
2006	12,942	100.00	1,426,158	100.00
2006 Jan	10,856	99.47	1,101,311	95.19
Feb	11,099	99.48	1,133,174	95.32
Mar	11,259	99.49	1,155,702	95.40
Apr	11,359	99.49	1,191,524	95.53
May	11,499	99.71	1,198,736	97.31
Jun	11,575	99.73	1,196,242	97.53
Jul	11,895	100.00	1,223,367	100.00
Aug	12,222	100.00	1,274,707	100.00
Sep	12,562	100.00	1,326,499	100.00
Oct	12,602	100.00	1,348,995	100.00
Nov	12,642	100.00	1,377,928	100.00
Dec	12,942	100.00	1,426,158	100.00

* No securities in US dollars are in custody for the years from 2002 – 2004.

Distribution of market participants' market shares, 2003 – 2006

Year/Month	Category A (Position 1 to 14)		Category B (Position 15 to 65)		Category C (Position > 65)		Total turnover (HK\$bil)	Average daily turnover (HK\$mil)	
	Range (%)	Total (%)	Range (%)	Total (%)	Range (%)	Total (%)			
2003	4.67 – 2.10	47.13	1.69 – 0.25	33.88	0.24 – 0.00	18.99	2,598.01	10,475.85	
2004	6.35 – 2.56	49.77	2.23 – 0.24	33.18	0.24 – 0.00	17.05	3,993.64	16,038.71	
2005	6.43 – 2.60	53.08	2.49 – 0.24	33.15	0.23 – 0.00	13.77	4,561.52	18,467.69	
2006	5.16 – 2.69	52.05	2.36 – 0.24	35.60	0.23 – 0.00	12.35	8,452.31	34,219.88	
2006	Jan	5.53 – 2.74	51.42	2.39 – 0.25	35.17	0.24 – 0.00	13.41	582.49	30,657.49
	Feb	6.19 – 2.58	50.66	2.41 – 0.25	35.88	0.25 – 0.00	13.46	659.99	32,999.53
	Mar	5.00 – 2.61	50.43	2.52 – 0.23	36.14	0.22 – 0.00	13.43	706.67	30,724.82
	Apr	5.71 – 2.52	51.74	2.28 – 0.24	35.08	0.24 – 0.00	13.18	649.32	38,195.33
	May	5.32 – 2.78	52.03	2.74 – 0.23	35.58	0.23 – 0.00	12.39	722.33	36,116.29
	Jun	6.28 – 2.71	54.64	2.46 – 0.23	34.34	0.22 – 0.00	11.02	659.61	29,982.36
	Jul	4.92 – 2.47	51.83	2.40 – 0.23	36.04	0.23 – 0.00	12.13	492.49	23,451.96
	Aug	6.31 – 2.44	52.96	2.44 – 0.23	35.87	0.23 – 0.00	11.17	571.04	24,827.64
	Sep	5.83 – 2.50	54.06	2.37 – 0.21	35.01	0.21 – 0.00	10.93	660.82	31,467.66
	Oct	5.55 – 2.71	51.68	2.56 – 0.22	36.68	0.21 – 0.00	11.64	750.99	37,549.61
	Nov	6.27 – 2.51	54.08	2.35 – 0.22	34.56	0.22 – 0.00	11.36	1,090.61	49,572.97
	Dec	4.85 – 2.51	51.26	2.33 – 0.24	36.45	0.23 – 0.00	12.29	905.95	47,681.72

Note: The report includes all Exchange Participant firms that had paid Transaction Levy, Investor Compensation Levy (if applicable) and Trading Fee to the Exchange.

Statistics on Exchange participants

Stock Exchange

Year/quarter	No. of trading right holders	Exchange	Exchange	Non-Exchange Participants
		Participants – trading	Participants – non-trading	
2002	543 (929)	471 (854)	38 (41)	34 (34)
2003	532 (924)	437 (821)	62 (70)	33 (33)
2004	522 (922)	434 (827)	56 (63)	32 (32)
2005	519 (922)	429 (825)	39 (46)	51 (51)
2006	518 (921)	425 (818)	44 (54)	49 (49)
2006	Q1	520 (922)	427 (821)	42 (50)
	Q2	517 (922)	426 (823)	41 (49)
	Q3	517 (922)	425 (821)	42 (51)
	Q4	518 (921)	425 (818)	44 (54)

Futures Exchange

Year/quarter	No. of trading right holders	Exchange	Exchange	Non-Exchange Participants
		Participants – trading	Participants – non-trading	
2002	195 (230)	133 (166)	–	62 (64)
2003	194 (227)	129 (160)	–	65 (67)
2004	191 (225)	126 (158)	–	65 (67)
2005	193 (225)	137 (167)	–	56 (58)
2006	194 (225)	135 (164)	–	59 (61)
2006	Q1	194 (225)	138 (167)	–
	Q2	194 (225)	137 (166)	–
	Q3	194 (225)	136 (165)	–
	Q4	194 (225)	135 (164)	–

Notes:

- (1) The figures in brackets are the number of trading rights held
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities dealing activity.

HKCC Participants

Year/quarter	Clearing Participants	General Clearing Participants
2002	120	4
2003	116	4
2004	116	4
2005	128	5
2006	125	6
2006 Q1	130	5
2006 Q2	129	5
2006 Q3	128	5
2006 Q4	125	6

SEOCCH Participants

Year/quarter	Direct Clearing Participants	General Clearing Participants
2002	46	3
2003	44	3
2004	44	3
2005	48	3
2006	46	4
2006 Q1	48	3
2006 Q2	47	4
2006 Q3	46	4
2006 Q4	46	4

HKSCC Participants

Year/quarter	Broker Participants	Non-broker Participants (Note)
2002	479	50
2003	443	50
2004	440	47
2005	435	45
2006	431	44
2006 Q1	434	44
2006 Q2	433	44
2006 Q3	431	44
2006 Q4	431	44

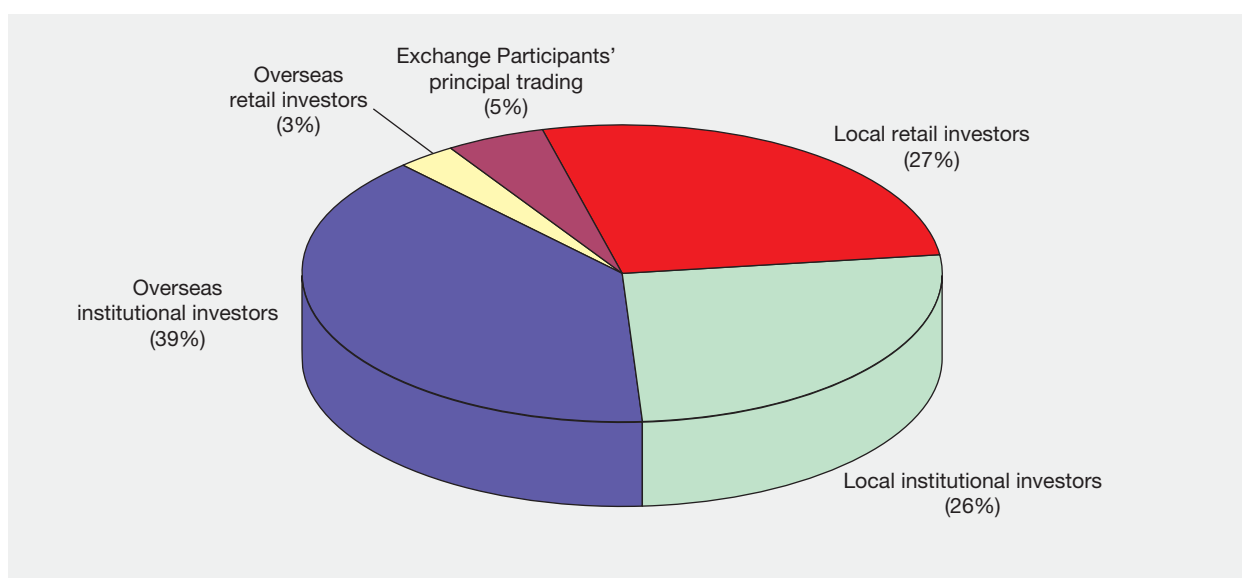
Note: Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledge Participants

Cash market – distribution of market trading by investor type

According to the Cash Market Transaction Survey 2005/06 which covered trading in the HKEx stock market during the 12-month period from October 2005 to September 2006, the majority of market trading in value terms (about 53%) came from local investors – 27% for retail investors and 26% for institutional investors. Overseas institutional investors made the largest contribution (39%) among all contributor groups. The contribution from overseas retail investors remained small – 3% of the market turnover value. Exchange participants' principal trading contributed about 5% of the market turnover value.

Retail online trading (i.e. trading where orders are directly placed by retail investors and channeled to the broker via electronic media such as the Internet) constituted about 13% of total retail turnover value or 4% of total market turnover value.

Distribution of cash market trading value by investor type (Oct 2005 – Sep 2006)



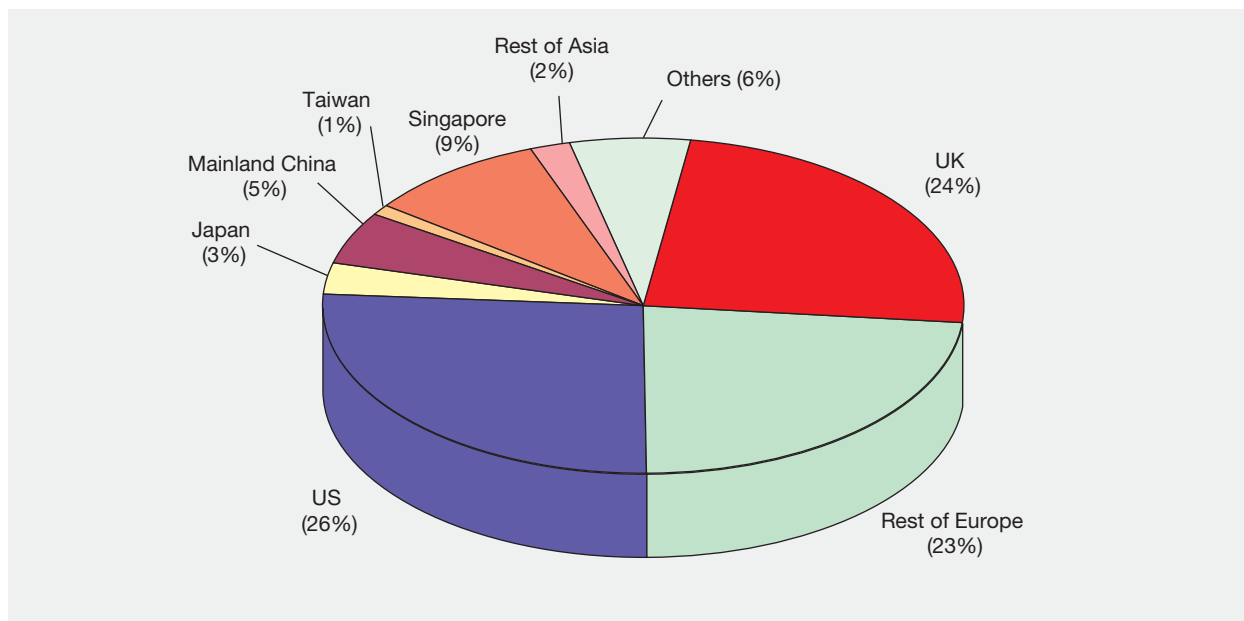
Distribution of cash market trading value by investor type (2003/04 – 2005/06)

	2003/04	2004/05	2005/06
Exchange Participants' principal trading	7%	8%	5%
Local investor trading	57%	56%	53%
– retail	34%	30%	27%
– institutional	22%	27%	26%
Overseas investor trading	36%	36%	41%
– retail	3%	2%	3%
– institutional	33%	34%	39%
Total	100%	100%	100%

Note: Numbers may not add up to sub-totals and totals due to rounding.

Overseas investors contributed in aggregate 41% of the market turnover. Among them, US investors were the major contributors, contributing 26% of total overseas investor trading, followed by the UK (24%) and the rest of Europe (23%). Singapore and Mainland China were the largest Asian origins, contributing respectively 9% and 5% of total overseas investor trading.

Distribution of overseas investor trading value in cash market by origin (Oct 2005 – Sep 2006)



Distribution of overseas investor trading value in cash market by origin (2003/04 – 2005/06)

	2003/04	2004/05	2005/06
UK & Europe	53%	47%	47%
UK	28%	25%	24%
Rest of Europe	24%	22%	23%
US	25%	29%	26%
Asia	20%	18%	21%
Japan	3%	3%	3%
Mainland China	7%	5%	5%
Taiwan	1%	1%	1%
Singapore	8%	8%	9%
Rest of Asia	1%	1%	2%
Others	3%	6%	6%
Total	100%	100%	100%

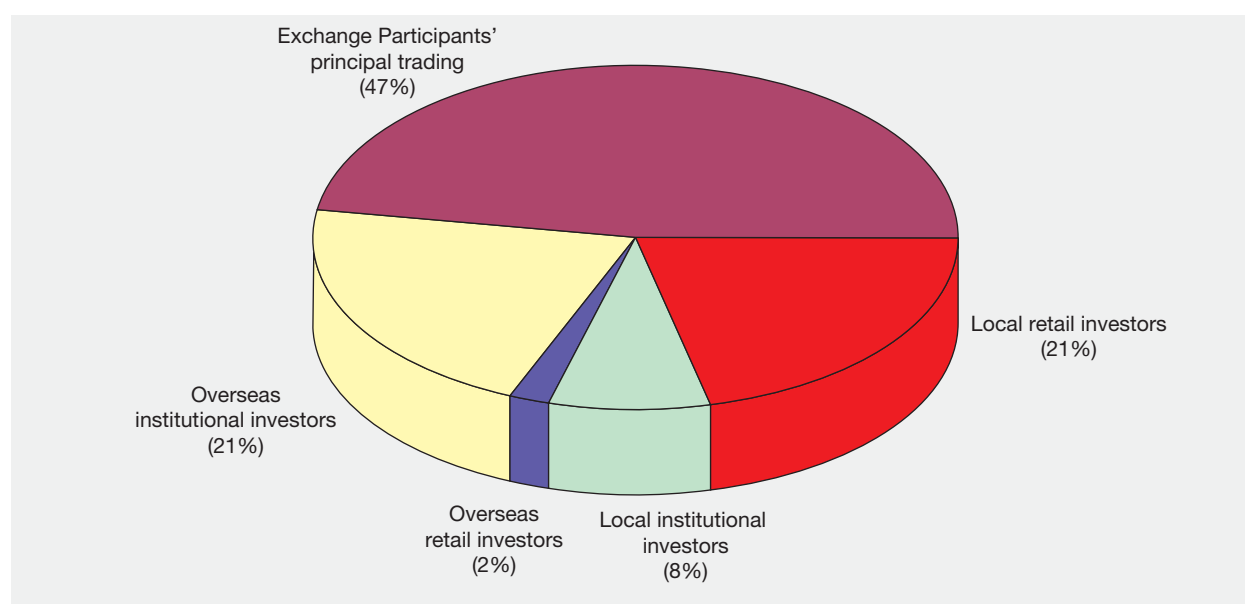
Note: Numbers may not add up to sub-totals and totals due to rounding.

Derivatives market – distribution of market trading by investor type

According to the Derivatives Market Transaction Survey 2005/06 which covered trading in the major futures and options contracts on HKEx during the 12-month period from July 2005 to June 2006, Exchange Participants' principal trading contributed 47% of the total contract volume; local investors contributed 29% and overseas investors (mainly institutions) contributed 23% of contract volume. For stock options, the majority of turnover was Exchange Participants' principal trading (82% of the product's total volume). Overseas institutional investors were the major contributors to trading in Hang Seng Index (HSI) Futures (38%) and H-shares Index (HHI) Futures (41%). For Mini-HSI Futures, local retail investors continued to be the dominant participants (57%). For HHI Options (covered in the survey for the first time), the contributions from overseas and local institutional investors (28% and 26% respectively) and Exchange Participants' principal trading (26%) were equally significant. The majority of turnover in HSI Options was Exchange Participants' principal trading (41%).

Retail online trading contributed 22% of total retail investor trading or 5% of total market turnover.

Distribution of derivatives market trading by investor type (Jul 2005 – Jun 2006)



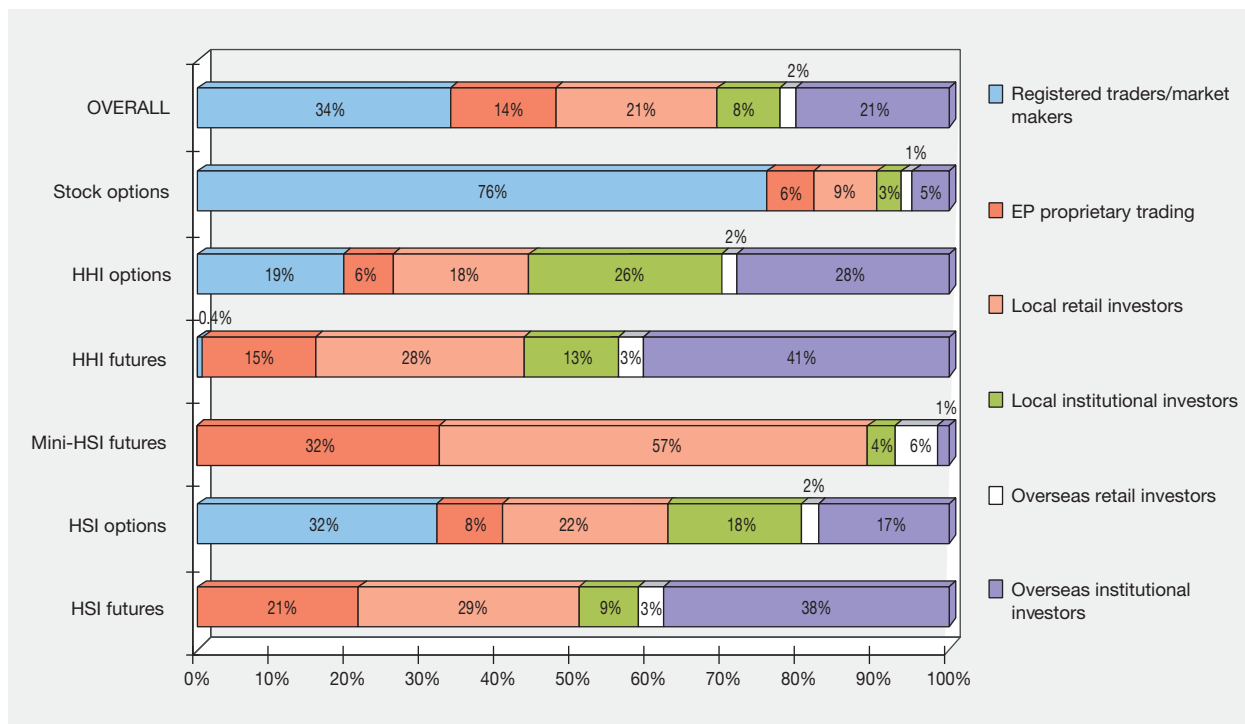
Distribution of derivatives market trading by investor type (2003/04 – 2005/06)

	2003/04	2004/05	2005/06
Exchange Participants' principal trading*	38%	35%	47%
Local investor trading	39%	36%	29%
– retail	29%	26%	21%
– institutional	10%	10%	8%
Overseas investor trading	23%	29%	23%
– retail	2%	3%	2%
– institutional	21%	26%	21%
Total	100%	100%	100%

* Exchange Participants' principal trading comprises trading of registered traders/market makers and proprietary trading.

