

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

Our Ref: HOL20200313-038

13 March 2020

To: Main Board Listed Issuers (Attn: Authorised Representatives)  
GEM Listed Issuers (Attn: Authorised Representatives)  
Market Practitioners

Dear Sirs

### Publication of the Exchange's Biannual Enforcement Newsletter

We have published today the latest issue of our Enforcement Newsletter which is available on the HKEX website. The Newsletter provides news and updates on the enforcement work undertaken by the Exchange.

This issue includes:

- an article on how the Enforcement Department interacts with other regulators; and
- Enforcement statistics and summaries of published disciplinary decisions.

We hope that the contents of the Newsletter provide useful guidance to listed issuers and directors on the Exchange's expectations in relation to their compliance with the Listing Rules.

Yours faithfully  
For and on behalf of  
The Stock Exchange of Hong Kong Limited

*[Signed]*

Bonnie Y Chan  
Head of Listing

*[Signed]*

Jon Witts  
Head of Enforcement, Listing