Note: Numbers may not add up to sub-totals and totals due to rounding.

Distribution of derivatives trading by investor type for overall market and each product (Jul 2005 – Jun 2006)

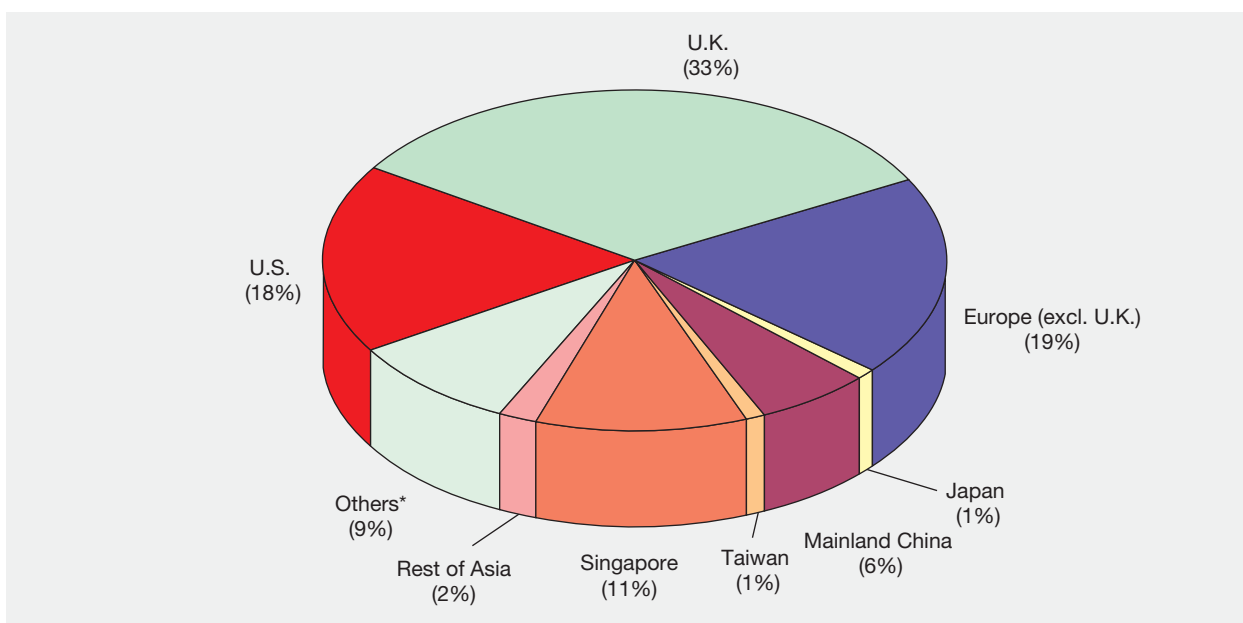


Notes:

- (1) Trading of registered traders/market makers and proprietary trading are components of EP principal trading.
- (2) Numbers may not add up to 100% due to rounding.

Among overseas investors, the largest contributors were investors from the UK, which contributed 33% of total overseas investor trading. They were followed by investors from Europe (excluding the UK) and the US, which contributed 19% and 18% of overseas investor trading respectively.

Distribution of overseas investor trading in derivatives by origin (Jul 2005 – Jun 2006)



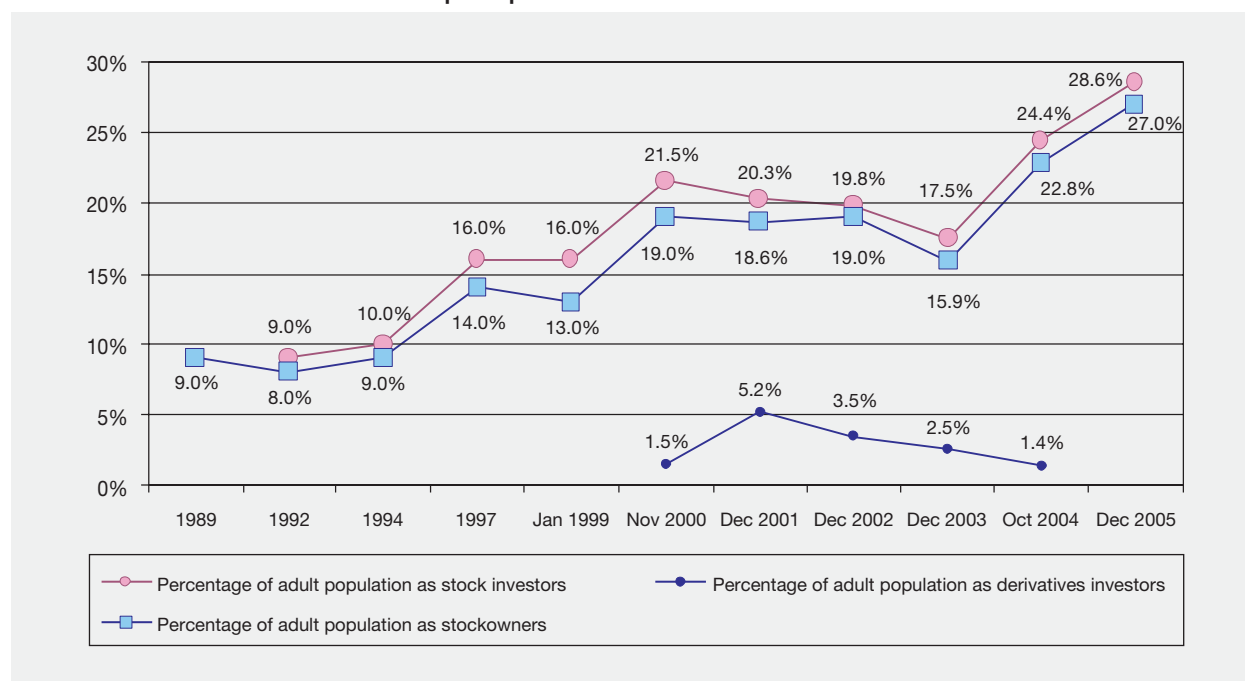
* Other origins included Australia, Bahamas, Bermuda, British Virgin Islands, Canada, Liberia, Mauritius and New Zealand.

Note: Numbers may not add up to 100% due to rounding.

Retail investors

HKEx conducted its latest Retail Investor Survey in December 2005. According to the survey findings, 27.0% of the adult population (or 1,531,000 individuals) were stockowners¹; 28.6% (or 1,618,000 individuals) were stock investors²; 2.4% (or 135,000 individuals) were derivatives investors^{3,4}; and 28.8% (or 1,631,000 individuals) were retail investors who were either stock investor or derivatives investor or both.

Retail participation rates in stocks and derivatives



Projected number of investors by type

	Nov 2000	Dec 2001	Dec 2002	Dec 2003	Oct 2004	Dec 2005
Stock investors	1,147	1,089	1,073	957	1,354	1,618
Stock owners	1,029	995	1,028	872	1,264	1,531
Derivatives investors	79	279	190	137	80	135

Note: Projected numbers were based on survey findings and are subject to errors.

The typical Hong Kong retail stock investor is a 42 year-old white-collar worker, with upper secondary or above education and a monthly personal income of about HK\$18,750. The typical Hong Kong retail derivatives investor is a 42 year-old white-collar worker, with tertiary or above education and a monthly personal income of about HK\$22,500.

- 1 Stockowner: An individual who was, at the time of the interview, holding stocks listed or traded on HKEx — including equities, warrants, Exchange Traded Funds (ETFs) such as Tracker Fund, and Real Estate Investment Trusts (REITs) such as the Link REIT, etc.
- 2 Stock investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded stocks listed or traded on HKEx — including equities, warrants, Exchange Traded Funds (ETFs) such as Tracker Fund and Real Estate Investment Trusts (REITs) such as Link REIT, etc.
- 3 Derivatives investor: An individual who was, at the time of the interview, holding or had traded derivative products (futures and options) on HKEx in the past 12 months.
- 4 The achieved sample of derivatives investors in the survey was rather small. The percentages on derivatives investors are subject to relatively large error.

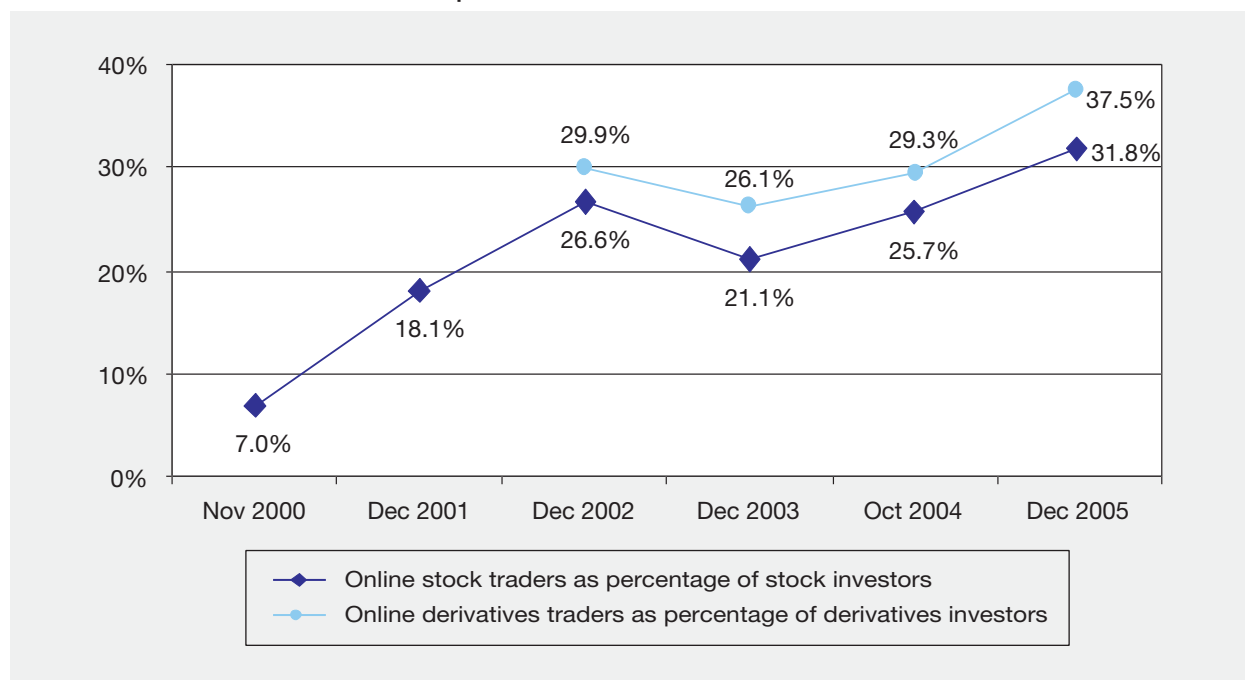
The median number of stock transactions by stock investors during the 12 months ending December 2005 was 5, compared to 4 in 2004. The average value per stock transaction by stock investors had a median of HK\$30,000, the same as in 2004. The implied total stock transaction value per stock investor⁵ during the 12-month period had a median of HK\$200,000, larger than the HK\$150,000 in 2004.

The median number of derivatives transactions by derivatives investors during the 12-month period was 6, less than the 10 in 2004. The average number of contracts per derivatives transaction by derivatives investors had a median of 3, compared to 2 in 2004. The implied total contract volume per derivatives investor⁶ during the 12-month period had a median of 24, compared to 30 in 2004.

The survey also found that about 31.8% of stock investors (or 38.5% of stock traders⁷) and 37.5% of derivatives investors were online traders, i.e. investors who had traded the respective products on HKEx during the 12-month period, either always or sometimes, through electronic media such as the Internet.

Online stock traders tended to trade stocks more frequently than non-online stock traders – they had a median of 10 stock transactions in the 12-month period versus 6 for the non-online stock traders. The same situation happened in derivatives trading – online derivatives traders had a median of 10 derivatives transactions in the 12-month period, more than the 5 transactions for non-online derivatives traders.

Proportions of investors as online traders



Among the stock traders, 36% traded mainly through broker firms and 64% mainly through banks. Among the derivatives traders, 47% traded mainly through broker firms and 53% mainly through banks.

5 The implied total transaction value for the 12-month period for a respondent was calculated by multiplying the respondent's number of stock transactions by his/her average value per stock transaction. The figure is two-sided, i.e. both buy and sell sides for a transaction are counted. It must be borne in mind that the figure is subject to estimation error.

6 The implied total contract volume during the 12-month period for a respondent was calculated by multiplying the respondent's number of derivatives transactions by his/her average number of contracts per derivatives transaction. The figure is two-sided, i.e. both buy and sell sides for a transaction are counted. It must be borne in mind that the figure is subject to estimation error.

7 Stock trader: An individual who, in the past 12 months at the time of the interview, had traded stocks listed or traded on HKEx – including equities, warrants, Exchange Traded Funds (ETFs) such as Tracker Fund and Real Estate Investment Trusts (REITs) such as Link REIT, etc.

Hong Kong Securities and Derivatives Markets Historical Events

- 1891 "The Association of Stockbrokers in Hong Kong", the first formal stock exchange in Hong Kong was formed.
- 1914 The name of the Association of Stockbrokers in Hong Kong was changed to "The Hong Kong Stock Exchange".
- 1921 A second stock exchange, "The Hong Kong Stockbrokers' Association", was incorporated.
- 1947 The Hong Kong Stock Exchange and the Hong Kong Stockbrokers' Association were merged to form a joint exchange under the name of "The Hong Kong Stock Exchange Ltd".
- 1969 Dec The Far East Exchange Ltd commenced business on 17 December.
- 1970 Feb The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
- 1971 Mar The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
- 1972 Jan The Kowloon Stock Exchange Ltd commenced business on 5 January.
- 1973 Jan The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
- A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
- Feb The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
- The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
- 1974 Feb The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
- Apr The Federation of Share Registrars was established.
- Jul The Hong Kong Federation of Stock Exchange was established.
- Aug Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.
- Oct Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.

- 1975 Aug The Securities Commission approved the Code on Takeovers and Mergers.
- Dec The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares – other than on a pro-rata basis to existing shareholders – without the prior approval of shareholders.
- 1976 The Commodities Trading Ordinance was passed by the Legislative Council.
- Aug Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
- Dec On 17 December, the Hong Kong Commodity Exchange Limited (HKCE) was incorporated.
- 1977 May Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
- On 9 May, HKCE commenced the trading of its first commodity product – cotton futures.
- Nov On 15 November, HKCE commenced trading of sugar futures.
- Dec An Insider Dealing Tribunal was set up.
- 1978 Mar Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
- 1979 Aug A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
- Nov HKCE commenced trading of soybean futures.
- 1980 Jul The Stock Exchange of Hong Kong Ltd (SEHK) was incorporated.
- Aug The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council.
- On 19 August, HKCE commenced trading of gold futures.
- 1981 Jan The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
- Jul Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.
- Oct The first committee members of SEHK were elected.
- 1982 A Financial Futures Working Party was formed to study the introduction of financial futures.
- 1983 Mar The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.

- 1984 May The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.
- 1985 May On 7 May, HKCE was renamed the Hong Kong Futures Exchange Limited (HKFE).
- Aug The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.
- The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of SEHK was removed.
- 1986 Feb The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.
- The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.
- The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.
- The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.
- Mar The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.
- Apr SEHK commenced trading on 2 April.
- May On 6 May, HKFE commenced the trading of its first financial product – Hang Seng Index (HSI) Futures.
- Sep SEHK became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.
- Oct The grand opening of SEHK took place on 6 October.
- 1987 Apr On 8 April, SEHK Chairman and the Commissioner for Securities made a joint statement that the two had agreed that no further new issues of B shares (shares of which the proposed voting power does not bear a reasonable relationship to the equity interest of such shares when fully paid) would be allowed to list on the SEHK.
- Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.
- The General Committee of SEHK unanimously resolved that trading on SEHK would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.
- The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.

- The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK\$4 billion of which HK\$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the HKFE. With effect from 29 October, a levy of HK\$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.
- Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK\$500,000 to HK\$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.
- 1988 Jan The new Management Sub-committee of SEHK was formed on 2 January to take up the duties of the General Committee.
- Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.
- Jul An amendment to the Articles of Association of SEHK, which allowed SEHK to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.
- Sep SEHK and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, SEHK would not list any new B shares and would not permit listed companies to issue any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.
- Oct The first 22-member Council of SEHK was formed on 18 October.
- Nov The first Nominating Committee of SEHK was formed on 7 November.
- 1989 The clearing functions for HKFE were transferred from Hong Kong Futures Guarantee Corporation Limited and International Commodities Clearing House (Hong Kong) Limited to the newly established futures clearing company, HKFE Clearing Corporation Limited (HKCC).
- Feb The All Ordinaries Index was launched by SEHK on 1 February.
- Mar SEHK announced the formation of the Hong Kong Securities Clearing Company Limited (HKSCC), a company limited by guarantee.
- Apr The Legislative Council passed the Securities and Futures Commission Ordinance 1989.
- May The new Securities and Futures Commission (SFC) was formally established on 1 May.
- Sep The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.
- Oct The Council of SEHK endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.
- Dec The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.

- 1990 HKFE introduced its first interest rate futures – futures based on the three-month Hong Kong Interbank Offered Rate (HIBOR).
- Feb The minimum brokerage for each securities transaction traded on SEHK was raised from HK\$25 to HK\$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.
- Apr On 2 April, the Council of SEHK resolved that Council members on the Board of HKSCC should be broker members and that the Chairman and the Deputy Chairman of the Board of HKSCC must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.
- Jul The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.
- 1991 HKFE introduced three futures based on sub-indices of the HSI.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).
- On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.
- Sep The Securities (Disclosure of Interests) Ordinance came into force on 1 September.
- Nov The Council of SEHK and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of SEHK on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total – 18 broker members, 11 lay members and the chief executives of SEHK and HKSCC.
- On 25 November, the SFC and SEHK announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to SEHK effective 31 December.
- 1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.
- May On 11 May, changes made to the Listing Rules of SEHK and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the SEHK, took effect.
- Jun The settlement period for members of SEHK was changed from T+1 to T+2 on 8 June.
- On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.

- Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.
- The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK\$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK\$2 million.
- On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.
- On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.
- 1993 Mar On 5 March, HKFE introduced its first options product – Hang Seng Index Options.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).
- Jun On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and SEHK signed a Memorandum of Regulatory Co-operation in Beijing.
- Jul Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.
- Aug On 16 August, the Special Levy payable on every transaction recorded on SEHK and HKFE was suspended.
- Nov On 1 November, SEHK launched the Automatic Order Matching and Execution System (AMS).
- 1994 Jan On 3 January, SEHK launched a pilot scheme for regulated short-selling of shares.
- Mar Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.
- Jul With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.
- 1995 Mar On 31 March, HKFE introduced its first single-stock product – stock futures on two stocks.
- Sep On 8 September, SEHK launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.
- Nov On 3 November, HKFE introduced its first currency futures – Rolling Forex, and launched an electronic screen-based trading system, the Automated Trading System (ATS) for trading the new product.
- 1996 Jan On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in SEHK members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.

- 1997 Sep HKFE introduced Hang Seng China-Affiliated Corporations Index (Red Chips index) futures and options on 12 September, and relaunched the Three-Month HIBOR Futures on 26 September.
- Nov On 28 November, the Hong Kong Securities Institute was incorporated.
- 1998 Apr With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between SEHK and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).
- May On 8 May, HKSCC launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.
- 1999 May On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.
- Sep HKFE suspended the trading of gold futures, the last exchange-traded commodity product.
- Nov On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on SEHK. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.
- On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.
- 2000 Mar On 6 March, SEHK, HKFE and HKSCC became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEx). Mr Charles Lee was appointed the first Chairman of HKEx. Mr Kwong Ki-chi was appointed the Chief Executive of HKEx.
- On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.
- Apr With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).
- May On 31 May, SEHK launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.
- Jun On 5 June, HSI Futures and Options migrated from open outcry trading to HKFE's electronic trading system – Hong Kong Futures Automated Trading System (HKATS). Floor trading in HKFE was totally abolished.
- On 27 June, shares of HKEx were listed by introduction on SEHK with a stock code of “388”.
- Sep On 15 September, HKEx announced the introduction of an Internet Initial Public Offering (iIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.
- Oct On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on SEHK. Also, MTRC was the first listing issuer using HKEx's iIPO.
- On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.

- 2001 Feb On 23 February, HKEx introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.
- Aug On 6 August, stock options trading was successfully migrated from the Traded Options System (TOPS) to the Hong Kong Futures Automated Trading System (HKATS). Clearing and settlement of stock options trades continues to be performed on TOPS.
- Sep On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).
- On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.
- 2002 Mar On 8 March, the SFC levy on trading of options on stock futures was reduced from \$1.0 to \$0.2 per contract per side. The compensation fund levy on trading of options on stock futures was reduced from \$0.5 to \$0.1 per contract per side.
- On 25 March, HKEx introduced a pre-opening session and an index basket order trading mechanism in the securities market. The 30-minute pre-opening session starts at 9:30 am. The index basket order trading mechanism is only applicable in the continuous trading session.
- May On 16 May, HKEx introduced the first phase of CCASS/3, the new generation of the Central Clearing and Settlement System.
- Aug On 5 August, the first six Equity Linked Instruments (ELIs) were listed on SEHK.
- Sep On 16 September, Hong Kong Monetary Authority (HKMA) announced the termination of the Tap Facility of the Tracker Fund of Hong Kong (TraHK) on exhaustion of the Tap Issue Limit in the fourth quarter of 2002, thus concluding the Government stock market operation which was initiated in 1998.
- Dec On 9 December, HKEx introduced the second phase of CCASS/3.
- 2003 Apr On 1 April, the Securities and Futures Ordinance (SFO) became effective together with a number of codes, guidelines and forms for its implementation.
- Effective from 1 April, the minimum commission rate rule governing Stock Exchange Participants (SEPs) was abolished. Commissions became freely negotiable between SEPs and their clients.
- May Effective from 1 May, Mr Paul Chow was appointed the Chief Executive of HKEx.
- On 26 May, HKEx introduced the final phase of CCASS/3 by launching the Participant Gateway. The Participant Gateway serves as an additional communication channel to the CCASS/3 Terminal and provides a direct electronic interface between CCASS/3 and CCASS Participants' back office systems.
- Nov On 17 November, HKEx opened its first Mainland representative office in Beijing.
- Dec On 8 December, HKEx introduced H-shares Index futures.

- 2004 Apr On 6 April, HKEx introduced the Derivatives Clearing and Settlement System (DCASS) which provides a common platform for the clearing and settlement of all derivative products traded on HKEx markets.
- Jun On 14 June, HKEx introduced H-shares Index options.
- 2005 May On 23 May, HKEx introduced FTSE/Xinhua China 25 Index futures and options.
- Oct On 28 October, the Securities and Futures (Investor Compensation – Levy) (Amendment) Rules 2005 became effective whereby a levy suspension and re-instatement mechanism was introduced. Accordingly, the investor compensation levies would be suspended when the net asset value (NAV) of the Investor Compensation Fund (ICF) exceeds \$1.4 billion, and subsequently reinstated when the NAV of the ICF falls below \$1 billion.
- Nov On 2 November, legislation was passed to abolish the estate duty.
- On 25 November, the first Real Estate Investment Trust (REIT), The Link REIT, was listed on SEHK.
- 2006 Apr On 26 April, HKEx announced the Grand Opening of the Exchange Trading and Exhibition Hall Complex. The Exhibition Hall opened to the public starting from 15 May.
- Apr On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEx.
- Jun On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.
- On 12 June, the first batch of seven Callable Bull/Bear Contracts (CBBCs) began trading on SEHK.
- July On 3 July, HKEx introduced Bank of China Ltd Futures and Options.
- On 13 July, legislation was passed to establish Financial Reporting Council which will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies.
- Oct On 27 October, Industrial and Commercial Bank of China (ICBC), the first company listed by way of simultaneous H and A Shares Offering, commenced trading in its shares on HKEx's securities market. It was the largest IPO by total funds raised (US\$19.1 billion) in world stock market history.
- Dec On 1 December, the transaction levy in respect of any trading in securities, futures or options contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%.

List of listed companies on Main Board

End of 2006

Code	Company name	Incorporation	Listing date
00139	139 Holdings Ltd.	Bermuda	1994/03/15
02018	AAC Acoustic Technologies Holdings Inc.	Cayman Islands	2005/08/09
00030	ABC Communications (Holdings) Ltd.	Bermuda	1991/10/09
03344	Addchance Holdings Ltd.	Cayman Islands	2005/10/05
03355	Advanced Semiconductor Manufacturing Corporation Ltd. – H Shs	PRC	2006/04/07
00900	Aeon Credit Service (Asia) Co. Ltd.	Hong Kong	1995/09/14
00984	AEON Stores (Hong Kong) Co., Ltd.	Hong Kong	1994/02/04
03383	Agile Property Holdings Ltd.	Cayman Islands	2005/12/15
00753	Air China Ltd. – H Shares	PRC	2004/12/15
00328	Alco Holdings Ltd.	Bermuda	1992/11/27
00684	Allan International Holdings Ltd.	Bermuda	1992/11/10
00373	Allied Group Ltd.	Hong Kong	1973/01/12
00056	Allied Properties (HK) Ltd.	Hong Kong	n.a.
00833	Alltronics Holdings Ltd.	Cayman Islands	2005/07/15
02600	Aluminum Corporation of China Ltd. – H Shares	PRC	2001/12/12
00959	A-Max Holdings Ltd.	Bermuda	1997/10/03
00077	AMS Public Transport Holdings Ltd.	Cayman Islands	2004/04/15
02300	AMVIG Holdings Ltd.	Cayman Islands	2004/03/26
00723	Anex International Holdings Ltd.	Bermuda	1991/07/18
00347	Angang Steel Co. Ltd. – H Shares	PRC	1997/07/24
00914	Anhui Conch Cement Co. Ltd. – H Shares	PRC	1997/10/21
00995	Anhui Expressway Co. Ltd. – H Shares	PRC	1996/11/13
00905	Apex Capital Ltd.	Cayman Islands	1998/07/17
00519	Applied Development Holdings Ltd.	Bermuda	1986/03/24
01045	APT Satellite Holdings Ltd.	Bermuda	1996/12/18
00102	Arnhold Holdings Ltd.	Bermuda	1994/01/10
00565	Art Textile Technology International Co. Ltd.	Cayman Islands	2003/09/10
00931	Artel Solutions Group Holdings Ltd.	Cayman Islands	2001/10/24
01229	Artfield Group Ltd.	Bermuda	1995/04/13
01120	Arts Optical International Holdings Ltd.	Bermuda	1996/11/08
00104	Asia Commercial Holdings Ltd.	Bermuda	1987/07/29
00662	Asia Financial Holdings Ltd.	Bermuda	1990/12/18
00214	Asia Orient Holdings Ltd.	Bermuda	1986/01/06
00899	Asia Resources Holdings Ltd.	Bermuda	1997/10/24
01135	Asia Satellite Telecommunications Holdings Ltd.	Bermuda	1996/06/19
00292	Asia Standard Hotel Group Ltd.	Bermuda	1988/09/22
00129	Asia Standard International Group Ltd.	Bermuda	1973/01/24
00376	Asia TeleMedia Ltd.	Hong Kong	1987/07/17
00679	Asia Tele-Net And Technology Corporation Ltd.	Bermuda	1991/01/31
00395	Asia Zirconium Ltd.	Cayman Islands	2002/10/28
00419	Asian Union New Media (Group) Ltd.	Cayman Islands	1987/07/08
00522	ASM Pacific Technology Ltd.	Cayman Islands	1989/01/03
00105	Associated International Hotels Ltd.	Hong Kong	1985/10/24
00477	AUPU Group Holding Co. Ltd.	Cayman Islands	2006/12/08
00353	Aurora Global Investment Holdings Ltd.	Cayman Islands	2001/07/26
00771	Automated Systems Holdings Ltd.	Bermuda	1997/11/05
00595	AV Concept Holdings Ltd.	Cayman Islands	1996/04/24
02357	AviChina Industry & Technology Co. Ltd. – H Shares	PRC	2003/10/30
00562	BALtrans Holdings Ltd.	Bermuda	1992/05/01
03988	Bank of China Ltd. – H Shares	PRC	2006/06/01
03328	Bank of Communications Co., Ltd. – H Shares	PRC	2005/06/23
00023	Bank of East Asia, Ltd., The	Hong Kong	n.a.
02355	Baoye Group Co. Ltd. – H Shares	PRC	2003/06/30
00483	Bauhaus International (Holdings) Ltd.	Cayman Islands	2005/05/12
00021	Beauforte Investors Corporation Ltd.	Hong Kong	n.a.
00694	Beijing Capital International Airport Co. Ltd. – H Shares	PRC	2000/02/01
02868	Beijing Capital Land Ltd. – H Shares	PRC	2003/06/19
00154	Beijing Development (Hong Kong) Ltd.	Hong Kong	n.a.
00392	Beijing Enterprises Holdings Ltd.	Hong Kong	1997/05/29
01000	Beijing Media Corporation Ltd. – H Shares	PRC	2004/12/22
00588	Beijing North Star Co. Ltd. – H Shares	PRC	1997/05/14
00187	Beiren Printing Machinery Holdings Ltd. – H Shares	PRC	1993/08/06
01130	Benefun International Holdings Ltd.	Cayman Islands	1997/06/03
02326	BEP International Holdings Ltd.	Bermuda	2003/03/03
00288	Berjaya Holdings (HK) Ltd.	Hong Kong	n.a.
00718	Bestway International Holdings Ltd.	Bermuda	1995/10/02
02388	BOC Hong Kong (Holdings) Ltd.	Hong Kong	2002/07/25
00653	Bonjour Holdings Ltd.	Cayman Islands	2003/07/16
00592	Bossini International Holdings Ltd.	Bermuda	1993/11/02
01163	Bright International Group Ltd.	Bermuda	1999/11/11
01114	Brilliance China Automotive Holdings Ltd.	Bermuda	1999/10/22
01149	Broad Intelligence Int'l Pharmaceutical Holdings Ltd.	Cayman Islands	2003/11/24
00240	Build King Holdings Ltd.	Bermuda	n.a.
00108	Buildmore International Ltd.	Hong Kong	1973/02/09
00024	Burwill Holdings Ltd.	Bermuda	1983/09/15
01211	BYD Co. Ltd. – H Shares	PRC	2002/07/31
00043	C. P. Pokphand Co. Ltd.	Bermuda	1988/04/28
00341	Cafe de Coral Holdings Ltd.	Bermuda	1986/07/16
00193	Capital Estate Ltd.	Hong Kong	1972/09/11
00497	Capital Strategic Investment Ltd.	Bermuda	1992/04/15
00729	Carico Holdings Ltd.	Bermuda	1991/10/03
00643	Carry Wealth Holdings Ltd.	Bermuda	2000/03/13
00996	CASH Retail Management Group Ltd.	Bermuda	1994/02/17
01185	CASIL Telecommunications Holdings Ltd.	Cayman Islands	1997/08/11
00293	Cathay Pacific Airways Ltd.	Hong Kong	1986/05/15
00232	CATIC International Holdings Ltd.	Bermuda	1991/12/12

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00161	CATIC Shenzhen Holdings Ltd. – H Shares	PRC	1997/09/29
00261	CCT Tech International Ltd.	Bermuda	1991/12/30
00138	CCT Telecom Holdings Ltd.	Bermuda	1991/11/11
00759	CEC International Holdings Ltd.	Bermuda	1999/11/15
01049	Celestial Asia Securities Holdings Ltd.	Bermuda	1994/04/27
00355	Century City International Holdings Ltd.	Bermuda	1981/09/07
00079	Century Legend (Holdings) Ltd.	Bermuda	1993/07/08
00092	Champion Technology Holdings Ltd.	Bermuda	1992/08/19
00264	Chanco International Group Ltd.	Cayman Islands	2003/03/12
00682	Chaoda Modern Agriculture (Holdings) Ltd.	Cayman Islands	2000/12/15
00057	Chen Hsong Holdings Ltd.	Bermuda	1991/10/22
01202	Chengdu PUTIAN Telecommunications Cable Co. Ltd. – H Shares	PRC	1994/12/13
01196	Cheong Ming Investments Ltd.	Bermuda	1997/01/20
00131	Cheuk Nang (Holdings) Ltd.	Hong Kong	n.a.
00001	Cheung Kong (Holdings) Ltd.	Hong Kong	1972/11/01
01038	Cheung Kong Infrastructure Holdings Ltd.	Bermuda	1996/07/17
00025	Chevalier International Holdings Ltd.	Bermuda	1984/12/05
00508	Chevalier iTech Holdings Ltd.	Bermuda	1988/10/26
00112	Chi Cheung Investment Co., Ltd.	Hong Kong	1972/12/06
00699	Chia Hsin Cement Greater China Holding Corporation	Cayman Islands	2003/12/12
00121	Chia Tai Enterprises International Ltd.	Cayman Islands	1981/03/27
00031	China Aerospace International Holdings Ltd.	Hong Kong	1981/08/25
01073	China Agrotech Holdings Ltd.	Cayman Islands	2002/01/14
00170	China Assets (Holdings) Ltd.	Hong Kong	1992/04/15
00370	China Best Group Holding Ltd.	Bermuda	1996/03/26
03983	China BlueChemical Ltd. – H Shares	PRC	2006/09/29
00217	China Chengtong Development Group Ltd.	Hong Kong	n.a.
01898	China Coal Energy Co. Ltd. – H Shares	PRC	2006/12/19
01800	China Communications Construction Co. Ltd. – H Shares	PRC	2006/12/15
00552	China Communications Services Corporation Ltd. – H Shares	PRC	2006/12/08
00290	China Conservational Power Holdings Ltd.	Cayman Islands	2001/05/03
00939	China Construction Bank Corporation – H Shares	PRC	2005/10/27
01919	China COSCO Holdings Co. Ltd. – H Shares	PRC	2005/06/30
00185	China Credit Holdings Ltd.	Hong Kong	1972/12/20
00670	China Eastern Airlines Corporation Ltd. – H Shares	PRC	1997/02/05
00085	China Electronics Corporation Holdings Co. Ltd.	Bermuda	1997/07/25
00476	China Elegance (Holdings) Ltd.	Bermuda	1996/05/24
00228	China Energy Development Holdings Ltd.	Cayman Islands	2002/02/18
00257	China Everbright International Ltd.	Hong Kong	n.a.
00165	China Everbright Ltd.	Hong Kong	1973/02/26
00169	China Fair Land Holdings Ltd.	Bermuda	2002/06/04
01227	China Financial Industry Investment Fund Ltd.	Cayman Islands	2002/09/27
03318	China Flavors and Fragrances Co. Ltd.	Cayman Islands	2005/12/09
01194	China Force Oil & Grains Industrial Holdings Co., Ltd.	Cayman Islands	2004/10/12
00384	China Gas Holdings Ltd.	Bermuda	1995/10/20
03300	China Glass Holdings Ltd.	Bermuda	2005/06/23
00162	China Golden Development Holdings Ltd.	Bermuda	2000/10/23
00910	China Grand Forestry Resources Group Ltd.	Bermuda	1998/12/01
00904	China Green (Holdings) Ltd.	Bermuda	2004/01/13
00256	China Haidian Holdings Ltd.	Cayman Islands	1991/12/10
00359	China Haisheng Juice Holdings Co., Ltd.	Cayman Islands	2005/11/04
00673	China HealthCare Holdings Ltd.	Bermuda	1996/10/14
03339	China Infrastructure Machinery Holdings Ltd.	Cayman Islands	2005/11/17
00966	China Insurance International Holdings Co. Ltd.	Hong Kong	2000/06/29
00612	China Investment Fund Co. Ltd.	Cayman Islands	2002/01/02
00132	China Investments Holdings Ltd.	Bermuda	1973/05/18
02628	China Life Insurance Co. Ltd. – H Shares	PRC	2003/12/18
02319	China Mengniu Dairy Co. Ltd.	Cayman Islands	2004/06/10
03968	China Merchants Bank Co., Ltd. – H Shares	PRC	2006/09/22
00133	China Merchants China Direct Investments Ltd.	Hong Kong	1993/07/22
00144	China Merchants Holdings (International) Co. Ltd.	Hong Kong	1992/07/15
00319	China Metal International Holdings Inc.	Cayman Islands	2004/12/31
00941	China Mobile Ltd.	Hong Kong	1997/10/23
00989	China Motion Telecom International Ltd.	Bermuda	1997/10/20
00026	China Motor Bus Co., Ltd.	Hong Kong	n.a.
01110	China National Aviation Co. Ltd.	Hong Kong	1997/12/17
03323	China National Building Material Co. Ltd. – H Shares	PRC	2006/03/23
00661	China National Resources Development Holdings Ltd.	Bermuda	1990/11/21
00906	China Netcom Group Corporation (Hong Kong) Ltd.	Hong Kong	2004/11/17
00603	China Oil And Gas Group Ltd.	Bermuda	1993/05/28
02883	China Oilfield Services Ltd. – H Shares	PRC	2002/11/20
00581	China Oriental Group Co. Ltd.	Bermuda	2004/03/02
00688	China Overseas Land & Investment Ltd.	Hong Kong	1992/08/20
00503	China Paradise Electronics Retail Ltd.	Cayman Islands	2005/10/14
00386	China Petroleum & Chemical Corporation – H Shares	PRC	2000/10/19
01093	China Pharmaceutical Group Ltd.	Hong Kong	1994/06/21
02380	China Power International Development Ltd.	Hong Kong	2004/10/15
00769	China Rare Earth Holdings Ltd.	Cayman Islands	1999/10/15
00291	China Resources Enterprise, Ltd.	Hong Kong	n.a.
01109	China Resources Land Ltd.	Cayman Islands	1996/11/08
01193	China Resources Logic Ltd.	Bermuda	1994/11/07
00836	China Resources Power Holdings Co. Ltd.	Hong Kong	2003/11/12
01191	China Rich Holdings Ltd.	Bermuda	1994/10/26
00351	China Sciences Conservational Power Ltd.	Hong Kong	1992/08/18
00985	China Sci-Tech Holdings Ltd.	Cayman Islands	1994/02/02
00245	China Seven Star Shopping Ltd.	Hong Kong	1972/08/25

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
01088	China Shenhua Energy Co. Ltd. – H Shares	PRC	2005/06/15
02877	China Shineway Pharmaceutical Group Ltd.	Cayman Islands	2004/12/02
02866	China Shipping Container Lines Co. Ltd. – H Shares	PRC	2004/06/16
01138	China Shipping Development Co. Ltd. – H Shares	PRC	1994/11/11
00155	China Solar Energy Holdings Ltd.	Bermuda	1988/09/05
01055	China Southern Airlines Co. Ltd. – H Shares	PRC	1997/07/31
02889	China Special Steel Holdings Co. Ltd.	Cayman Islands	2005/05/19
00326	China Star Entertainment Ltd.	Bermuda	1992/11/12
03311	China State Construction International Holdings Ltd.	Cayman Islands	2005/07/08
00235	China Strategic Holdings Ltd.	Hong Kong	1972/12/04
00728	China Telecom Corporation Ltd. – H Shares	PRC	2002/11/15
03398	China Ting Group Holdings Ltd.	Cayman Islands	2005/12/15
00308	China Travel International Investment Hong Kong Ltd.	Hong Kong	1992/11/11
00810	China Treasure (Greater China) Investments Ltd.	Cayman Islands	2002/02/28
00762	China Unicom Ltd.	Hong Kong	2000/06/22
00149	China Velocity Group Ltd.	Bermuda	1973/03/12
00855	China Water Affairs Group Ltd.	Bermuda	1999/10/11
02369	China Wireless Technologies Ltd.	Cayman Islands	2004/12/09
01068	China Yurun Food Group Ltd.	Bermuda	2005/10/03
01123	China-Hongkong Photo Products Holdings Ltd.	Bermuda	1994/09/19
00127	Chinese Estates Holdings Ltd.	Bermuda	n.a.
00681	Chinese People Gas Holdings Co. Ltd.	Bermuda	1997/04/24
00692	Ching Hing (Holdings) Ltd.	Bermuda	2000/01/19
00385	Chinney Alliance Group Ltd.	Bermuda	1993/10/15
00216	Chinney Investments, Ltd.	Hong Kong	1973/01/31
01198	Chitaly Holdings Ltd.	Cayman Islands	2002/05/15
01111	Chong Hing Bank Ltd.	Hong Kong	1994/07/11
01053	Chongqing Iron & Steel Co. Ltd. – H Shares	PRC	1997/10/17
00116	Chow Sang Sang Holdings International Ltd.	Bermuda	1973/04/06
00560	Chu Kong Shipping Development Co. Ltd.	Hong Kong	1997/05/23
00298	Chuang's China Investments Ltd.	Bermuda	n.a.
00367	Chuang's Consortium International Ltd.	Bermuda	1987/01/16
00711	Chun Wo Holdings Ltd.	Bermuda	1993/02/12
00055	Chung Tai Printing Holdings Ltd.	Bermuda	1992/09/10
00479	CIL Holdings Ltd.	Bermuda	1993/07/21
00241	CITIC 21CN Co. Ltd.	Bermuda	1972/07/06
00183	CITIC International Financial Holdings Ltd.	Hong Kong	1980/07/17
00267	CITIC Pacific Ltd.	Hong Kong	1986/02/26
01205	CITIC Resources Holdings Ltd.	Bermuda	1997/09/08
00557	City e-Solutions Ltd.	Cayman Islands	1989/12/29
01137	City Telecom (H.K.) Ltd.	Hong Kong	1997/08/04
00100	Clear Media Ltd.	Bermuda	2001/12/19
00439	Climax International Co. Ltd.	Bermuda	1992/03/11
00002	CLP Holdings Ltd.	Hong Kong	n.a.
00883	CNOOC Ltd.	Hong Kong	2001/02/28
00135	CNPC (Hong Kong) Ltd.	Bermuda	1973/03/13
00701	CNT Group Ltd.	Bermuda	1991/05/21
01124	Coastal Greenland Ltd.	Bermuda	1997/10/16
00506	COFCO International Ltd.	Bermuda	1988/10/07
00383	COL Capital Ltd.	Bermuda	1991/08/01
02342	Comba Telecom Systems Holdings Ltd.	Cayman Islands	2003/07/15
01188	Compass Pacific Holdings Ltd.	Bermuda	1995/04/06
00046	Computer And Technologies Holdings Ltd.	Bermuda	1998/05/18
00320	Computime Group Ltd.	Cayman Islands	2006/10/09
01140	Concepta Investments Ltd.	Cayman Islands	2003/03/20
00513	Continental Holdings Ltd.	Hong Kong	1988/11/08
00707	Co-Prosperity Holdings Ltd.	Cayman Islands	2006/03/30
00517	COSCO International Holdings Ltd.	Bermuda	1992/02/11
01199	COSCO Pacific Ltd.	Bermuda	1994/12/19
01043	Coslight Technology International Group Ltd.	Bermuda	1999/11/17
00120	Cosmopolitan International Holdings Ltd.	Cayman Islands	n.a.
00118	Cosmos Machinery Enterprises Ltd.	Hong Kong	1988/12/12
00122	Crocodile Garments Ltd.	Hong Kong	n.a.
00032	Cross-Harbour (Holdings) Ltd., The	Hong Kong	1974/07/30
00597	CSMC Technologies Corporation	Cayman Islands	2004/08/13
00343	Culturecom Holdings Ltd.	Bermuda	1986/08/12
02356	Dah Sing Banking Group Ltd.	Hong Kong	2004/06/30
00440	Dah Sing Financial Holdings Ltd.	Hong Kong	1987/11/05
00544	Daido Group Ltd.	Bermuda	1989/12/14
00567	Daisho Microline Holdings Ltd.	Bermuda	1990/01/31
01037	Daiwa Associate Holdings Ltd.	Bermuda	1994/04/14
02880	Dalian Port (PDA) Co. Ltd. – H Shares	PRC	2006/04/28
00271	Dan Form Holdings Co. Ltd.	Hong Kong	1973/02/28
00362	Daqing Petroleum and Chemical Group Ltd.	Cayman Islands	2001/05/02
00991	Datang International Power Generation Co., Ltd. – H Shares	PRC	1997/03/21
00889	Datronix Holdings Ltd.	Bermuda	2001/06/22
02348	Dawnrays Pharmaceutical (Holdings) Ltd.	Cayman Islands	2003/07/11
03335	DBA Telecommunication (Asia) Holdings Ltd.	Cayman Islands	2006/05/11
00997	Decca Holdings Ltd.	Bermuda	2000/03/10
00203	Denway Motors Ltd.	Hong Kong	1993/02/22
00262	Deson Development International Holdings Ltd.	Bermuda	1997/06/10
00113	Dickson Concepts (International) Ltd.	Bermuda	1973/03/15
00313	Dickson Group Holdings Ltd.	Bermuda	1993/01/05
00861	Digital China Holdings Ltd.	Bermuda	2001/06/01
01072	Dongfang Electrical Machinery Co. Ltd. – H Shares	PRC	1994/06/06
00489	Dongfeng Motor Group Co. Ltd. – H Shares	PRC	2005/12/07

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
01126	Dream International Ltd.	Hong Kong	2002/02/07
00500	DVN (Holdings) Ltd.	Bermuda	1988/07/29
00231	Dynamic Global Holdings Ltd.	Bermuda	n.a.
00029	Dynamic Holdings Ltd.	Bermuda	n.a.
00828	Dynasty Fine Wines Group Ltd.	Cayman Islands	2005/01/26
00599	E. Bon Holdings Ltd.	Cayman Islands	2000/04/12
00378	E2-Capital (Holdings) Ltd.	Bermuda	1986/09/10
02368	Eagle Nice (International) Holdings Ltd.	Cayman Islands	2003/08/22
00339	Earnest Investments Holdings Ltd.	Bermuda	2000/07/26
00616	Easyknit Enterprises Holdings Ltd.	Bermuda	1991/09/16
01218	Easyknit International Holdings Ltd.	Bermuda	1995/01/30
00618	EC-Founder (Holdings) Co. Ltd.	Bermuda	1991/10/07
02341	EcoGreen Fine Chemicals Group Ltd.	Cayman Islands	2004/03/09
00254	eCyberChina Holdings Ltd.	Hong Kong	1972/11/16
00943	eForce Holdings Ltd.	Bermuda	1997/06/25
00048	EganaGoldpfeil (Holdings) Ltd.	Cayman Islands	1993/06/25
00524	e-Kong Group Ltd.	Bermuda	1989/04/21
00907	Elegance International Holdings Ltd.	Bermuda	1996/04/11
01388	Embry Holdings Ltd.	Cayman Islands	2006/12/18
00296	Emperor Entertainment Hotel Ltd.	Bermuda	n.a.
00163	Emperor International Holdings Ltd.	Bermuda	1972/11/14
00622	Enerchina Holdings Ltd.	Bermuda	1991/09/16
00128	ENM Holdings Ltd.	Hong Kong	n.a.
03899	Enric Energy Equipment Holdings Ltd.	Cayman Islands	2006/07/20
00689	EPI (Holdings) Ltd.	Bermuda	1991/04/15
00330	Esprit Holdings Ltd.	Bermuda	1993/12/09
00571	eSun Holdings Ltd.	Bermuda	n.a.
00838	EVA Precision Industrial Holdings Ltd.	Cayman Islands	2005/05/11
00875	Ever Fortune International Holdings Ltd.	Bermuda	1998/07/03
00578	Everbest Century Holdings Ltd.	Bermuda	1997/05/15
00204	Everest International Investments Ltd.	Cayman Islands	2000/12/12
00858	Extrawell Pharmaceutical Holdings Ltd.	Bermuda	1999/03/10
00312	Ezcom Holdings Ltd.	Bermuda	1992/11/26
00052	Fairwood Holdings Ltd.	Bermuda	1991/10/09
00035	Far East Consortium International Ltd.	Cayman Islands	1972/09/21
00037	Far East Hotels & Entertainment Ltd.	Hong Kong	1979/07/03
00399	Far East Pharmaceutical Technology Co. Ltd.	Cayman Islands	2000/08/23
00036	Far East Technology International Ltd.	Hong Kong	1973/02/12
00706	FinTronics Holdings Co. Ltd.	Bermuda	1998/07/22
01076	First Natural Foods Holdings Ltd.	Bermuda	2002/02/11
00142	First Pacific Co. Ltd.	Bermuda	1988/09/12
00227	First Shanghai Investments Ltd.	Hong Kong	1972/08/24
00933	First Sign International Holdings Ltd.	Bermuda	1995/11/16
00038	First Tractor Co Ltd. – H Shares	PRC	1997/06/23
02662	Fittec International Group Ltd.	Cayman Islands	2005/12/14
00641	Fong's Industries Co. Ltd.	Bermuda	1990/10/12
00885	Forefront International Holdings Ltd.	Cayman Islands	2001/07/12
00530	Fortuna International Holdings Ltd.	Bermuda	1992/10/08
00352	Fortune Sun (China) Holdings Ltd.	Cayman Islands	2006/07/05
00110	Fortune Telecom Holdings Ltd	Bermuda	2004/01/26
01182	Foundation Group Ltd.	Bermuda	1994/10/17
00418	Founder Holdings Ltd.	Bermuda	1995/12/21
00420	Fountain Set (Holdings) Ltd.	Hong Kong	1988/04/20
00060	Four Seas Food Investment Holdings Ltd.	Hong Kong	1992/12/16
00374	Four Seas Mercantile Holdings Ltd.	Cayman Islands	1993/08/25
02038	Foxconn International Holdings Ltd.	Cayman Islands	2005/02/03
00704	Frankie Dominion International Ltd.	Bermuda	1991/05/27
00535	Frasers Property (China) Ltd.	Bermuda	1989/10/16
00279	Freeman Corporation Ltd.	Cayman Islands	1988/05/11
01175	FU JI Food and Catering Services Holdings Ltd.	Cayman Islands	2004/12/17
00636	Fubon Bank (Hong Kong) Ltd.	Hong Kong	1993/11/08
00181	Fujian Holdings Ltd.	Hong Kong	1973/02/22
00927	Fujikon Industrial Holdings Ltd.	Bermuda	2000/04/11
01041	Fulbond Holdings Ltd.	Bermuda	1996/12/27
00639	Fushan International Energy Group Ltd.	Hong Kong	1990/10/02
00027	Galaxy Entertainment Group Ltd.	Hong Kong	1991/10/07
00527	Galaxy Semi-Conductor Holdings Ltd.	Cayman Islands	2006/06/09
01226	Garron International Ltd.	Cayman Islands	2002/09/19
00686	Gay Giano International Group Ltd.	Bermuda	2000/04/13
00175	Geely Automobile Holdings Ltd.	Cayman Islands	1973/02/23
00702	Genesis Energy Holdings Ltd.	Bermuda	2000/02/09
00064	Get Nice Holdings Ltd.	Cayman Islands	2002/06/06
01003	GFT Holdings Ltd.	Bermuda	1994/02/21
00709	Giordano International Ltd.	Bermuda	1991/06/19
00809	Global Bio-chem Technology Group Co. Ltd.	Cayman Islands	2001/03/16
00471	Global Flex Holdings Ltd.	Cayman Islands	2005/10/10
00274	Global Green Tech Group Ltd.	Cayman Islands	2000/12/18
00143	Global Tech (Holdings) Ltd.	Cayman Islands	1999/04/09
00393	Glorious Sun Enterprises Ltd.	Bermuda	1996/09/17
00040	Gold Peak Industries (Holdings) Ltd.	Hong Kong	1984/07/02
00172	Goldbond Group Holdings Ltd.	Hong Kong	n.a.
02312	Golden 21 Investment Holdings Ltd.	Cayman Islands	2002/10/28
00329	Golden Dragon Group (Holdings) Ltd.	Cayman Islands	2001/05/09
03308	Golden Eagle Retail Group Ltd.	Cayman Islands	2006/03/21
01132	Golden Harvest Entertainment (Holdings) Ltd.	Bermuda	1994/11/23
01031	Golden Resorts Group Ltd.	Bermuda	1996/07/23

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00677	Golden Resources Development International Ltd.	Bermuda	1991/01/28
00533	Goldlion Holdings Ltd.	Hong Kong	1992/09/18
00586	Goldwiz Holdings Ltd.	Bermuda	1990/07/12
01118	Golik Holdings Ltd.	Bermuda	1994/07/15
00493	GOME Electrical Appliances Holding Ltd.	Bermuda	1992/04/15
02398	Good Friend International Holdings Inc.	Cayman Islands	2006/01/11
00286	G-Prop (Holdings) Ltd.	Bermuda	1990/09/07
00115	Grand Field Group Holdings Ltd.	Bermuda	n.a.
01160	Grand Investment International Ltd.	Bermuda	2004/04/02
00186	Grande Holdings Ltd., The	Bermuda	1987/07/09
02309	Grandtop International Holdings Ltd.	Cayman Islands	2002/11/12
00147	Graneagle Holdings Ltd.	Bermuda	1988/06/06
00141	Great China Holdings Ltd.	Hong Kong	1973/03/07
00041	Great Eagle Holdings Ltd.	Bermuda	n.a.
02333	Great Wall Motor Co. Ltd. – H Shares	PRC	2003/12/15
00074	Great Wall Technology Co. Ltd. – H Shares	PRC	1999/08/05
00431	Greater China Holdings Ltd.	Bermuda	1992/09/16
00979	Green Energy Group Ltd.	Bermuda	1997/06/26
00582	Greenfield Chemical Holdings Ltd.	Cayman Islands	2002/04/30
03900	Greentown China Holdings Ltd.	Cayman Islands	2006/07/13
00601	Group Sense (International) Ltd.	Bermuda	1993/01/28
00416	GST Holdings Ltd.	Cayman Islands	2005/06/30
00270	Guangdong Investment Ltd.	Hong Kong	n.a.
00921	Guangdong Kelon Electrical Holdings Co. Ltd. – H Shares	PRC	1996/07/23
03399	Guangdong Nan Yue Logistics Co. Ltd. – H Shares	PRC	2005/10/26
01058	Guangdong Tannery Ltd.	Hong Kong	1996/12/16
01203	Guangnan (Holdings) Ltd.	Hong Kong	1994/12/09
00525	Guangshen Railway Co. Ltd. – H Shares	PRC	1996/05/14
00123	Guangzhou Investment Co. Ltd.	Hong Kong	1992/12/15
00874	Guangzhou Pharmaceutical Co. Ltd. – H Shares	PRC	1997/10/30
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	PRC	2005/07/14
00317	Guangzhou Shipyard International Co. Ltd. – H Shares	PRC	1993/08/06
01215	Guo Xin Group Ltd.	Bermuda	1997/01/17
00053	Guoco Group Ltd.	Bermuda	1983/05/03
00657	G-Vision International (Holdings) Ltd.	Bermuda	1992/10/30
01052	GZI Transport Ltd.	Bermuda	1997/01/30
01169	Haier Electronics Group Co., Ltd.	Bermuda	1997/12/23
00357	Hainan Meilan International Airport Co. Ltd. – H Shares	PRC	2002/11/18
01882	Haitian International Holdings Ltd.	Cayman Islands	2006/12/22
00870	Hang Fung Gold Technology Ltd.	Bermuda	1999/03/16
00010	Hang Lung Group Ltd.	Hong Kong	1972/10/12
00101	Hang Lung Properties Ltd.	Hong Kong	n.a.
00011	Hang Seng Bank Ltd.	Hong Kong	1972/06/20
00448	Hang Ten Group Holdings Ltd.	Bermuda	1987/10/30
00896	Hanison Construction Holdings Ltd.	Cayman Islands	2002/01/10
00667	HannStar Board International Holdings Ltd.	Cayman Islands	2006/10/06
00275	Hanny Holdings Ltd.	Bermuda	1991/12/16
00554	Hans Energy Co. Ltd.	Cayman Islands	1997/05/28
00111	Hantec Investment Holdings Ltd.	Bermuda	2000/08/01
01133	Harbin Power Equipment Co. Ltd. – H Shares	PRC	1994/12/16
00051	Harbour Centre Development Ltd.	Hong Kong	n.a.
00428	Harmony Asset Ltd.	Cayman Islands	1993/12/14
03989	Hembly International Holdings Ltd.	Cayman Islands	2006/07/13
00097	Henderson Investment Ltd.	Hong Kong	1972/11/06
00012	Henderson Land Development Co. Ltd.	Hong Kong	1981/07/23
00197	Heng Tai Consumables Group Ltd.	Cayman Islands	2001/12/03
01044	Hengan International Group Co. Ltd.	Cayman Islands	1998/12/08
00859	Henry Group Holdings Ltd.	Bermuda	2000/06/15
00114	Herald Holdings Ltd.	Bermuda	n.a.
00412	Heritage International Holdings Ltd.	Bermuda	1992/04/15
00818	Hi Sun Technology (China) Ltd.	Bermuda	1997/12/22
00608	High Fashion International Ltd.	Bermuda	1992/08/04
00190	HKC (Holdings) Ltd.	Bermuda	1987/05/29
00248	HKC International Holdings Ltd.	Cayman Islands	2001/11/09
00480	HKR International Ltd.	Cayman Islands	1988/03/11
00160	Hon Kwok Land Investment Co., Ltd.	Hong Kong	n.a.
00600	Honesty Treasure International Holdings Ltd.	Cayman Islands	1993/10/05
00044	Hong Kong Aircraft Engineering Co. Ltd.	Hong Kong	n.a.
00003	Hong Kong and China Gas Co. Ltd., The	Hong Kong	n.a.
00145	Hong Kong Building and Loan Agency Ltd., The	Hong Kong	1972/07/01
00668	Hong Kong Catering Management Ltd.	Hong Kong	1990/12/12
00423	Hong Kong Economic Times Holdings Ltd.	Cayman Islands	2005/08/03
00388	Hong Kong Exchanges and Clearing Ltd.	Hong Kong	2000/06/27
00050	Hong Kong Ferry (Holdings) Co. Ltd.	Hong Kong	n.a.
00397	Hong Kong Health Check and Laboratory Holdings Co. Ltd.	Bermuda	1993/10/13
00207	Hong Kong Parkview Group Ltd., The	Bermuda	1973/03/06
00182	Hong Kong Pharmaceutical Holdings Ltd.	Bermuda	1991/11/27
00045	Hongkong and Shanghai Hotels, Ltd., The	Hong Kong	n.a.
00655	Hongkong Chinese Ltd.	Bermuda	1992/10/12
00006	Hongkong Electric Holdings Ltd.	Hong Kong	n.a.
02320	Hop Fung Group Holdings Ltd.	Cayman Islands	2003/09/24
00047	Hop Hing Holdings Ltd.	Bermuda	1988/11/16
00733	Hopefluent Group Holdings Ltd.	Cayman Islands	2004/07/15
00737	Hopewell Highway Infrastructure Ltd.	Cayman Islands	2003/08/06
00054	Hopewell Holdings Ltd.	Hong Kong	1972/08/21
00754	Hopson Development Holdings Ltd.	Bermuda	1998/05/27

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00005	HSBC Holdings plc	England	n.a.
00404	Hsin Chong Construction Group Ltd.	Bermuda	1991/08/14
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	Cayman Islands	2002/12/10
00969	Hua Lien International (Holding) Co. Ltd.	Cayman Islands	2000/02/02
00559	Hua Yi Copper Holdings Ltd.	Bermuda	1997/01/03
00336	Huabao International Holdings Ltd.	Bermuda	1992/01/22
01071	Huadian Power International Corporation Ltd. – H Shares	PRC	1999/06/30
00364	Huafeng Textile International Group Ltd.	Cayman Islands	2002/08/30
03366	Huali Holdings (Group) Ltd.	Cayman Islands	2005/11/02
00382	Hualing Holdings Ltd	Hong Kong	1993/12/20
00902	Huaneng Power International, Inc. – H Shares	PRC	1998/01/21
02626	Hunan Nonferrous Metals Corporation Ltd. – H Shares	PRC	2006/03/31
00450	Hung Hing Printing Group Ltd.	Hong Kong	1992/03/16
00715	Hutchison Harbour Ring Ltd.	Bermuda	1991/07/05
02332	Hutchison Telecommunications International Ltd.	Cayman Islands	2004/10/15
00013	Hutchison Whampoa Ltd.	Hong Kong	1978/01/03
00499	HyComm Wireless Ltd.	Bermuda	1988/07/22
00014	Hysan Development Co. Ltd.	Hong Kong	1981/09/18
00999	I.T Ltd.	Bermuda	2005/03/04
01097	i-CABLE Communications Ltd.	Hong Kong	1999/11/24
00167	IDT International Ltd.	Bermuda	1988/10/21
00585	Imagi International Holdings Ltd.	Bermuda	1997/03/10
00356	Incutech Investments Ltd.	Cayman Islands	2002/06/07
00349	Industrial and Commercial Bank of China (Asia) Ltd.	Hong Kong	1973/03/14
01398	Industrial and Commercial Bank of China Ltd. – H Shares	PRC	2006/10/27
00340	INNOMAXX Biotechnology Group Ltd.	Bermuda	1997/03/26
00703	Innovo Leisure Recreation Holdings Ltd.	Bermuda	2002/08/15
02387	Integrated Distribution Services Group Ltd.	Bermuda	2004/12/07
00202	Interchina Holdings Co. Ltd.	Hong Kong	n.a.
00929	IPE Group Ltd.	Cayman Islands	2004/11/01
00438	IRICO Group Electronics Co. Ltd. – H Shares	PRC	2004/12/20
00372	ITC Corporation Ltd.	Bermuda	1992/02/13
00987	J.I.C. Technology Co. Ltd.	Cayman Islands	1994/01/27
00630	Jackin International Holdings Ltd.	Bermuda	1996/11/28
00970	Jade Dynasty Group Ltd.	Bermuda	1997/09/18
00177	Jiangsu Expressway Co. Ltd. – H Shares	PRC	1997/06/27
00358	Jiangxi Copper Co. Ltd. – H Shares	PRC	1997/06/12
00300	Jiaoda Kunji High-Tech Co. Ltd. – H Shares	PRC	1993/12/07
00549	Jilin Qifeng Chemical Fiber Co., Ltd. – H Shares	PRC	2006/06/21
00350	Jingwei Textile Machinery Co. Ltd. – H Shares	PRC	1996/02/02
00137	Jinhui Holdings Co. Ltd.	Hong Kong	1991/12/06
00908	Jiuzhou Development Co. Ltd.	Bermuda	1998/05/26
02327	Jiwa Bio-Pharm Holdings Ltd.	Bermuda	2003/10/14
00179	Johnson Electric Holdings Ltd.	Bermuda	1984/07/11
02028	Jolimark Holdings Ltd.	Cayman Islands	2005/06/29
00647	Joyce Boutique Holdings Ltd.	Bermuda	1990/10/16
03336	Ju Teng International Holdings Ltd.	Cayman Islands	2005/11/03
00758	Junefield Department Store Group Ltd.	Bermuda	1999/12/03
03303	Jutal Offshore Oil Services Ltd.	Cayman Islands	2006/09/21
00675	K & P International Holdings Ltd.	Bermuda	1997/01/02
00173	K. Wah International Holdings Ltd.	Bermuda	1987/02/06
00605	K.P.I. Co. Ltd.	Hong Kong	1993/04/07
00180	Kader Holdings Co. Ltd.	Bermuda	1985/05/24
02307	Kam Hing International Holdings Ltd.	Cayman Islands	2004/09/23
01059	Kantone Holdings Ltd.	Cayman Islands	1997/01/23
01159	Karce International Holdings Co. Ltd.	Bermuda	1998/03/13
00007	Karl Thomson Holdings Ltd.	Bermuda	2000/09/08
01050	Karrie International Holdings Ltd.	Bermuda	1996/12/16
00496	Kasen International Holdings Ltd.	Cayman Islands	2005/10/20
00184	Keck Seng Investments (Hong Kong) Ltd.	Hong Kong	1973/02/24
00174	Kee Shing (Holdings) Ltd.	Hong Kong	1988/10/05
00223	Kenfair International (Holdings) Ltd.	Cayman Islands	2002/04/10
00464	Kenford Group Holdings Ltd.	Cayman Islands	2005/06/16
00683	Kerry Properties Ltd.	Bermuda	1996/08/05
00638	Kin Yat Holdings Ltd.	Bermuda	1997/05/01
00280	King Fook Holdings Ltd.	Hong Kong	1988/03/28
00148	Kingboard Chemical Holdings Ltd.	Cayman Islands	1993/10/08
01888	Kingboard Laminates Holdings Ltd.	Cayman Islands	2006/12/07
00268	Kingdee International Software Group Co. Ltd.	Cayman Islands	2005/07/20
00528	Kingdom Holdings Ltd.	Cayman Islands	2006/12/12
01170	Kingmaker Footwear Holdings Ltd.	Bermuda	1994/09/29
00124	Kingway Brewery Holdings Ltd.	Bermuda	1997/08/08
01201	Kith Holdings Ltd.	Bermuda	1998/06/23
00381	Kiu Hung International Holdings Ltd.	Cayman Islands	2001/01/22
00295	Kong Sun Holdings Ltd.	Hong Kong	1970/11/28
00034	Kowloon Development Co. Ltd.	Hong Kong	1995/07/04
00645	KTP Holdings Ltd.	Bermuda	1993/12/22
02310	Kwang Sung Electronics H.K. Co. Ltd.	Hong Kong	2003/07/04
01131	Kwong Hing International Holdings (Bermuda) Ltd.	Bermuda	1997/03/19
00306	Kwoon Chung Bus Holdings Ltd.	Bermuda	1996/09/25
00558	L.K. Technology Holdings Ltd.	Cayman Islands	2006/10/16
01125	Lai Fung Holdings Ltd.	Cayman Islands	1997/11/28
00488	Lai Sun Development Co. Ltd.	Hong Kong	1988/03/11
00191	Lai Sun Garment (International) Ltd.	Hong Kong	1987/12/03
00411	Lam Soon (Hong Kong) Ltd.	Hong Kong	1972/10/31
00738	Le Saunda Holdings Ltd.	Bermuda	1992/12/11

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Code	Company name	Incorporation	Listing date
00746	Lee & Man Holding Ltd.	Cayman Islands	2002/01/16
02314	Lee & Man Paper Manufacturing Ltd.	Cayman Islands	2003/09/26
00068	Lee Hing Development Ltd.	Hong Kong	1972/11/09
00637	Lee Kee Holdings Ltd.	Cayman Islands	2006/10/04
00387	Leepport (Holdings) Ltd.	Bermuda	2003/07/10
00238	Lei Shing Hong Ltd.	Hong Kong	1973/03/06
00992	Lenovo Group Ltd.	Hong Kong	1994/02/14
01225	Lerado Group (Holding) Co. Ltd.	Bermuda	1998/12/18
00221	LeRoi Holdings Ltd.	Cayman Islands	2002/11/07
00494	Li & Fung Ltd.	Bermuda	1992/07/01
02331	Li Ning Co. Ltd.	Cayman Islands	2004/06/28
00980	Lianhua Supermarket Holdings Co Ltd – H Shares	PRC	2003/06/27
01212	Lifestyle International Holdings Ltd.	Cayman Islands	2004/04/15
01180	LifeTec Group Ltd.	Bermuda	1997/01/20
02005	Lijun International Pharmaceutical (Holding) Co. Ltd.	Cayman Islands	2005/12/20
00462	Linfair Holdings Ltd.	Cayman Islands	2005/06/10
03330	Lingbao Gold Co. Ltd. – H Shares	PRC	2006/01/12
00915	Linmark Group Ltd.	Bermuda	2002/05/10
00156	Lippo China Resources Ltd.	Hong Kong	n.a.
00226	Lippo Ltd.	Hong Kong	n.a.
00194	Liu Chong Hing Investment Ltd.	Hong Kong	1972/11/13
02898	Long Far Pharmaceutical Holdings Ltd.	Cayman Islands	2002/09/05
00309	Lo's Enviro-Pro Holdings Ltd.	Cayman Islands	2003/07/25
00311	Luen Thai Holdings Ltd.	Cayman Islands	2004/07/15
00590	Luk Fook Holdings (International) Ltd.	Bermuda	1997/05/06
00366	Luks Industrial (Group) Ltd.	Bermuda	1987/01/07
00348	Lung Cheong International Holdings Ltd.	Cayman Islands	1997/09/30
00255	Lung Kee (Bermuda) Holdings Ltd.	Bermuda	1993/03/05
01108	Luoyang Glass Co. Ltd. – H Shares	PRC	1994/07/08
00323	Maanshan Iron & Steel Co. Ltd. – H Shares	PRC	1993/11/03
00199	Macau Prime Properties Holdings Ltd.	Bermuda	1994/03/18
00487	Macau Success Ltd.	Bermuda	1988/05/03
00472	MACRO-LINK International Holdings Ltd.	Bermuda	1988/01/29
00851	MAE Holdings Ltd.	Bermuda	1998/12/02
00526	Magician Industries (Holdings) Ltd.	Bermuda	1995/10/11
00201	Magnificent Estates Ltd.	Hong Kong	1972/11/17
00305	Magnum International Holdings Ltd.	Bermuda	1992/11/23
01100	Mainland Headwear Holdings Ltd.	Bermuda	2000/12/13
00938	Man Sang International Ltd.	Bermuda	1997/09/26
00894	Man Yue International Holdings Ltd.	Bermuda	1997/03/05
00009	Mandarin Entertainment (Holdings) Ltd.	Bermuda	2001/09/12
00945	Manulife Financial Corporation	Canada	1999/09/27
00136	Mascotte Holdings Ltd.	Bermuda	1997/09/25
00070	Massive Resources International Corporation Ltd.	Hong Kong	n.a.
01005	Matrix Holdings Ltd.	Bermuda	1994/02/18
00283	Matsunichi Communication Holdings Ltd.	Hong Kong	n.a.
00512	MAXX Bioscience Holdings Ltd.	Bermuda	1995/12/19
01116	Mayer Holdings Ltd.	Cayman Islands	2004/06/21
00391	Mei Ah Entertainment Group Ltd.	Bermuda	1993/10/18
00158	Melbourne Enterprises Ltd.	Hong Kong	1972/08/29
00200	Melco International Development Ltd.	Hong Kong	n.a.
00022	Mexan Ltd.	Bermuda	1972/12/14
01172	Midas International Holdings Ltd.	Cayman Islands	1996/06/12
01200	Midland Holdings Ltd.	Bermuda	1995/06/08
00222	Min Xin Holdings Ltd.	Hong Kong	1982/06/28
01389	Ming An (Holdings) Co. Ltd., The	Cayman Islands	2006/12/22
00860	Ming Fung Jewellery Group Ltd.	Cayman Islands	2002/09/03
00402	Ming Hing Holdings Ltd.	Cayman Islands	2006/03/14
00685	Ming Pao Enterprise Corporation Ltd.	Bermuda	1991/03/22
00233	Mingyuan Medicare Development Co. Ltd.	Bermuda	1986/03/19
01208	Minmetals Resources Ltd.	Hong Kong	1994/12/15
00425	Minth Group Ltd.	Cayman Islands	2005/12/01
01179	Mirabell International Holdings Ltd.	Cayman Islands	1996/12/06
00071	Miramar Hotel & Investment Co. Ltd.	Hong Kong	n.a.
02358	Mitsumaru East Kit (Holdings) Ltd.	Cayman Islands	2004/07/15
01213	Mobicon Group Ltd.	Bermuda	2001/05/07
00919	Modern Beauty Salon Holdings Ltd.	Cayman Islands	2006/02/09
00130	Moiselle International Holdings Ltd.	Cayman Islands	2002/02/11
00542	Morning Star Resources Ltd.	Cayman Islands	1989/11/23
00389	Moulin Global Eyecare Holdings Ltd.	Bermuda	1993/10/05
00066	MTR Corporation Ltd.	Hong Kong	2000/10/05
00898	Multifield International Holdings Ltd.	Bermuda	1998/07/31
03918	NagaCorp Ltd.	Cayman Islands	2006/10/19
01176	Nam Fong International Holdings Ltd.	Bermuda	1996/12/09
00986	Nam Hing Holdings Ltd.	Bermuda	1994/02/02
02633	Nam Tai Electronic & Electrical Products Ltd.	Cayman Islands	2004/04/28
00680	Nan Hai Corporation Ltd.	Bermuda	1991/02/13
00553	Nanjing Panda Electronic Co. Ltd. – H Shares	PRC	1996/05/02
00212	Nanyang Holdings Ltd.	Bermuda	1954/09/15
00213	National Electronics Holdings Ltd.	Bermuda	1985/02/04
00157	Natural Beauty Bio-Technology Ltd.	Cayman Islands	2002/03/28
00563	Neo-China Group (Holdings) Ltd.	Bermuda	1993/09/10
01868	Neo-Neon Holdings Ltd.	Cayman Islands	2006/12/15
01062	New Capital International Investment Ltd.	Cayman Islands	1994/05/10
00234	New Century Group Hong Kong Ltd.	Bermuda	1972/11/24
00456	New City (Beijing) Development Ltd.	Cayman Islands	1993/07/14

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00360	New Focus Auto Tech Holdings Ltd.	Cayman Islands	2005/02/28
00095	New Heritage Holdings Ltd.	Cayman Islands	2005/12/02
00377	New Island Printing Holdings Ltd.	Bermuda	1993/04/19
00091	New Smart Energy Group Ltd.	Hong Kong	1981/06/29
00166	New Times Group Holdings Ltd.	Bermuda	1998/10/13
00917	New World China Land Ltd.	Cayman Islands	1999/07/16
00276	New World CyberBase Ltd.	Bermuda	1972/08/23
00017	New World Development Co. Ltd.	Hong Kong	1972/11/23
00862	New World Mobile Holdings Ltd.	Cayman Islands	1998/10/14
00342	NewOcean Energy Holdings Ltd.	Bermuda	1993/03/03
00282	Next Media Ltd.	Hong Kong	n.a.
01047	Ngai Hing Hong Co. Ltd.	Bermuda	1994/04/25
00332	Ngai Lik Industrial Holdings Ltd.	Bermuda	1992/09/25
02689	Nine Dragons Paper (Holdings) Ltd.	Bermuda	2006/03/03
00660	Nority International Group Ltd.	Cayman Islands	1993/02/05
02339	Norstar Founders Group Ltd.	Cayman Islands	2003/10/10
00042	Northeast Electric Development Co. Ltd. – H Shares	PRC	1995/07/06
00736	Northern International Holdings Ltd.	Bermuda	1992/09/30
00835	Nubrand Group Holdings Ltd.	Bermuda	1997/12/18
00659	NWS Holdings Ltd.	Bermuda	1997/04/25
00457	O2Micro International Ltd.	Cayman Islands	2006/03/02
02882	Ocean Grand Chemicals Holdings Ltd.	Bermuda	2003/06/30
01220	Ocean Grand Holdings Ltd.	Bermuda	1997/09/23
00094	Omicorp Ltd.	Bermuda	1988/06/21
00426	One Media Group Ltd.	Cayman Islands	2005/10/18
00230	ONFEM Holdings Ltd.	Bermuda	1991/12/20
00316	Orient Overseas (International) Ltd.	Bermuda	1992/07/31
00615	Orient Power Holdings Ltd.	Bermuda	1991/09/02
00467	Orient Resources Group Co. Ltd.	Bermuda	1992/04/08
00430	Oriental Explorer Holdings Ltd.	Bermuda	1993/03/30
00735	Oriental Investment Corporation Ltd.	Bermuda	1999/11/04
00018	Oriental Press Group Ltd.	Hong Kong	1987/08/18
00398	Oriental Watch Holdings Ltd.	Bermuda	1993/10/12
01174	Pacific Andes International Holdings Ltd.	Bermuda	1994/10/03
02343	Pacific Basin Shipping Ltd.	Bermuda	2004/07/14
00065	Pacific Century Insurance Holdings Ltd.	Bermuda	1999/07/07
00432	Pacific Century Premium Development Ltd.	Bermuda	1993/09/20
00767	Pacific Plywood Holdings Ltd.	Bermuda	1995/11/20
01010	PacMOS Technologies Holdings Ltd.	Bermuda	1994/02/18
00239	Pak Fah Yeow International Ltd.	Bermuda	1991/12/18
02668	Pak Tak International Ltd.	Bermuda	2001/12/06
00495	Paladin Ltd.	Bermuda	1988/07/20
00617	Paliburg Holdings Ltd.	Bermuda	1993/12/17
00502	Pan Sino International Holdings Ltd.	Cayman Islands	2006/03/01
01083	Panva Gas Holdings Ltd.	Cayman Islands	2005/12/08
03368	Parkson Retail Group Ltd.	Cayman Islands	2005/11/30
00577	Paul Y. Engineering Group Ltd.	Bermuda	1996/04/25
00008	PCCW Ltd.	Hong Kong	1994/10/18
00304	Peace Mark (Holdings) Ltd.	Bermuda	1993/02/18
00925	Peaktop International Holdings Ltd.	Bermuda	1998/01/15
00632	Pearl Oriental Innovation Ltd.	Bermuda	1993/04/30
01187	Pearl River Tyre (Holdings) Ltd.	Bermuda	1999/06/08
00676	Pegasus International Holdings Ltd.	Bermuda	1996/10/11
00761	Peking Apparel International Group Ltd.	Bermuda	1997/03/07
00725	Perennial International Ltd.	Bermuda	1996/12/30
00765	Perfectech International Holdings Ltd.	Bermuda	1992/10/23
00857	PetroChina Co. Ltd. – H Shares	PRC	2000/04/07
02328	PICC Property and Casualty Co. Ltd. – H Shares	PRC	2003/11/06
00752	Pico Far East Holdings Ltd.	Cayman Islands	1992/09/28
02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	PRC	2004/06/24
00224	Pioneer Global Group Ltd.	Bermuda	n.a.
00635	Playmates Holdings Ltd.	Bermuda	1994/01/06
01013	Plus Holdings Ltd.	Bermuda	1997/02/03
00379	PME Group Ltd.	Cayman Islands	2002/11/13
00225	Pokfulam Development Co. Ltd.	Hong Kong	1972/12/19
00119	Poly (Hong Kong) Investments Ltd.	Hong Kong	1973/08/30
00263	Poly Investments Holdings Ltd.	Hong Kong	1988/04/06
00208	Polytec Asset Holdings Ltd.	Cayman Islands	1998/09/09
00589	Ports Design Ltd.	Bermuda	2003/10/31
00164	Premium Land Ltd.	Bermuda	1973/01/26
00721	Prime Investments Holdings Ltd.	Cayman Islands	2001/06/08
00210	Prime Success International Group Ltd.	Cayman Islands	1995/11/03
00310	Prosperity Investment Holdings Ltd.	Bermuda	1992/12/21
00334	Proview International Holdings Ltd.	Bermuda	1997/06/18
00626	Public Financial Holdings Ltd.	Bermuda	1991/10/03
00498	PYI Corporation Ltd.	Bermuda	1993/09/21
00516	Pyxis Group Ltd.	Bermuda	1992/09/03
02366	Qin Jia Yuan Media Services Co. Ltd.	Cayman Islands	2004/06/30
01122	Qingling Motors Co. Ltd. – H Shares	PRC	1994/08/17
00243	QPL International Holdings Ltd.	Bermuda	1987/01/20
01224	Qualipak International Holdings Ltd.	Bermuda	1999/04/30
00593	Quality HealthCare Asia Ltd.	Bermuda	1993/07/27
00952	Quam Ltd.	Bermuda	1997/09/24
02330	Quaypoint Corporation Ltd., The	Cayman Islands	2003/01/29
00901	Radford Capital Investment Ltd.	Cayman Islands	2002/02/28
00229	Raymond Industrial Ltd.	Hong Kong	1984/11/22

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00566	RBI Holdings Ltd.	Bermuda	1996/01/29
00078	Regal Hotels International Holdings Ltd.	Bermuda	n.a.
00575	Regent Pacific Group Ltd.	Cayman Islands	1997/05/19
00555	REXCAPITAL Financial Holdings Ltd.	Bermuda	2000/09/28
00764	Riche Multi-Media Holdings Ltd.	Bermuda	2000/02/15
01004	Rising Development Holdings Ltd.	Bermuda	1997/10/09
00281	Rivera (Holdings) Ltd.	Hong Kong	1973/01/23
01098	Road King Infrastructure Ltd.	Bermuda	1996/07/04
00888	RoadShow Holdings Ltd.	Bermuda	2001/06/28
01142	Rontex International Holdings Ltd.	Cayman Islands	2002/11/08
00251	S E A Holdings Ltd.	Bermuda	1973/10/03
01184	S.A.S. Dragon Holdings Ltd.	Bermuda	1994/10/17
00178	Sa Sa International Holdings Ltd.	Cayman Islands	1997/06/13
00237	Safety Godown Co. Ltd.	Hong Kong	1973/01/09
00192	Saint Honore Holdings Ltd.	Bermuda	2000/11/21
02322	Sam Woo Holdings Ltd.	Bermuda	2003/04/09
00451	Same Time Holdings Ltd.	Bermuda	1992/03/25
00531	Samson Holding Ltd.	Cayman Islands	2005/11/17
00731	Samson Paper Holdings Ltd.	Bermuda	1995/12/01
00236	San Miguel Brewery Hong Kong Ltd.	Hong Kong	n.a.
00482	Sandmartin International Holdings Ltd.	Bermuda	2005/05/12
00140	Sanyuan Group Ltd.	Hong Kong	1972/11/27
00583	SCMP Group Ltd.	Bermuda	1990/06/29
01399	Scud Group Ltd.	Cayman Islands	2006/12/21
00269	Seapower Resources International Ltd.	Cayman Islands	1973/03/19
00491	See Corporation Ltd.	Bermuda	1992/06/10
00205	SEEC Media Group Ltd.	Cayman Islands	1993/01/05
00981	Semiconductor Manufacturing International Corporation	Cayman Islands	2004/03/18
03333	Senyuan International Holdings Ltd.	Cayman Islands	2005/07/11
00209	Sewco International Holdings Ltd.	Bermuda	2002/03/06
00719	Shandong Xinhua Pharmaceutical Co. Ltd. – H Shares	PRC	1996/12/31
00371	Shang Hua Holdings Ltd.	Bermuda	1993/04/19
01060	Shanghai Allied Cement Ltd.	Bermuda	1994/05/12
02727	Shanghai Electric Group Co. Ltd. – H Shares	PRC	2005/04/28
02337	Shanghai Forte Land Co. Ltd. – H Shares	PRC	2004/02/06
00363	Shanghai Industrial Holdings Ltd.	Hong Kong	1996/05/30
00770	Shanghai International Shanghai Growth Investment Ltd.	Cayman Islands	1993/11/30
02006	Shanghai Jin Jiang Int'l Hotels (Group) Co. Ltd. – H Shares	PRC	2006/12/15
01104	Shanghai Merchants Holdings Ltd.	Bermuda	1998/12/29
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	PRC	2006/04/27
01207	Shanghai Real Estate Ltd.	Bermuda	1999/12/10
00755	Shanghai Zendai Property Ltd.	Bermuda	1992/10/27
00069	Shangri-La Asia Ltd.	Bermuda	1993/06/17
00080	Shaw Brothers (Hong Kong) Ltd.	Hong Kong	n.a.
00081	Shell Electric Mfg (Holdings) Co. Ltd.	Hong Kong	1984/04/26
00747	Shenyang Public Utility Holdings Co. Ltd. – H Shares	PRC	1999/12/16
00218	Shenyin Wanguo (H.K.) Ltd.	Hong Kong	n.a.
00548	Shenzhen Expressway Co. Ltd. – H Shares	PRC	1997/03/12
00106	Shenzhen High-Tech Holdings Ltd.	Bermuda	1986/03/24
00152	Shenzhen International Holdings Ltd.	Bermuda	1972/09/25
00604	Shenzhen Investment Ltd.	Hong Kong	1997/03/07
02313	Shenzhen International Group Holdings Ltd.	Cayman Islands	2005/11/24
00649	Shimao International Holdings Ltd.	Bermuda	1998/07/15
00813	Shimao Property Holdings Ltd.	Cayman Islands	2006/07/05
02728	Shinhint Acoustic Link Holdings Ltd.	Cayman Islands	2005/07/14
00103	Shougang Concord Century Holdings Ltd.	Hong Kong	1992/04/09
00730	Shougang Concord Grand (Group) Ltd.	Bermuda	1991/08/08
00697	Shougang Concord International Enterprises Co. Ltd.	Hong Kong	1991/04/30
00521	Shougang Concord Technology Holdings Ltd.	Hong Kong	1988/12/23
00983	Shui On Construction and Materials Ltd.	Bermuda	1997/02/03
00272	Shui On Land Ltd.	Cayman Islands	2006/10/04
00650	Shun Cheong Holdings Ltd.	Bermuda	1992/10/07
00253	Shun Ho Resources Holdings Ltd.	Hong Kong	n.a.
00219	Shun Ho Technology Holdings Ltd.	Hong Kong	1973/05/10
00242	Shun Tak Holdings Ltd.	Hong Kong	1973/01/25
00107	Sichuan Expressway Co. Ltd. – H Shares	PRC	1997/10/07
02362	Signal Media and Communications Holdings Ltd.	Cayman Islands	2003/10/27
00171	Silver Grant International Industries Ltd.	Hong Kong	n.a.
02000	SIM Technology Group Ltd.	Bermuda	2005/06/30
00993	Simsen International Corporation Ltd.	Bermuda	1994/02/16
00244	Sincere Co. Ltd., The	Hong Kong	n.a.
00444	Sincere Watch (Hong Kong) Ltd.	Cayman Islands	2005/10/17
01105	Sing Tao News Corporation Ltd.	Bermuda	1996/07/10
00716	Singamas Container Holdings Ltd.	Hong Kong	1993/07/08
01177	Sino Biopharmaceutical Ltd.	Cayman Islands	2003/12/08
00260	Sino Gas Group Ltd.	Hong Kong	n.a.
00361	Sino Golf Holdings Ltd.	Bermuda	2000/12/20
01221	Sino Hotels (Holdings) Ltd.	Cayman Islands	1995/03/08
02324	Sino Katalytics Investment Corporation	Cayman Islands	2003/10/27
00083	Sino Land Co. Ltd.	Hong Kong	1981/04/08
00766	Sino Prosper Holdings Ltd.	Cayman Islands	2002/05/15
01217	Sino Technology Investments Co. Ltd.	Cayman Islands	2002/08/28
00346	Sino Union Petroleum & Chemical International Ltd.	Bermuda	2001/04/19
00299	SinoCom Software Group Ltd.	Cayman Islands	2004/04/30
00297	Sinofert Holdings Ltd.	Bermuda	1996/09/30
00250	Sino-i Technology Ltd.	Hong Kong	n.a.

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
01168	Sinolink Worldwide Holdings Ltd.	Bermuda	1998/06/08
00934	Sinopec Kantons Holdings Ltd.	Bermuda	1999/06/25
00338	Sinopec Shanghai Petrochemical Co. Ltd. – H Shares	PRC	1993/07/26
01033	Sinopec Yizheng Chemical Fibre Co. Ltd. – H Shares	PRC	1994/03/29
00724	Sino-Tech International Holdings Ltd.	Bermuda	2000/07/03
00598	Sinotrans Ltd. – H Shares	PRC	2003/02/13
01195	Sinotronics Holdings Ltd.	Cayman Islands	2003/01/20
00529	SiS International Holdings Ltd.	Bermuda	1992/08/18
01129	Sky Hawk Computer Group Holdings Ltd.	Cayman Islands	2002/01/17
00059	Skyfame Realty (Holdings) Ltd.	Bermuda	1993/11/16
00751	Skyworth Digital Holdings Ltd.	Bermuda	2000/04/07
01051	Smart Rich Energy Finance (Holdings) Ltd.	Bermuda	1994/04/29
02700	Smart Union Group (Holdings) Ltd.	Cayman Islands	2006/09/29
00315	SmarTone Telecommunications Holdings Ltd.	Bermuda	1996/10/31
00198	SMI Corporation Ltd.	Bermuda	1973/02/07
00648	Softbank Investment International (Strategic) Ltd.	Hong Kong	1990/10/29
01166	Solartech International Holdings Ltd.	Bermuda	1996/12/12
02878	Solomon Systech (International) Ltd.	Cayman Islands	2004/04/08
00878	Soundwill Holdings Ltd.	Bermuda	1997/03/21
00619	South China Brokerage Co. Ltd.	Hong Kong	1993/07/16
00265	South China Holdings Ltd.	Cayman Islands	n.a.
00413	South China Industries Ltd.	Cayman Islands	1987/06/16
00726	South East Group Ltd.	Bermuda	1991/07/25
00076	South Sea Petroleum Holdings Ltd.	Hong Kong	1984/09/24
00252	Southeast Asia Properties & Finance Ltd.	Hong Kong	n.a.
00337	SPG Land (Holdings) Ltd.	Cayman Islands	2006/10/10
00572	Spread Prospect Holdings Ltd.	Cayman Islands	2003/07/02
02888	Standard Chartered PLC	England	2002/10/31
00678	Star Cruises Ltd.	Bermuda	2000/11/30
00485	Starlight International Holdings Ltd.	Bermuda	1988/04/12
00403	Starlite Holdings Ltd.	Bermuda	1993/03/03
00084	Stelux Holdings International Ltd.	Bermuda	1972/10/02
00409	Stone Group Holdings Ltd.	Hong Kong	1993/08/16
00211	Styland Holdings Ltd.	Bermuda	1991/12/05
00912	Suga International Holdings Ltd.	Bermuda	2002/09/18
00365	Sun East Technology (Holdings) Ltd.	Bermuda	2000/10/16
00125	Sun Hing Vision Group Holdings Ltd.	Bermuda	1999/05/25
00086	Sun Hung Kai & Co. Ltd.	Hong Kong	1983/10/03
00016	Sun Hung Kai Properties Ltd.	Hong Kong	1972/09/08
00547	Sun Innovation Holdings Ltd.	Bermuda	1992/07/06
00433	Sun Man Tai Holdings Co. Ltd.	Bermuda	n.a.
01063	SunCorp Technologies Ltd.	Bermuda	1994/05/10
02336	Sunlink International Holdings Ltd.	Cayman Islands	2003/03/03
01094	Sunny Global Holdings Ltd.	Bermuda	2002/07/03
00988	Sun's Group Ltd., The	Bermuda	1994/01/21
00058	Sunway International Holdings Ltd.	Bermuda	1999/09/03
00188	SW Kingsway Capital Holdings Ltd.	Bermuda	2000/09/15
00663	Swank International Manufacturing Co. Ltd.	Hong Kong	1990/11/30
00019	Swire Pacific Ltd.	Hong Kong	n.a.
01223	Symphony Holdings Ltd.	Bermuda	1995/03/01
02340	Synergis Holdings Ltd.	Bermuda	2003/10/09
00928	Tack Fat Group International Ltd.	Cayman Islands	2002/04/29
00611	Tack Hsin Holdings Ltd.	Bermuda	1993/02/12
00088	Tai Cheung Holdings Ltd.	Bermuda	1972/12/11
00146	Tai Ping Carpets International Ltd.	Bermuda	n.a.
00089	Tai Sang Land Development Ltd.	Hong Kong	1973/02/21
00665	Taifook Securities Group Ltd.	Bermuda	1996/08/06
01228	Tak Shun Technology Group Ltd.	Cayman Islands	2001/09/18
00126	Tak Sing Alliance Holdings Ltd.	Bermuda	1991/11/01
00918	Takson Holdings Ltd.	Bermuda	1997/10/15
00693	Tan Chong International Ltd.	Bermuda	1998/07/07
00812	Tanrich Financial Holdings Ltd.	Bermuda	2002/01/30
00515	TC Interconnect Holdings Ltd.	Cayman Islands	2006/06/23
01136	TCC International Holdings Ltd.	Cayman Islands	1997/10/06
02618	TCL Communication Technology Holdings Ltd.	Cayman Islands	2004/09/27
01070	TCL Multimedia Technology Holdings Ltd.	Cayman Islands	1999/11/26
00669	Techtronic Industries Co. Ltd.	Hong Kong	1990/12/17
00628	Teem Foundation Group Ltd.	Bermuda	2002/07/24
00511	Television Broadcasts Ltd.	Hong Kong	1988/11/23
00700	Tencent Holdings Ltd.	Cayman Islands	2004/06/16
00093	Termbray Industries International (Holdings) Ltd.	Bermuda	1991/10/23
00277	Tern Properties Co. Ltd.	Hong Kong	n.a.
02678	Texhong Textile Group Ltd.	Cayman Islands	2004/12/09
00321	Texwinca Holdings Ltd.	Bermuda	1992/08/06
00990	Theme International Holdings Ltd.	Bermuda	1994/01/25
00028	Tian An China Investments Co. Ltd.	Hong Kong	1987/03/18
00266	Tian Teck Land Ltd.	Hong Kong	n.a.
00609	Tiande Chemical Holdings Ltd.	Cayman Islands	2006/10/27
01065	Tianjin Capital Environmental Protection Co. Ltd. – H Shares	PRC	1994/05/17
00882	Tianjin Development Holdings Ltd.	Hong Kong	1997/12/10
03382	Tianjin Port Development Holdings Ltd.	Cayman Islands	2006/05/24
00307	Tidetime Sun (Group) Ltd.	Bermuda	1992/12/02
00322	Tingyi (Cayman Islands) Holdings Corp.	Cayman Islands	1996/02/05
01192	Titan Petrochemicals Group Ltd.	Bermuda	1998/06/17
02383	TOM Group Ltd.	Cayman Islands	2004/08/04
00760	Tomorrow International Holdings Ltd.	Bermuda	1995/08/08

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Code	Company name	Incorporation	Listing date
00258	Tomson Group Ltd.	Cayman Islands	n.a.
00698	Tongda Group Holdings Ltd.	Cayman Islands	2000/12/22
00978	Tonic Industries Holdings Ltd.	Cayman Islands	1997/10/16
00333	Top Form International Ltd.	Bermuda	1991/12/16
02323	Topsearch International (Holdings) Ltd.	Bermuda	2002/06/21
00903	TPV Technology Ltd.	Bermuda	1999/10/08
00536	Tradelink Electronic Commerce Ltd.	Hong Kong	2005/10/28
00062	Transport International Holdings Ltd.	Bermuda	n.a.
00696	TravelSky Technology Ltd. – H Shares	PRC	2001/02/07
00458	Tristate Holdings Ltd.	Bermuda	1988/01/06
00732	Truly International Holdings Ltd.	Cayman Islands	1991/07/29
00417	Tse Sui Luen Jewellery (International) Ltd.	Bermuda	1987/06/30
00247	Tsim Sha Tsui Properties Ltd.	Hong Kong	1972/07/20
00168	Tsingtao Brewery Co. Ltd. – H Shares	PRC	1993/07/15
00518	Tungtex (Holdings) Co. Ltd.	Hong Kong	1988/12/15
00687	Tysan Holdings Ltd.	Bermuda	1991/03/26
00768	UBA Investments Ltd.	Cayman Islands	2000/01/18
00620	UDL Holdings Ltd.	Bermuda	1991/09/30
00690	Uni-Bio Science Group Ltd.	Cayman Islands	2001/11/12
02302	United Metals Holdings Ltd.	Cayman Islands	2003/01/06
00176	United Pacific Industries Ltd.	Bermuda	1994/04/28
00674	United Power Investment Ltd.	Bermuda	1991/01/25
00913	Unity Investments Holdings Ltd.	Cayman Islands	1999/10/27
01046	Universe International Holdings Ltd.	Bermuda	1999/07/20
00335	Upbest Group Ltd.	Cayman Islands	2000/10/18
00627	U-RIGHT International Holdings Ltd.	Bermuda	2000/11/13
00369	USI Holdings Ltd.	Bermuda	1973/02/07
01002	V.S. International Group Ltd.	Cayman Islands	2002/02/08
01186	Value Partners China Greenchip Fund Ltd.	Cayman Islands	2002/04/08
01001	Van Shung Chong Holdings Ltd.	Bermuda	1994/02/18
00015	Vantage International (Holdings) Ltd.	Bermuda	2000/09/08
00710	Varitronix International Ltd.	Bermuda	1991/07/01
02317	Vedan International (Holdings) Ltd.	Cayman Islands	2003/06/27
01173	Veeko International Holdings Ltd.	Cayman Islands	1999/04/19
00061	Venture International Investment Holdings Ltd.	Bermuda	1999/07/06
00539	Victory City International Holdings Ltd.	Bermuda	1996/05/13
01139	Victory Group Ltd.	Bermuda	1998/02/16
00922	Vision Tech International Holdings Ltd.	Bermuda	1999/12/21
01164	Vital BioTech Holdings Ltd.	Cayman Islands	2003/08/04
00345	Vitasoy International Holdings Ltd.	Hong Kong	1994/03/30
01178	Vitop Bioenergy Holdings Ltd.	Cayman Islands	2003/02/10
00318	Vongroup Ltd.	Cayman Islands	2001/10/09
00856	VST Holdings Ltd.	Cayman Islands	2002/05/09
00303	VTech Holdings Ltd.	Bermuda	1992/11/05
00727	VXL Capital Ltd.	Hong Kong	1998/01/16
00278	Wah Ha Realty Co. Ltd.	Hong Kong	1972/04/24
00159	Wah Nam International Holdings Ltd.	Bermuda	1985/07/05
02349	Wah Yuen Holdings Ltd.	Cayman Islands	2003/06/25
00610	Wai Kee Holdings Ltd.	Bermuda	1992/08/28
00897	Wai Yuen Tong Medicine Holdings Ltd.	Bermuda	1997/10/31
01222	Wang On Group Ltd.	Bermuda	1995/02/28
02389	Wang Sing International Holdings Group Ltd.	Cayman Islands	2002/04/26
00607	Warderly International Holdings Ltd.	Cayman Islands	2002/12/18
03393	Wasion Meters Group Ltd.	Cayman Islands	2005/12/19
01161	Water Oasis Group Ltd.	Cayman Islands	2002/03/11
00039	Wealthmark International (Holdings) Ltd.	Cayman Islands	2001/01/16
02338	Weichai Power Co. Ltd. – H Shares	PRC	2004/03/11
02698	Weiqiao Textile Co. Ltd. – H Shares	PRC	2003/09/24
00004	Wharf (Holdings) Ltd., The	Hong Kong	n.a.
00020	Wheelock and Co. Ltd.	Hong Kong	n.a.
00049	Wheelock Properties Ltd.	Hong Kong	n.a.
00273	Willie International Holdings Ltd.	Hong Kong	n.a.
03322	Win Hanverky Holdings Ltd.	Cayman Islands	2006/09/06
00474	Winbox International (Holdings) Ltd.	Cayman Islands	2006/06/06
00287	Winfair Investment Co. Ltd.	Hong Kong	n.a.
00063	Winfoong International Ltd.	Bermuda	1986/02/26
00302	Wing Hang Bank, Ltd.	Hong Kong	1993/07/02
00621	Wing Hing International (Holdings) Ltd.	Bermuda	1995/12/18
00745	Wing Hong (Holdings) Ltd.	Cayman Islands	2004/10/13
00876	Wing Lee Holdings Ltd.	Bermuda	1997/04/10
00096	Wing Lung Bank Ltd.	Hong Kong	1980/03/27
00289	Wing On Company International Ltd.	Bermuda	n.a.
01189	Wing On Travel (Holdings) Ltd.	Bermuda	1997/10/06
00570	Wing Shan International Ltd.	Hong Kong	1993/04/07
00850	Wing Shing International Holdings Ltd.	Cayman Islands	2002/11/13
01036	Winsor Properties Holdings Ltd.	Cayman Islands	1996/11/08
00720	Wo Kee Hong (Holdings) Ltd.	Bermuda	1991/07/16
00109	Wonderful World Holdings Ltd.	Bermuda	1998/01/12
00099	Wong's International (Holdings) Ltd.	Bermuda	1983/12/15
00532	Wong's Kong King International (Holdings) Ltd.	Bermuda	1989/07/10
00651	Wonson International Holdings Ltd.	Bermuda	1998/07/23
00713	World Houseware (Holdings) Ltd.	Cayman Islands	1993/04/15
00380	World Trade Bun Kee Ltd.	Bermuda	2000/12/21
03378	Xiamen International Port Co. Ltd. – H Shares	PRC	2005/12/19
01141	Xin Corporation Ltd.	Bermuda	1998/03/12
02688	XinAo Gas Holdings Ltd.	Cayman Islands	2002/06/03

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01899	Xingda International Holdings Ltd.	Cayman Islands	2006/12/21
00868	Xinyi Glass Holdings Ltd.	Cayman Islands	2005/02/03
03389	Xinyu Hengdeli Holdings Ltd.	Cayman Islands	2005/09/26
02088	Xiwang Sugar Holdings Co. Ltd.	Bermuda	2005/12/09
00075	Y. T. Realty Group Ltd.	Bermuda	1984/11/12
00294	Yangtzekiang Garment Ltd.	Hong Kong	n.a.
00082	Yanion International Holdings Ltd.	Bermuda	1991/10/25
01171	Yanzhou Coal Mining Co. Ltd. – H Shares	PRC	1998/04/01
00646	Yardway Group Ltd.	Cayman Islands	2002/03/28
00406	Yau Lee Holdings Ltd.	Bermuda	1991/08/29
00259	Yeebo (International Holdings) Ltd.	Bermuda	1993/09/01
00375	YGM Trading Ltd.	Hong Kong	1988/01/25
00408	Yip's Chemical Holdings Ltd.	Cayman Islands	1991/08/22
02788	Yorkey Optical International (Cayman) Ltd.	Cayman Islands	2006/02/10
00666	Yu Ming Investments Ltd.	Hong Kong	1990/12/21
00629	Yue Da Holdings Ltd.	Cayman Islands	2001/11/29
00551	Yue Yuen Industrial (Holdings) Ltd.	Bermuda	1992/07/02
00613	Yugang International Ltd.	Bermuda	1993/11/12
00455	Yunnan Enterprises Holdings Ltd.	Cayman Islands	1992/03/30
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	PRC	2006/12/08
00576	Zhejiang Expressway Co. Ltd. – H Shares	PRC	1997/05/15
00739	Zhejiang Glass Co. Ltd. – H Shares	PRC	2001/12/10
01064	Zhong Hua International Holdings Ltd.	Bermuda	1997/10/13
00909	Zhongda International Holdings Ltd.	Bermuda	2001/11/01
02379	Zhongtian International Ltd.	Cayman Islands	2004/09/22
03898	Zhuzhou CSR Times Electric Co., Ltd. – H Shares	PRC	2006/12/20
02899	Zijin Mining Group Co., Ltd. – H Shares	PRC	2003/12/23
00763	ZTE Corporation – H Shares	PRC	2004/12/09
02371	ZZNode Technologies Co. Ltd.	Cayman Islands	2004/11/18

n.a. Information is not available

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Code	Company name	Incorporation	Listing date
08262	A – S China Plumbing Products Ltd.	Cayman Islands	2003/07/11
08053	A & K Educational Software Holdings Ltd.	Cayman Islands	2004/08/06
08131	abc Multiactive Ltd.	Bermuda	2001/01/31
08061	AcrossAsia Ltd.	Cayman Islands	2000/07/13
08210	Advanced Card Systems Holdings Ltd.	Cayman Islands	2003/11/10
08298	AKM Industrial Co. Ltd.	Hong Kong	2004/08/18
08241	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	PRC	2006/12/01
08212	Aptus Holdings Ltd.	Cayman Islands	2002/05/14
08022	Argos Enterprise (Holdings) Ltd.	Hong Kong	2001/08/13
08213	Armitage Technologies Holding Ltd.	Cayman Islands	2003/03/18
08025	Asian Information Resources (Holdings) Ltd.	Cayman Islands	1999/12/16
08158	B M Intelligence International Ltd.	Cayman Islands	2001/07/18
08079	B.A.L. Holdings Ltd.	Cayman Islands	2001/10/15
08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	PRC	2000/07/27
08245	Beijing Jingkelong Co. Ltd. – H Shares	PRC	2006/09/25
08167	Big Media Group Ltd.	Cayman Islands	2002/08/06
08247	Biosino Bio-Technology and Science Incorporation – H Shares	PRC	2006/02/27
08176	Blu Spa Holdings Ltd.	Cayman Islands	2002/02/19
08272	Byford International Ltd.	Cayman Islands	2003/06/27
08157	Capinfo Co. Ltd. – H Shares	PRC	2001/12/21
08155	Capital Publications Ltd.	Cayman Islands	2002/07/18
08066	Cardlink Technology Group Ltd.	Cayman Islands	2001/12/20
08122	CASH Financial Services Group Ltd.	Bermuda	2000/12/15
08235	CCID Consulting Co. Ltd. – H Shares	PRC	2002/12/12
08276	Century Sunshine Ecological Technology Holdings Ltd.	Cayman Islands	2004/02/17
08067	Changchun Da Xing Pharmaceutical Co. Ltd. – H Shares	PRC	2002/06/28
08208	Changmao Biochemical Engineering Co. Ltd. – H Shares	PRC	2002/06/28
08135	Chengdu Top Sci-Tech Co. Ltd. – H Shares	PRC	2001/03/30
08153	China Chief Cable TV Group Ltd.	Bermuda	2001/03/30
08016	China Data Broadcasting Holdings Ltd.	Bermuda	2000/01/24
08201	China Fire Safety Enterprise Group Holdings Ltd.	Cayman Islands	2002/09/30
08270	China Leason Investment Group Co. Ltd.	Cayman Islands	2003/08/12
08161	China LotSynergy Holdings Ltd.	Bermuda	2001/10/26
08120	China Medical Science Ltd.	Cayman Islands	2001/04/10
08220	China Photar Electronics Group Ltd.	Cayman Islands	2002/11/12
08117	China Primary Resources Holdings Ltd.	Cayman Islands	2001/12/13
08156	China Vanguard Group Ltd.	Cayman Islands	2002/11/12
08006	China.com Inc.	Cayman Islands	2000/03/09
08206	Chinainfo Holdings Ltd.	Cayman Islands	2002/11/15
08216	Chinasoft International Ltd.	Cayman Islands	2003/06/20
08233	CIG Yangtze Ports PLC	Cayman Islands	2005/09/16
08222	CK Life Sciences Int'l., (Holdings) Inc.	Cayman Islands	2002/07/16
08217	CMA Logistics Co., Ltd. – H Shares	PRC	2006/02/23
08081	Computech Holdings Ltd.	Cayman Islands	2000/06/19
08052	Convenience Retail Asia Ltd.	Cayman Islands	2001/01/18
08250	Core Healthcare Investment Holdings Ltd.	Cayman Islands	2004/06/18
08109	Creative Energy Solutions Holdings Ltd.	Bermuda	2002/01/31
08243	Dahe Media Co. Ltd. – H Shares	PRC	2003/11/13
08057	Datasys Technology Holdings Ltd.	Cayman Islands	2002/08/16
08112	DeTeam Co. Ltd.	Cayman Islands	2001/08/30
08007	DIGITALHONGKONG.COM	Cayman Islands	2000/04/17
08169	Eco-Tek Holdings Ltd.	Cayman Islands	2001/12/05
08149	EMER International Group Ltd.	Cayman Islands	2005/11/28
08078	Emperor Entertainment Group Ltd.	Bermuda	2000/12/19
08086	ePRO Ltd.	Cayman Islands	2000/08/02
08043	Era Information & Entertainment Ltd.	Cayman Islands	2001/06/28
08299	Espco Technology Holdings Ltd.	Cayman Islands	2004/09/23
08151	Essex Bio-Technology Ltd.	Cayman Islands	2001/06/27
08019	Everpride Biopharmaceutical Co. Ltd.	Cayman Islands	2001/07/20
08090	EVI Education Asia Ltd.	Cayman Islands	2001/03/15
08048	Excel Technology International Holdings Ltd.	Bermuda	2000/06/30
08150	Fast Systems Technology (Holdings) Ltd.	Cayman Islands	2001/08/10
08108	FAVA International Holdings Ltd.	Bermuda	2000/07/14
08317	Finet Group Ltd.	Cayman Islands	2005/01/07
08110	First Mobile Group Holdings Ltd.	Cayman Islands	2000/12/29
08050	FlexSystem Holdings Ltd.	Cayman Islands	2000/07/24
08136	FX Creations International Holdings Ltd.	Cayman Islands	2002/05/21
08126	G.A. Holdings Ltd.	Cayman Islands	2002/06/17
08029	Galileo Capital Group Ltd.	Cayman Islands	2000/12/14
08271	Global Digital Creations Holdings Ltd.	Bermuda	2003/08/04
08060	Global Link Communications Holdings Ltd.	Cayman Islands	2002/11/13
08192	Global Solution Engineering Ltd.	Cayman Islands	2002/11/29
08071	Glory Future Group Ltd.	Cayman Islands	2001/03/02
08159	Glory Mark Hi-Tech (Holdings) Ltd.	Cayman Islands	2002/01/04
08180	Golden Meditech Co. Ltd.	Cayman Islands	2001/12/28
08190	Golding Soft Ltd.	Cayman Islands	2002/02/08
08172	Golife Concepts Holdings Ltd.	Cayman Islands	2002/03/26
08032	GreaterChina Technology Group Ltd.	Cayman Islands	2000/04/06
08056	Greencool Technology Holdings Ltd.	Cayman Islands	2000/07/13
08292	HC International, Inc.	Cayman Islands	2003/12/17
08143	Hua Xia Healthcare Holdings Ltd.	Cayman Islands	2002/05/10
08128	IIN International Ltd.	Cayman Islands	2001/11/30
08009	iMerchants Ltd.	Hong Kong	2000/03/31
08082	Info Communication Holdings Ltd.	Cayman Islands	2001/11/02
08202	Inno-Tech Holdings Ltd.	Bermuda	2002/08/12
08141	Inspur International Ltd.	Cayman Islands	2004/04/29

List of listed companies on GEM (continued)

End of 2006

Code	Company name	Incorporation	Listing date
08041	Intcera High Tech Group Ltd.	Cayman Islands	2000/07/07
08118	International Entertainment Corporation	Cayman Islands	2000/07/31
08123	International Financial Network Holdings Ltd.	Cayman Islands	2002/01/11
08092	ITE (Holdings) Ltd.	Cayman Islands	2001/02/21
08137	Jessica Publications Ltd.	Cayman Islands	2002/01/08
08310	JF Household Furnishings Ltd.	Cayman Islands	2005/10/13
08165	Jian ePayment Systems Ltd.	Cayman Islands	2001/12/10
08045	Jiangsu Nandasoft Co. Ltd. – H Shares	PRC	2001/04/24
08049	Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. -H shs	PRC	2001/05/24
08293	Jinheng Automotive Safety Technology Holdings Ltd.	Cayman Islands	2004/12/09
08175	KanHan Technologies Group Ltd.	Cayman Islands	2003/02/25
08011	Kanstar Environmental Paper Products Holdings Ltd.	Cayman Islands	2002/07/12
08042	Ko Yo Ecological Agrotech (Group) Ltd.	Cayman Islands	2003/07/10
08196	Launch Tech Co. Ltd. – H Shares	PRC	2002/10/07
08221	Lee's Pharmaceutical Holdings Ltd.	Cayman Islands	2002/07/15
08166	Linefan Technology Holdings Ltd.	Cayman Islands	2002/02/05
08017	Long Success International (Holdings) Ltd.	Bermuda	2000/08/17
08037	Longlife Group Holdings Ltd.	Cayman Islands	2004/06/17
08039	Loulan Holdings Ltd.	Cayman Islands	2002/08/12
08100	M Dream Inworld Ltd.	Cayman Islands	2001/12/31
08186	Medical China Ltd.	Bermuda	2001/12/31
08279	MegalInfo Holdings Ltd.	Bermuda	2004/01/19
08130	Milkyway Image Holdings Ltd.	Cayman Islands	2002/08/26
08266	Mobile Telecom Network (Holdings) Ltd.	Cayman Islands	2003/05/09
08239	MP Logistics International Holdings Ltd.	Cayman Islands	2002/11/15
08188	Mudan Automobile Shares Co. Ltd. – H Shares	PRC	2001/12/18
08287	Nanjing Sample Technology Co. Ltd. – H Shares	PRC	2004/06/09
08116	Neolink Cyber Technology (Holding) Ltd.	Cayman Islands	2000/07/25
08256	Netel Technology (Holdings) Ltd.	Cayman Islands	2002/12/20
08085	New Chinese Medicine Holdings Ltd.	Cayman Islands	2002/03/07
08068	New Universe International Group Ltd.	Cayman Islands	2000/05/18
08249	Ningbo Yidong Electronic Co. Ltd. – H Shares	PRC	2003/11/14
08080	North Asia Strategic Holdings Ltd.	Bermuda	2000/04/20
08197	Northeast Tiger Pharmaceutical Co. Ltd. – H Shares	PRC	2002/02/28
08173	Panorama International Holdings Ltd.	Cayman Islands	2002/05/09
08002	Phoenix Satellite Television Holdings Ltd.	Cayman Islands	2000/06/30
08013	Pine Technology Holdings Ltd.	Bermuda	1999/11/26
08236	Powerleader Science & Technology Co. Ltd. – H Shares	PRC	2002/12/12
08089	Proactive Technology Holdings Ltd.	Bermuda	2000/05/18
08139	Prosperity International Holdings (H.K.) Ltd.	Bermuda	2001/08/02
08026	Prosten Technology Holdings Ltd.	Cayman Islands	2000/03/28
08055	ProSticks International Holdings Ltd.	Cayman Islands	2001/12/05
08129	Q9 Technology Holdings Ltd.	Cayman Islands	2001/05/18
08015	Qianlong Technology International Holdings Ltd.	Cayman Islands	1999/12/17
08171	QUASAR Communication Technology Holdings Ltd.	Cayman Islands	2002/07/31
08073	Recruit Holdings Ltd.	Bermuda	2000/07/20
08075	Rojam Entertainment Holdings Ltd.	Cayman Islands	2001/05/31
08253	Sanmenxia Tianyuan Aluminum Co. Ltd. – H Shares	PRC	2004/07/13
08200	Sau San Tong Holdings Ltd.	Cayman Islands	2003/11/19
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	PRC	2003/07/03
08058	Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	PRC	2005/12/09
08261	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	PRC	2004/04/15
08199	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	PRC	2004/02/27
08251	Shanghai Donghua Petrochemical Co. Ltd. – H Shares	PRC	2005/07/13
08102	Shanghai Fudan Microelectronics Co. Ltd. – H Shares	PRC	2000/08/04
08231	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	PRC	2002/08/13
08205	Shanghai Jiada Withub Information Industrial Co Ltd – H Shs	PRC	2002/07/31
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. – H Shares	PRC	2004/06/30
08286	Shanxi Changcheng Microlight Equipment Co. Ltd. – H Shares	PRC	2004/05/18
08230	Shenzhen Dongjiang Environmental Co. Ltd. – H Shares	PRC	2003/01/29
08285	Shenzhen EVOc Intelligent Technology Co. Ltd. – H Shares	PRC	2003/10/10
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. – H Shares	PRC	2004/07/07
08329	Shenzhen Neptunus Interlong Bio-Technique Co. Ltd. -H Shares	PRC	2005/09/12
08076	Sing Lee Software (Group) Ltd.	Bermuda	2001/09/05
08065	Sino Haijing Holdings Ltd.	Cayman Islands	2003/06/25
08148	SJTU Sunway Software Industry Ltd.	Cayman Islands	2004/01/09
08010	SMI Publishing Group Ltd.	Cayman Islands	2000/06/01
08111	Soluteck Holdings Ltd.	Cayman Islands	2001/01/03
08226	Sonavox International Holdings Ltd.	Cayman Islands	2002/07/19
08008	Sunevision Holdings Ltd.	Cayman Islands	2000/03/17
08306	Sungreen International Holdings Ltd.	Bermuda	2005/02/28
08182	Sys Solutions Holdings Ltd.	Cayman Islands	2003/02/18
08083	SYSCAN Technology Holdings Ltd.	Bermuda	2000/04/14
08003	T S Telecom Technologies Ltd.	Cayman Islands	1999/12/02
08103	Tai Shing International (Holdings) Ltd.	Cayman Islands	2000/09/08
08088	Techpacific Capital Ltd.	Cayman Islands	2000/04/17
08051	TeleEye Holdings Ltd.	Cayman Islands	2001/05/08
08096	ThinSoft (Holdings) Inc.	Cayman Islands	2002/02/27
08119	Thiz Technology Group Ltd.	Cayman Islands	2001/07/27
08189	Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	PRC	2002/06/18
08290	Tianjin Tianlian Public Utilities Co., Ltd. – H Shares	PRC	2004/01/09
08046	Tiger Tech Holdings Ltd.	Bermuda	2003/04/16
08028	Timeless Software Ltd.	Hong Kong	1999/11/25
08282	TOM Online Inc.	Cayman Islands	2004/03/11
08069	Tong Ren Tang Technologies Co. Ltd. – H Shares	PRC	2000/10/31
08138	Town Health International Holdings Co. Ltd.	Cayman Islands	2000/10/18

List of listed companies on GEM (continued)

End of 2006

Code	Company name	Incorporation	Listing date
08163	Tradeeasy Holdings Ltd.	Cayman Islands	2002/03/07
08063	Trasy Gold Ex Ltd.	Cayman Islands	2000/12/07
08229	Tungda Innovative Lighting Holdings Ltd.	Cayman Islands	2002/07/26
08203	Ultra Group Holdings Ltd.	Cayman Islands	2004/01/20
08047	Union Bridge Holdings Ltd.	Bermuda	2001/11/01
08091	Universal Technologies Holdings Ltd.	Cayman Islands	2001/10/26
08101	Value Convergence Holdings Ltd.	Hong Kong	2001/04/09
08225	Venturepharm Laboratories Ltd.	Cayman Islands	2003/07/10
08228	Vertex Group Ltd.	Cayman Islands	2002/10/17
08033	Vodatel Networks Holdings Ltd.	Bermuda	2000/02/25
08198	Wafer Systems Ltd.	Cayman Islands	2002/05/17
08035	Wah Sang Gas Holdings Ltd.	Bermuda	2000/03/16
08021	WLS Holdings Ltd.	Cayman Islands	2001/12/07
08277	Wumart Stores, Inc. – H Shares	PRC	2003/11/21
08227	Xi'an Haitian Antenna Technologies Co. Ltd. – H Shares	PRC	2003/11/05
08280	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	PRC	2006/02/28
08178	Xteam Software International Ltd.	Cayman Islands	2001/12/11
08259	Yantai North Andre Juice Co. Ltd. – H Shares	PRC	2003/04/22
08319	Yusei Holdings Ltd.	Cayman Islands	2005/10/13
08005	Yuxing InfoTech Holdings Ltd.	Bermuda	2000/01/31
08106	Zheda Lande Scitech Ltd. – H Shares	PRC	2002/05/03
08273	Zhejiang Prospect Co. Ltd. – H Shares	PRC	2004/02/18
08331	Zhejiang Shibao Co. Ltd. – H Shares	PRC	2006/05/16
08211	Zhejiang Yonglong Enterprises Co. Ltd. – H Shares	PRC	2002/11/08
08099	Zhengzhou Gas Co. Ltd. – H Shares	PRC	2002/10/29
08070	Zhongyu Gas Holdings Ltd.	Cayman Islands	2001/06/05

List of exchange traded funds, 2006

End of 2006

Code	Name	Listing date
02800	Tracker Fund of Hong Kong	1999/11/12
02801	iShares MSCI China Tracker	2001/11/28
02819	ABF Hong Kong Bond Index Fund	2005/06/21
02821	ABF Pan Asia Bond Index Fund	2005/07/07
02823	iShares FTSE/Xinhua A50 China Tracker	2004/11/18
02828	Hang Seng H-Share Index ETF	2003/12/10
02833	Hang Seng Index ETF	2004/09/21
02836	iShares BSE SENSEX India Tracker	2006/11/02
02838	Hang Seng FTSE/Xinhua China 25 Index ETF	2005/06/08
04362	iShares MSCI South Korea Index Fund #	2001/05/02
04363	iShares MSCI Taiwan Index Fund #	2001/05/02

Under the pilot programme for trading only stock

Technical notes to tables

1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market turnover in value}}{\text{Total market capitalisation}} \times 100\%$$

2. Average book value ratio

$$\text{Average book value ratio} = \frac{\text{Total market capitalisation}}{\text{Total net assets of all listed stocks}}$$

3. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS} \times \text{No. of issued shares})}{\sum(\text{Closing price} \times \text{No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

4. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price} \times \text{No. of issued shares})}{\sum(\text{EPS} \times \text{No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

5. Mainland China-controlled company (Red Chip)

A company is deemed to be Mainland China-controlled company (Red Chip), if

- (1) the company has at least 30% shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities. Or
- (2) the company has below 30% but 20% or above shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities and, there is a strong influential presence, on a judgmental basis, on the company's board of directors.

Mainland China entities include state-owned organisations and entities controlled by provincial or municipal authorities.

6. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

- 7. Foreign company**
A company that is incorporated overseas AND has a majority of its business outside Hong Kong and Mainland China.
- 8. H shares**
Shares issued by a PRC issuer under PRC law and listed on the Stock Exchange of Hong Kong, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.
- 9. Listed company**
A company in respect of which all or some of the equity securities are listed on the Stock Exchange of Hong Kong.
- 10. Number of listed securities**
The total number of ordinary shares, preferred ordinary/preference shares, warrants, debt securities and unit trust/mutual fund listed on the Stock Exchange of Hong Kong.
- 11. Open interest (options)**
The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.
- 12. Premium (options)**
Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.
- 13. Total market capitalisation**
The aggregate capitalisation of all listed companies which are regarded as domestic companies except those under suspension for over one year.
- 14. Trading volume and value**
Figures of trading volume and value are counted on a one-way basis.

Acronyms

AMS	Automatic Order Matching and Execution System
AMS/3	Automatic Order Matching and Execution System / Third Generation
CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKATS	Hong Kong Futures Automated Trading System
HKCC	HKFE Clearing Corporation Ltd
HKCE	Hong Kong Commodity Exchange Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKFE	Hong Kong Futures Exchange Ltd
HKMA	Hong Kong Monetary Authority
HKSCC	Hong Kong Securities Clearing Co Ltd
HSCCI	Hang Seng China-Affiliated Corporations Index
HSCEI	Hang Seng China Enterprises Index
HSCI	Hang Seng Composite Index
HSHKCI	Hang Seng Hong Kong Composite Index
HSI	Hang Seng Index
HSMLCI	Hang Seng Mainland Composite Index
iIPO	Internet Initial Public Offering
IPO	Initial public offering
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
ORS	Order Routing System
P/E ratio	Price / earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEHK	Stock Exchange of Hong Kong Ltd, The
SEOCH	SEHK Options Clearing House Ltd, The
SFC	Securities and Futures Commission, The
SFO	Securities and Futures Ordinance
SI	Settlement instruction
TOPS	Traded Options System